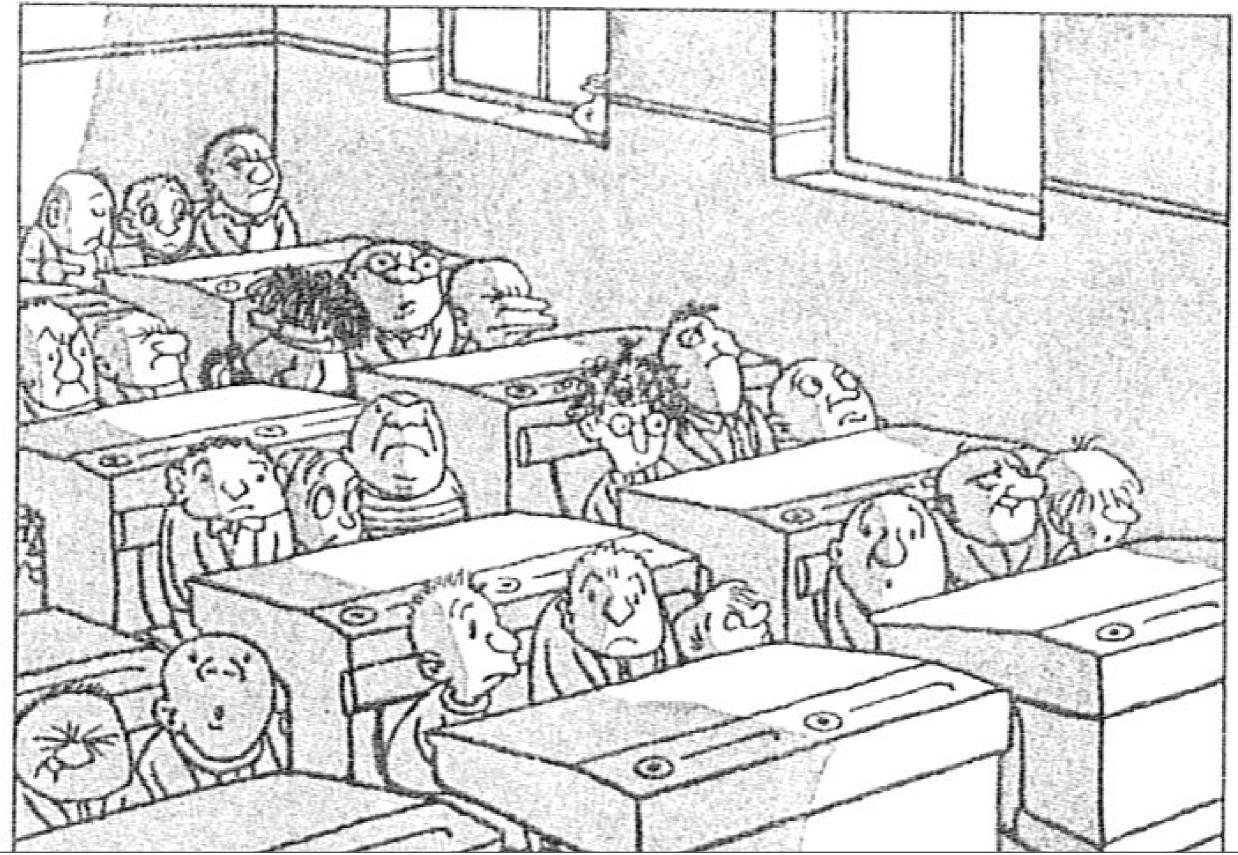


A Tutorial in Thermodynamics and Ordening in Alloys

Gus L.W. Hart Bright Mart











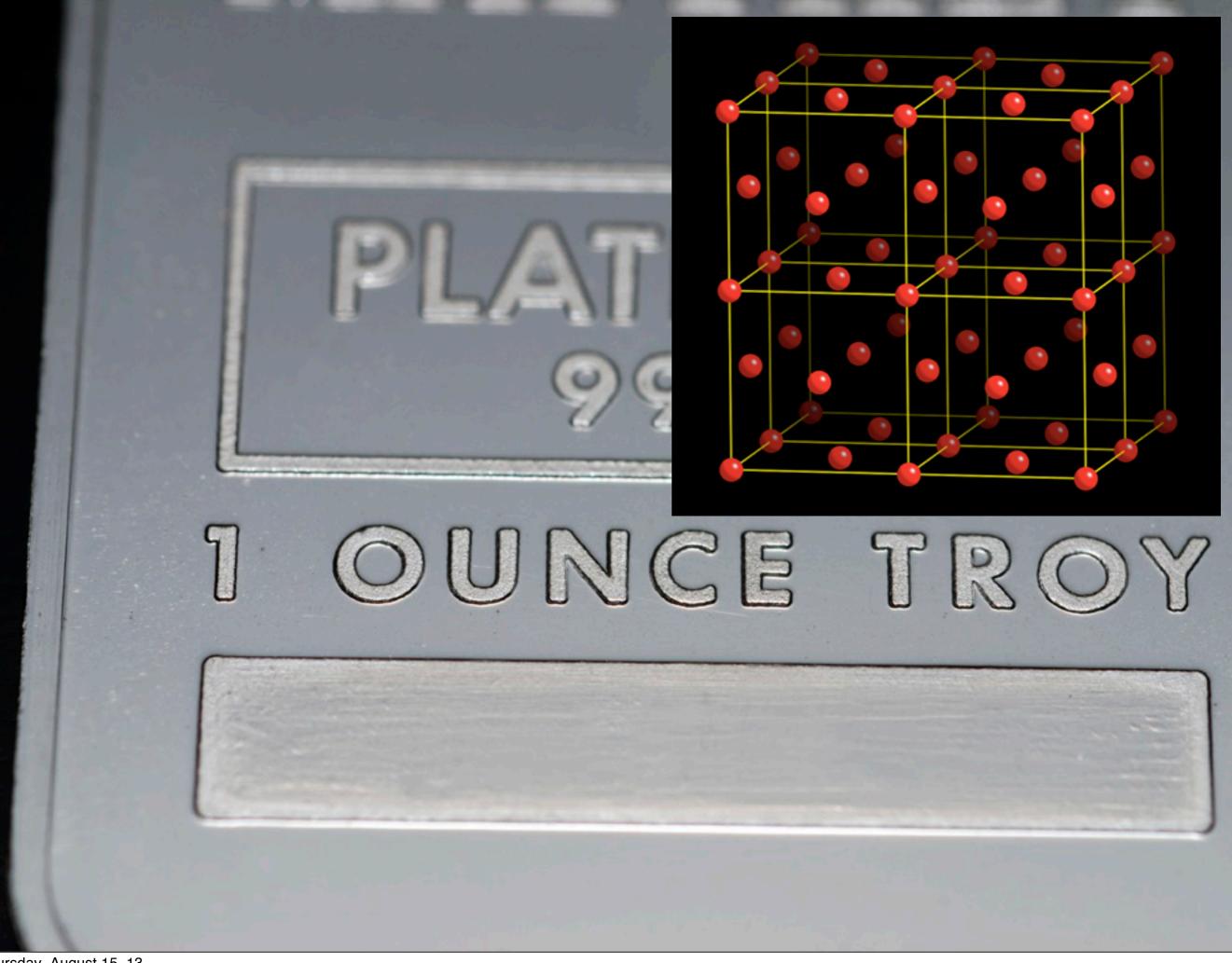




Interrupt me, please!



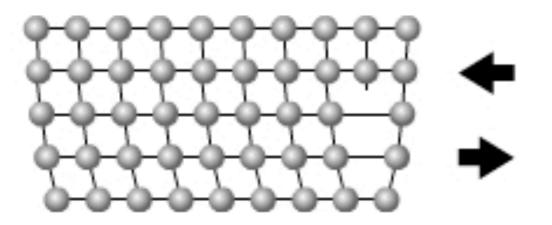
LATINUM 9995 1 OUNCE TROY

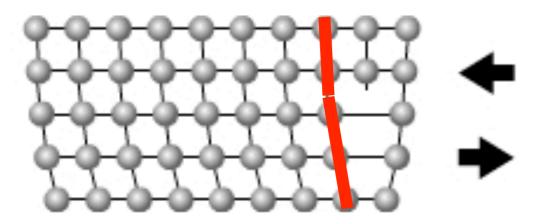


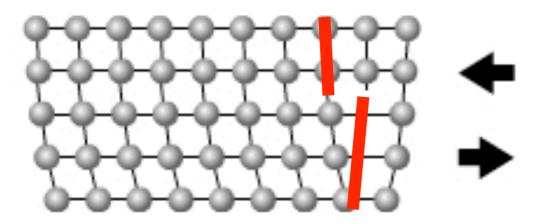


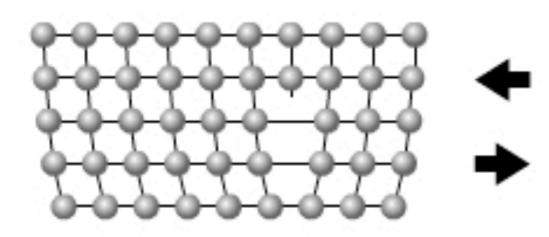
What makes a metal "soft"?

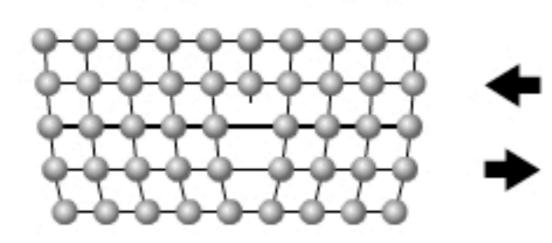


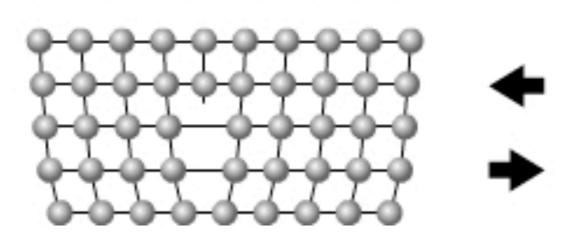


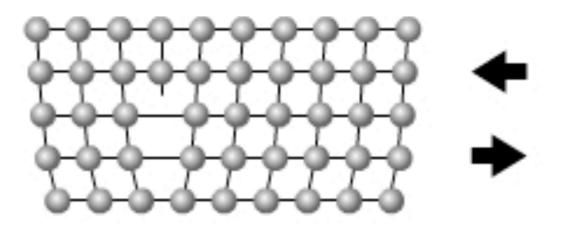


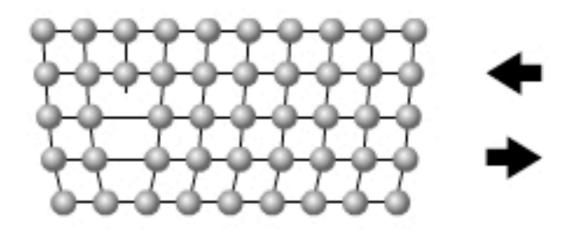


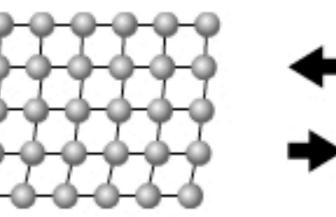


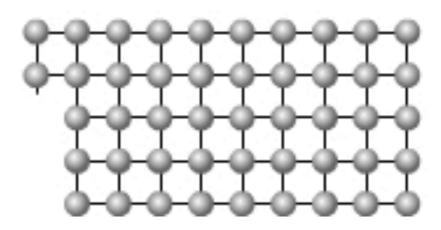




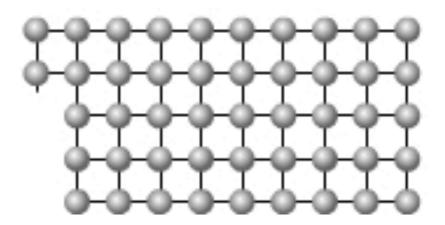




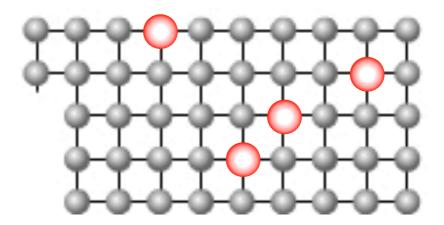




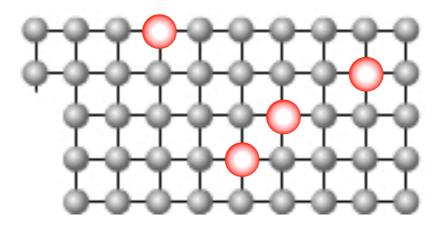
Dislocation motion leads to plastic deformation



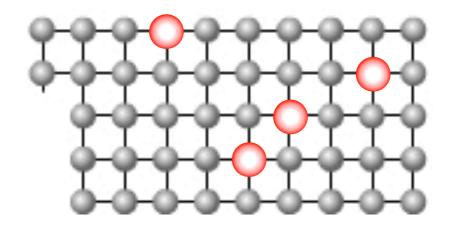
Dislocation motion leads to plastic deformation



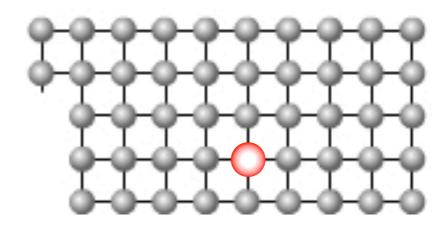
Dislocation motion leads to plastic deformation Forming a solid solution inhibits dislocations





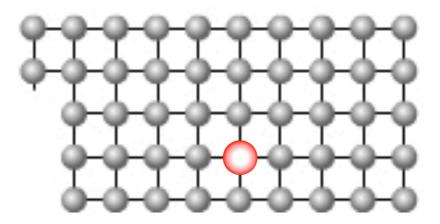


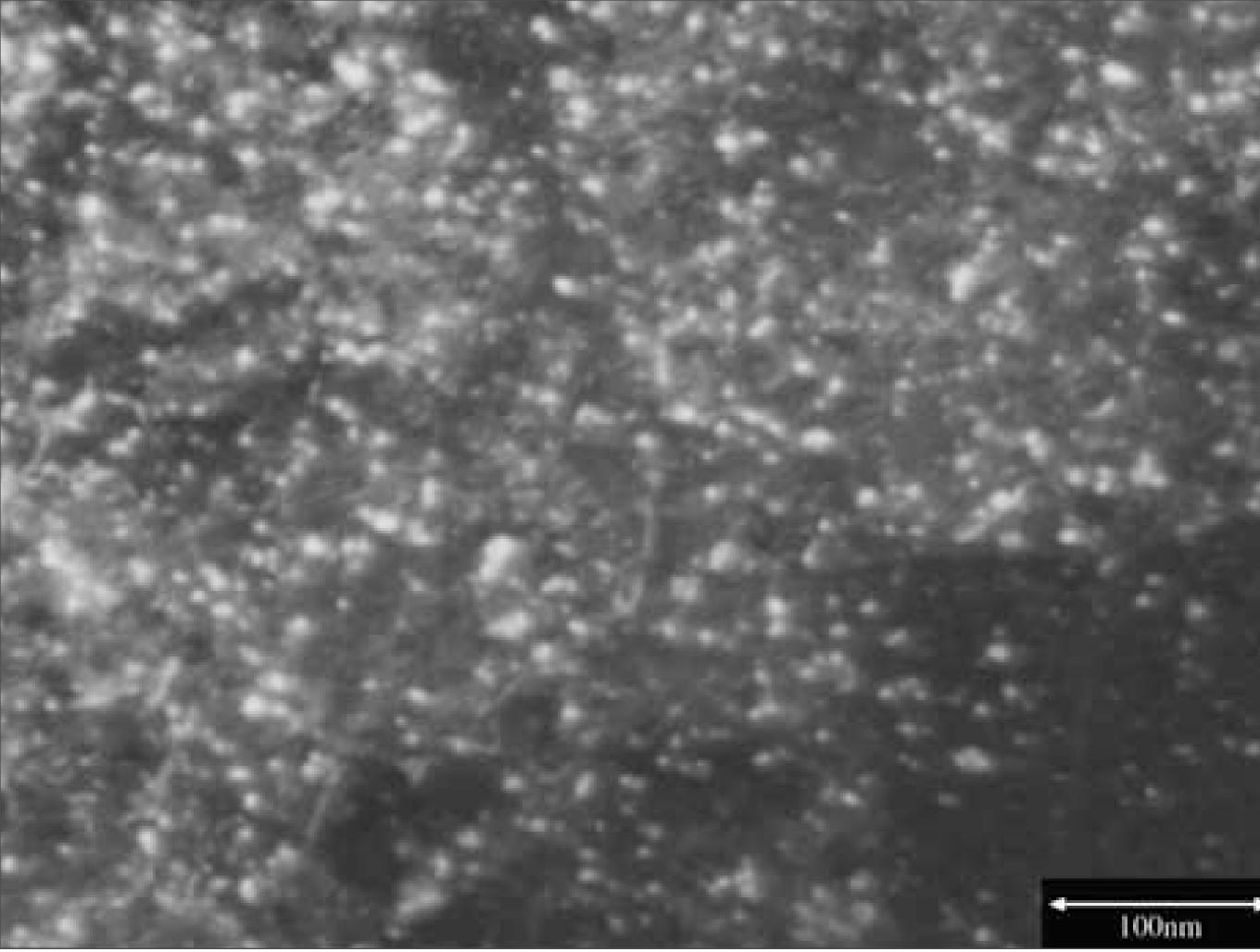


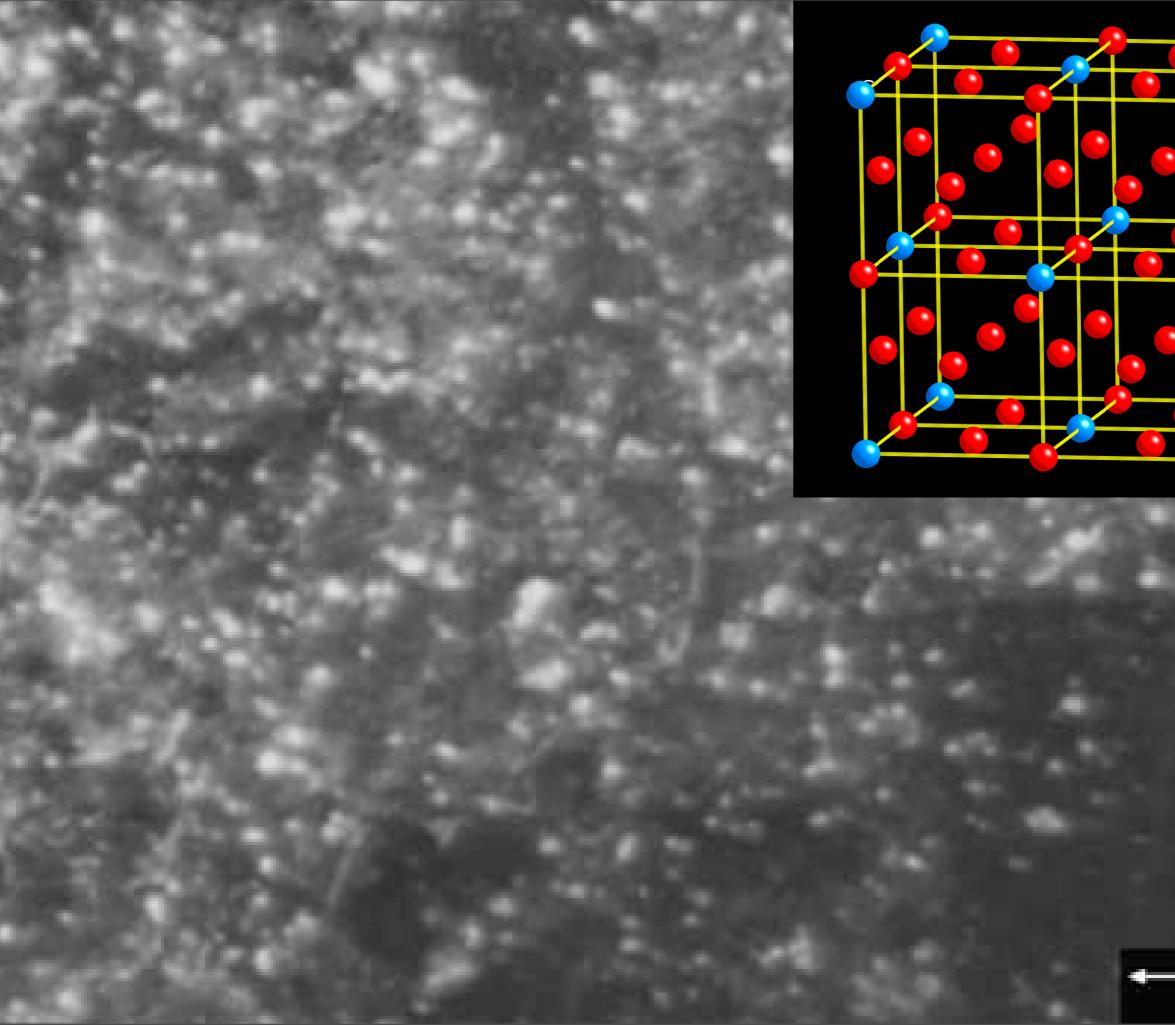


$\leq 5\%$

Solid solution hardening is ineffective jewelry alloys



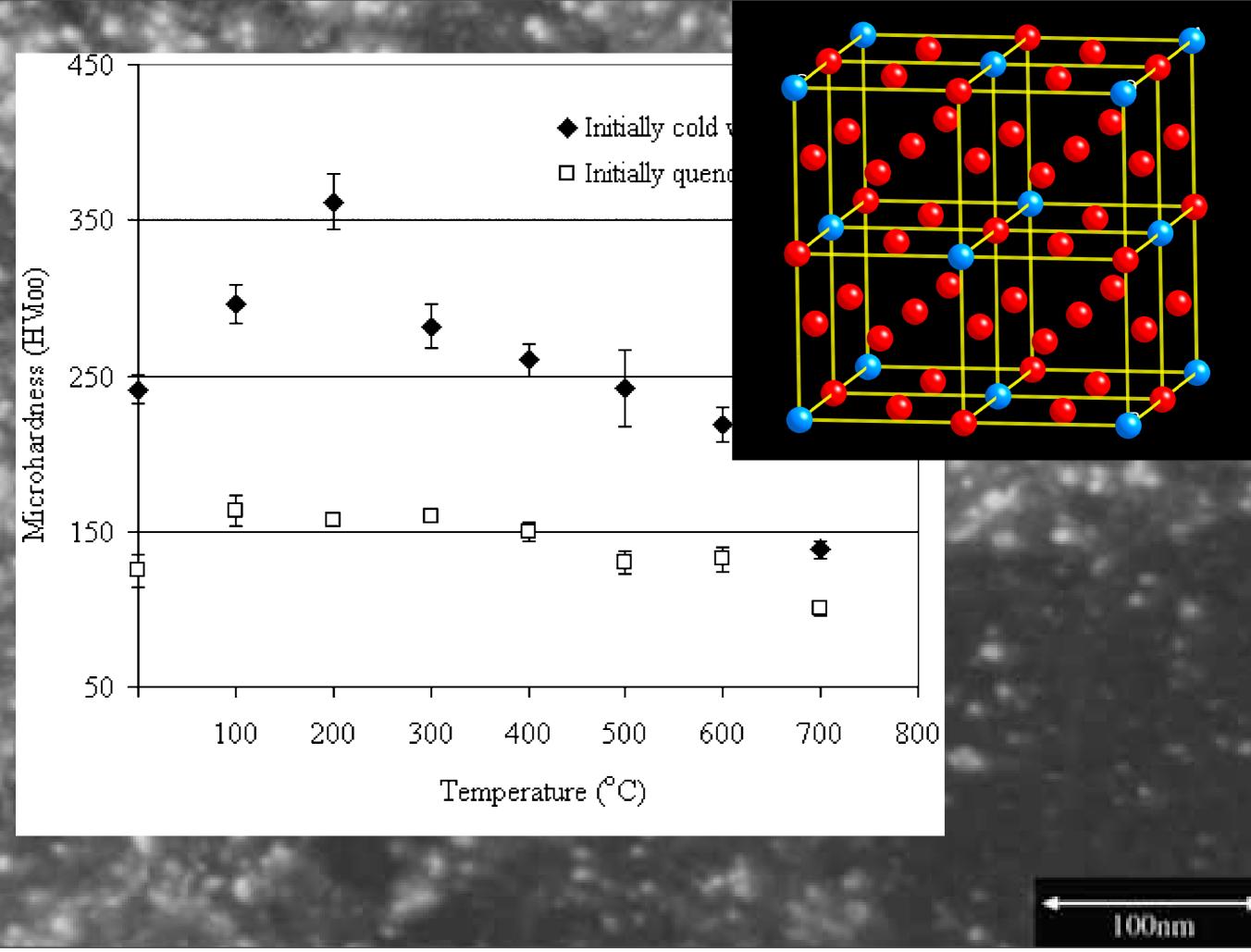


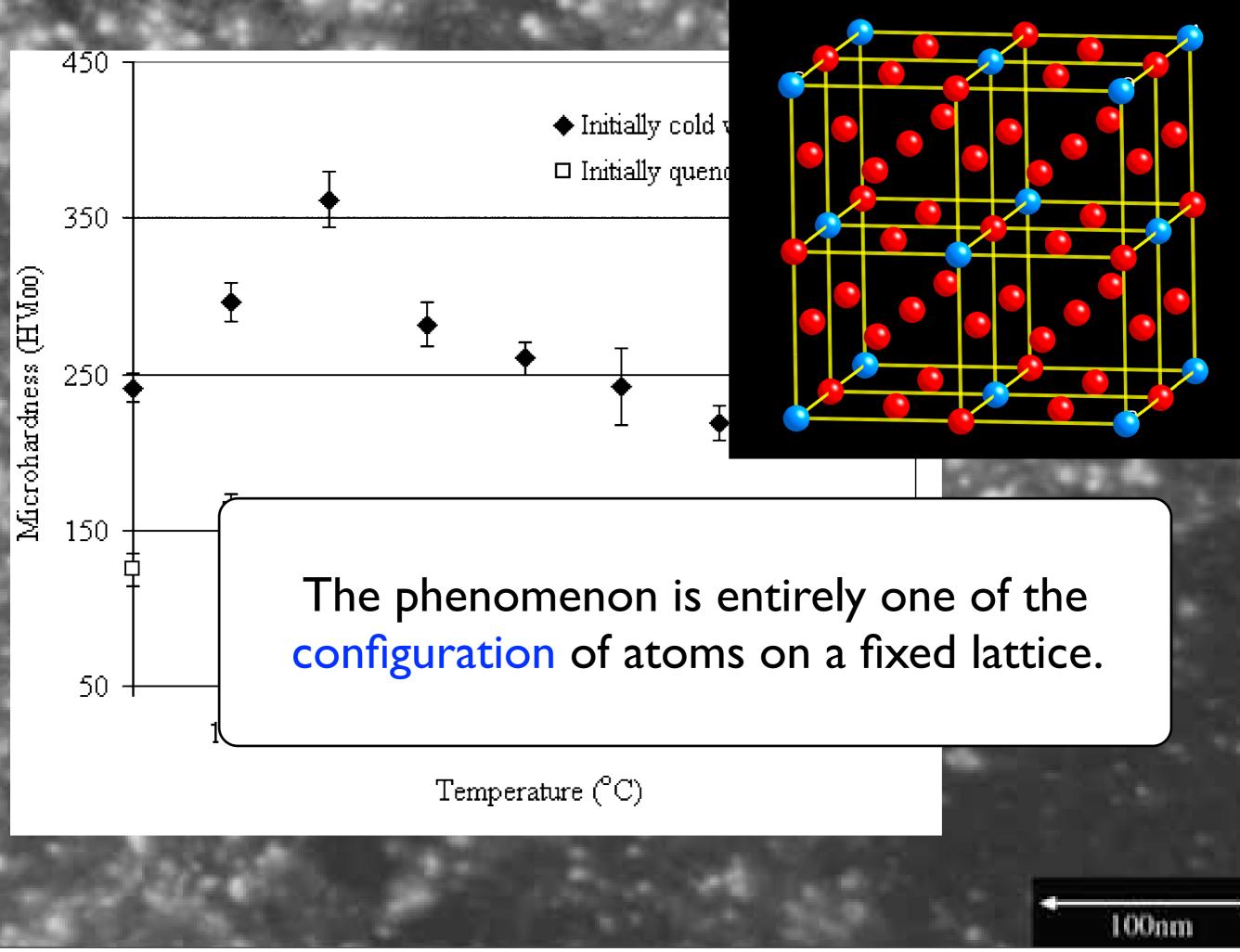


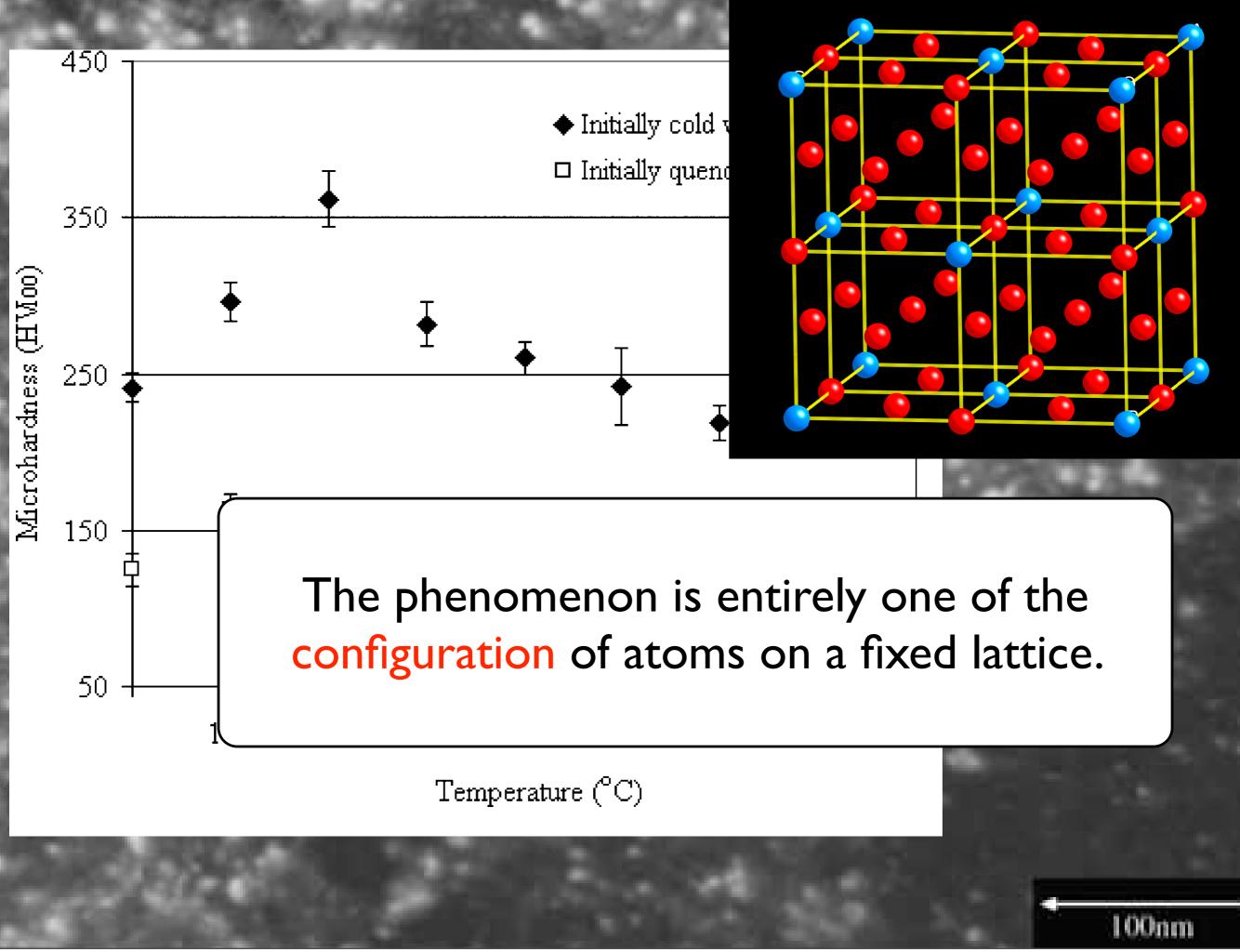
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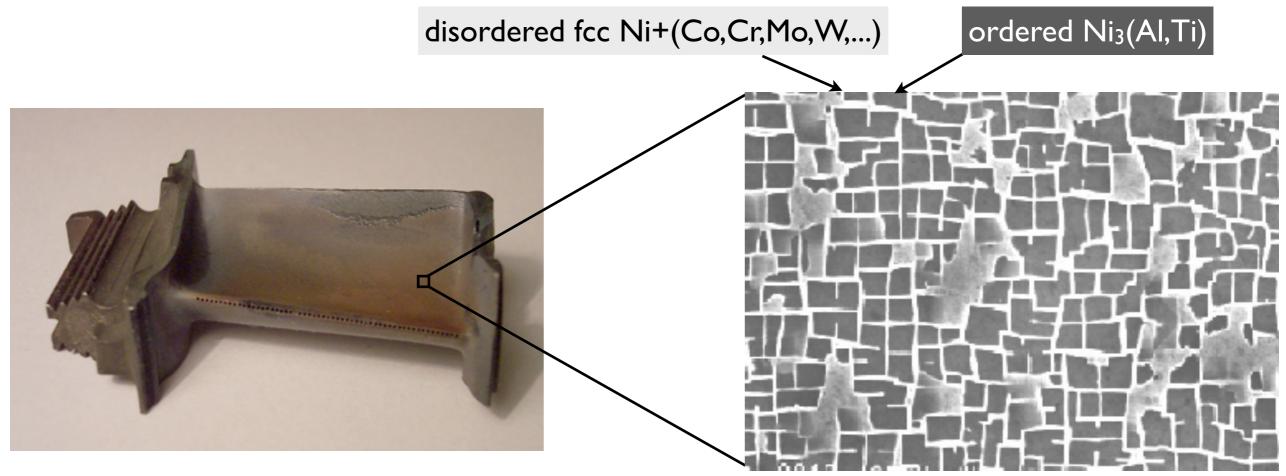
100nm







A second example



Nickel superalloy jet engine turbine blade

http://en.wikipedia.org/wiki/Superalloy

http://www.tms.org/meetings/specialty/ superalloys2000/superalloyshistory.html Configurational problems

- •Precipitate hardening (Pt-Cu, Al-Cu)
- •New phases in metallic alloys (8:1)
- •Vacancies in TiC, ScS, etc.
- •Oxygen diffusion in fuel cell materials
- Hydrogen in storage materials
- •Li in battery materials

Configurational problems

- •Precipitate hardening (Pt-Cu, Al-Cu)
- •New phases in metallic alloys (8:1)
- •Vacancies in TiC, ScS, etc.
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- Hydrogen in storage materials
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Can you think of other problems that are configurational in nature? Other lattice problems?

Configurational problems

•Precipitate hardening (Pt-Cu, Al-Cu)

Interrupt me, please!

configurational in nature? Other lattice problems?

If we had a fast lattice Hamiltonian...

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I. Search for new phases (step through millions of candidate configurations)

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2. Apply thermodynamic modeling (to identify phase transitions)

If we had a fast lattice Hamiltonian...

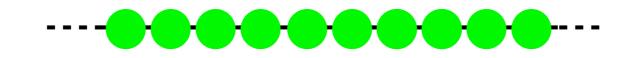
I. Search for new phases (step through millions of candidate configurations)

2. Apply thermodynamic modeling (to identify phase transitions)

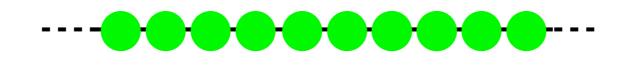
3. Build a kinetic simulation (to model time evolution)





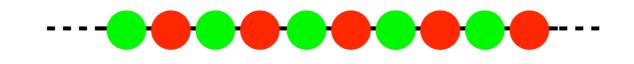






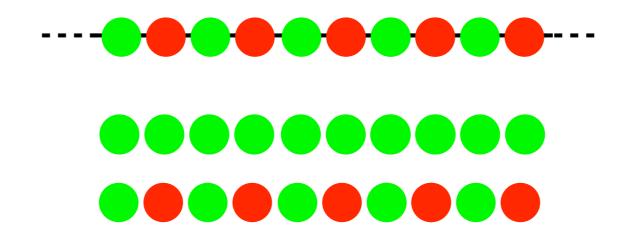




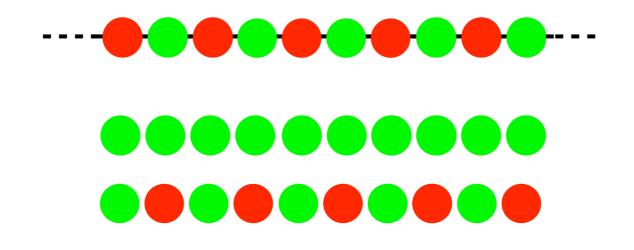








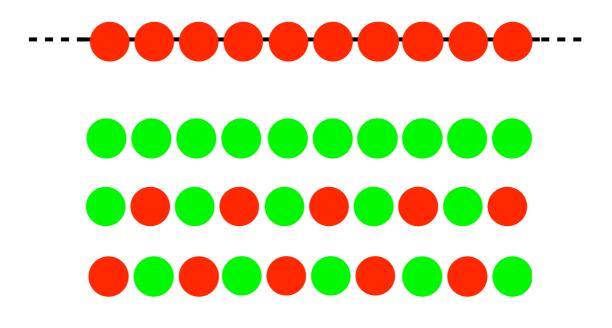








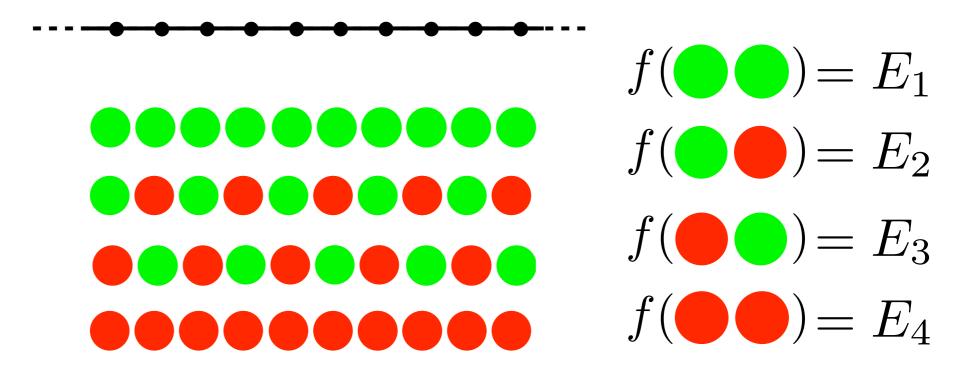




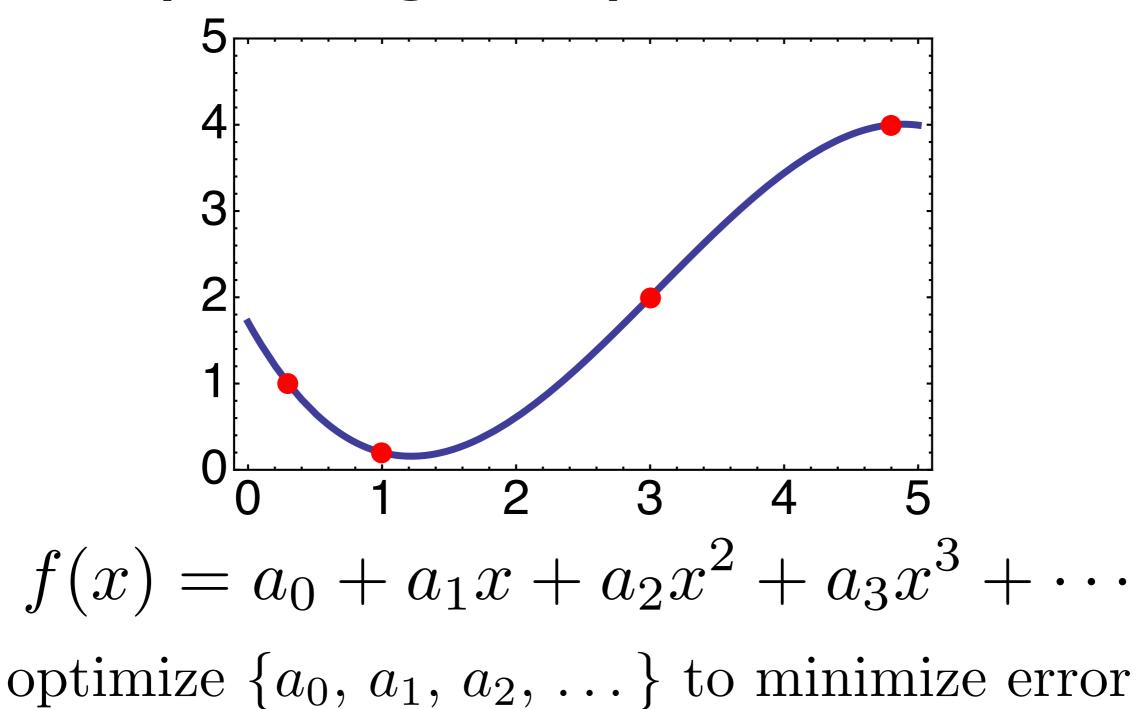




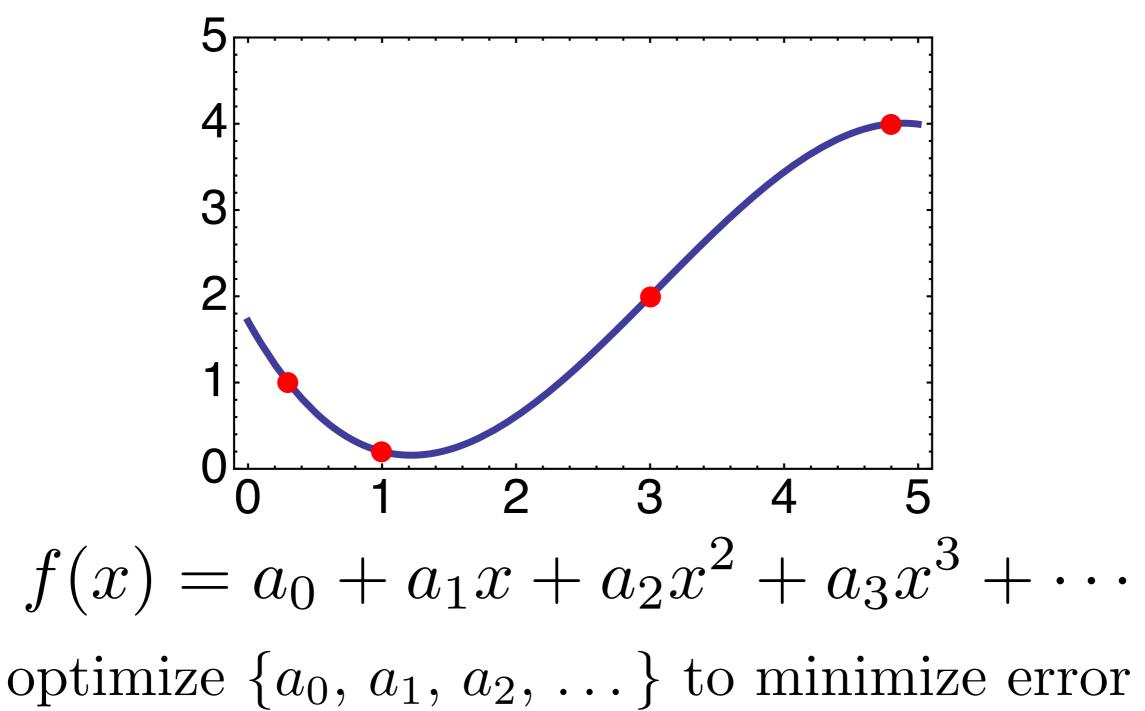






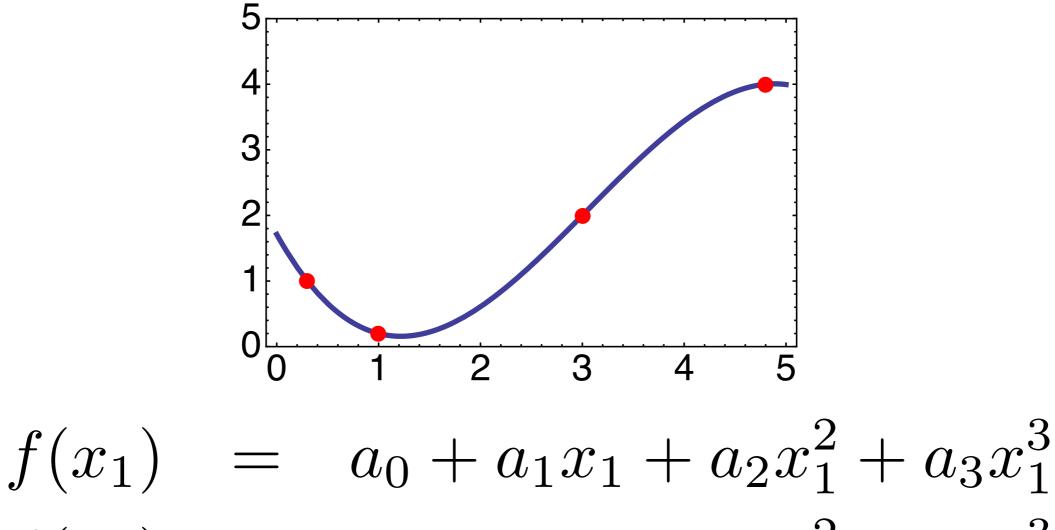






How do we find the coefficients?



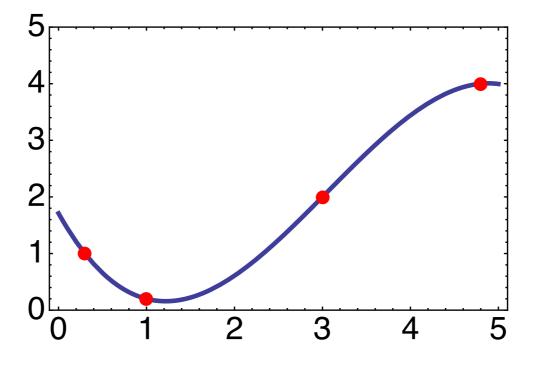


 $f(x_2) = a_0 + a_1 x_2 + a_2 x_2^2 + a_3 x_2^3$

$$f(x_3) = a_0 + a_1 x_3 + a_2 x_3^2 + a_3 x_3^3$$

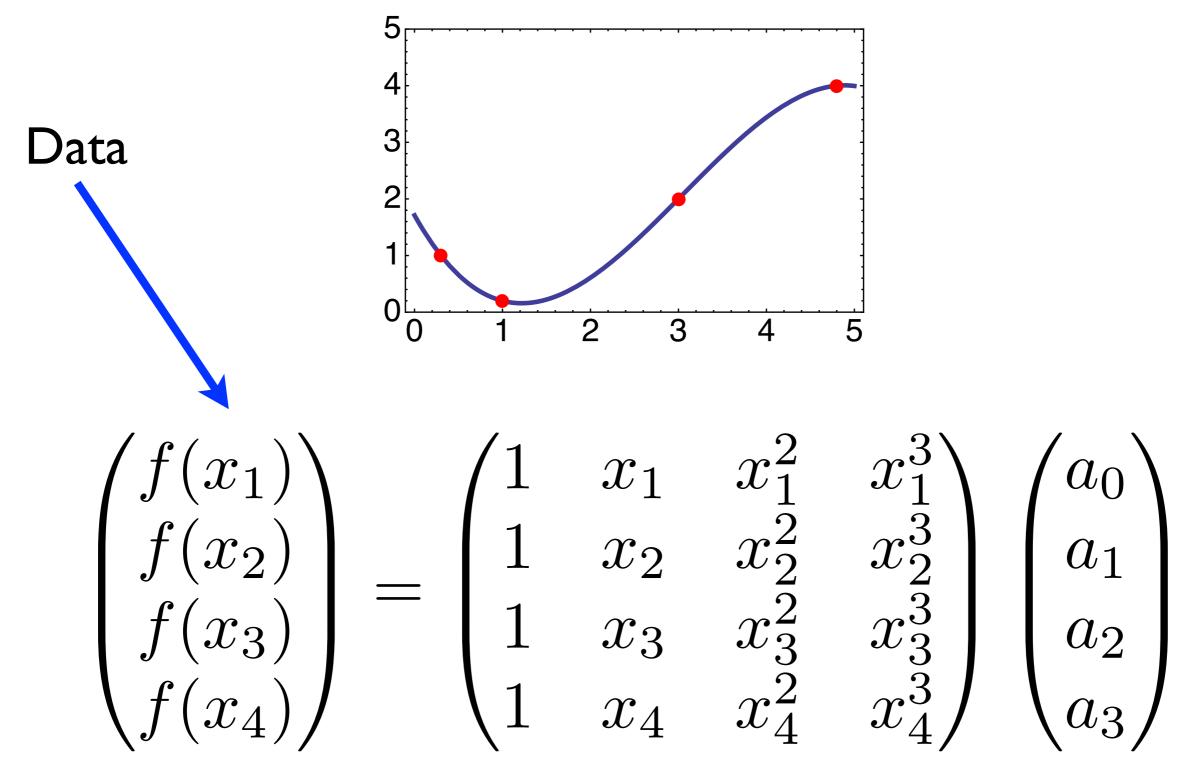
 $f(x_4) = a_0 + a_1 x_4 + a_2 x_4^2 + a_3 x_4^3$



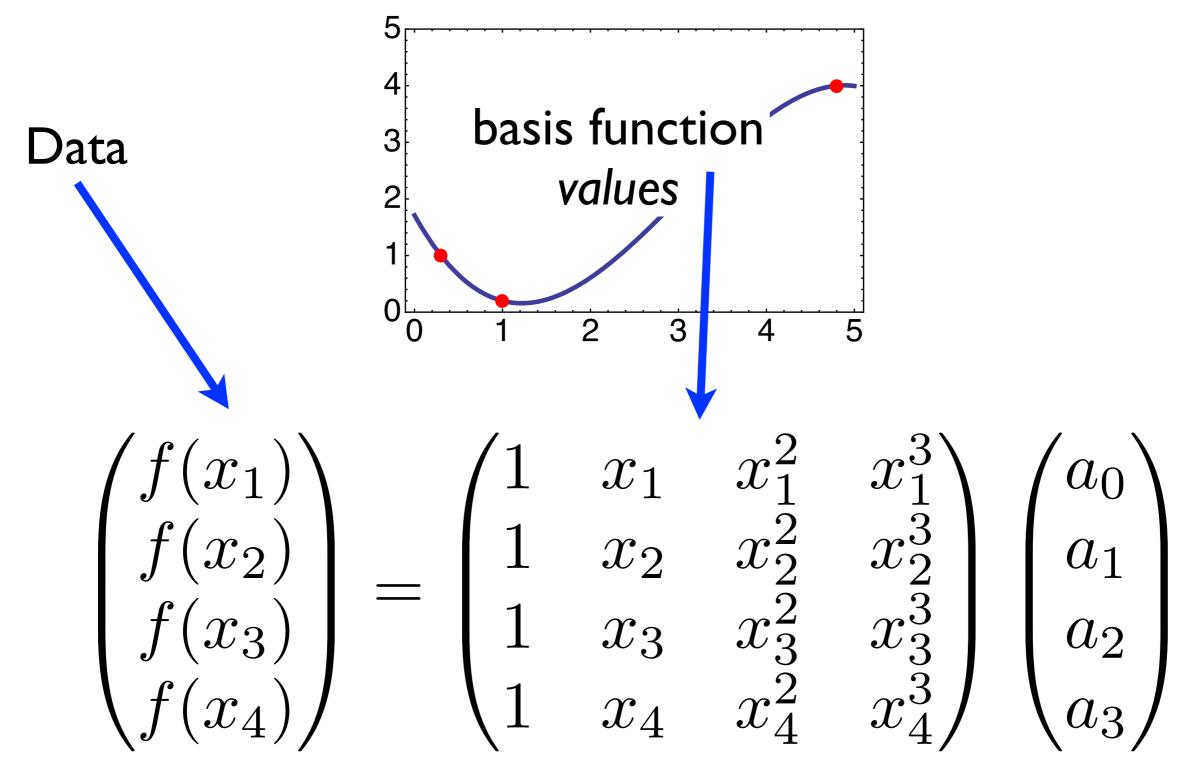


 $\begin{pmatrix} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \end{pmatrix} = \begin{pmatrix} 1 & x_1 & x_1^2 & x_1^3 \\ 1 & x_2 & x_2^2 & x_2^3 \\ 1 & x_3 & x_3^2 & x_3^3 \\ 1 & x_4 & x_4^2 & x_4^3 \end{pmatrix}$ a_0 a_1 a_2 a_3

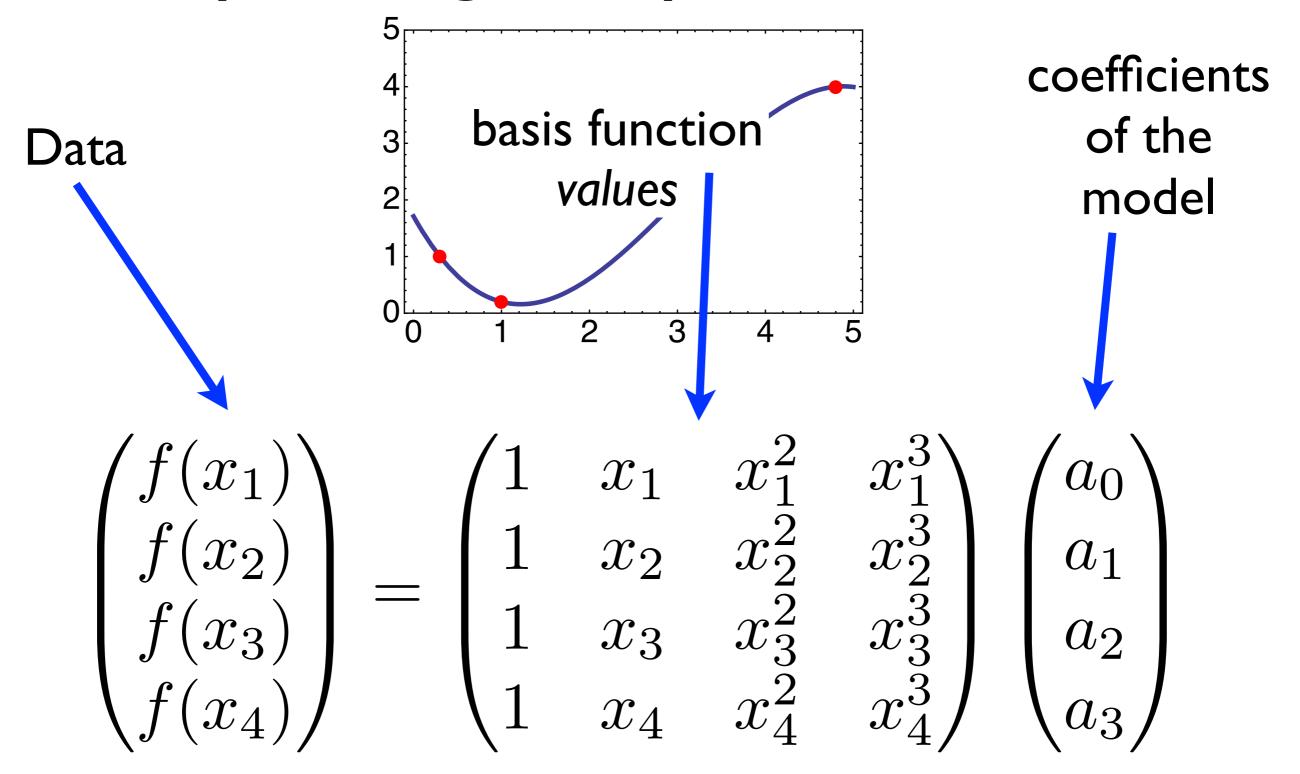














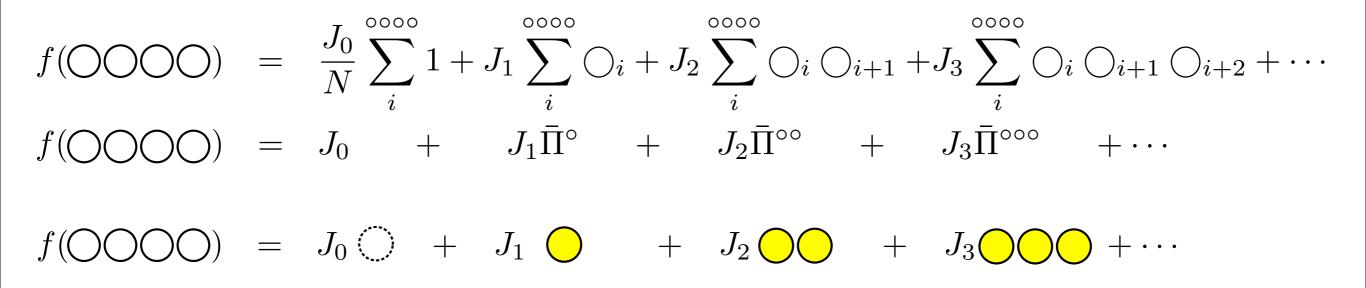
$$f(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \cdots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_{i}^{\circ\circ\circ\circ} 1 + J_1 \sum_{i}^{\circ\circ\circ\circ} \bigcirc_i + J_2 \sum_{i}^{\circ\circ\circ\circ} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_{i}^{\circ\circ\circ\circ} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \cdots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \overline{\Pi}^\circ + J_2 \overline{\Pi}^{\circ\circ} + J_3 \overline{\Pi}^{\circ\circ\circ} + \cdots$$

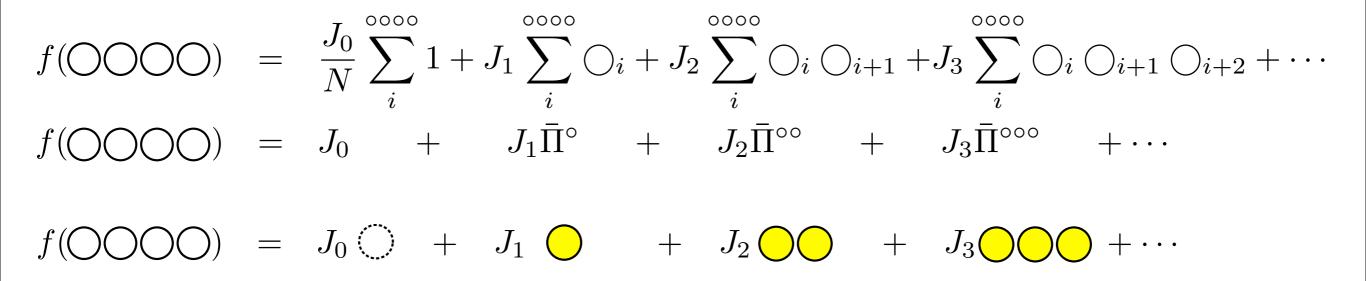


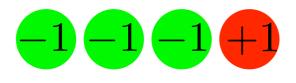
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$$f(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \cdots$$





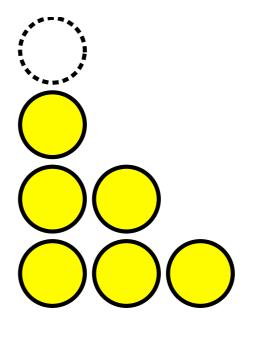


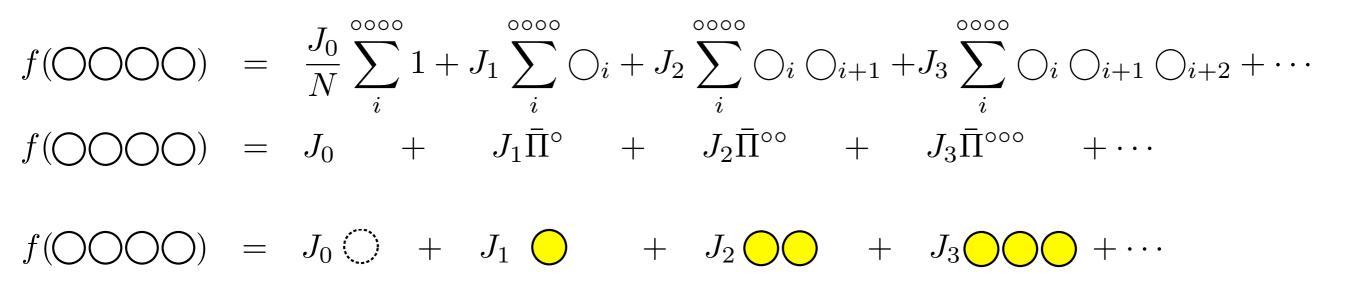
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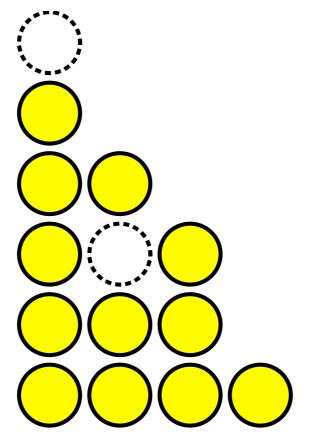
These are the "effective cluster interactions" (unknown expansion coefficients)

These are the "clusters" or "figures" (basis functions)

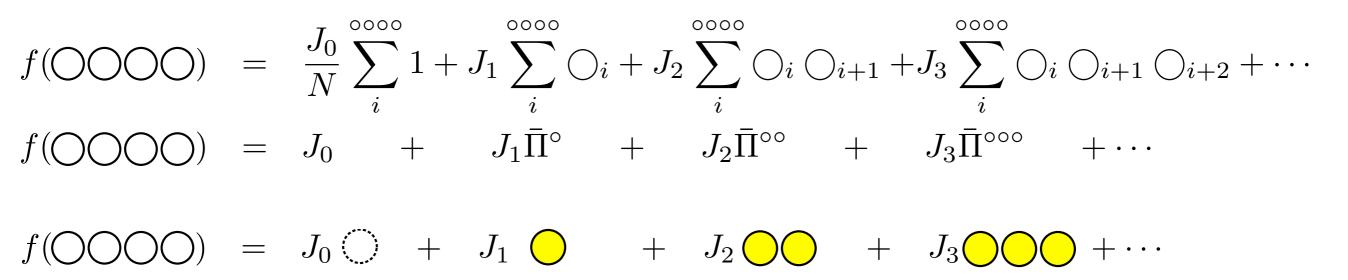
$$\{J_0, J_1, J_2, J_3, \cdots\}$$

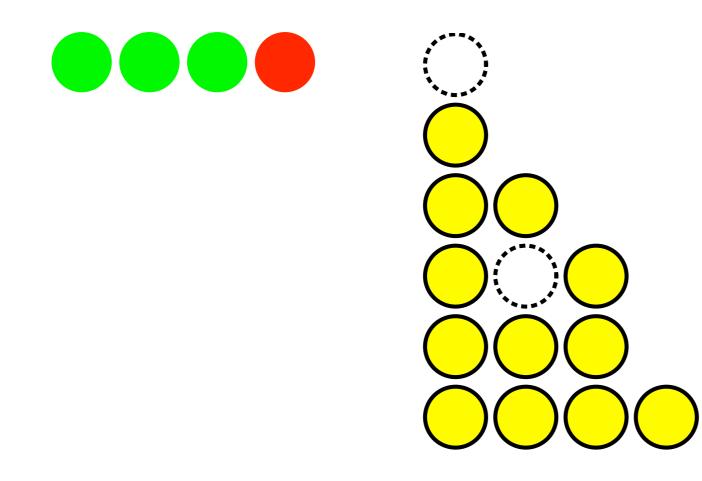




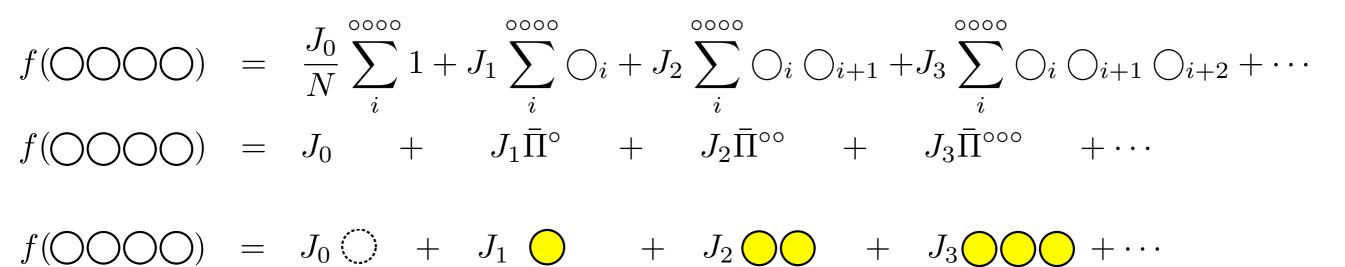


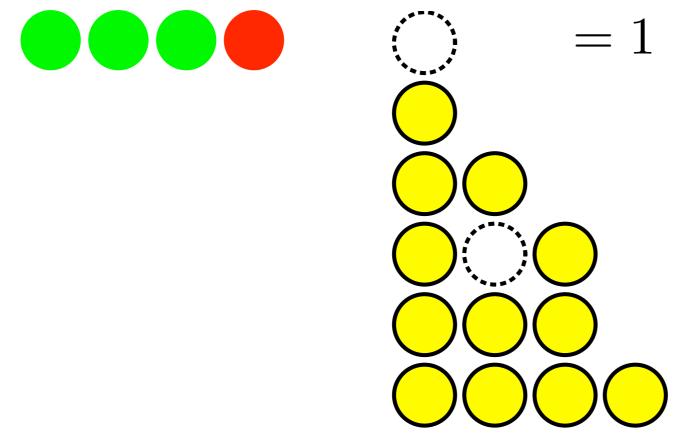




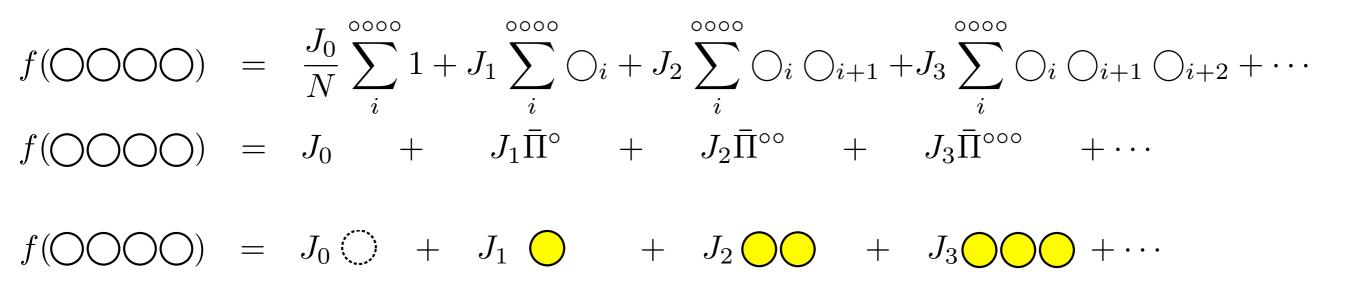


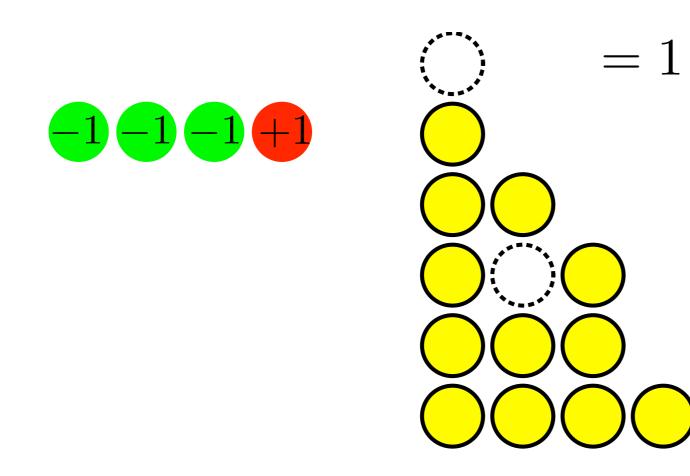




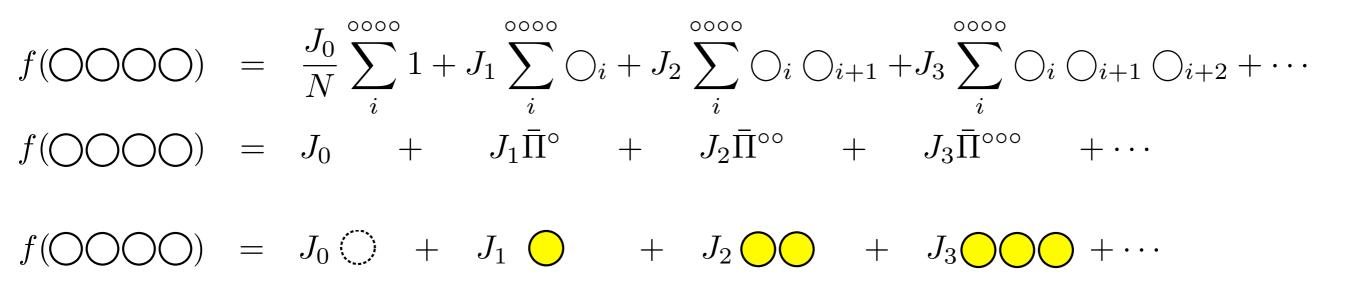


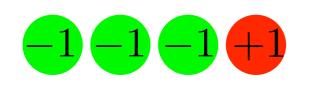


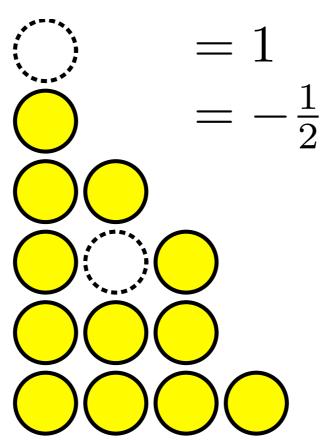






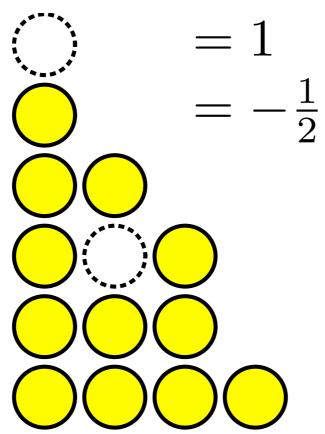




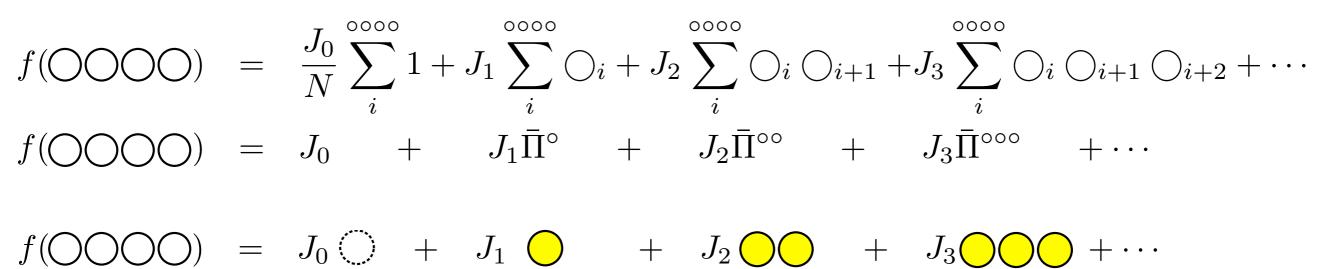




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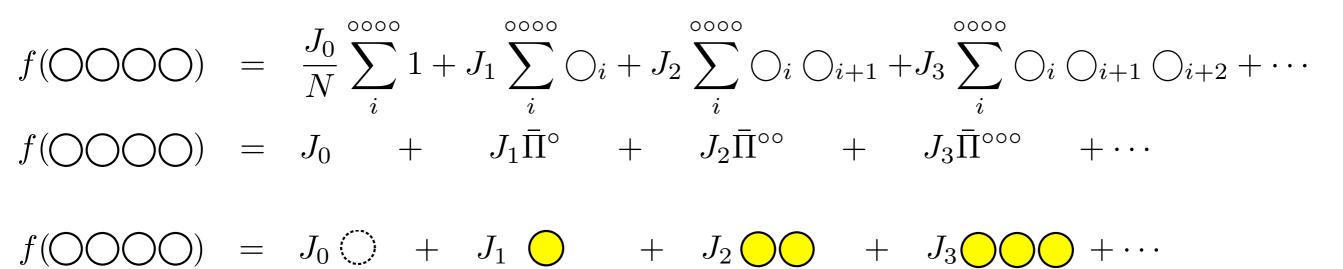






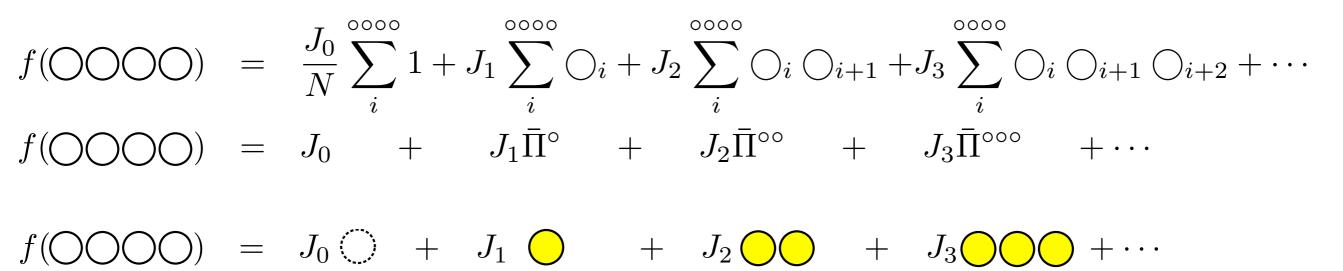
 $(-1)(-1) = 1 = -\frac{1}{2}$ $(-1)(-1) = -\frac{1}{2}$





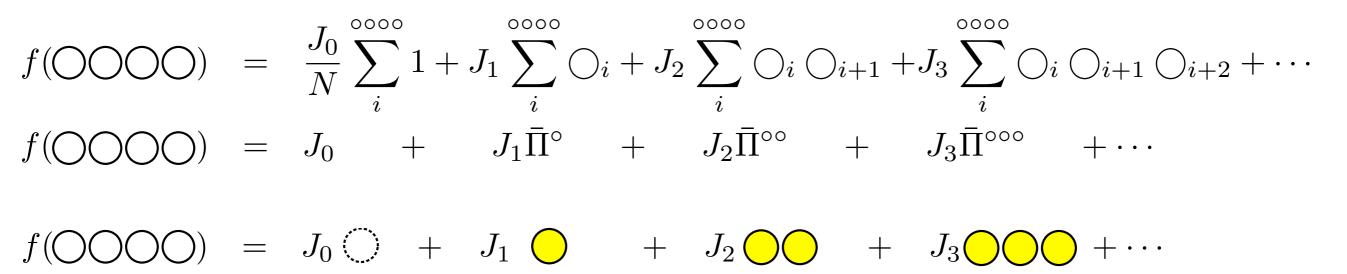
 $(-1)(-1) = 1 = -\frac{1}{2}$ $(-1)(-1) = -\frac{1}{2} = 0$ $(-1)(-1) = -\frac{1}{2} = 0$

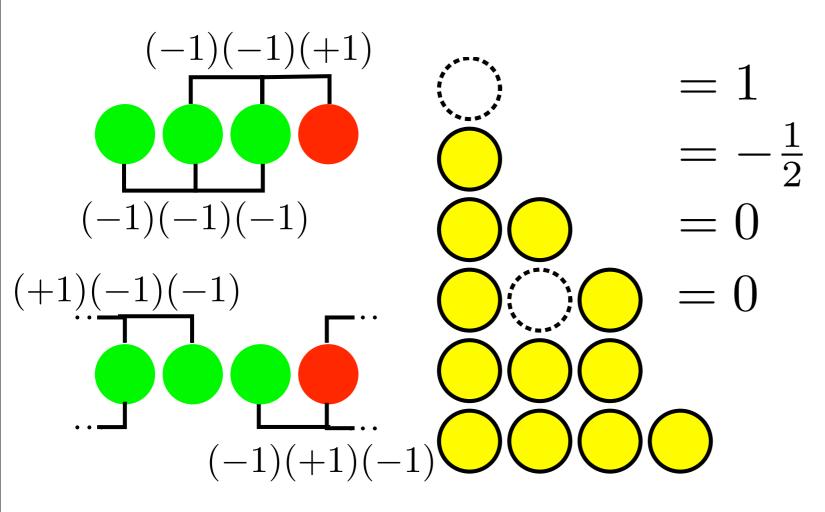




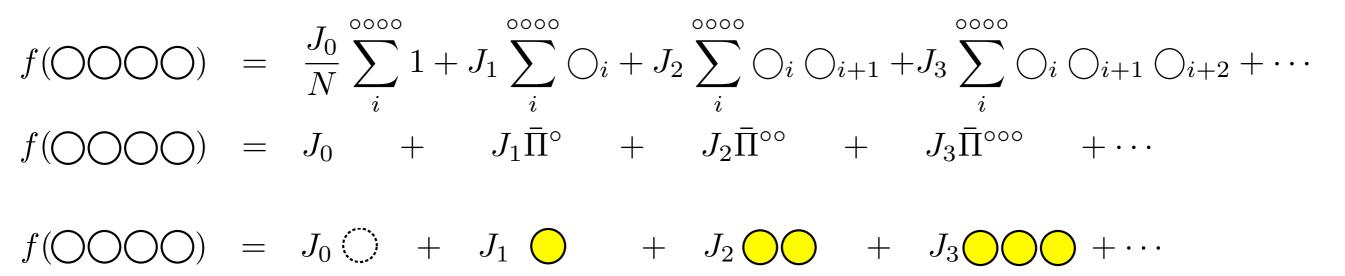
 $(-1)(-1) = 1 = -\frac{1}{2}$ (-1)(-1) = 0 = 0 $(-1)(-1) = -\frac{1}{2} = 0$

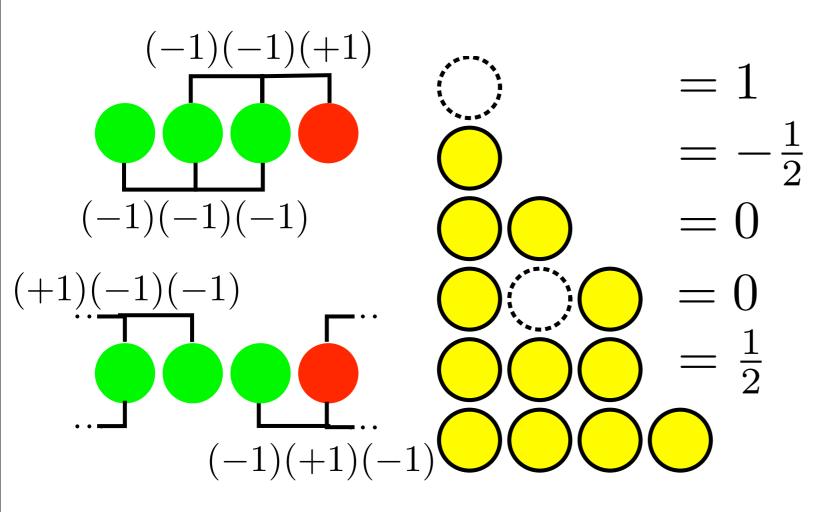




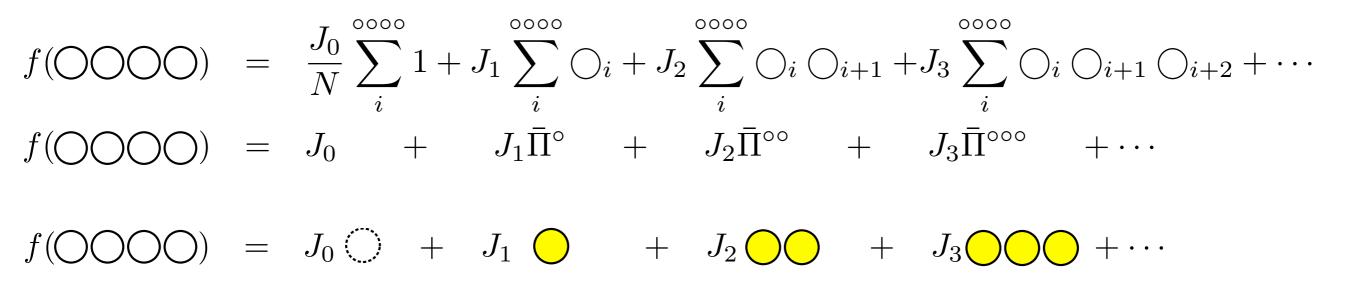


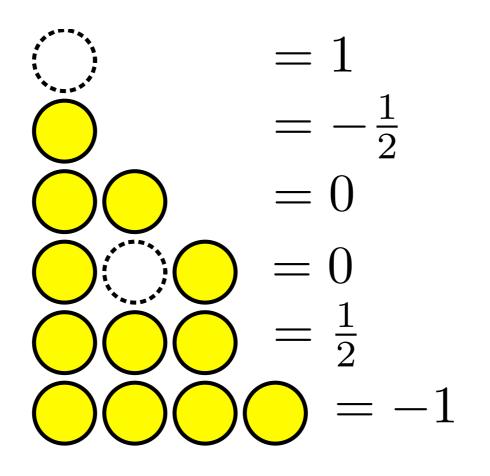






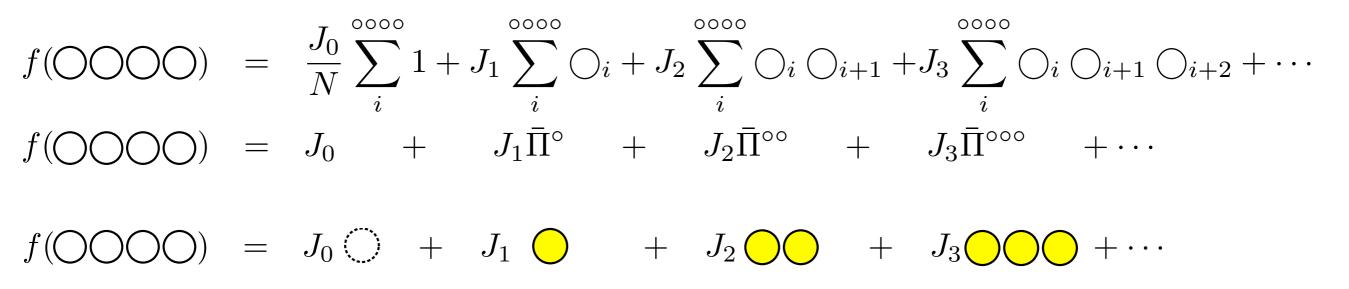


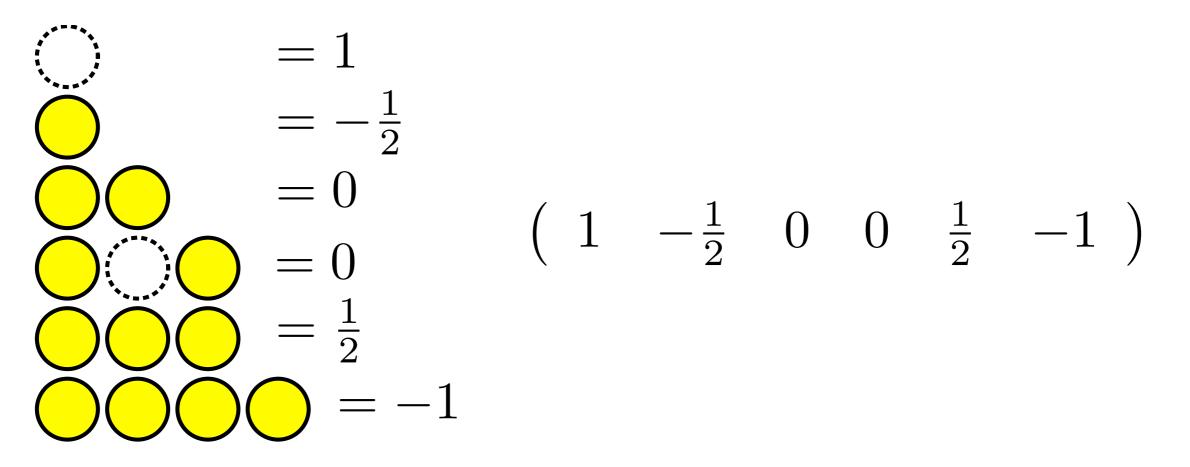






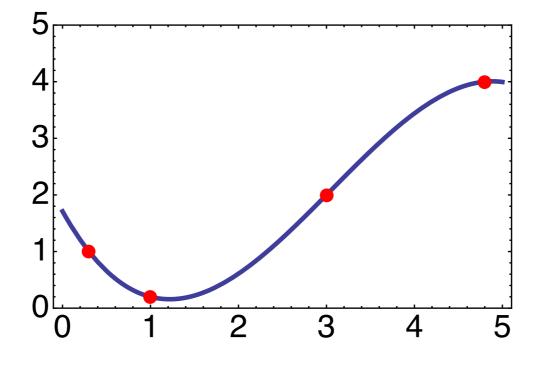
Cluster Expansion: Example

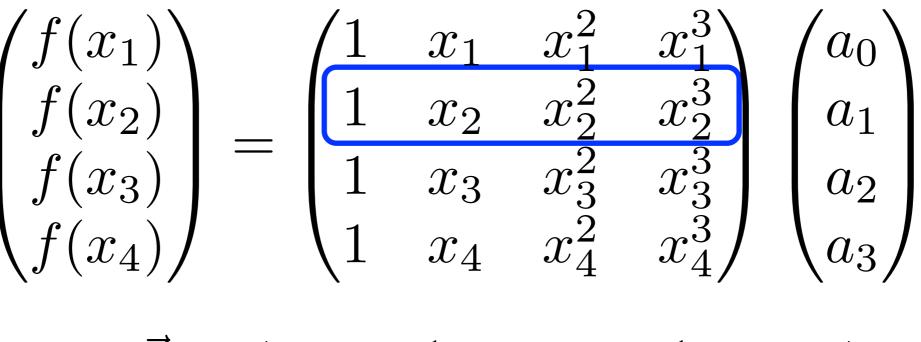






Expanding in a power series

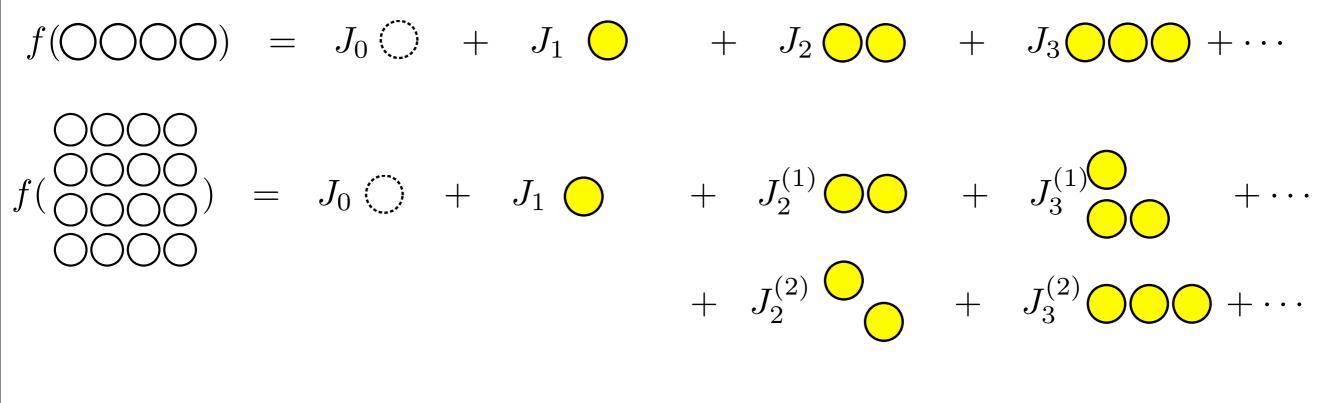




 $\vec{\Pi} = \begin{pmatrix} 1 & -\frac{1}{2} & 0 & 0 & \frac{1}{2} & -1 \end{pmatrix}$

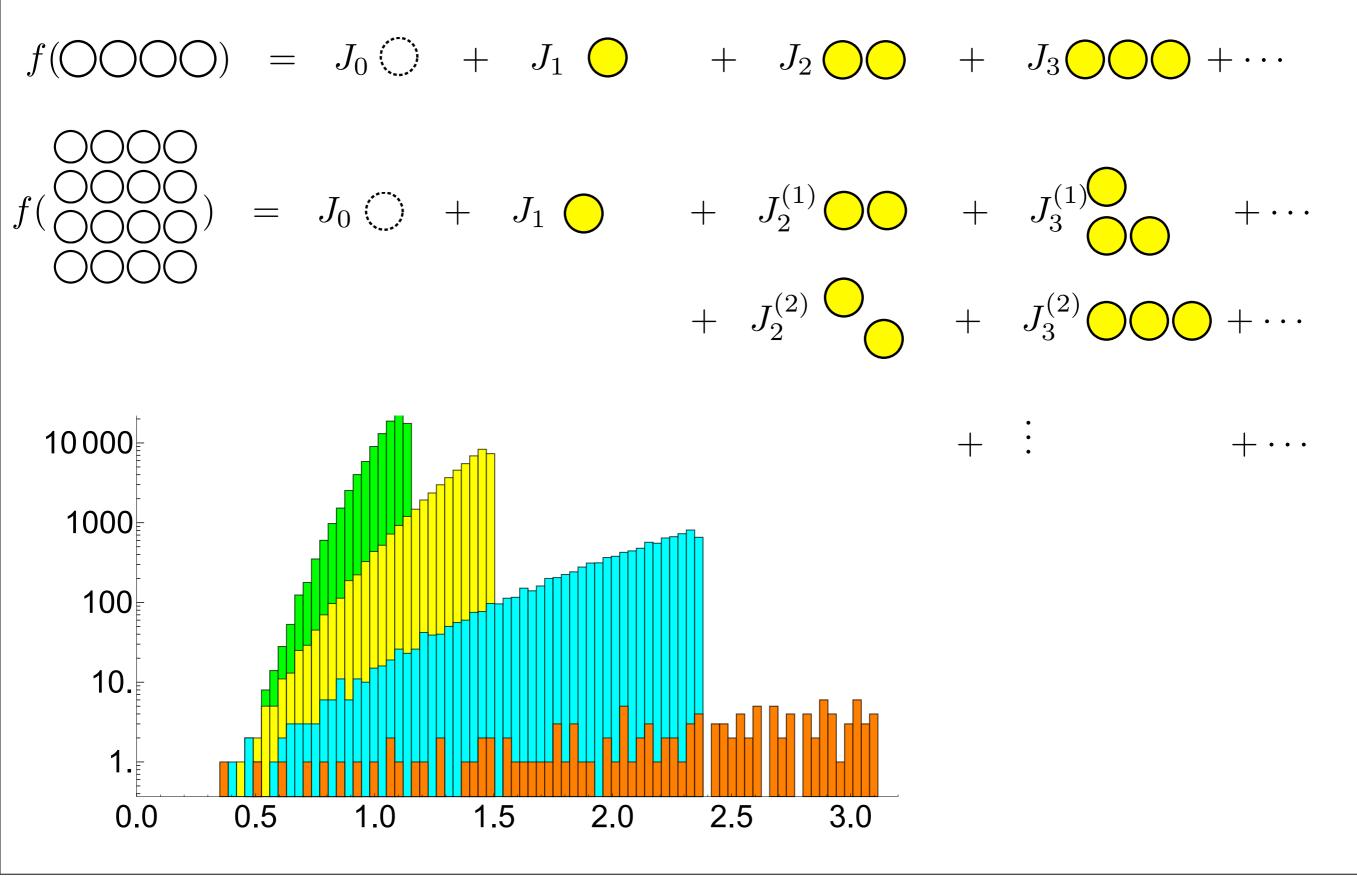


In more than one dimension...



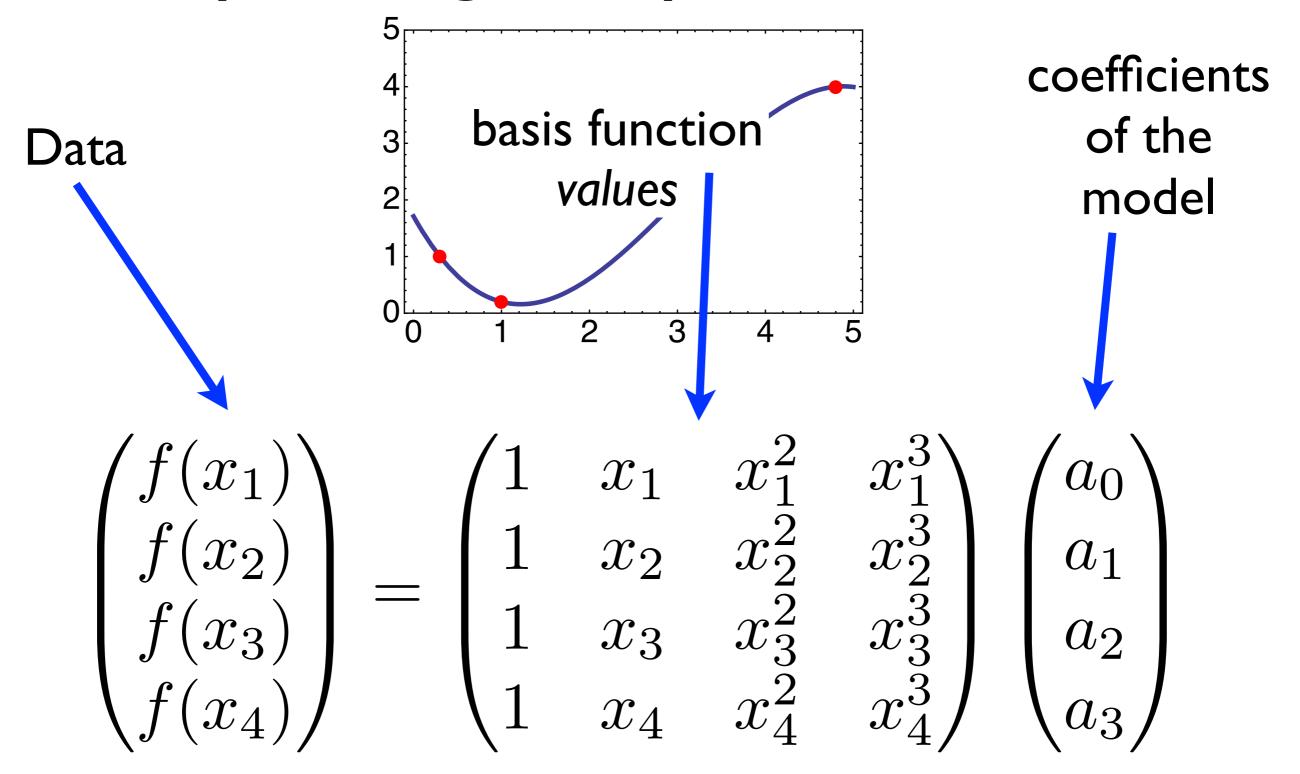
+ \vdots + \vdots $+\cdots$

In more than one dimension...



Thursday, August 15, 13

Expanding in a power series





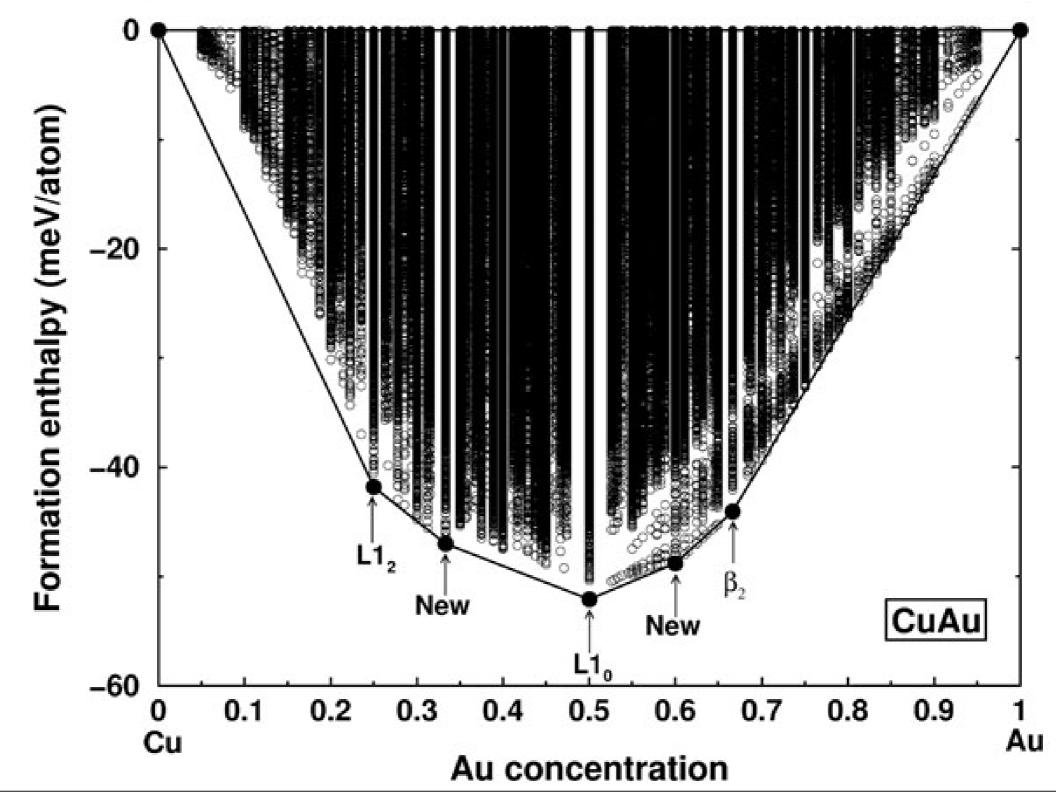
Compressive sensing: It's like magic

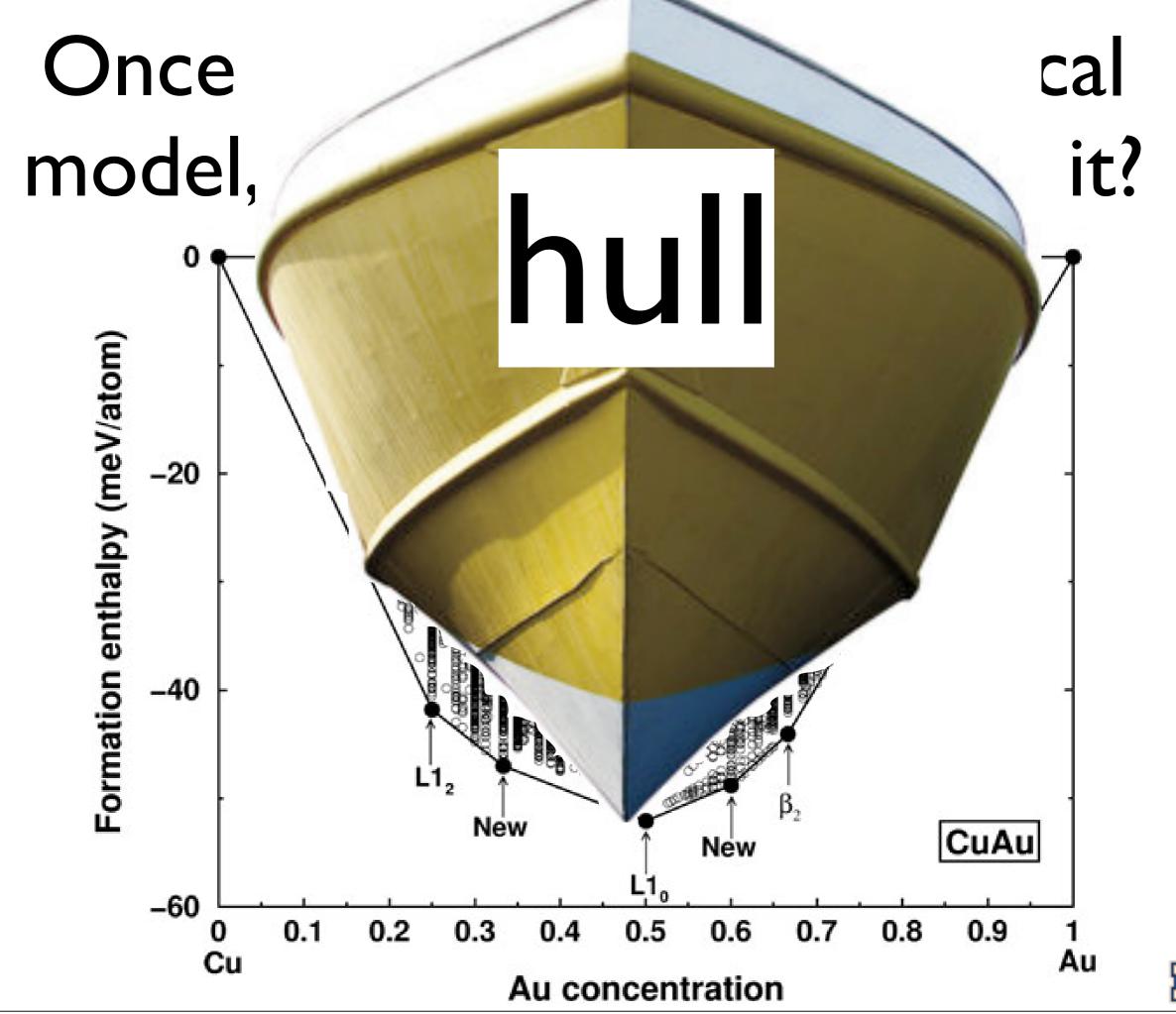
More info at the end of the talk

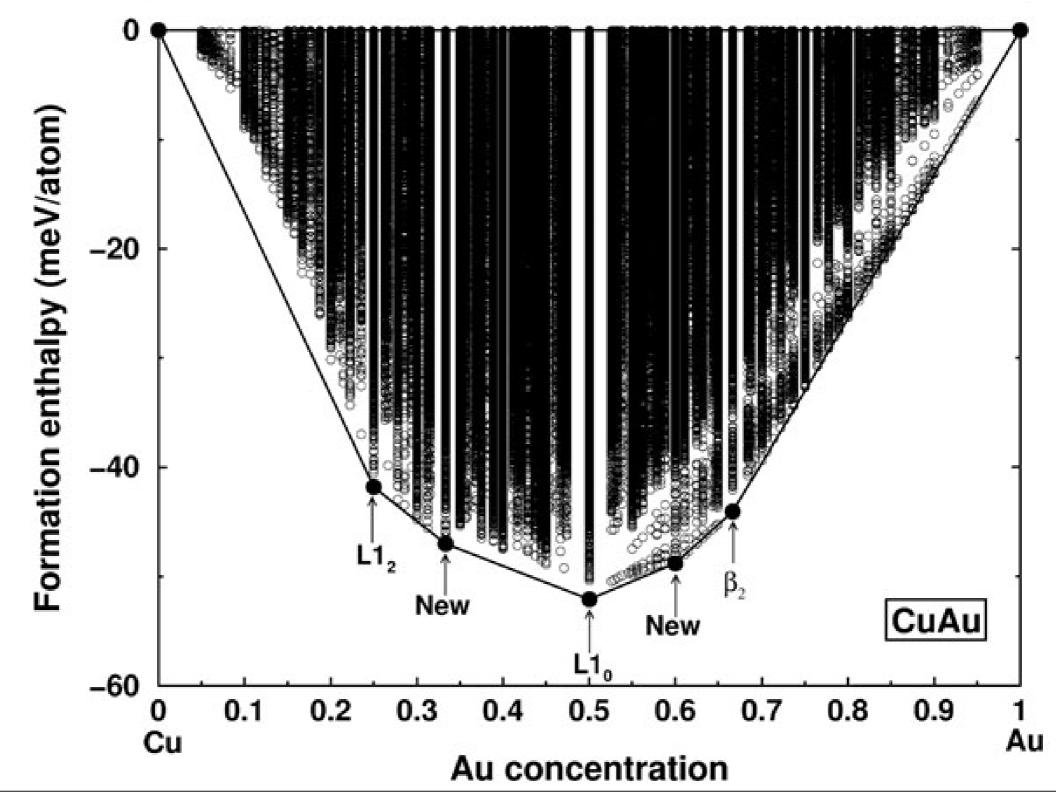


Calculate the energy of millions of configurations



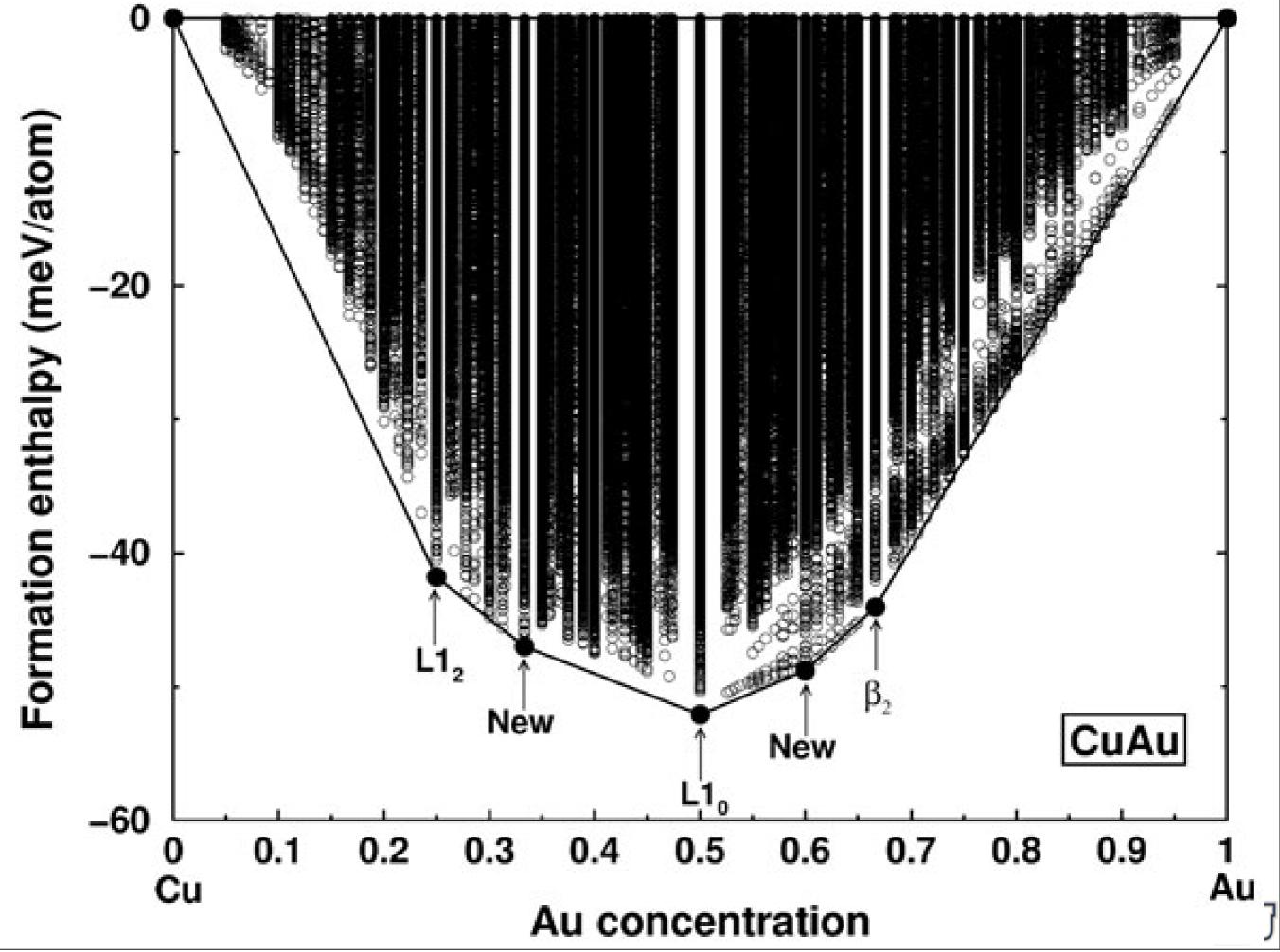






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Thursday, August 15, 13





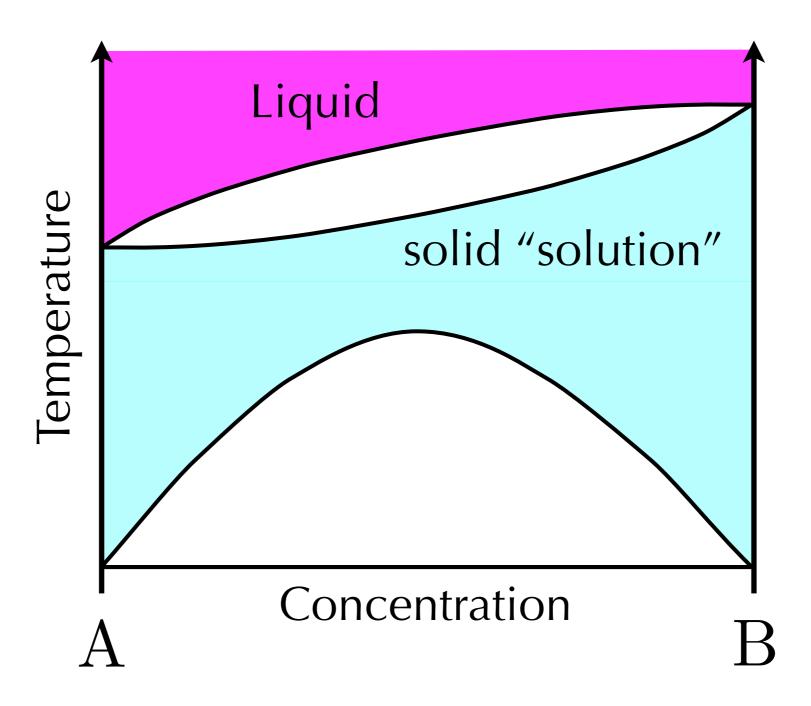
A ground state search

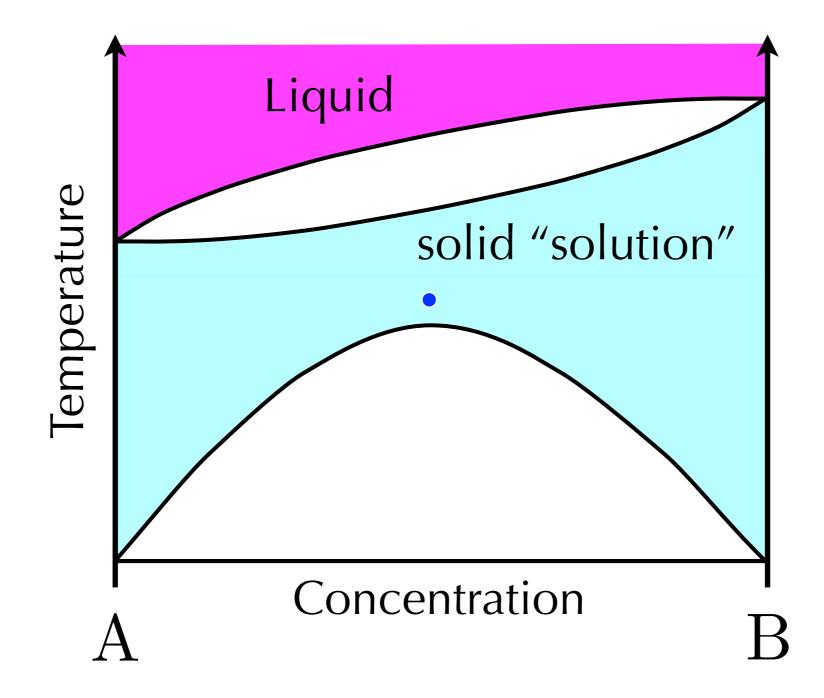
Tells us which configurations are lowest in energy, but doesn't tell us anything about how the materials behaves as a function of temperature...

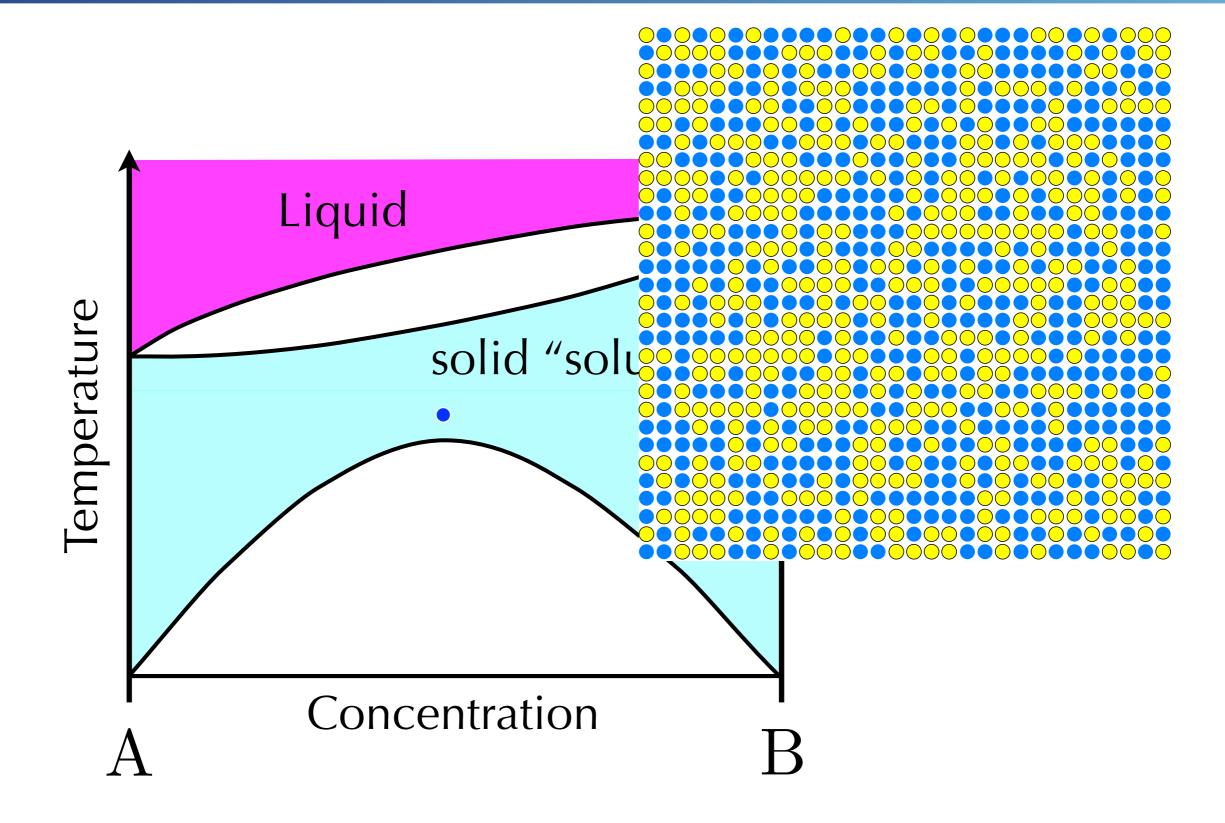
Au concentration

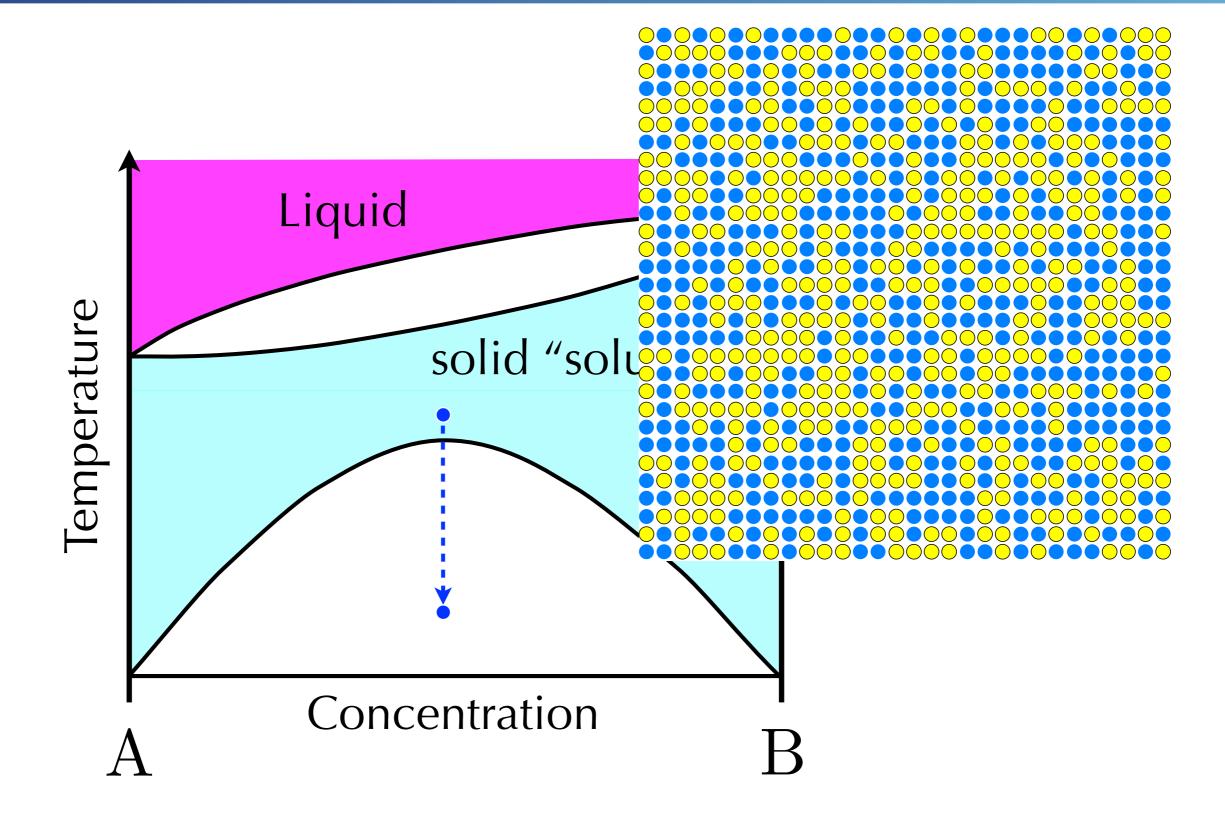
-40

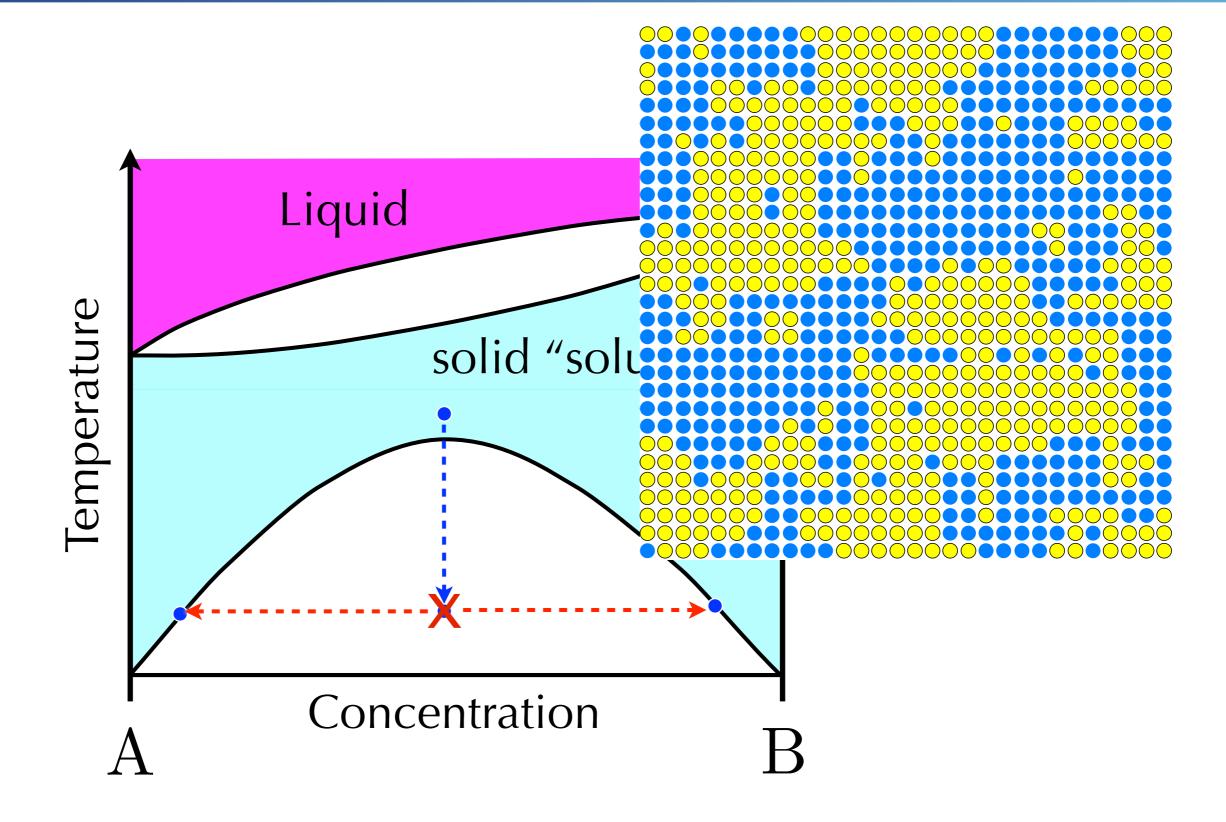
Alloy phase diagrams

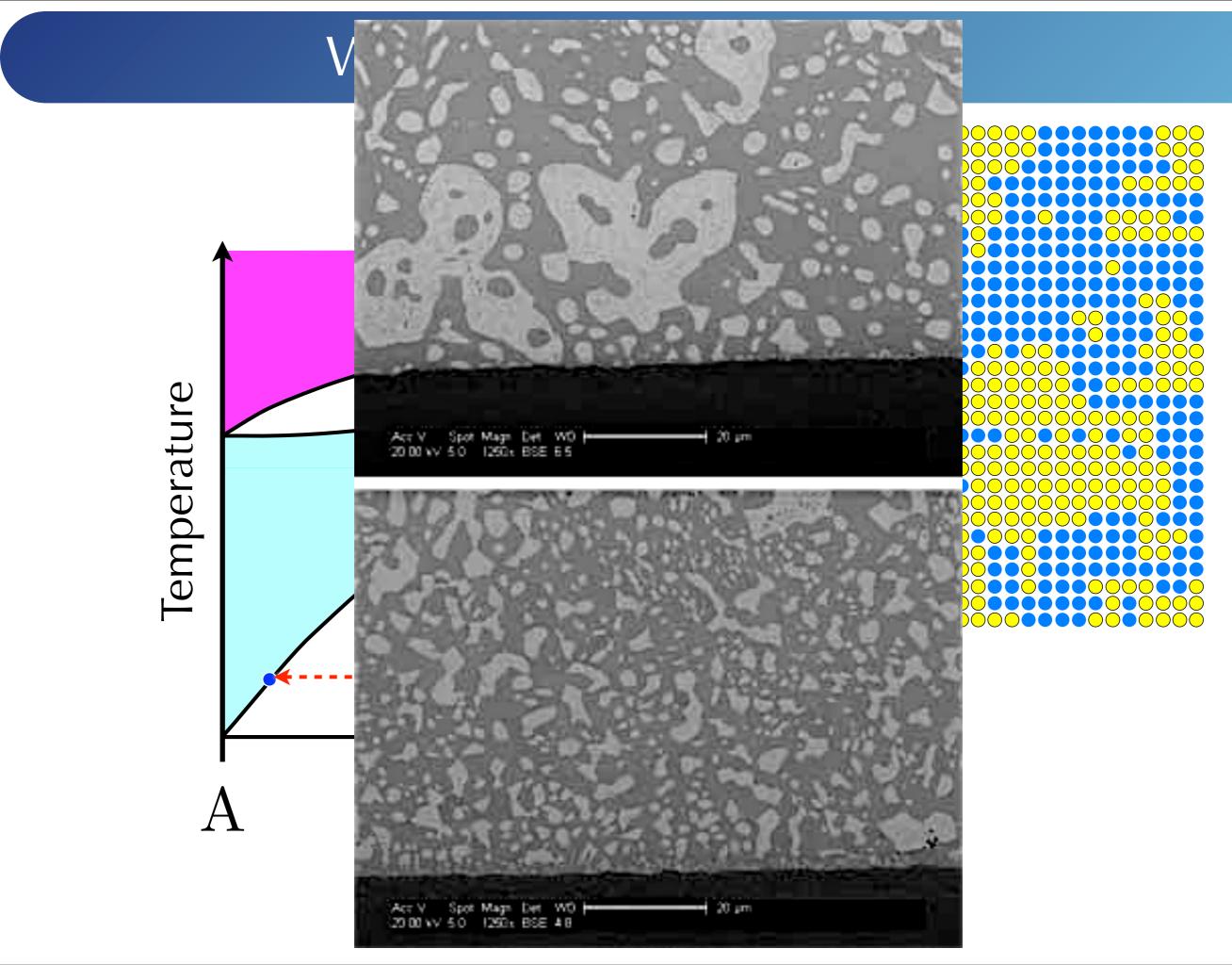


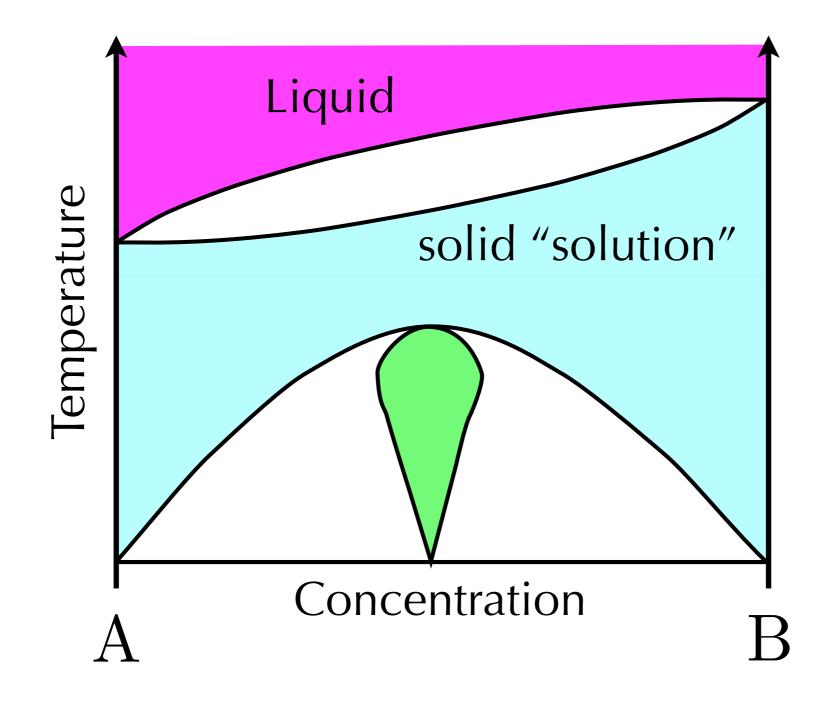


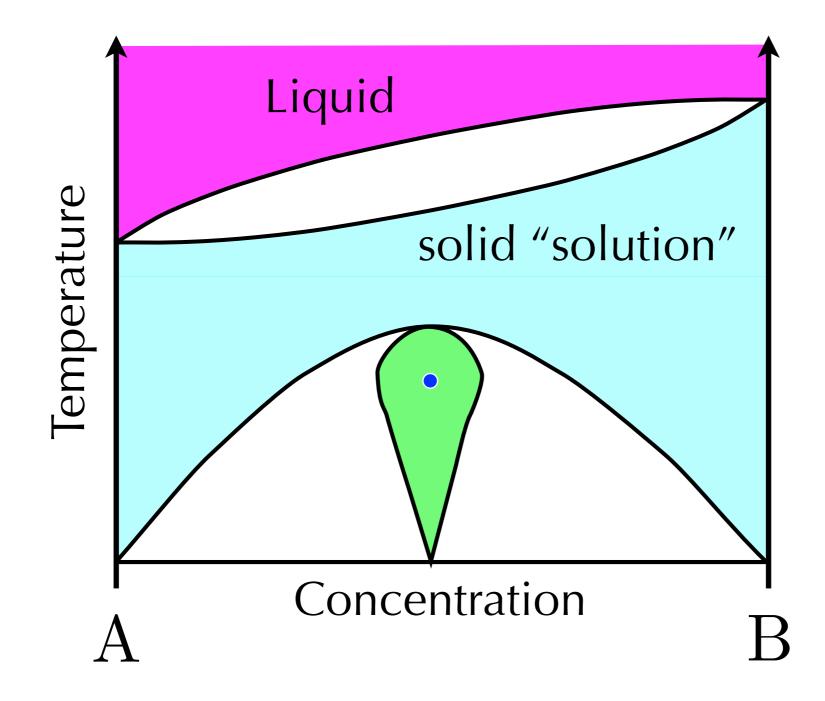


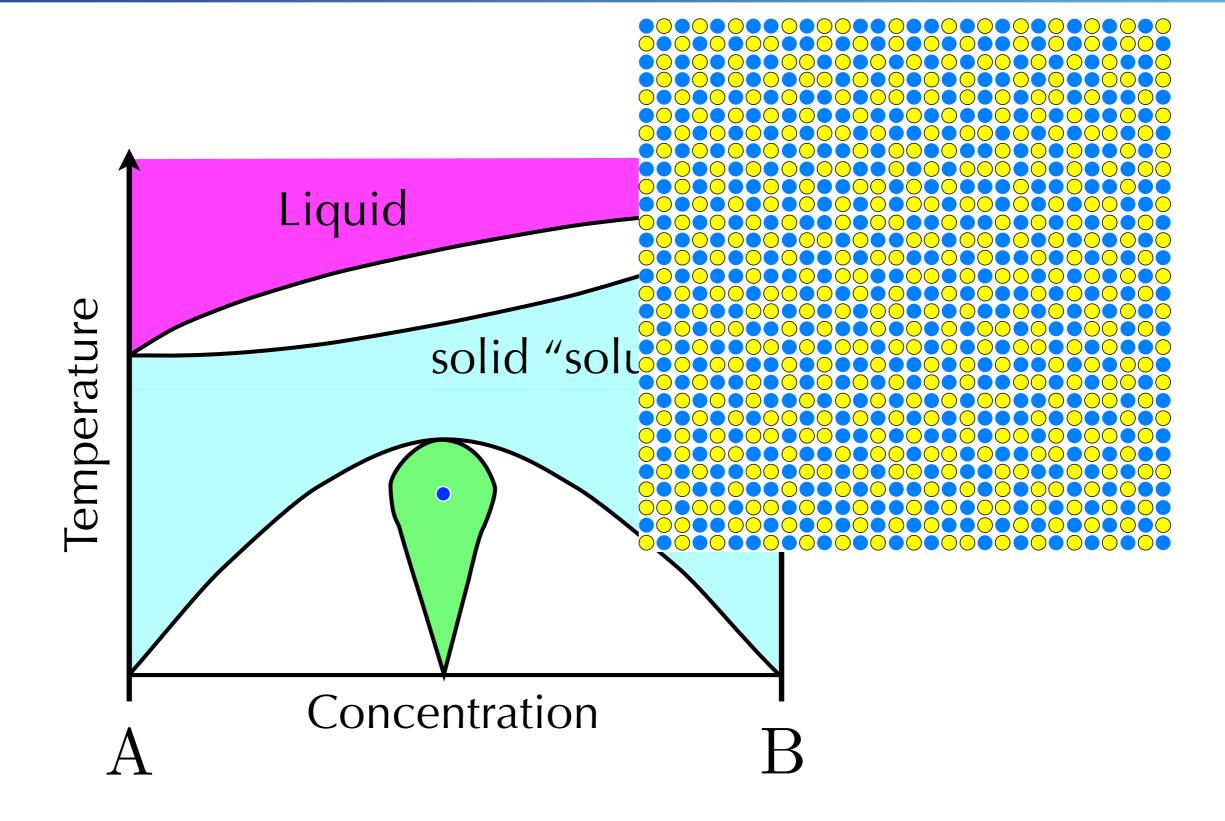


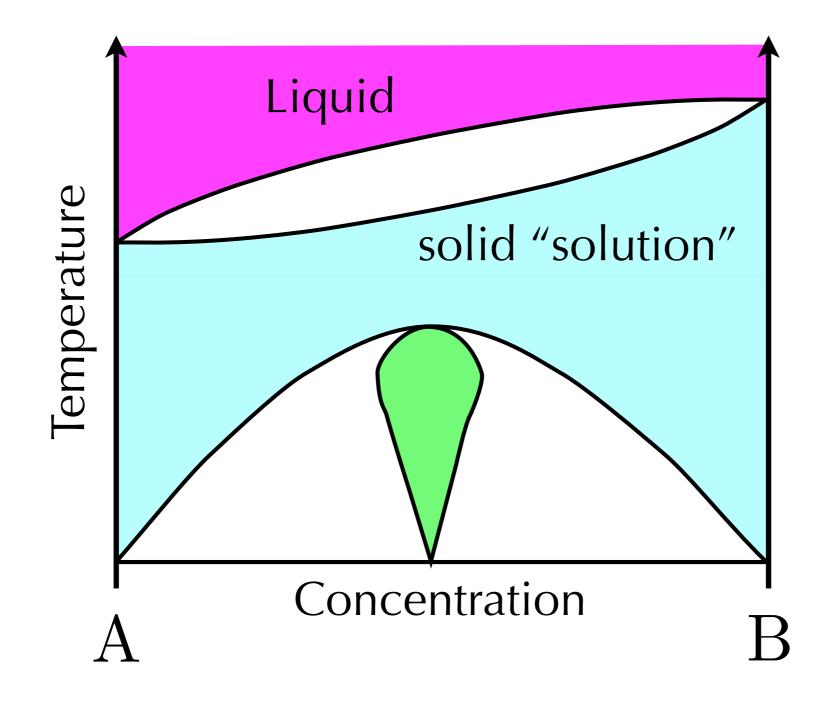


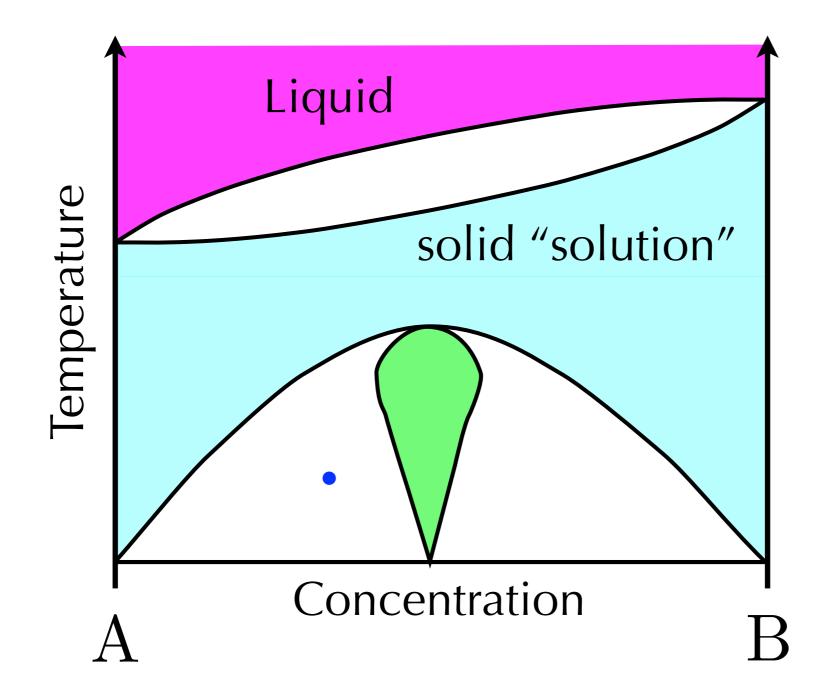


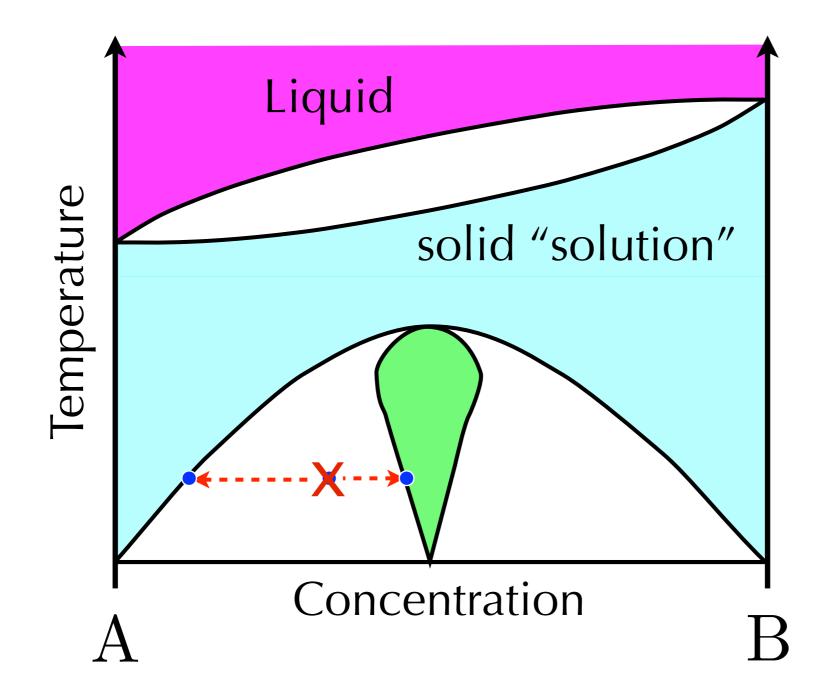


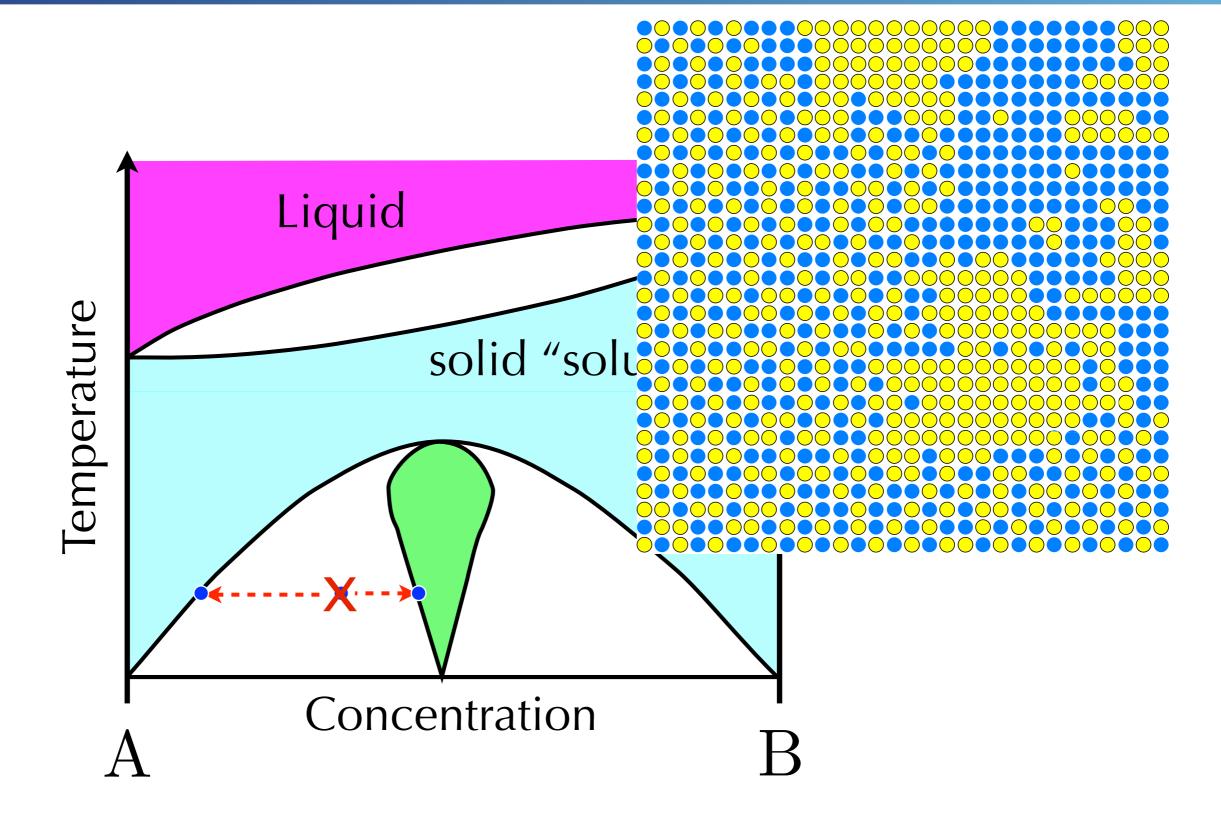


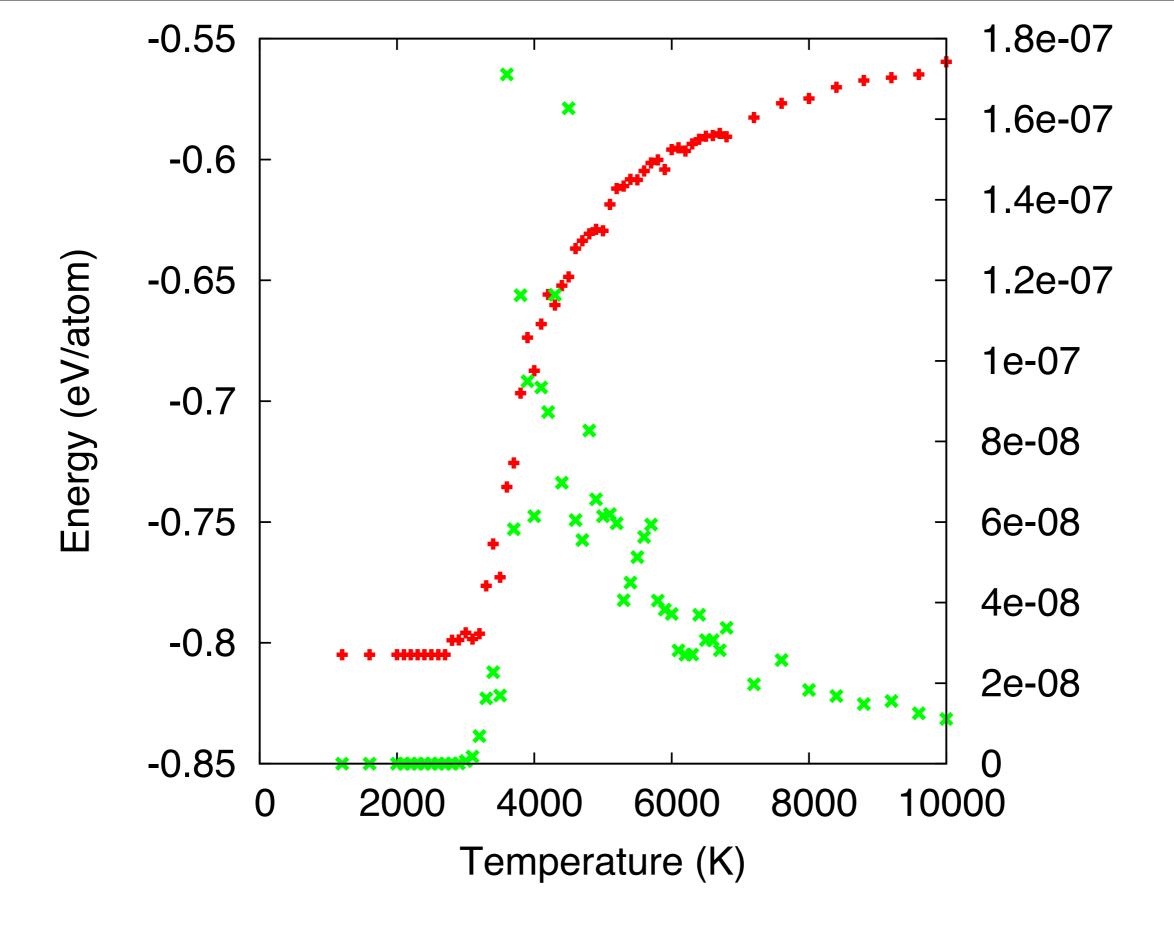












Specific heat (arb. units)

I. Search for new phases (try millions of trial configurations) **Ground State Search**

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2. Apply thermodynamic modeling (to identify phase transitions) Monte Carlo

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2. Apply thermodynamic modeling (to identify phase transitions) Monte Carlo

3. Build a kinetic simulation (to model time evolution) **Kinetic MC**

In a nutshell: Better models, faster

Basic idea:

Instead of adding complexity (terms) to a model until it fits the data and predicts well...(normal approach)...

...start with an infinite set of models (containing all possible terms). Discard all models except the simplest one (Compressive Sensing approach). Surprisingly perhaps, this is really efficient.

Going beyond a linear model fit (adding terms)

 $f(x,y) = a_0 + a_1x + a_2y + a_3xy + a_4x^2 + a_5y^2 +$

 $\begin{pmatrix} 1 & x_1 & y_1 & x_1y_1 & x_1^2 & y_1^2 \\ 1 & x_2 & y_2 & x_2y_2 & x_2^2 & y_2^2 \\ 1 & x_3 & y_3 & x_3y_3 & x_3^2 & y_3^2 \\ 1 & x_4 & y_4 & x_4y_4 & x_4^2 & y_4^2 \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \\ a_4 \\ a_5 \end{pmatrix} = \begin{pmatrix} f_1 \\ f_2 \\ f_3 \\ f_4 \end{pmatrix}$



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 $M\vec{a} = f$



"Solving" an under-determined problem



$$\mathbb{M}\vec{a} = \vec{f}$$



$$\mathbb{M}\vec{a} = \vec{f}$$

$$\min_{\vec{a}} \left\{ \|\vec{a}\|_1 : \mathbb{M}\vec{a} = \vec{f} \right\}$$

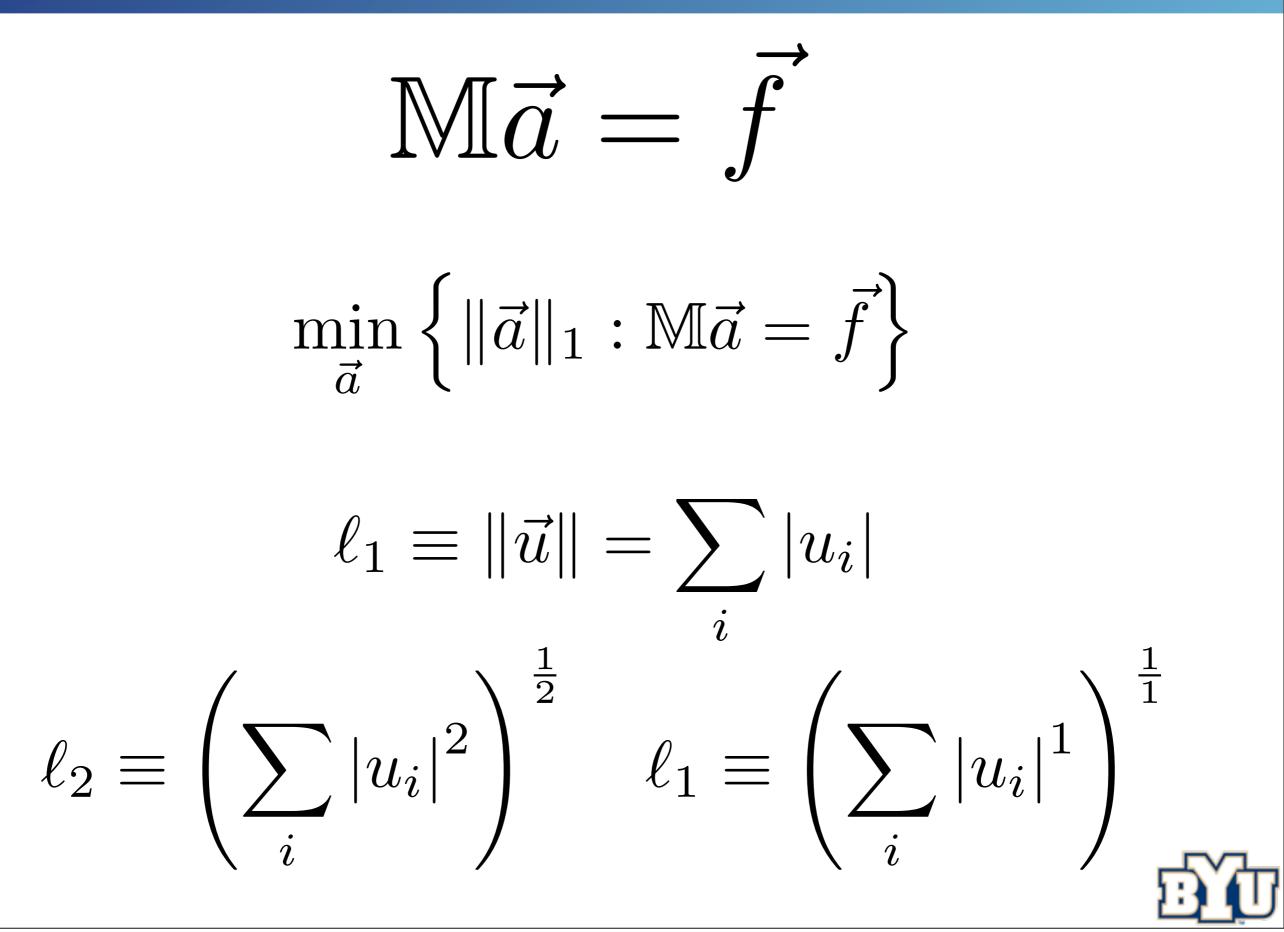


$$\mathbb{M}\vec{a} = \vec{f}$$

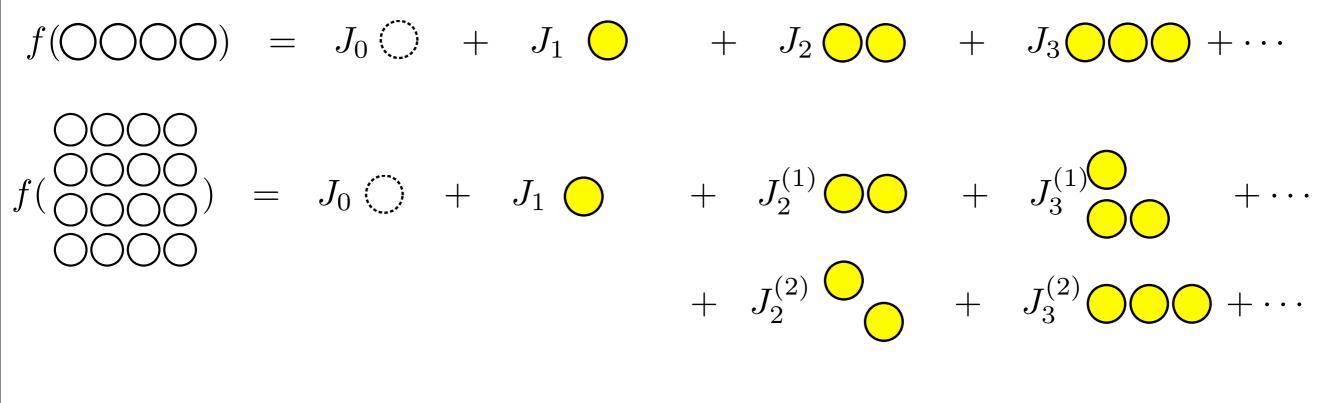
$$\min_{\vec{a}} \left\{ \|\vec{a}\|_1 : \mathbb{M}\vec{a} = \vec{f} \right\}$$

$$\ell_1 \equiv \|\vec{u}\| = \sum_i |u_i|$$



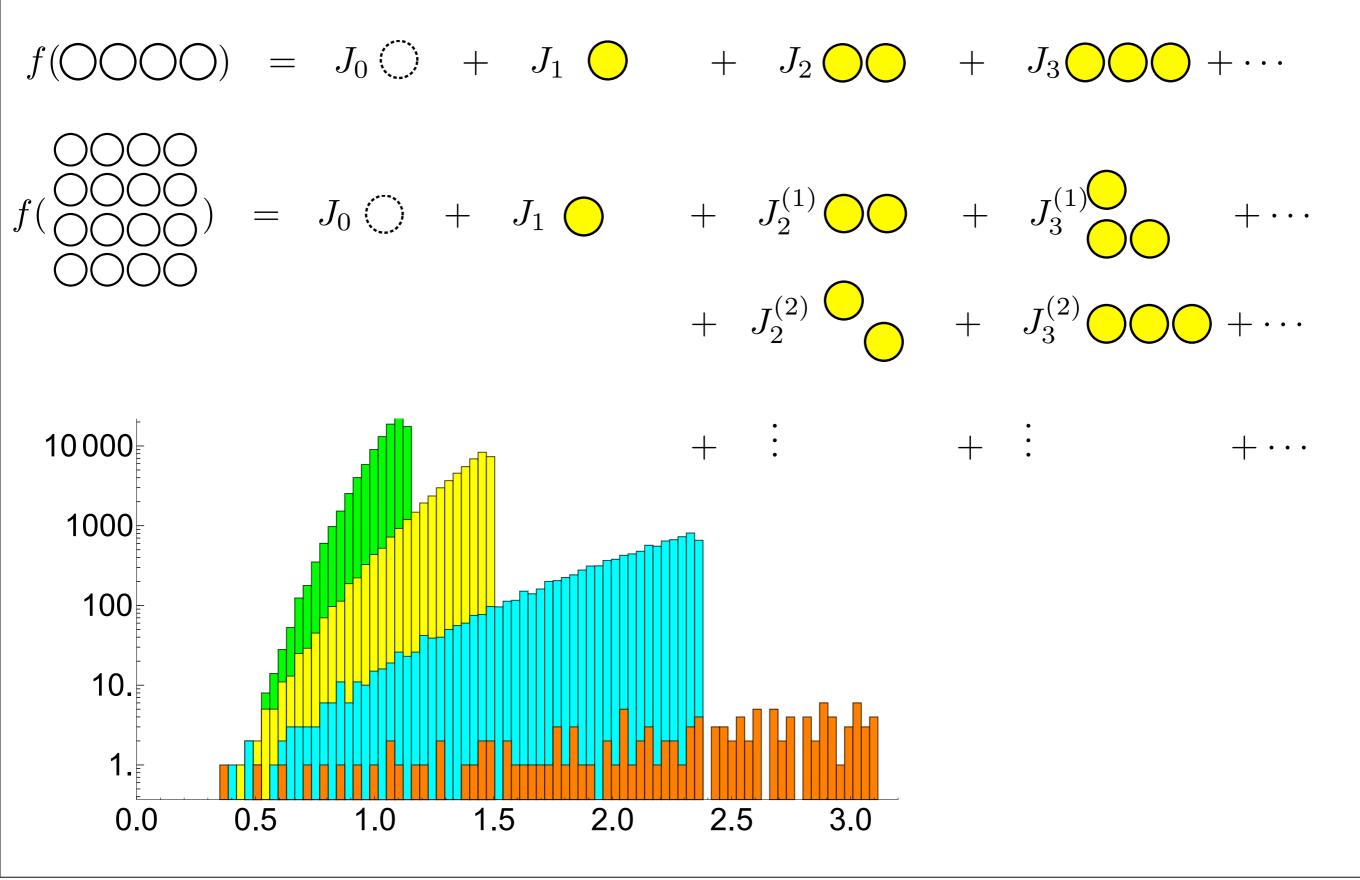


In more than one dimension...

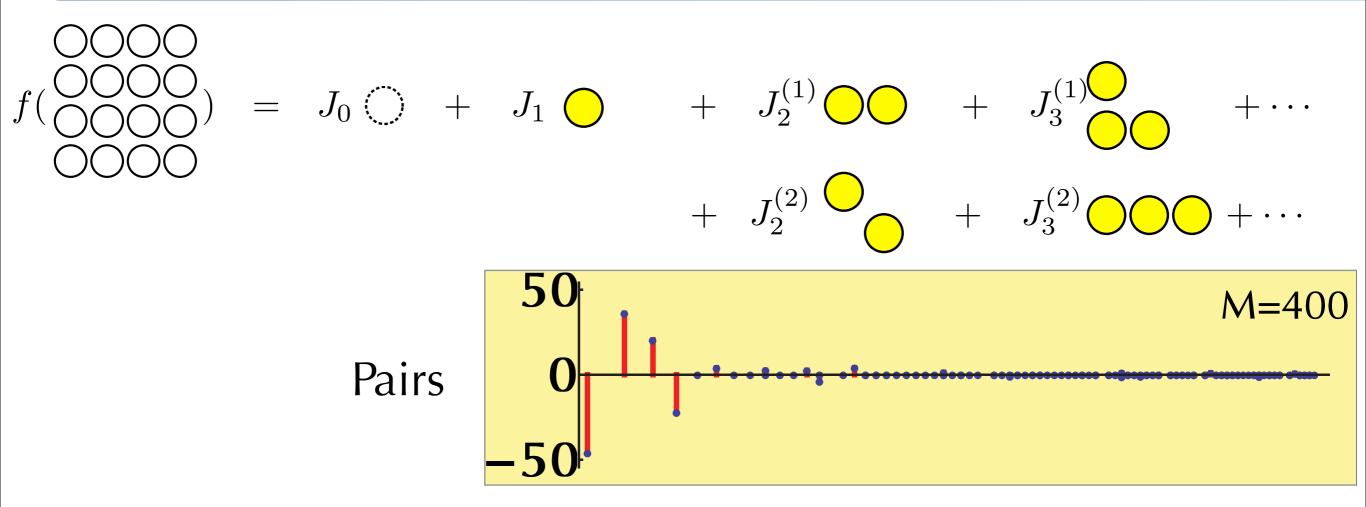


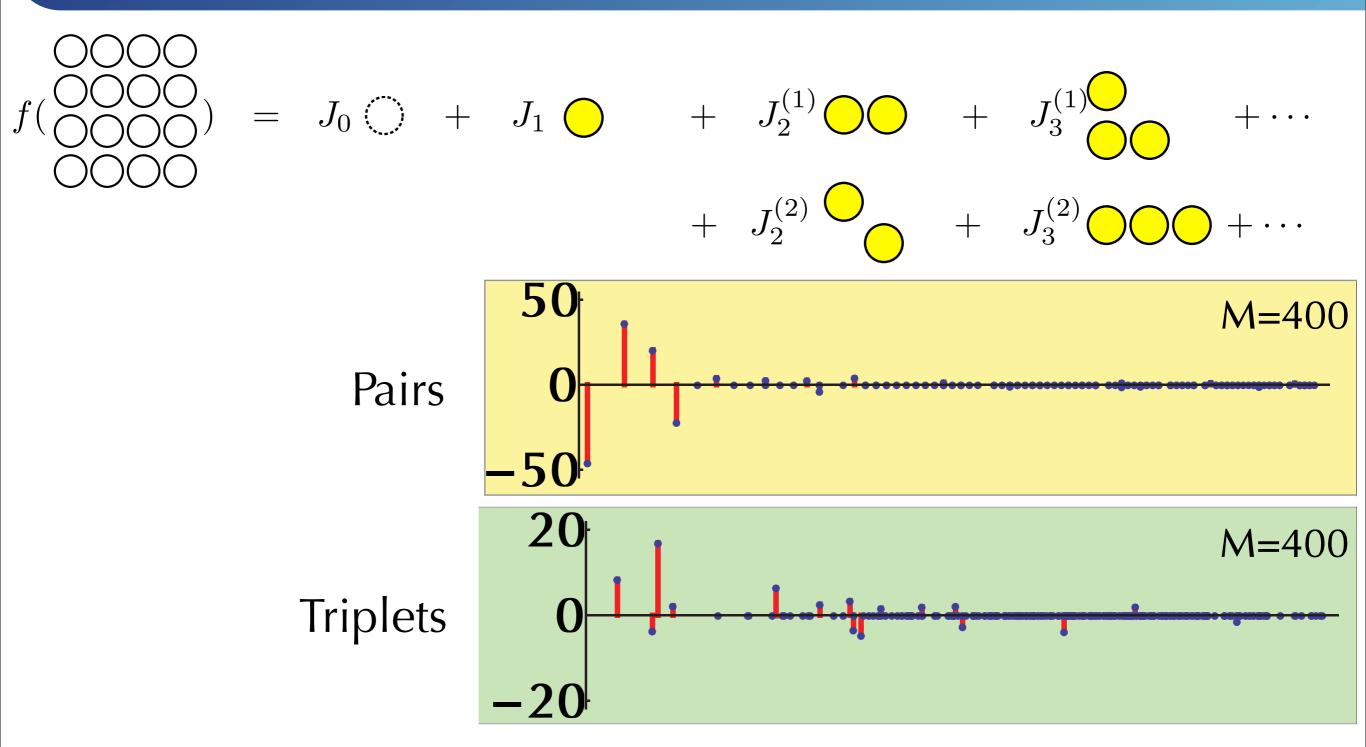
+ \vdots + \vdots $+\cdots$

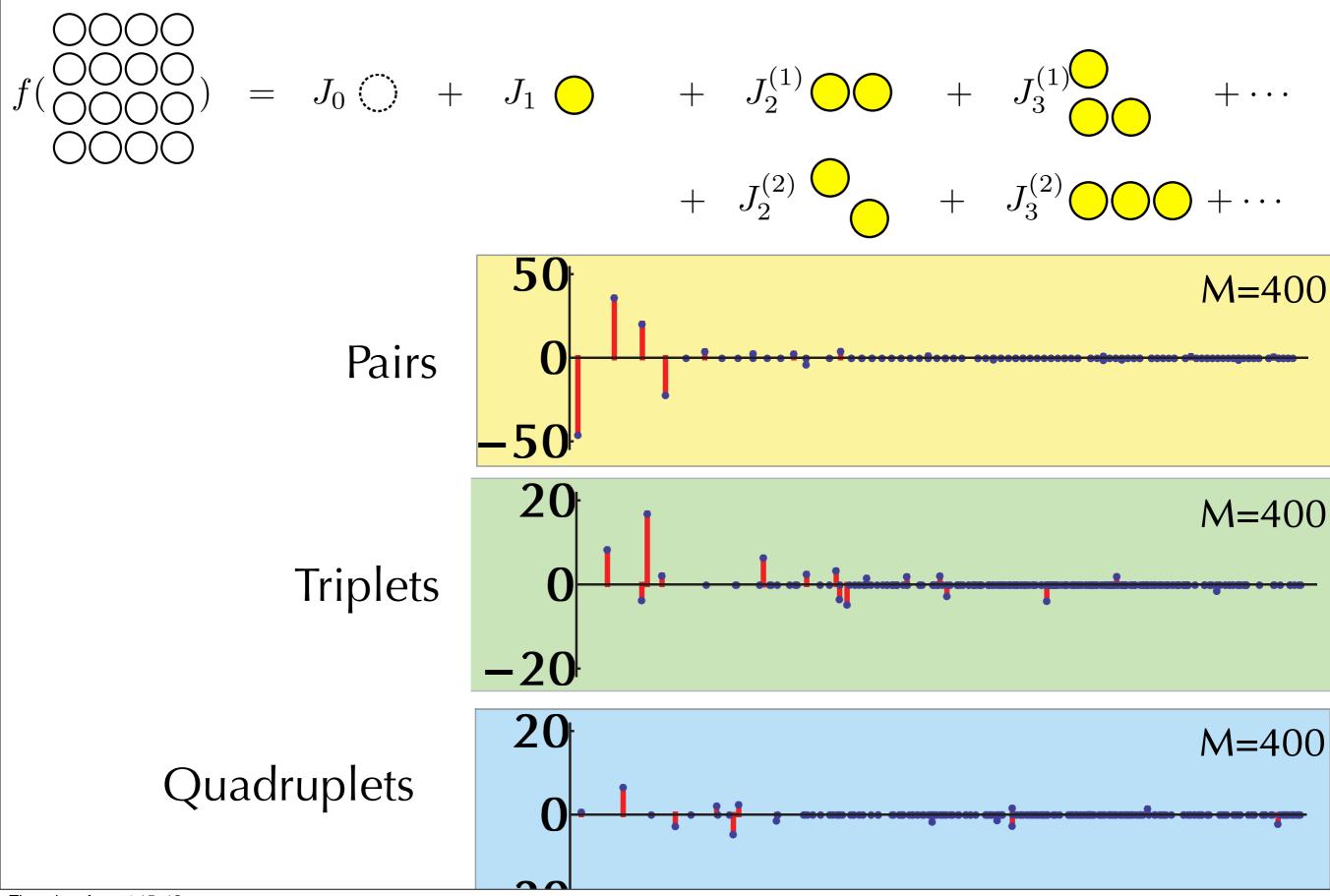
In more than one dimension...

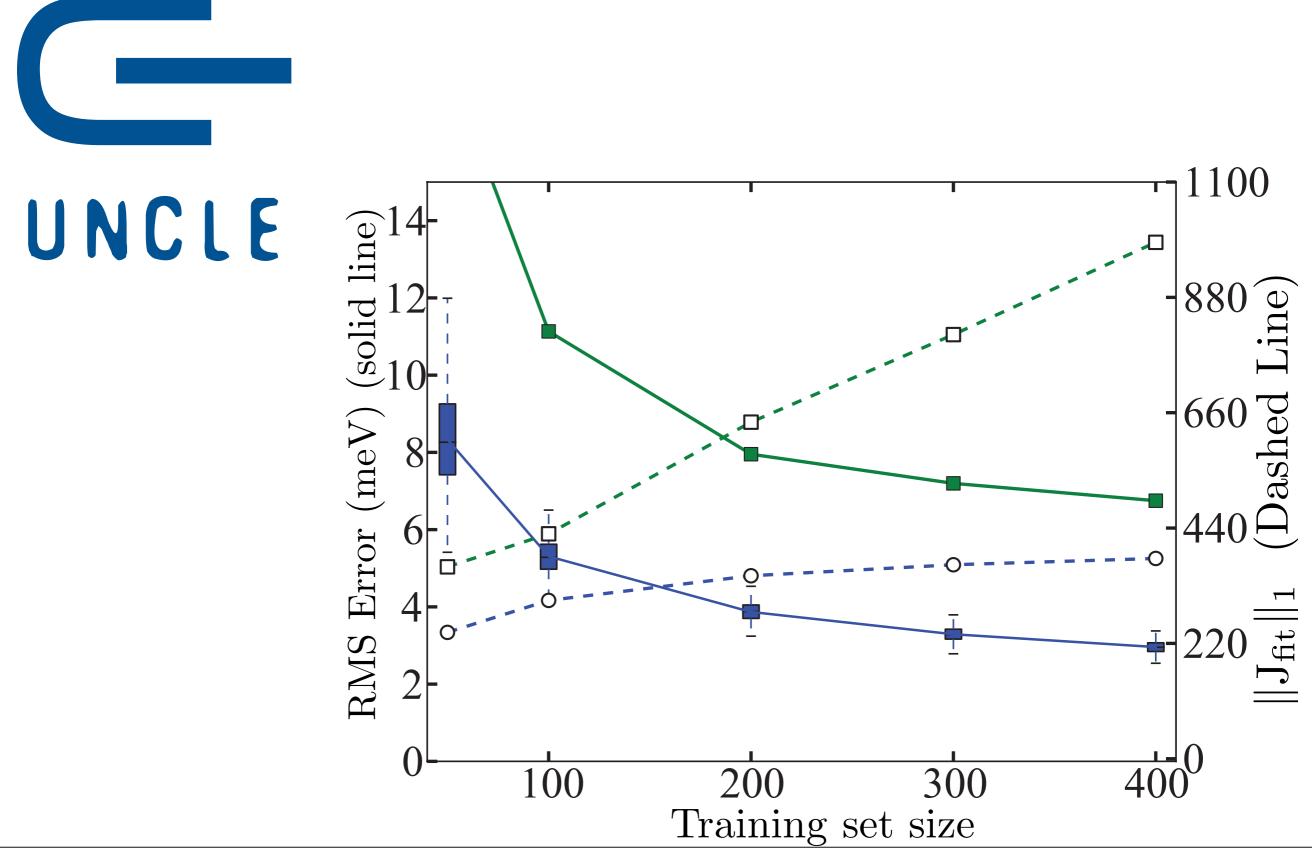


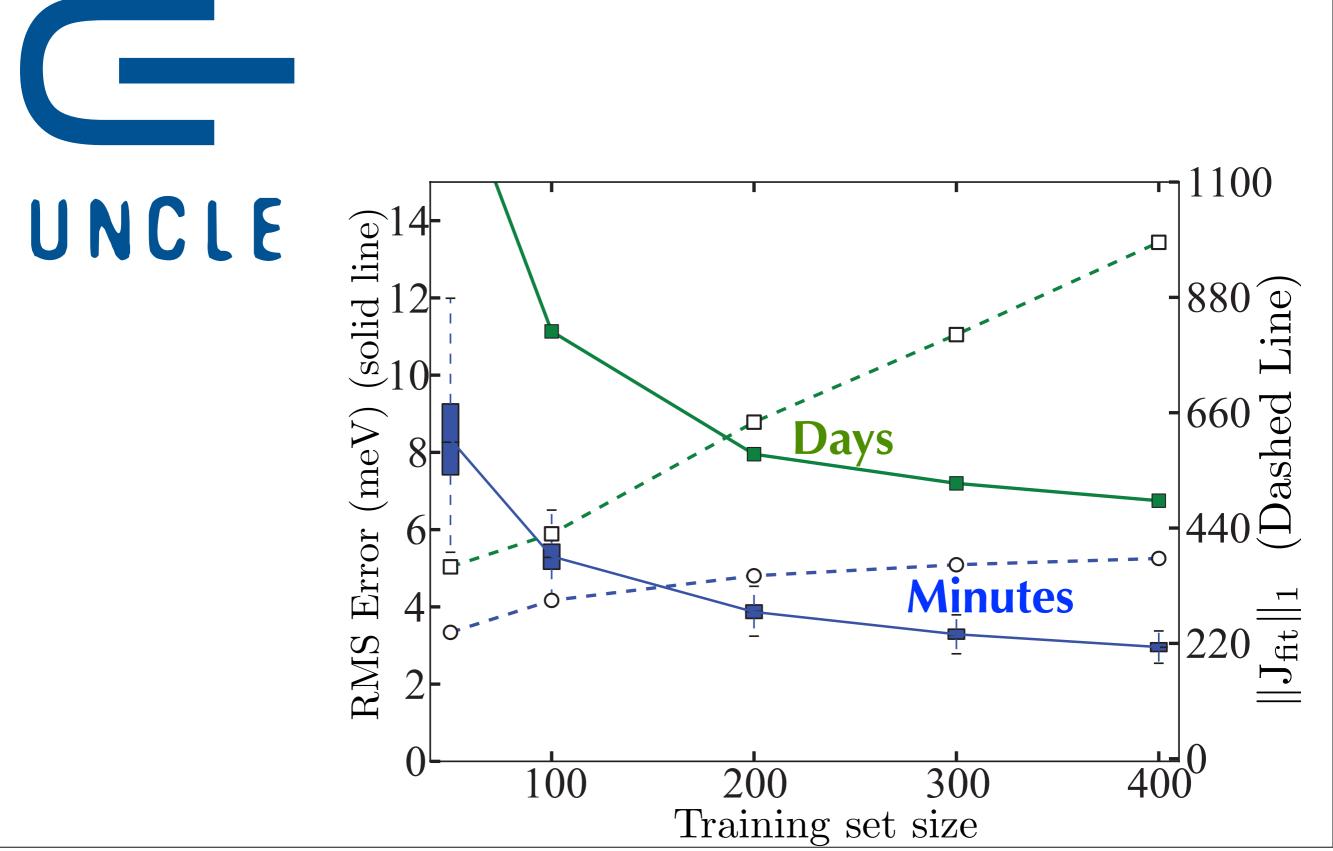
$+ \vdots + \vdots + \cdots$





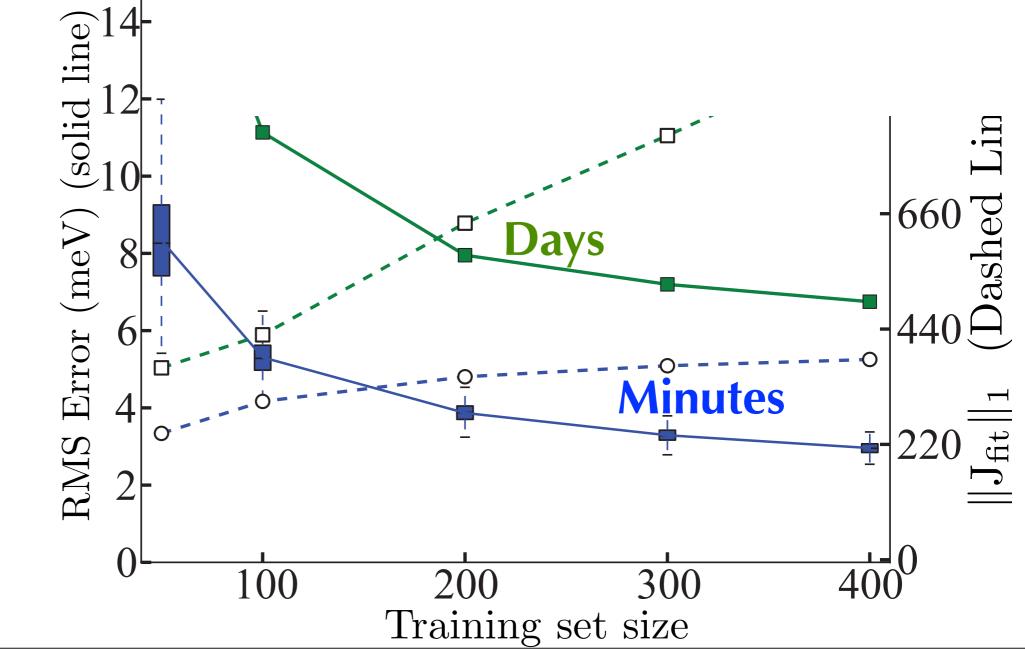


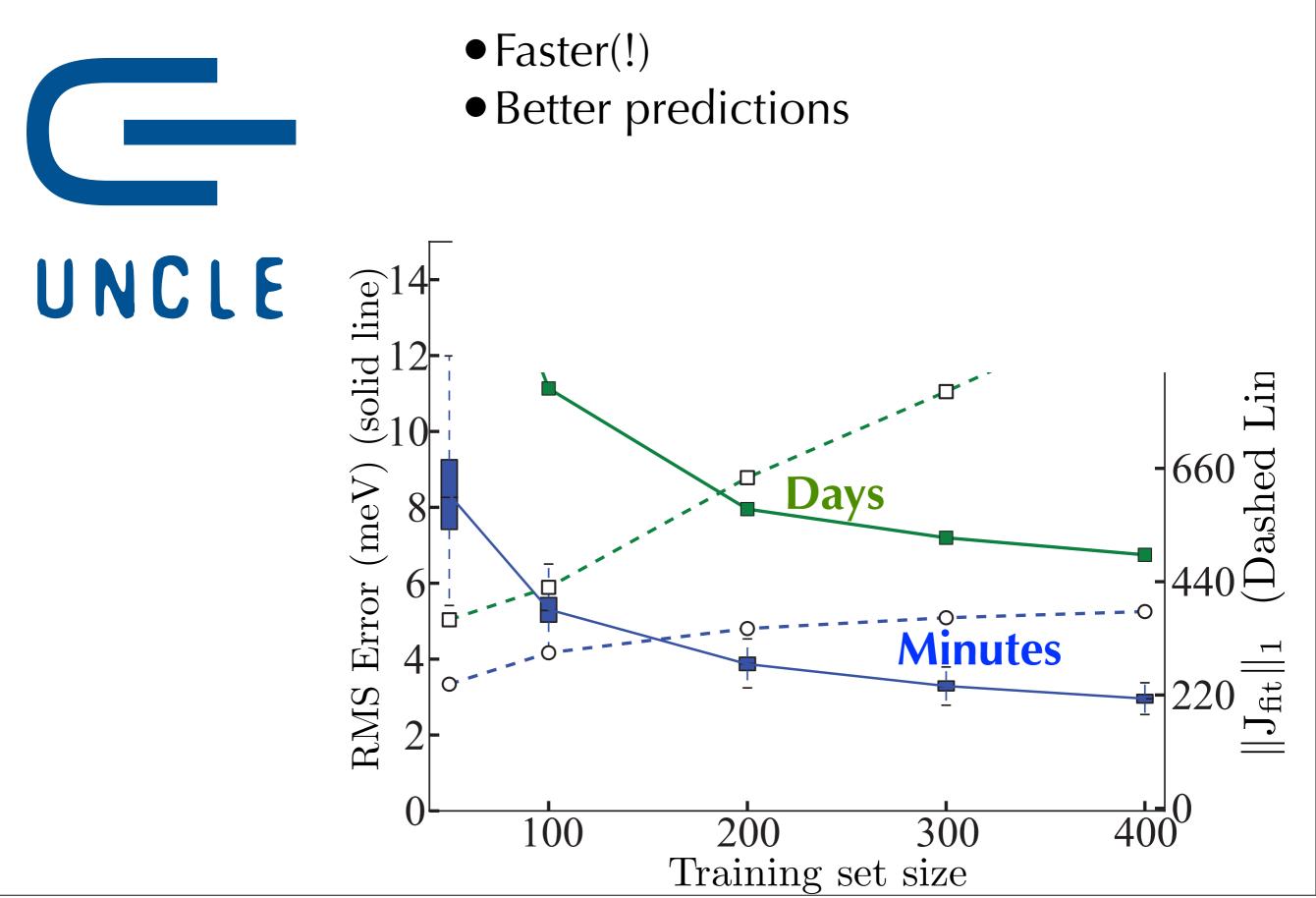




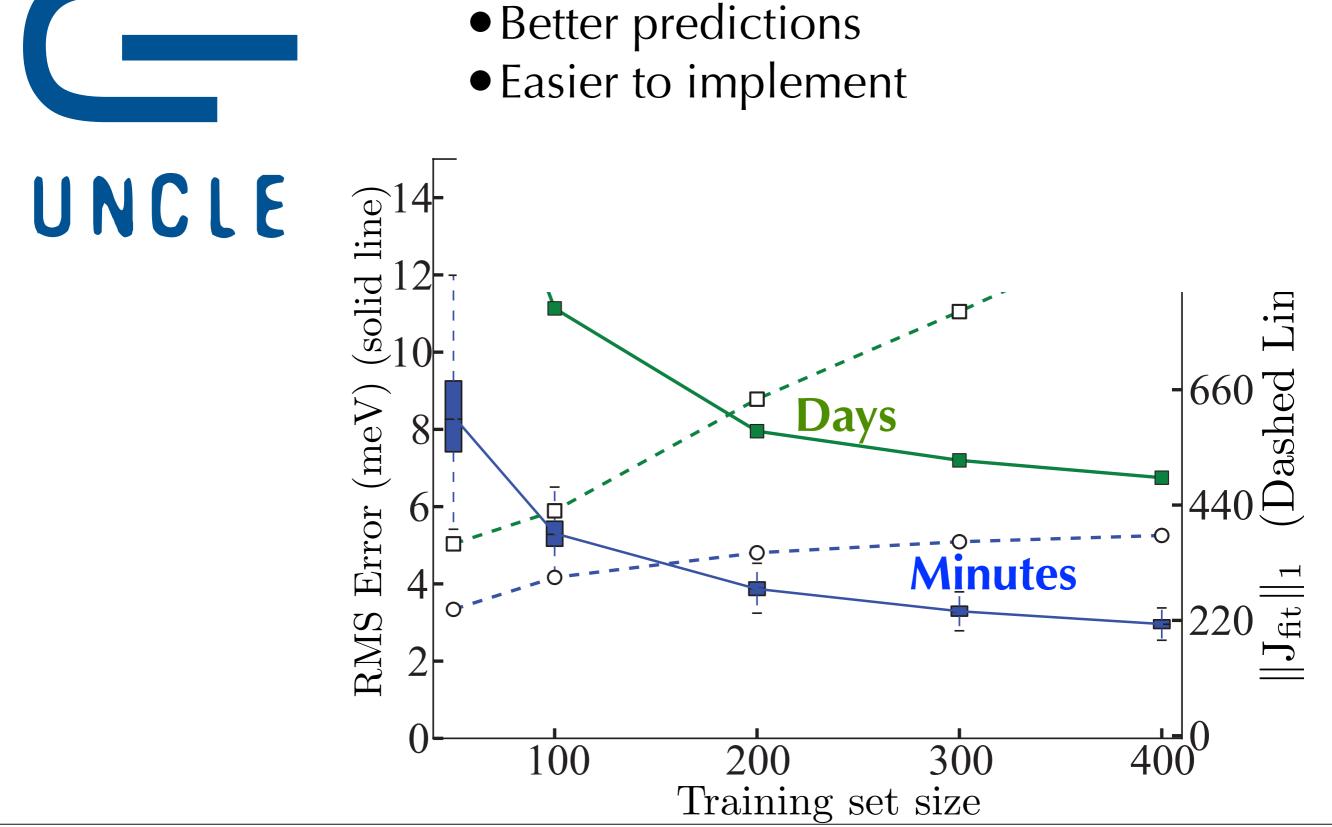
• Faster(!)

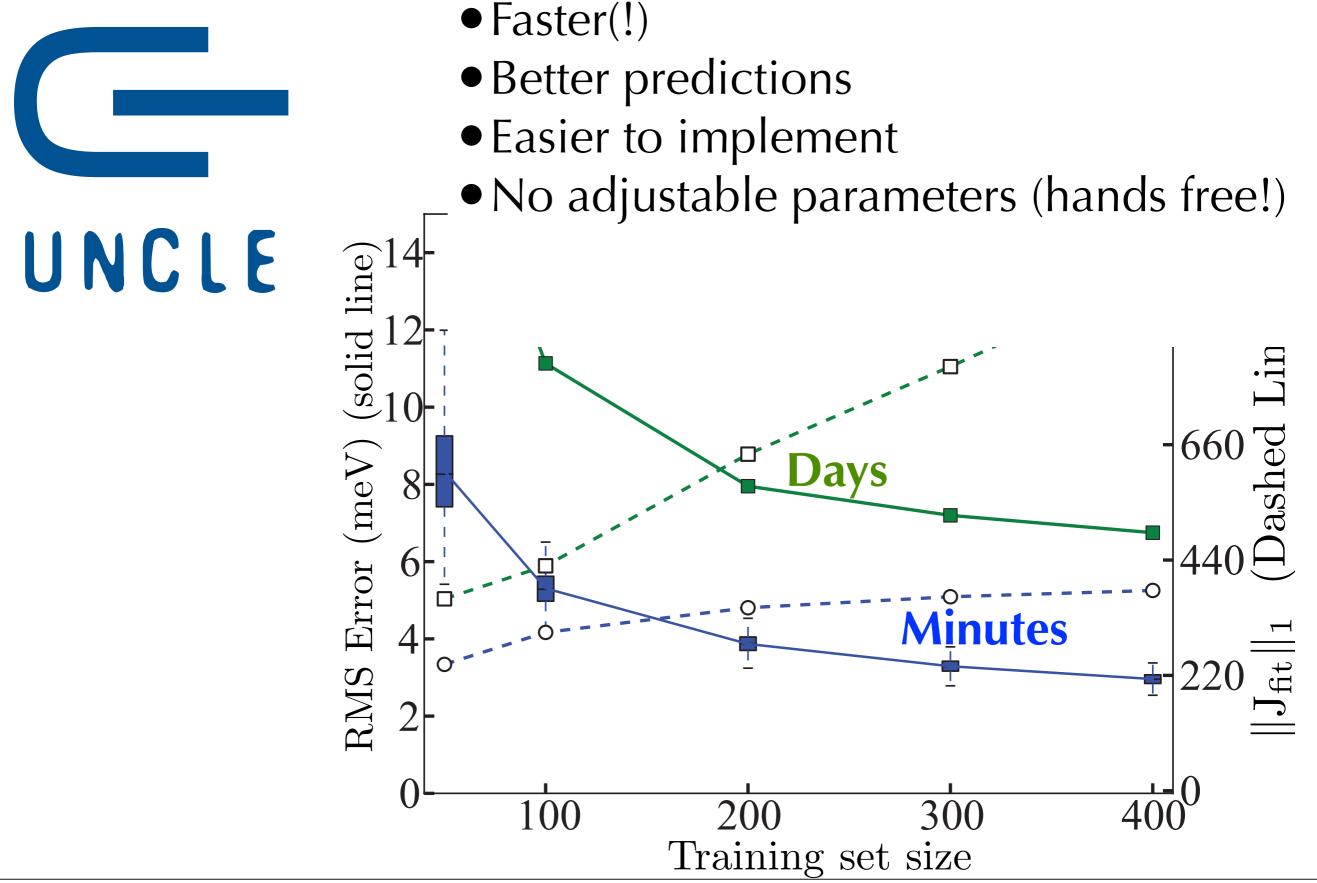


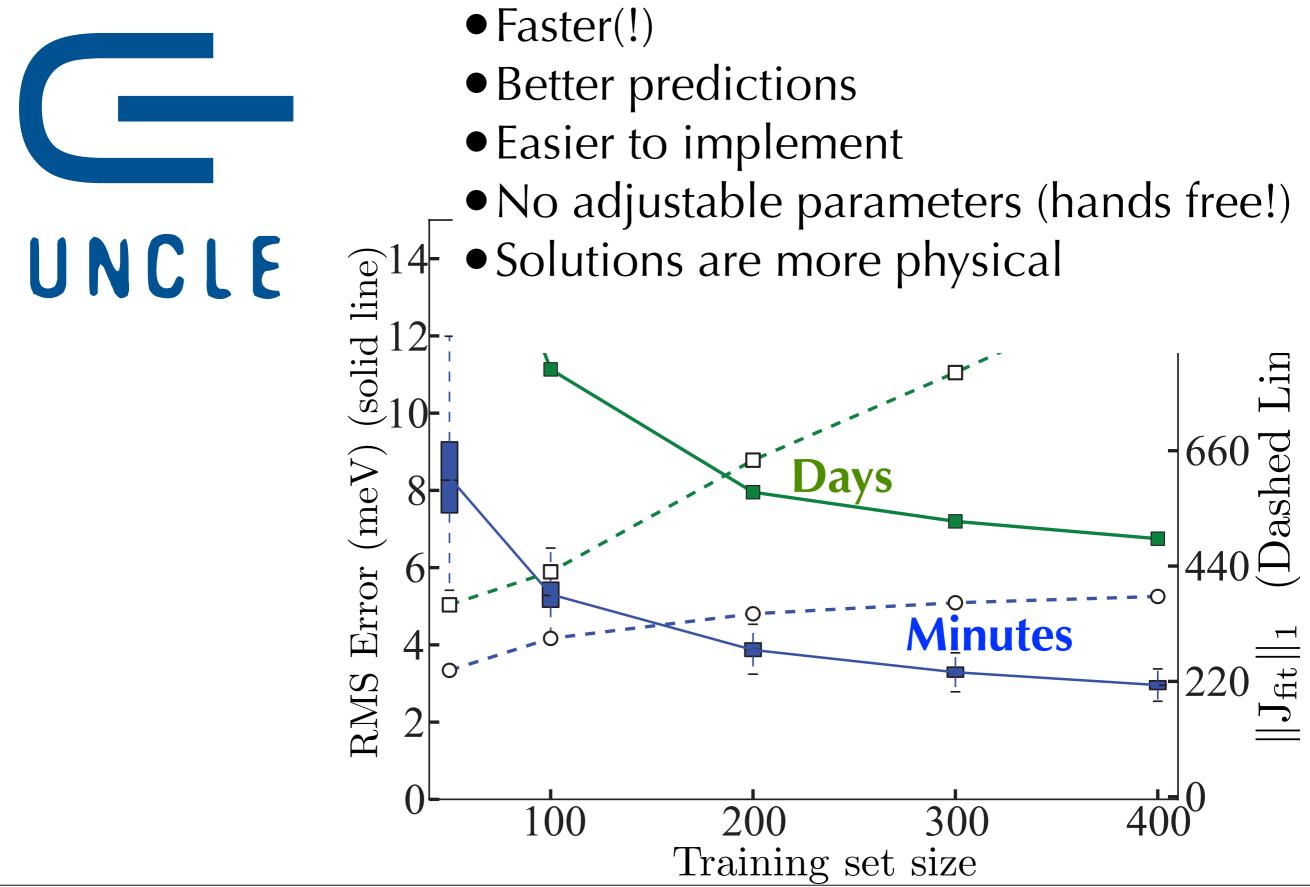


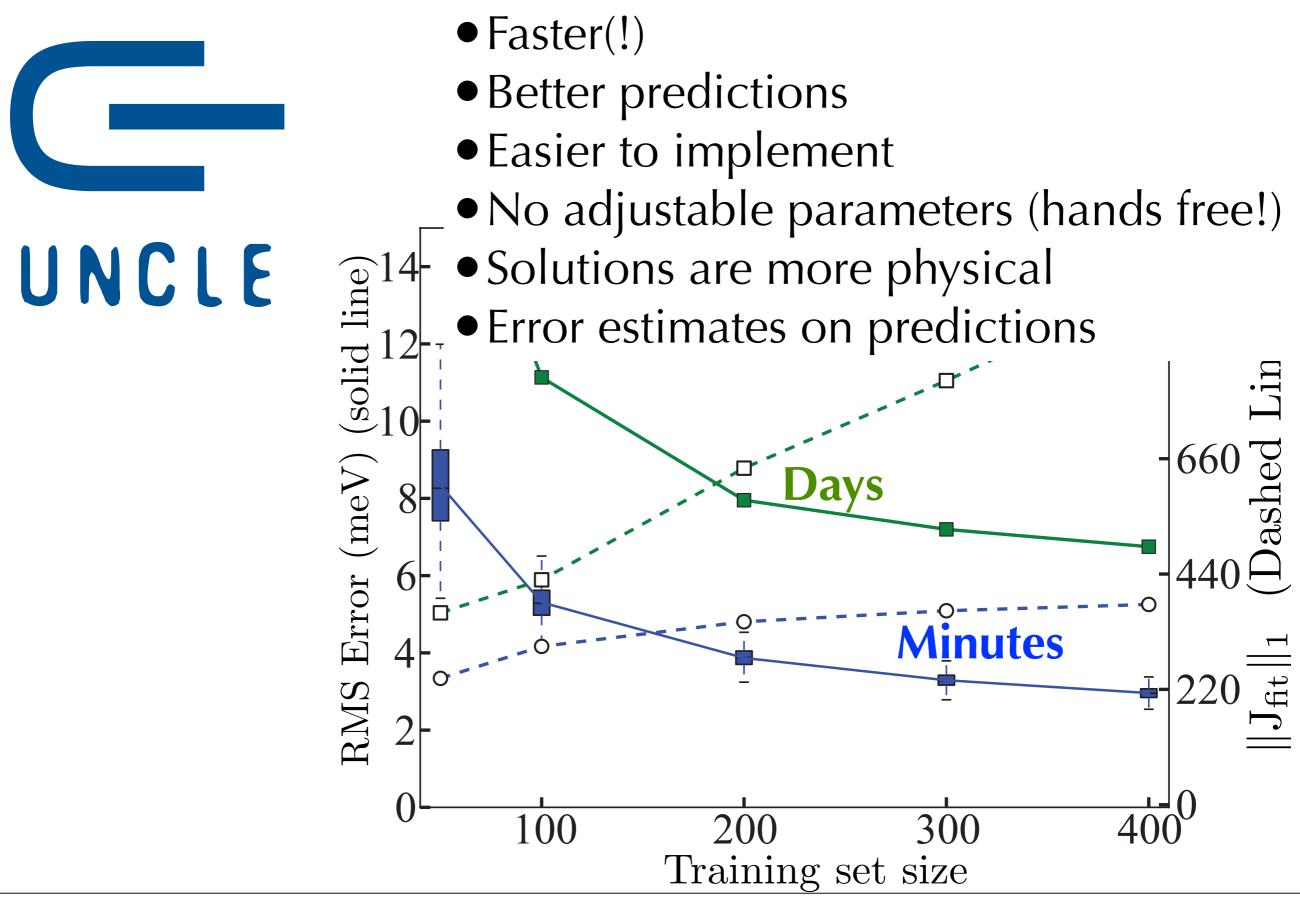


• Faster(!)









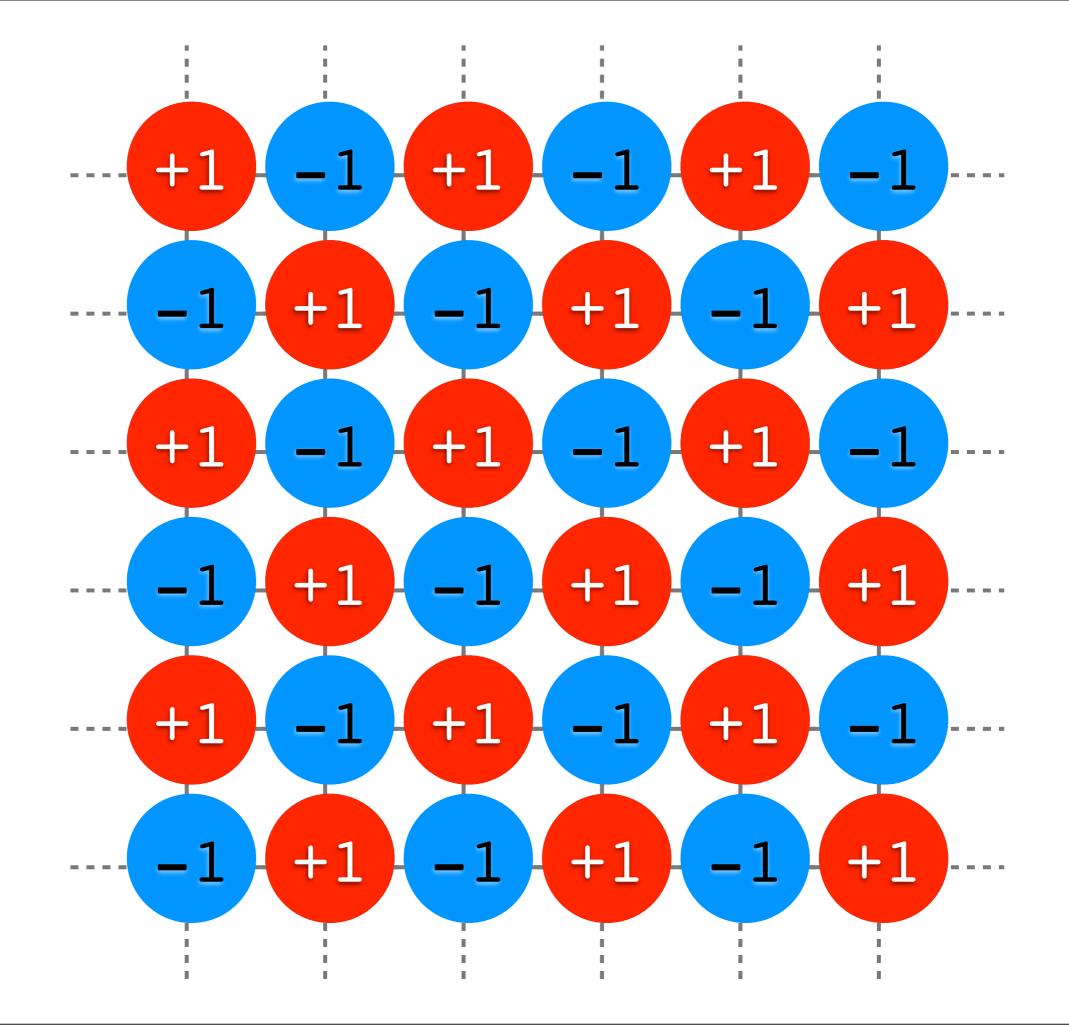
Further reading

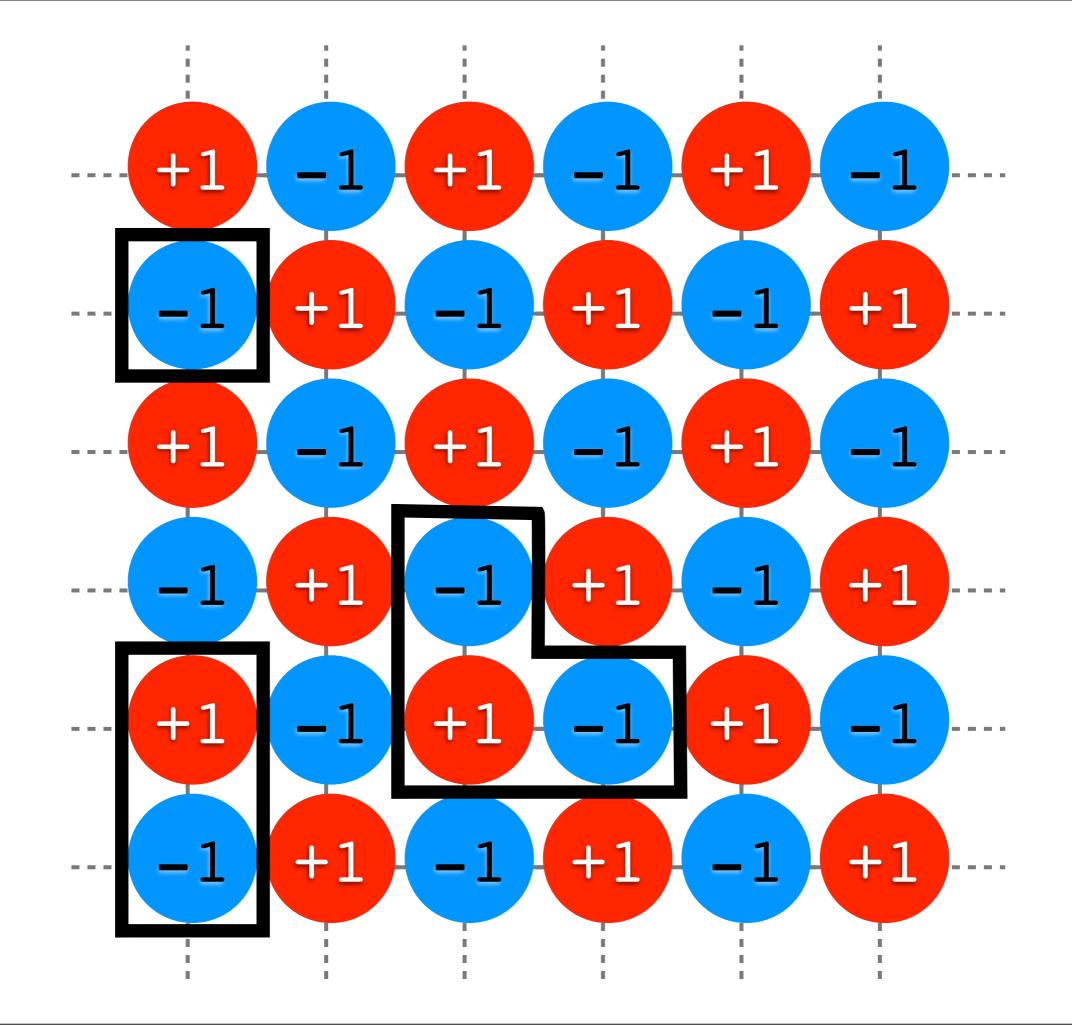
Lance J. Nelson, Gus L. W. Hart, Fei Zhou, and Vidvuds Ozolins, "*Cluster expansion made easy with Bayesian compressive sensing*," <u>arXiv:1307.2938</u> [cond-mat.mtrl-sci]

Lance J. Nelson, Gus L. W. Hart, Fei Zhou, and Vidvuds Ozolins, "*Compressive sensing as a paradigm for building physics models*," Phys. Rev. B **87** 035125 (2013).

E. J. Candès and M. B. Wakin, "An introduction to compressive sampling," Signal Processing Magazine, IEEE, vol. 25, no. 2, pp. 21–30 (2008).

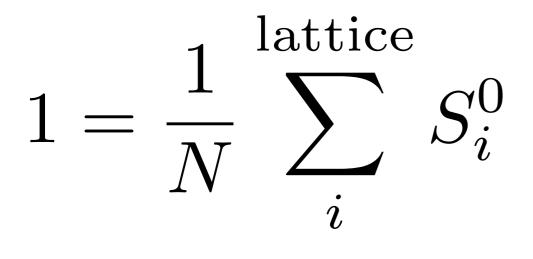
T. Strohmer, "Measure What Should be Measured: Progress and Challenges in Compressive Sensing," Signal Processing Letters **19** 887 (2012).

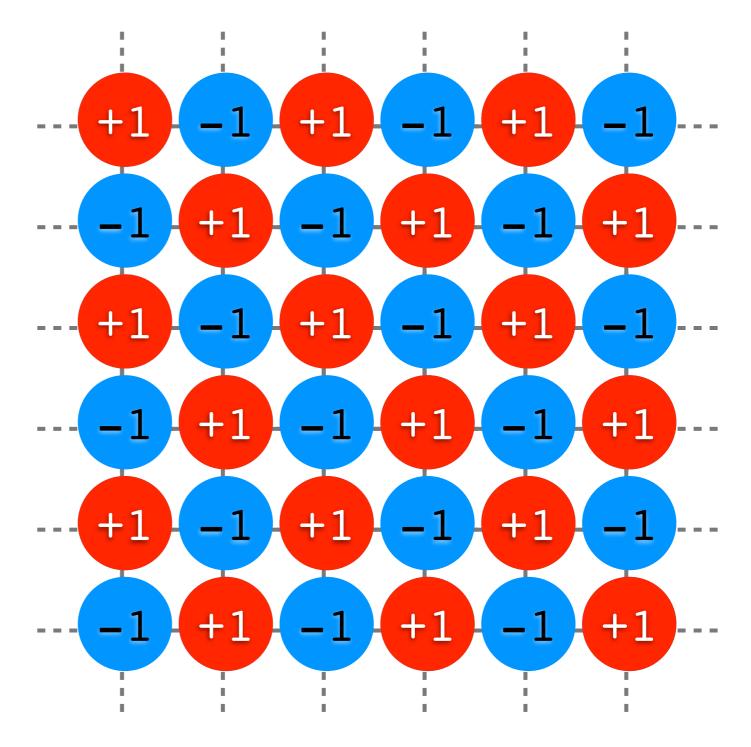


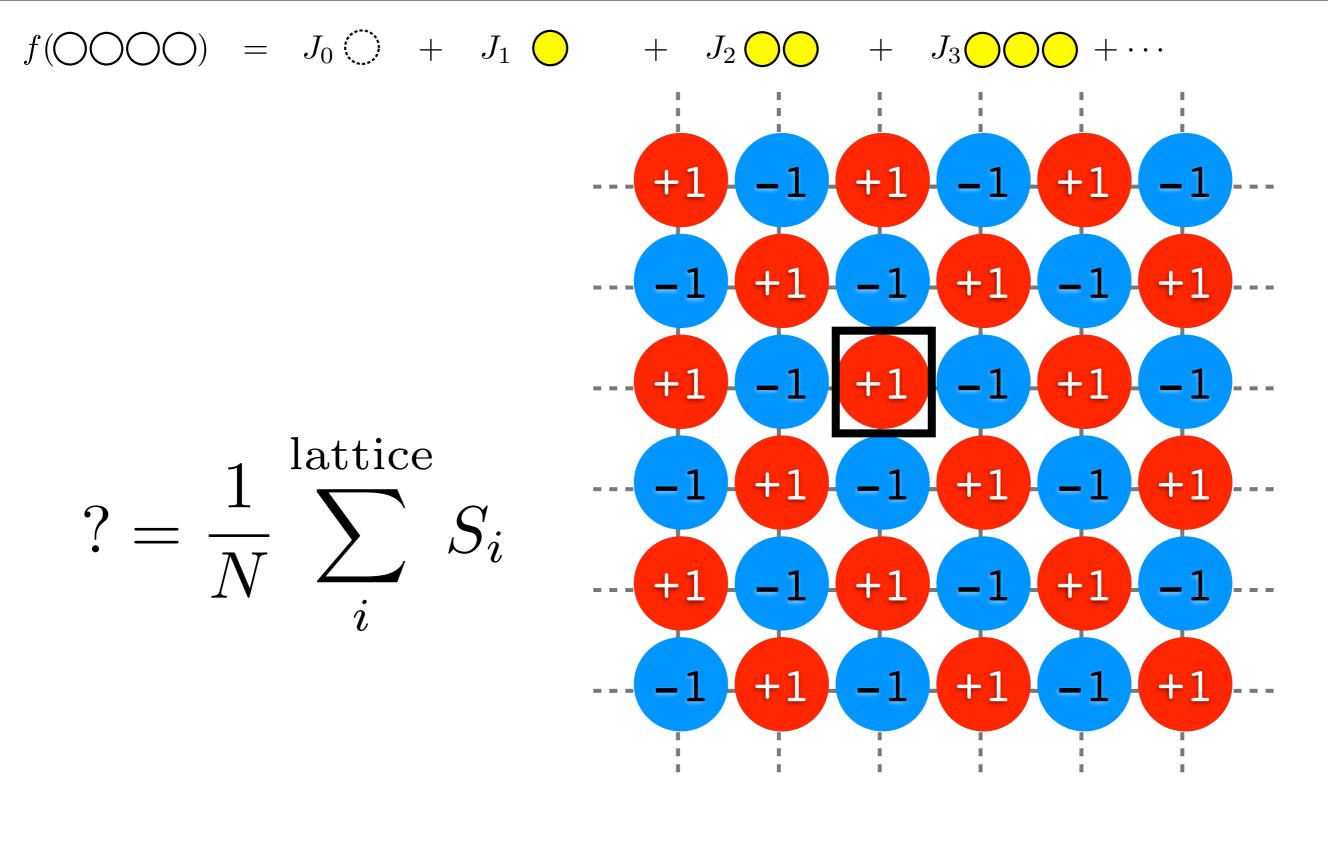


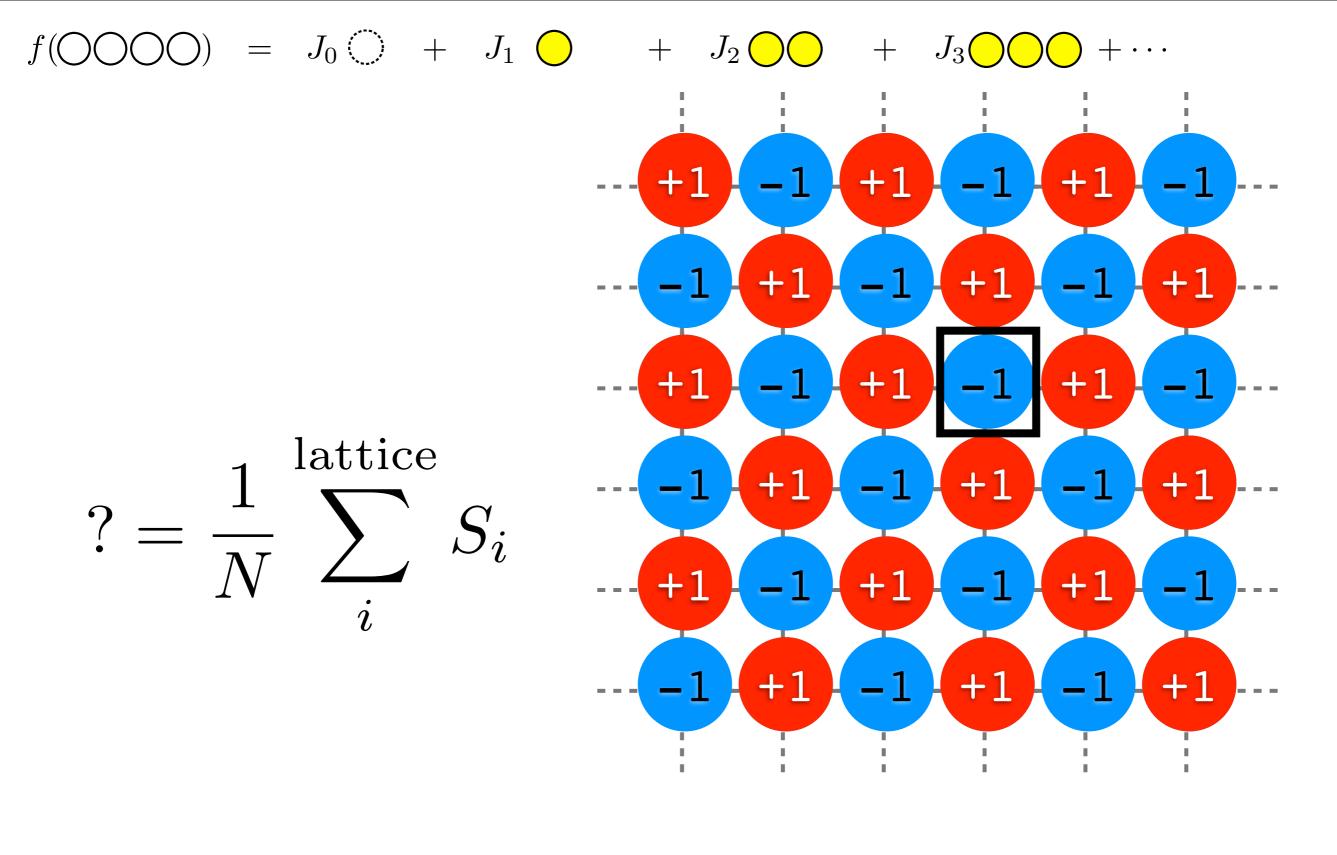
 $f(\bigcirc\bigcirc\bigcirc\bigcirc\bigcirc) = J_0\bigcirc + J_1\bigcirc + J_2\bigcirc\bigcirc + J_3\bigcirc\bigcirc\bigcirc + \cdots$

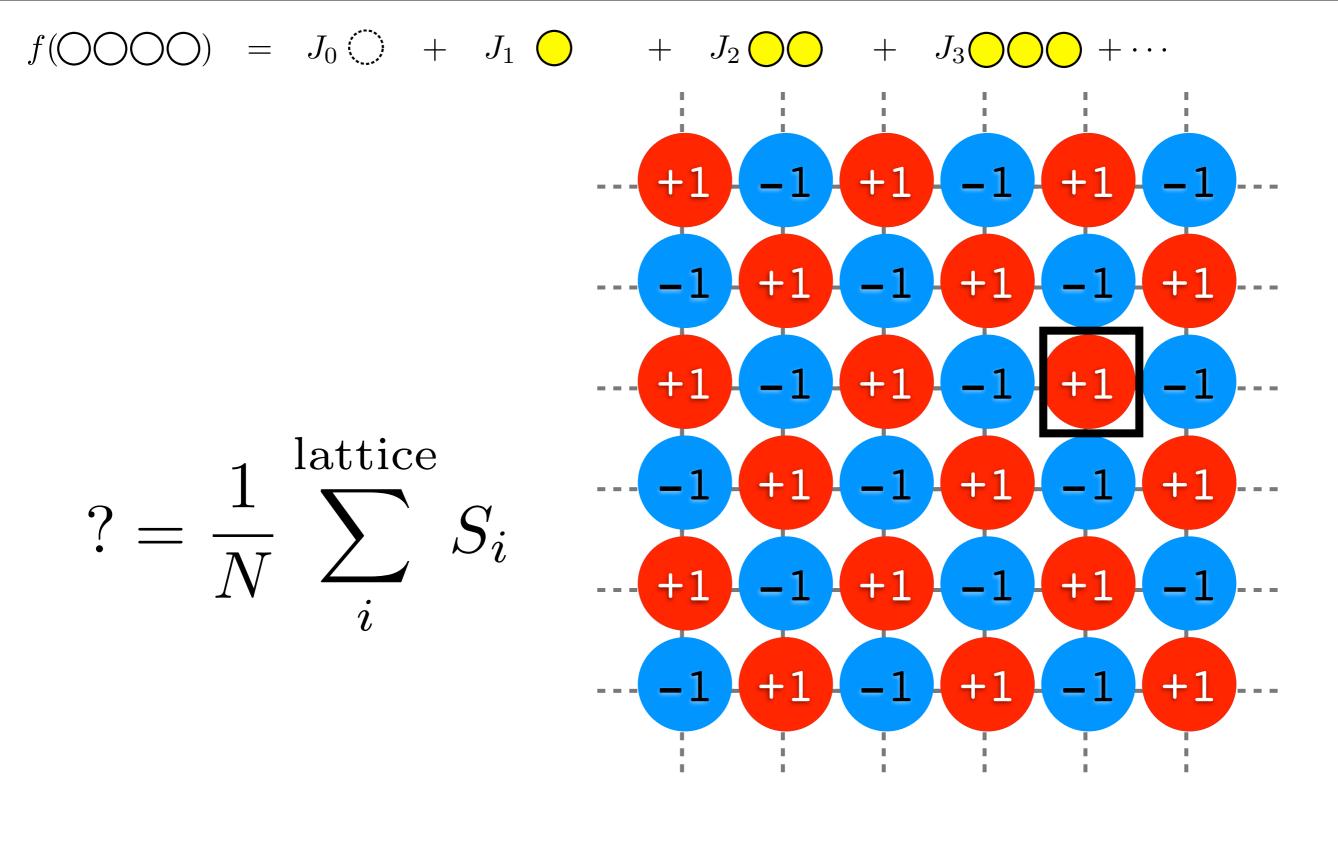
Empty cluster is trivial

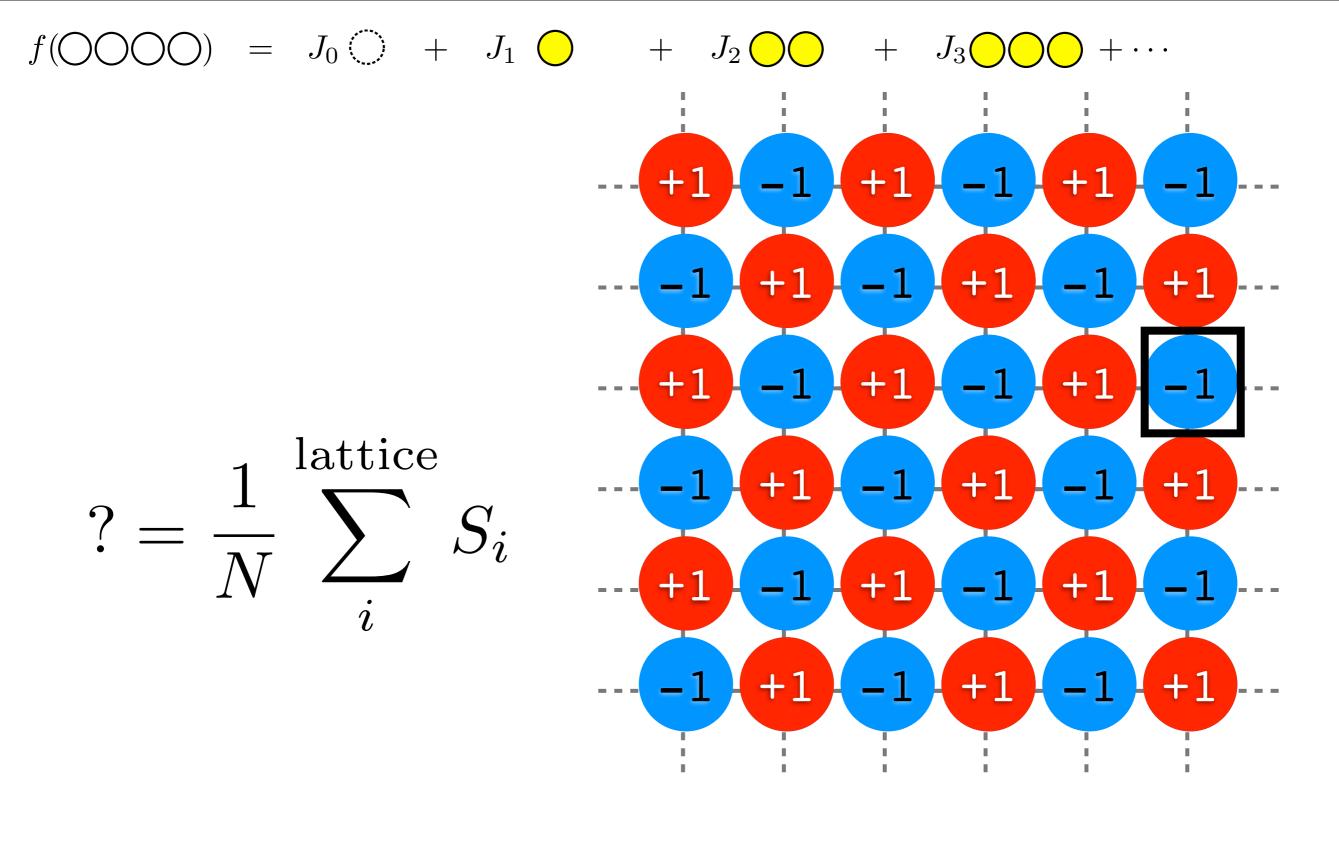


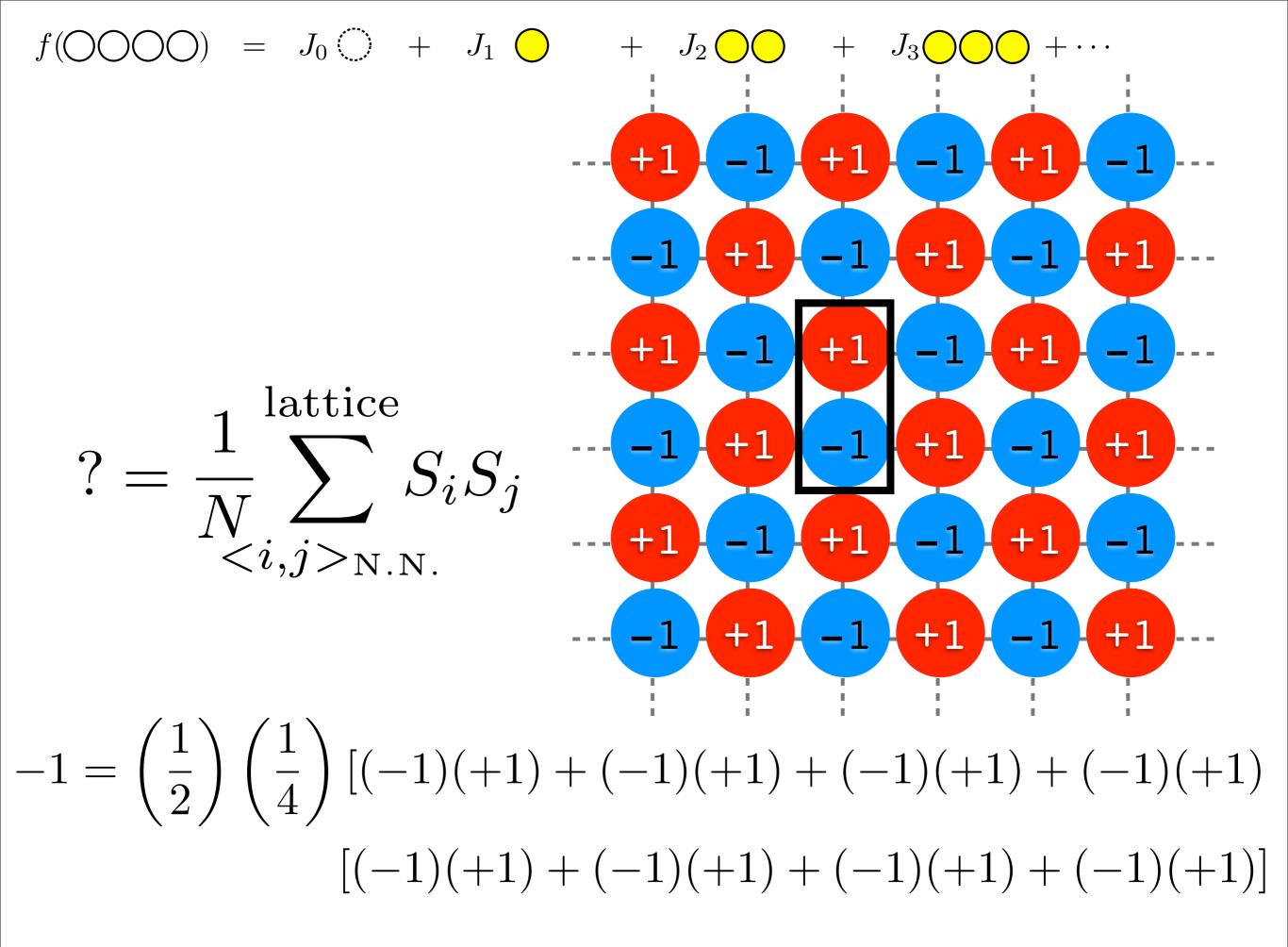


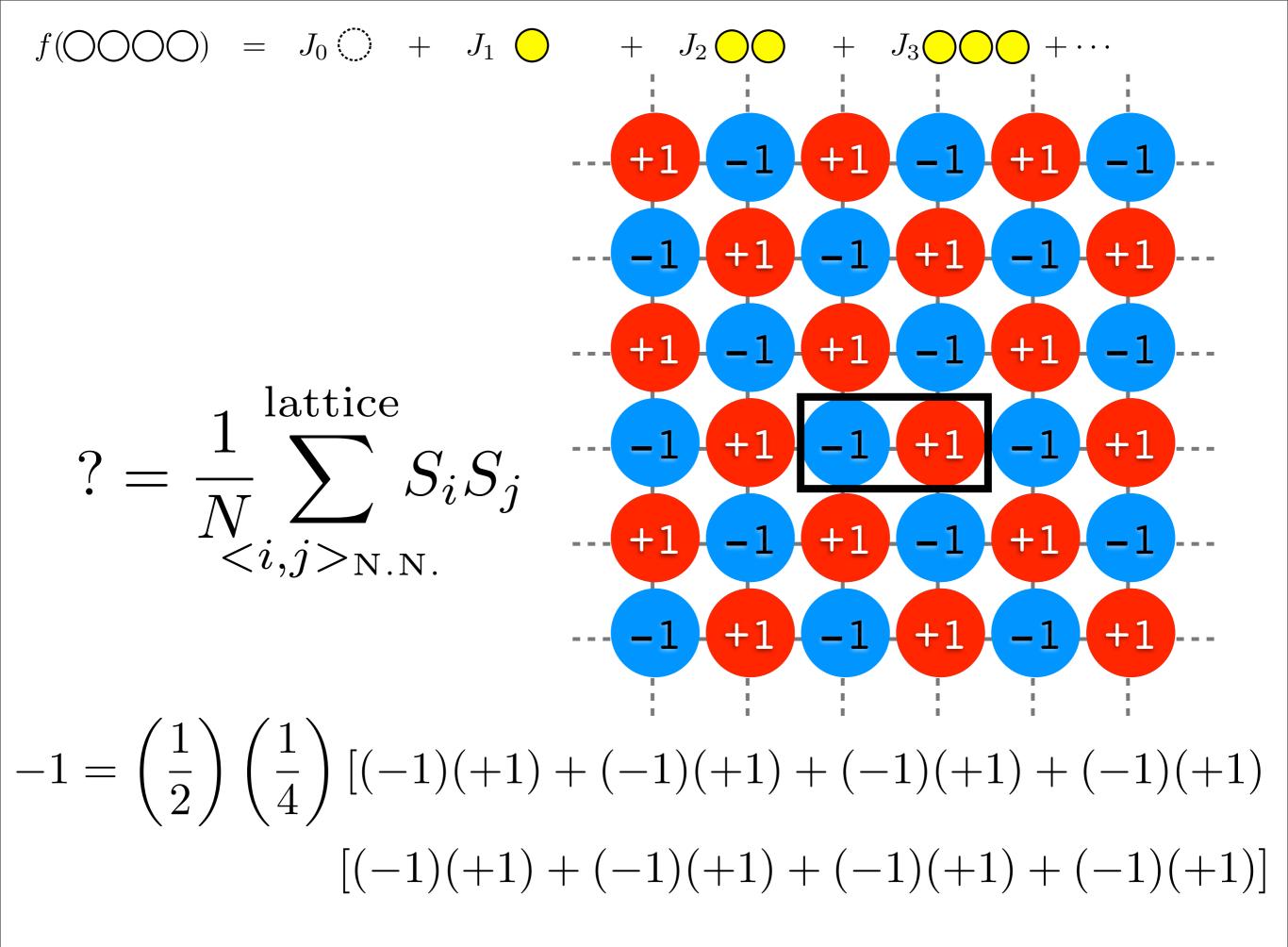


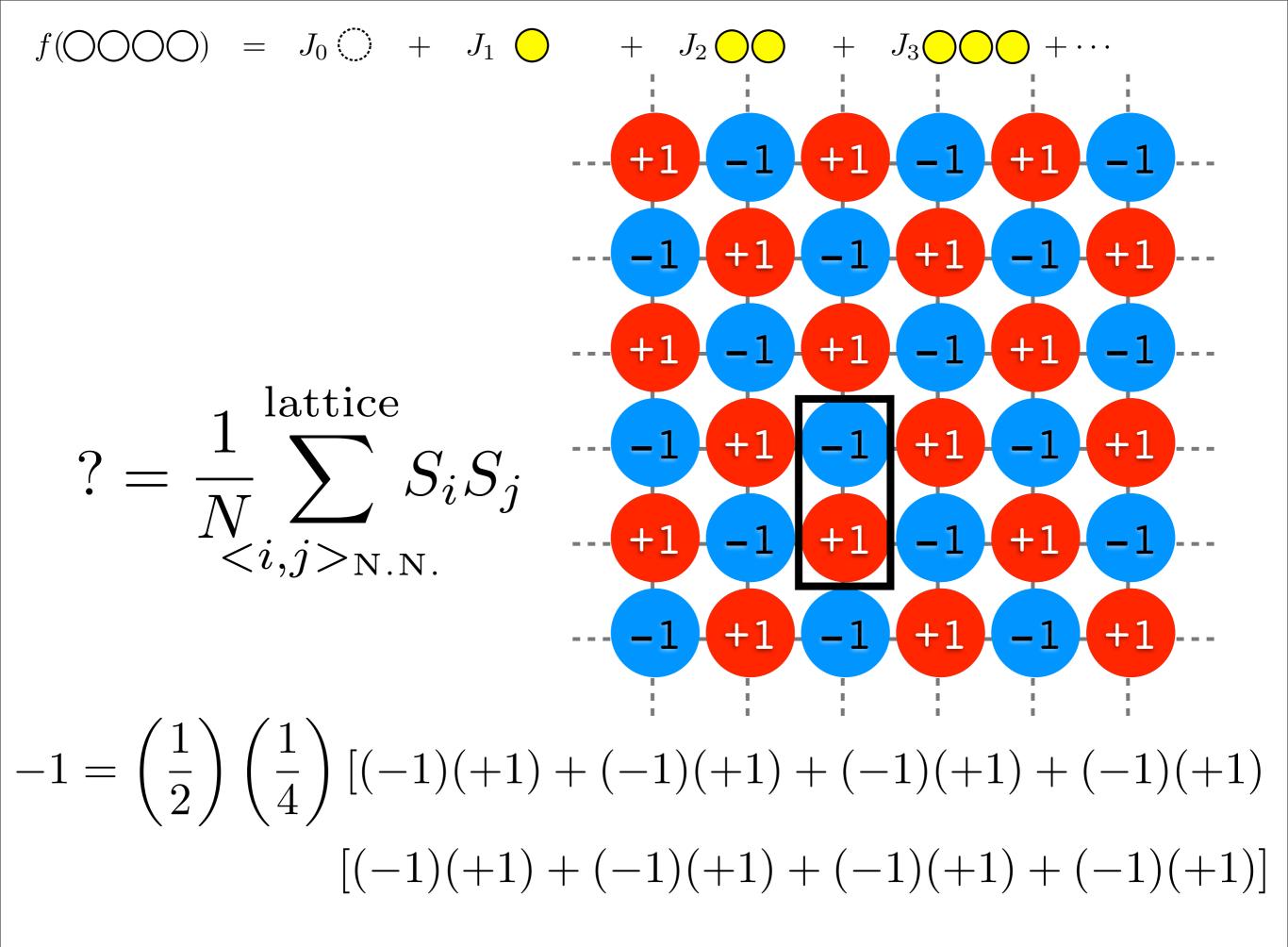


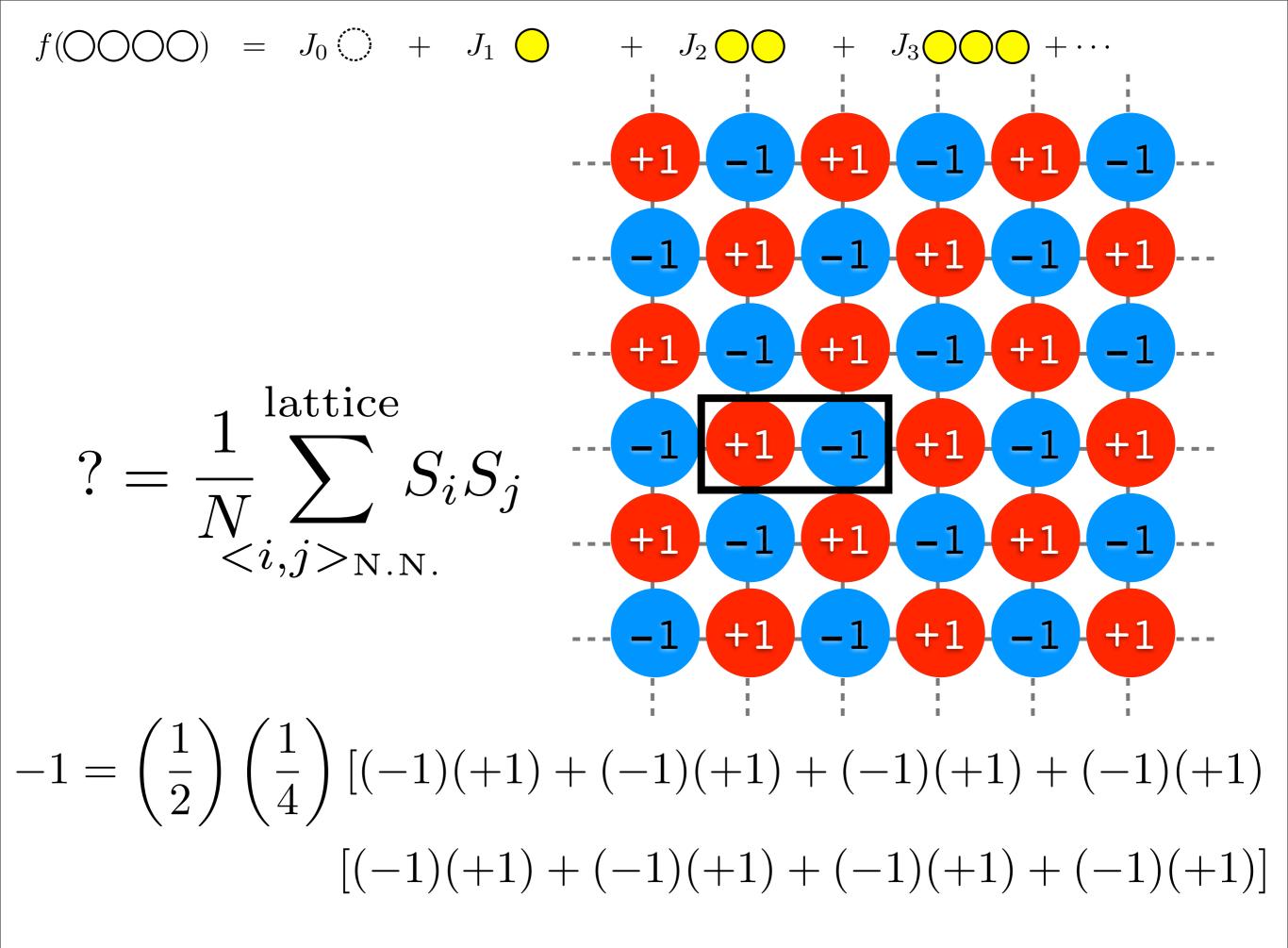


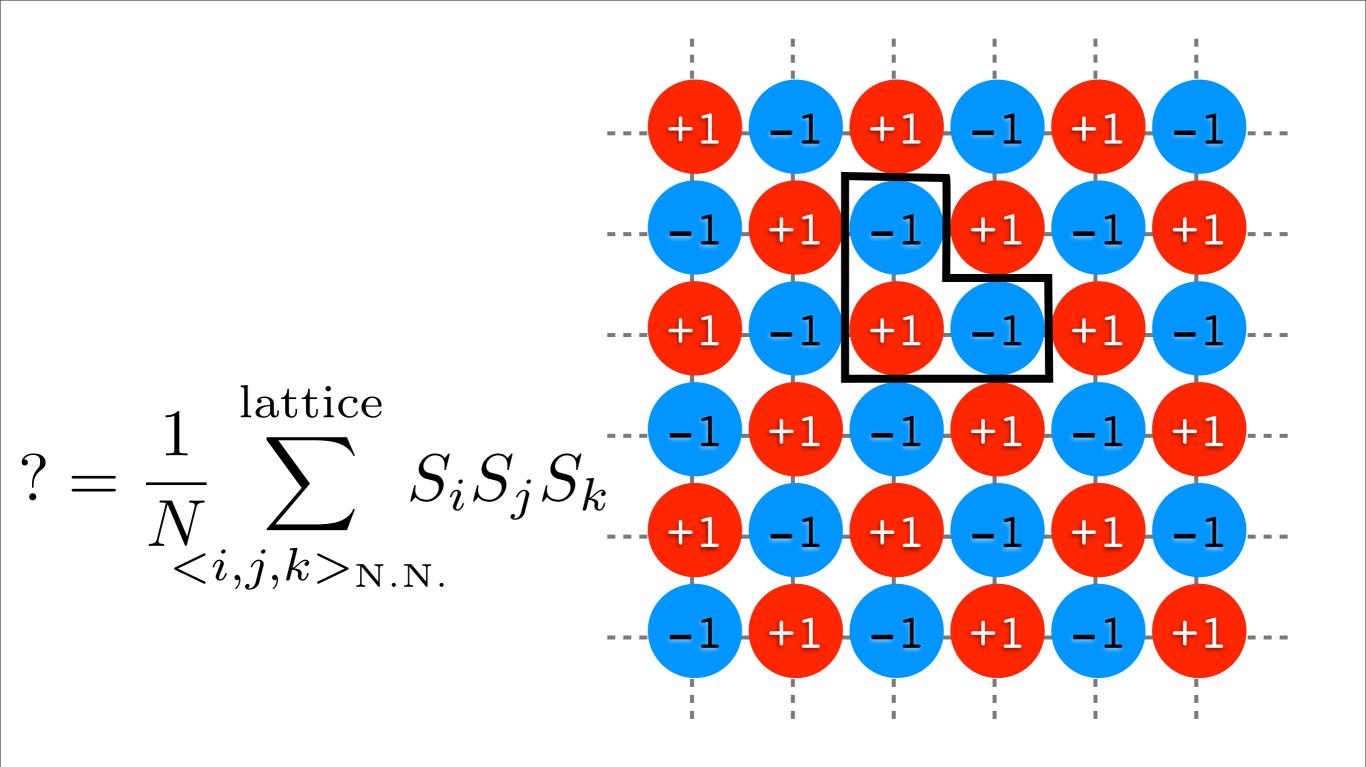


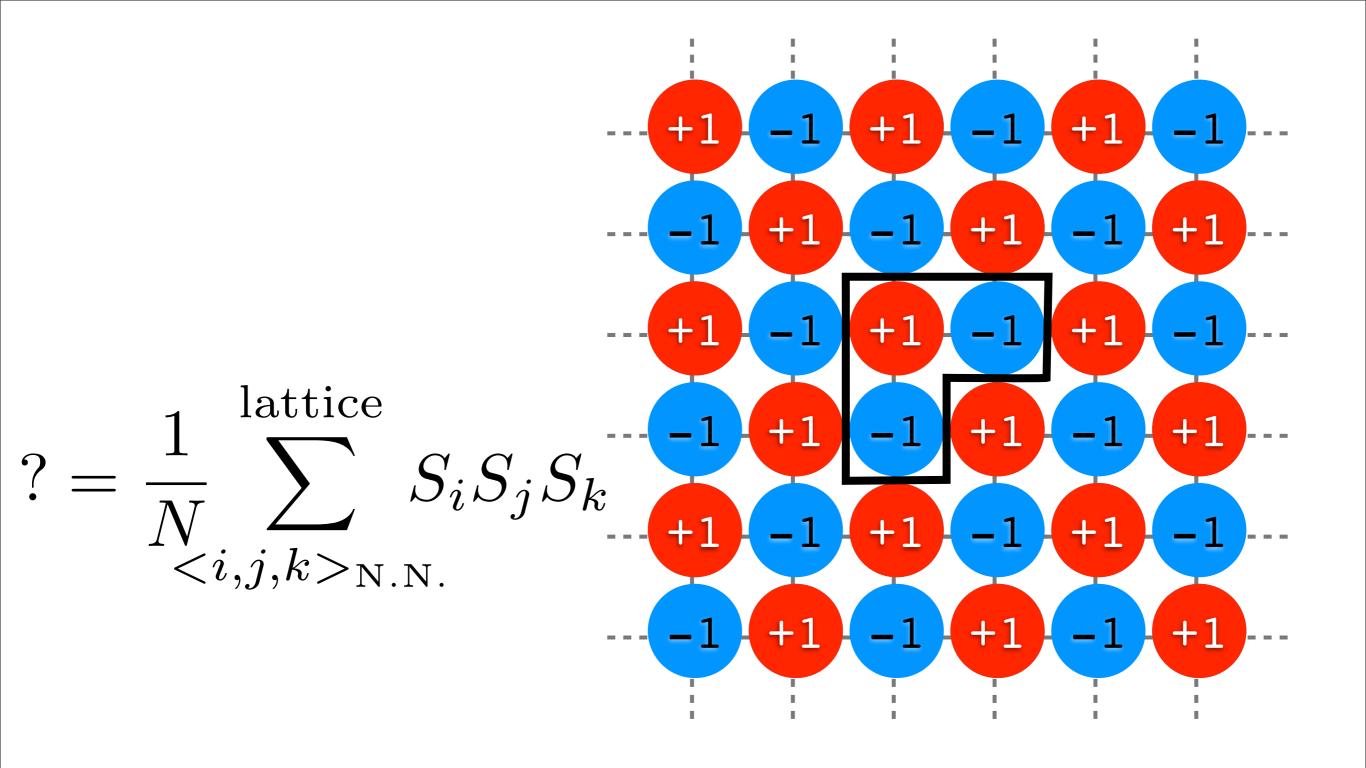


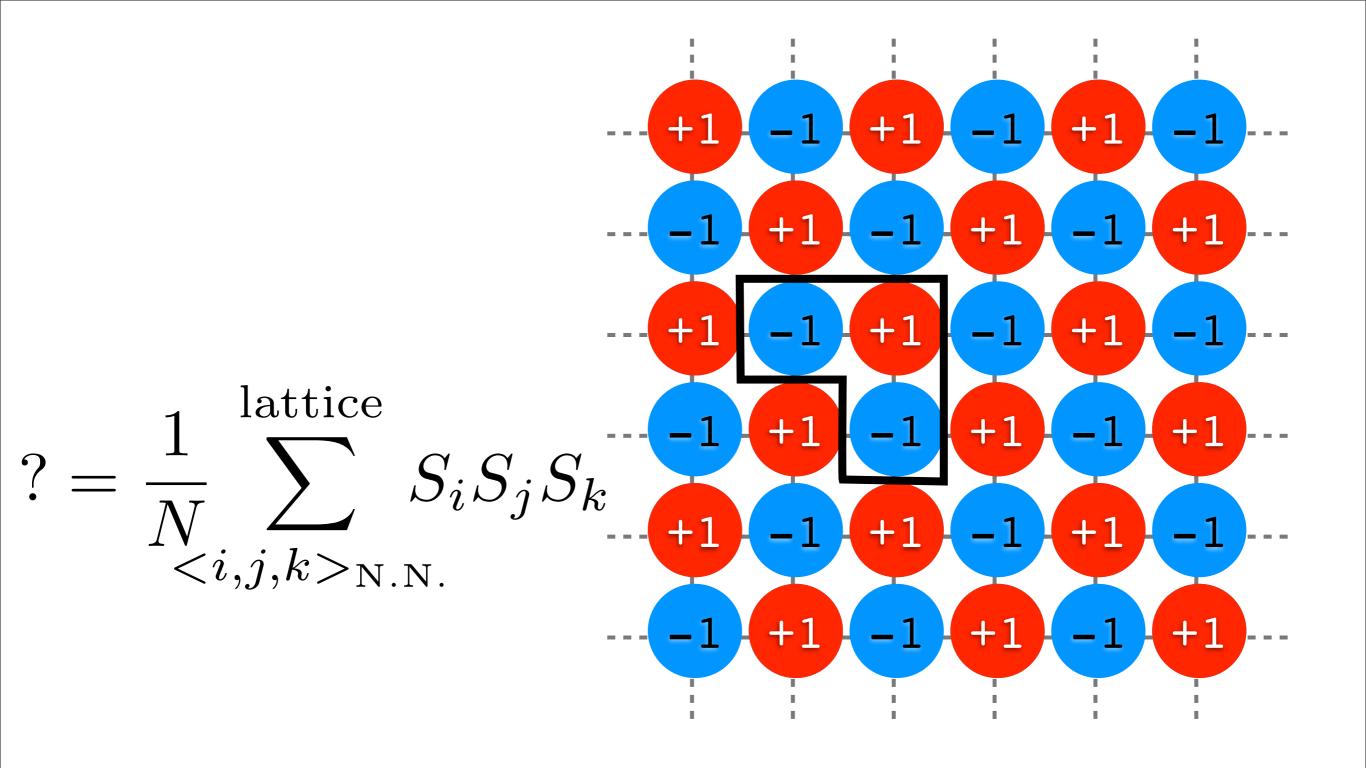


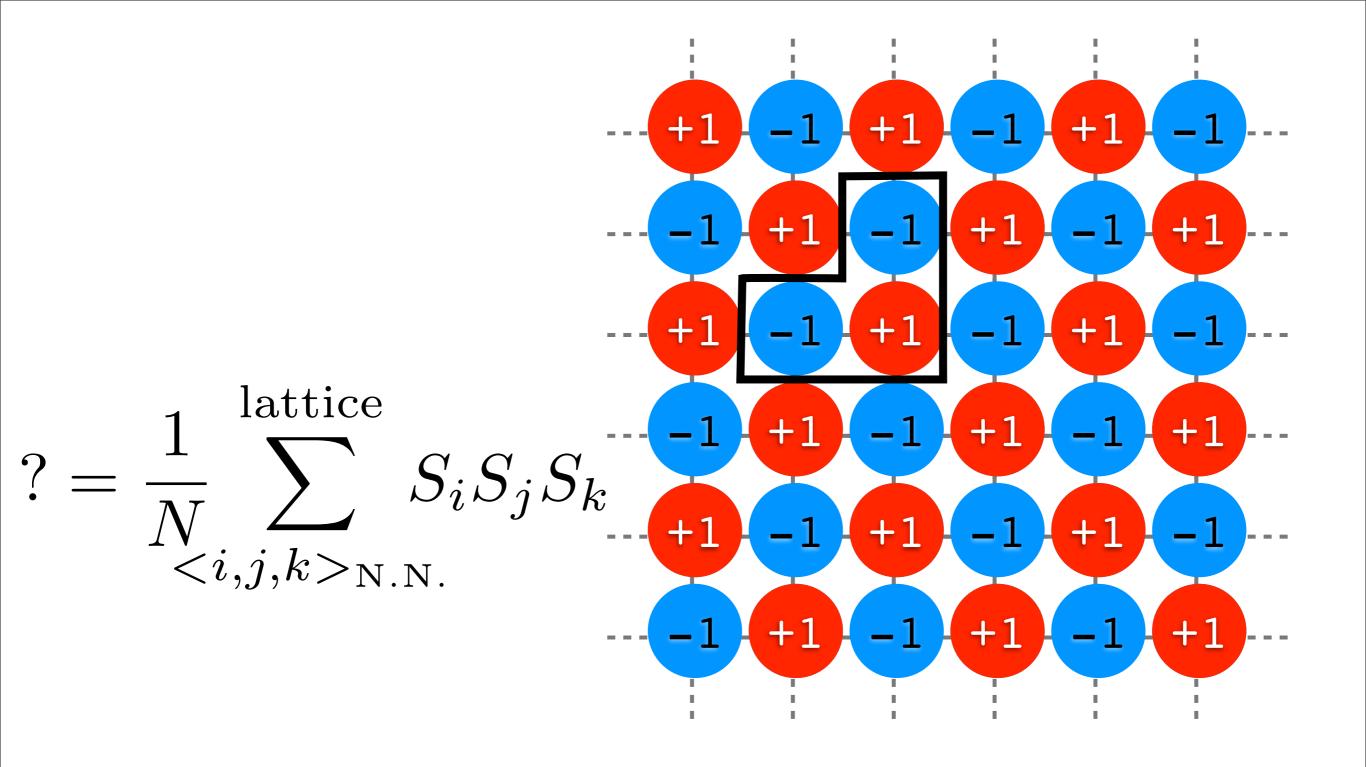


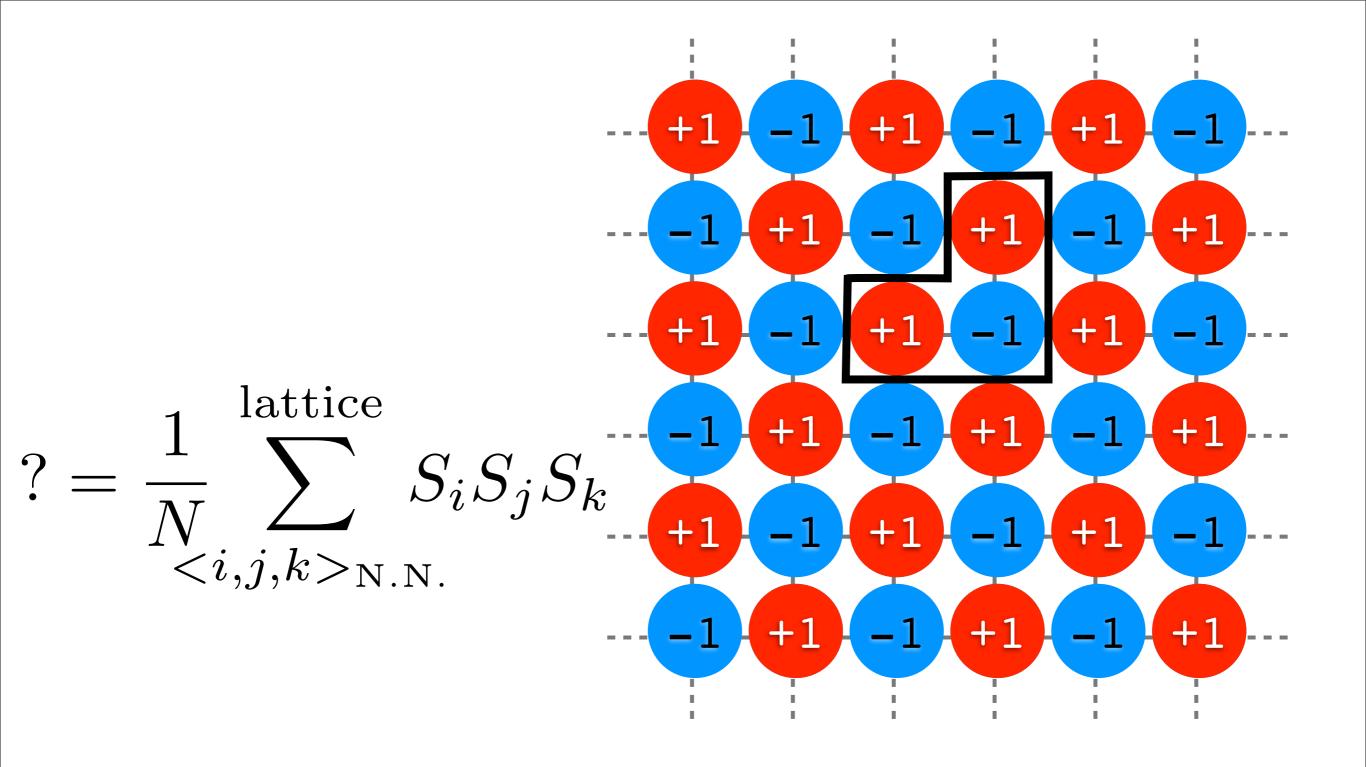


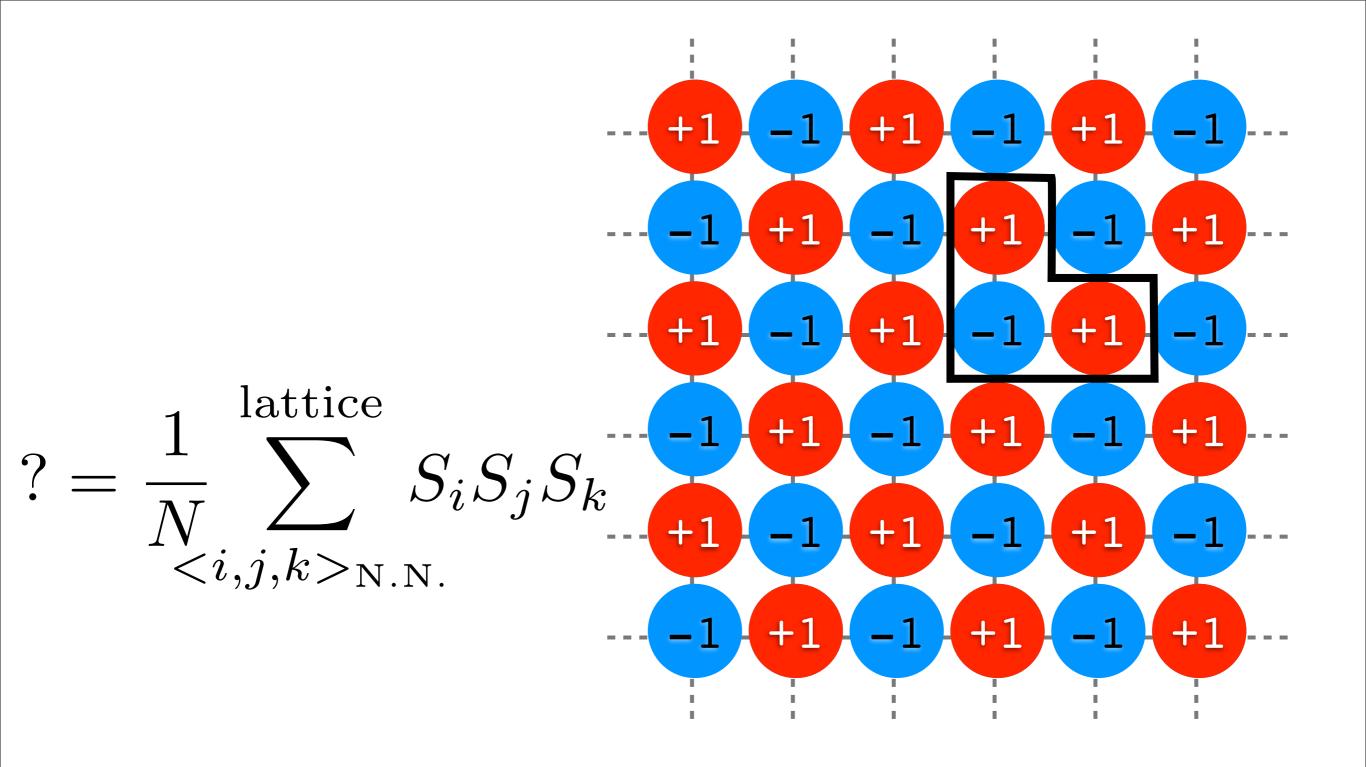


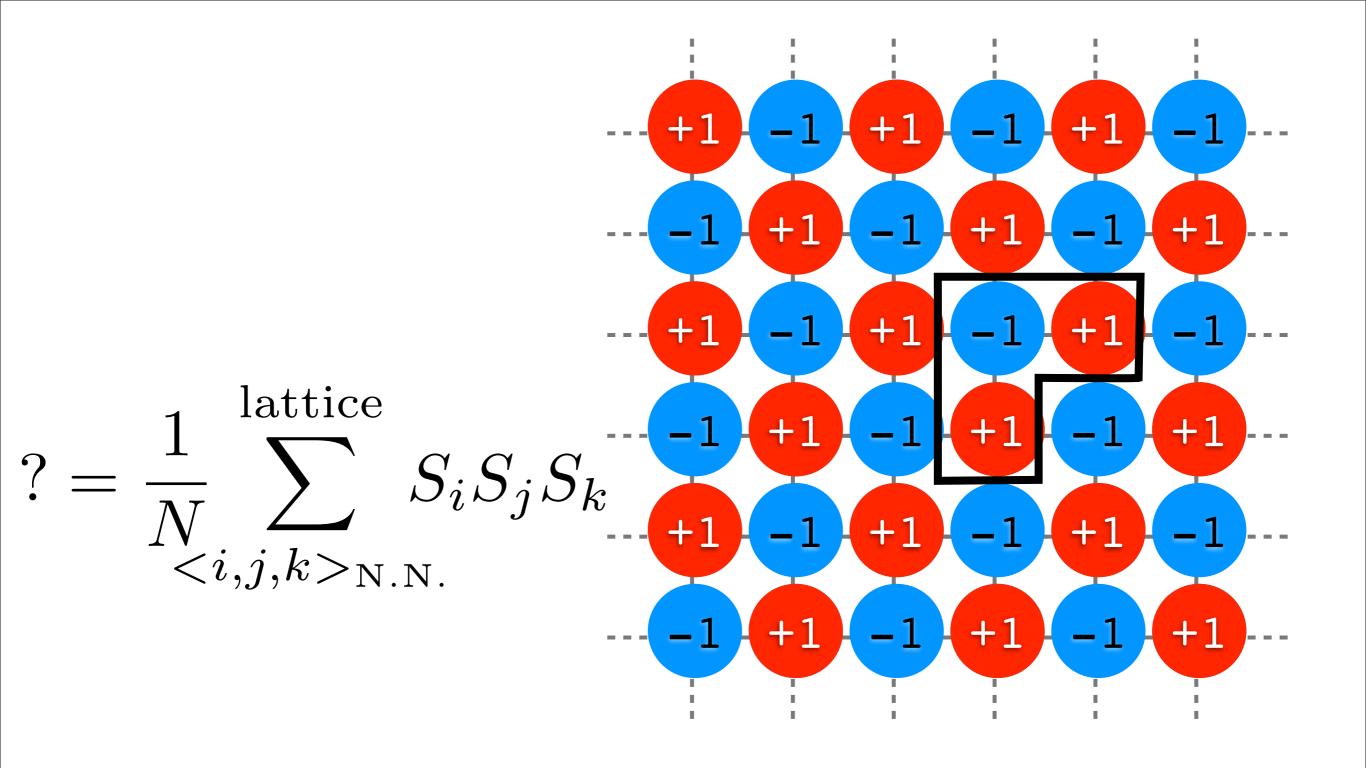


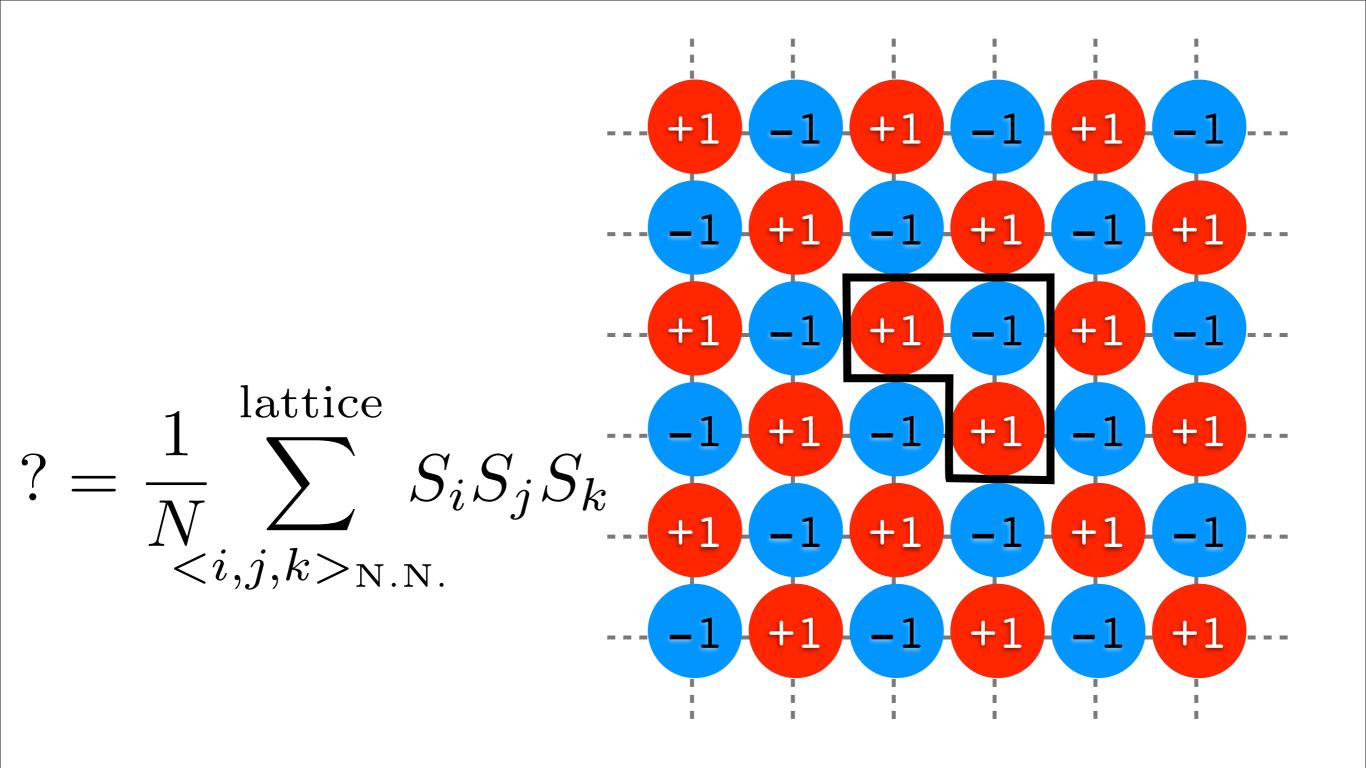


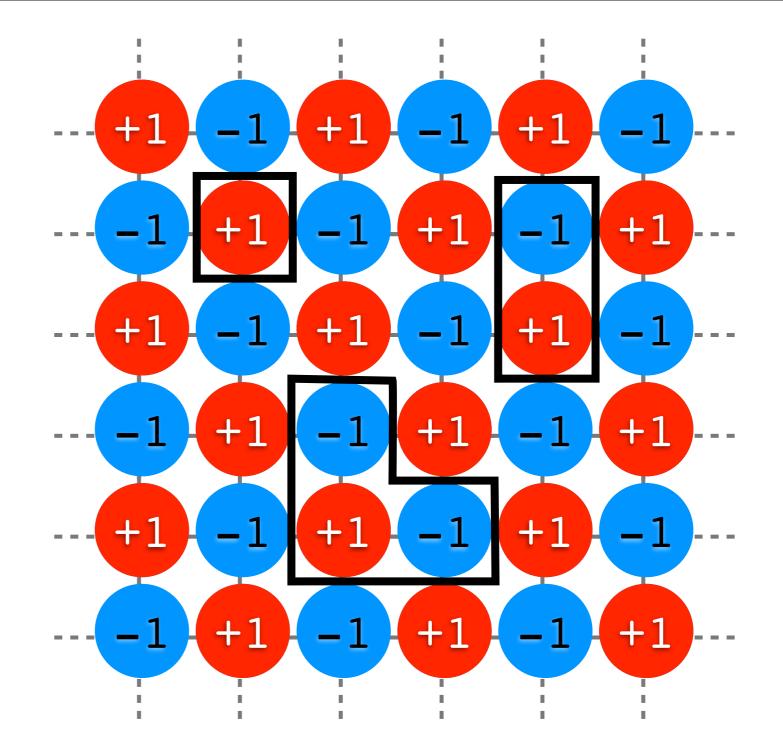




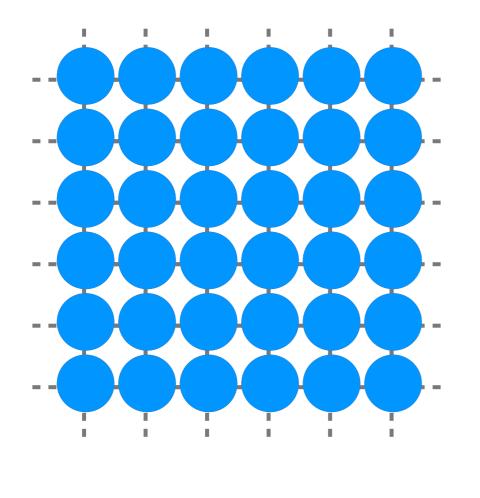


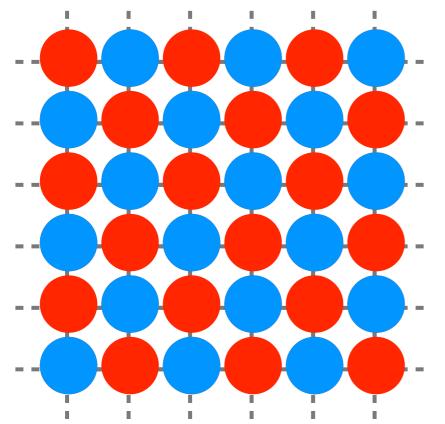


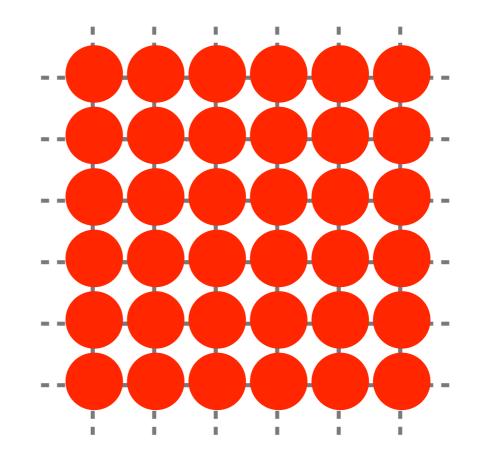


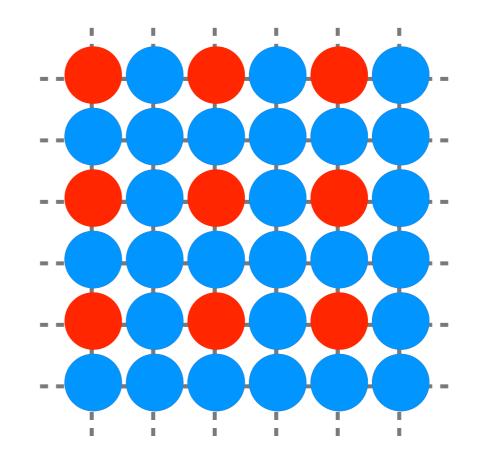


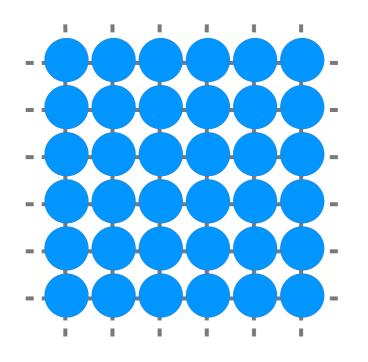
$(\bar{\Pi}_0, \bar{\Pi}_1, \bar{\Pi}_2, \bar{\Pi}_3) = (1, 0, -1, 0)$

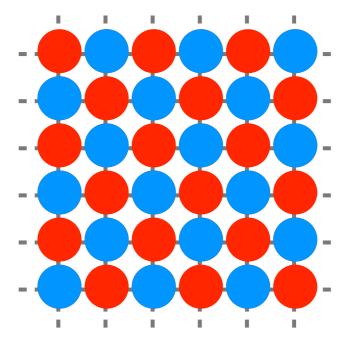


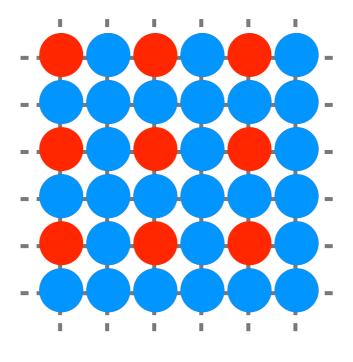


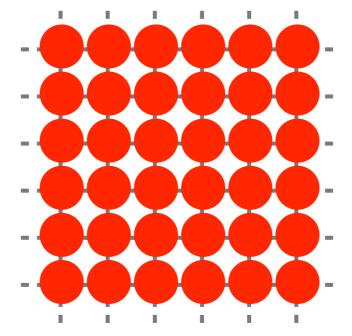


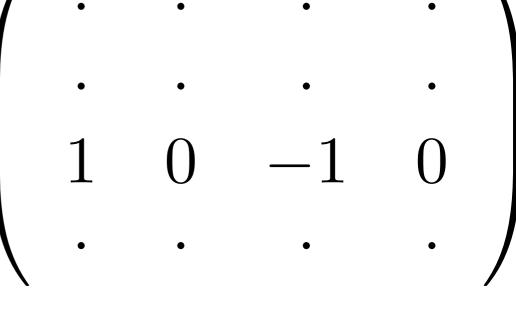






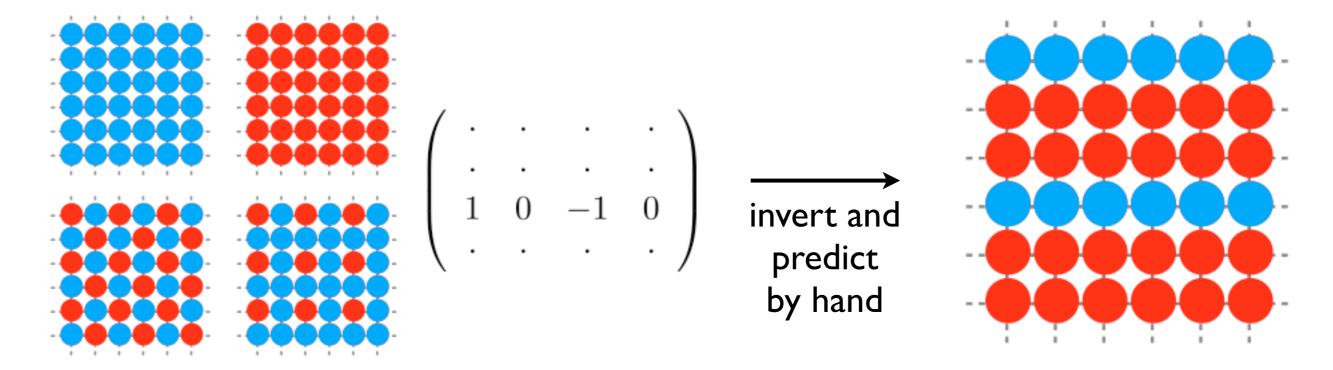






$$\begin{pmatrix} E_{1} \\ E_{2} \\ E_{3} \\ E_{4} \end{pmatrix} = \begin{pmatrix} \Pi_{1,1} & \Pi_{1,2} & \Pi_{1,3} & \Pi_{1,4} \\ \Pi_{2,1} & \Pi_{2,2} & \Pi_{2,3} & \Pi_{2,4} \\ \Pi_{3,1} & \Pi_{3,2} & \Pi_{3,3} & \Pi_{3,4} \\ \Pi_{4,1} & \Pi_{4,2} & \Pi_{4,3} & \Pi_{4,4} \end{pmatrix} \begin{pmatrix} J_{1} \\ J_{2} \\ J_{3} \\ J_{4} \end{pmatrix}$$

$$= \begin{pmatrix} \Pi_{1,1} & \Pi_{1,2} & \Pi_{1,3} & \Pi_{1,4} \\ \Pi_{2,1} & \Pi_{2,2} & \Pi_{2,3} & \Pi_{2,4} \\ \Pi_{3,1} & \Pi_{3,2} & \Pi_{3,3} & \Pi_{3,4} \\ \Pi_{4,1} & \Pi_{4,2} & \Pi_{4,3} & \Pi_{4,4} \end{pmatrix}^{-1} \begin{pmatrix} E_{1} \\ E_{2} \\ E_{3} \\ E_{4} \end{pmatrix}$$



... now do the same problem again but using UNCLE

... now do the same problem again but using UNCLE - and predict all structures up to four atoms

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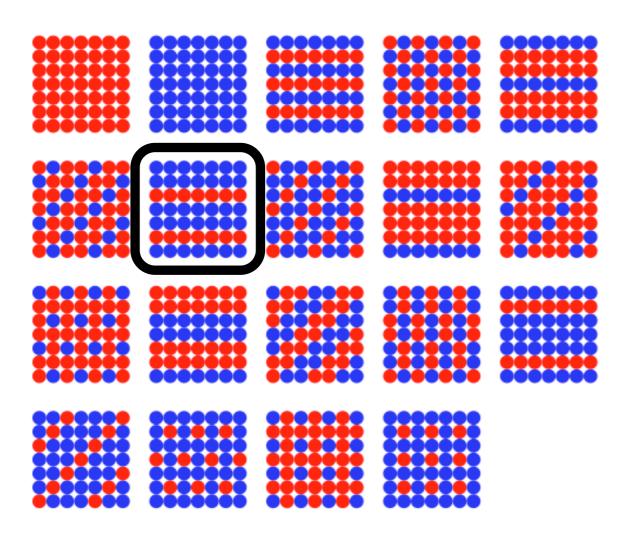
Matrix of $\overline{\Pi}$'s

1.000000	1.000000	1.000000	1.000000
1.000000	0.500000	0.500000	0.000000
1.000000	0.500000	0.000000	0.500000
1.000000	0.500000	0.000000	0.000000
1.000000	0.500000	0.000000	0.000000
1.000000	0.333333	0.333333	-0.333333
1.000000	0.333333	-0.333333	0.333333
1.000000	0.000000	0.500000	0.000000
1.000000	0.000000	0.000000	-1.000000
1.000000	0.000000	0.000000	0.000000
1.000000	0.000000	-0.500000	0.000000
1.000000	0.000000	-1.000000	1.000000
1.000000	-0.333333	0.333333	-0.333333
1.000000	-0.333333	-0.333333	0.333333
1.000000	-0.500000	0.500000	0.000000
1.000000	-0.500000	0.000000	0.000000
1.000000	-0.500000	0.000000	0.000000
1.000000	-0.500000	0.000000	0.500000
1.000000	-1.000000	1.000000	1.000000

... now do the same problem again but using UNCLE - and predict all structures up to four atoms

Matrix of $\overline{\Pi}$'s

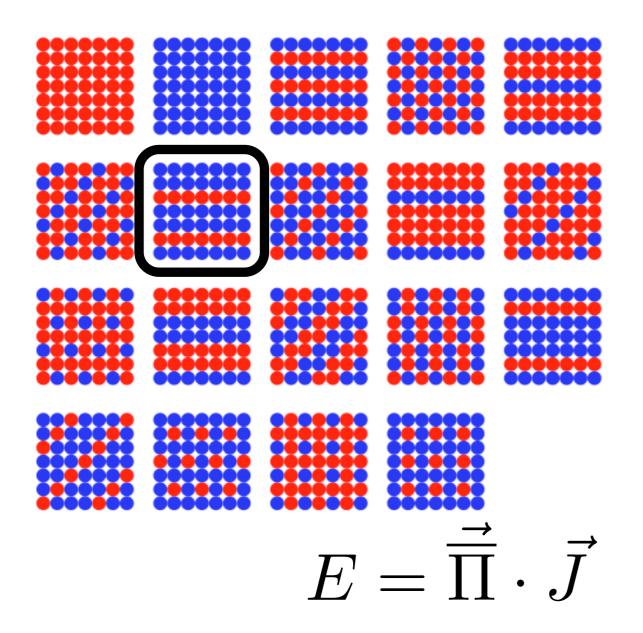
$\begin{array}{c} 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\end{array}$	$\begin{array}{c} 1.000000\\ 0.500000\\ 0.500000\\ 0.500000\\ 0.500000\\ 0.500000\\ 0.333333\end{array}$	$\begin{array}{c} 1.000000\\ 0.500000\\ 0.000000\\ 0.000000\\ 0.000000\\ 0.000000\\ 0.33333\end{array}$	$ \begin{array}{c} 1.000000\\ 0.000000\\ 0.500000\\ 0.000000\\ 0.000000\\ 0.000000\\ -0.333333 \end{array} $
1.000000	0.333333	-0.333333	0.333333
1.000000	0.00000	0.500000	0.000000
1.000000	0.000000	0.000000	-1.000000
1.000000	0.000000	0.000000	0.000000
1.000000	0.000000	-0.500000	0.000000
1.000000	0.000000	-1.000000	1.000000
1.000000	-0.333333	0.333333	-0.333333
1.000000	-0.333333	-0.333333	0.333333
1.000000	-0.500000	0.500000	0.000000
1.000000	-0.500000	0.000000	0.000000
1.000000	-0.500000	0.000000	0.000000
1.000000	-0.500000	0.000000	0.500000
1.000000	-1.000000	1.000000	1.000000

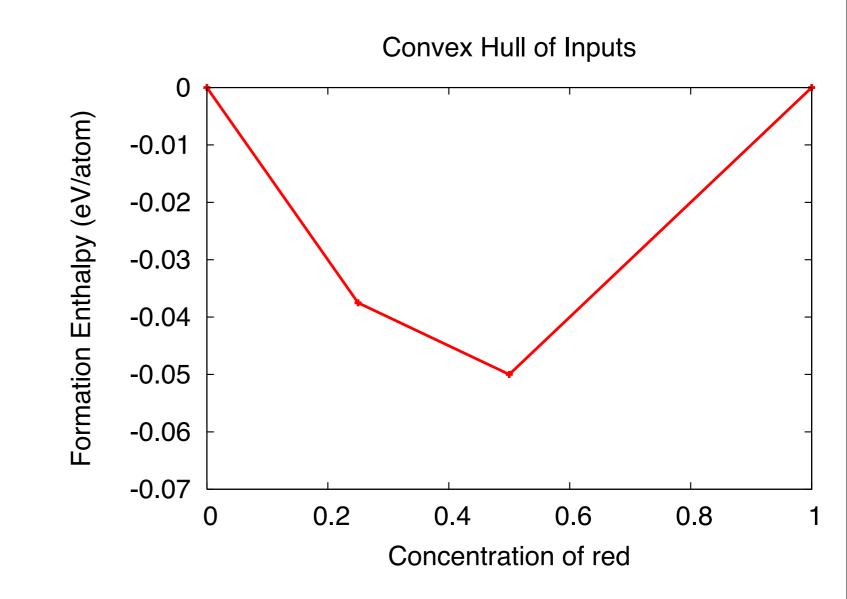


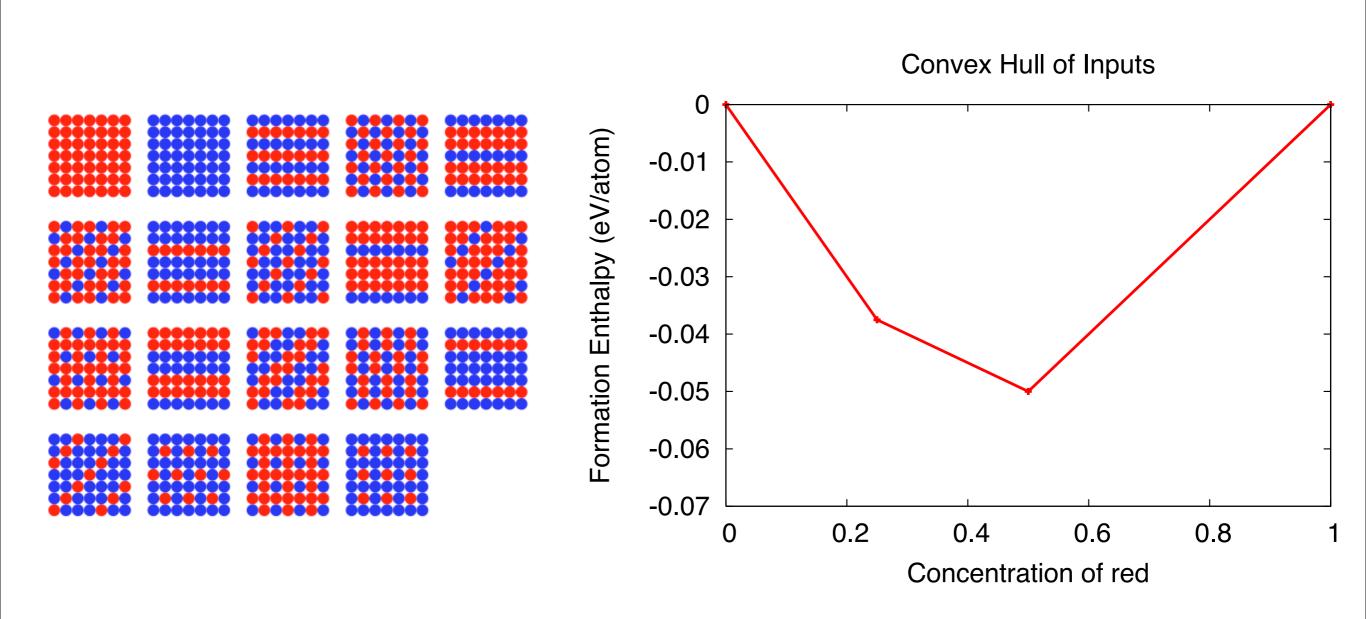
... now do the same problem again but using UNCLE - and predict all structures up to four atoms

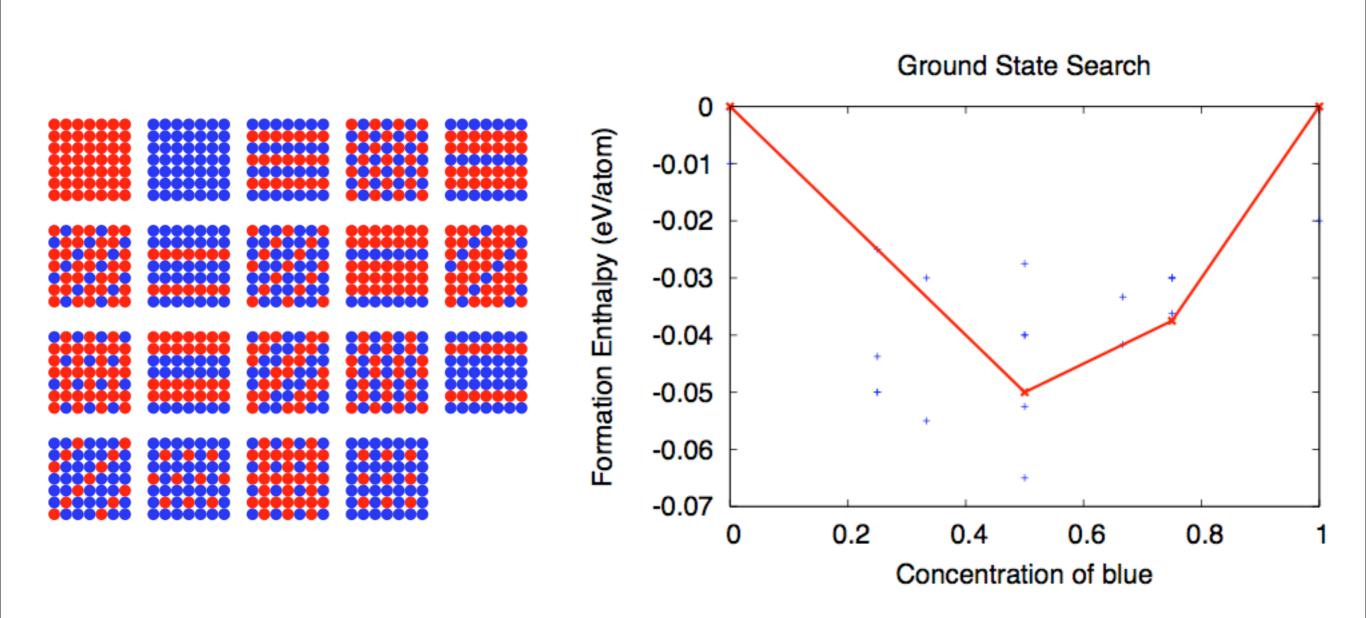
Matrix of $\overline{\Pi}$'s

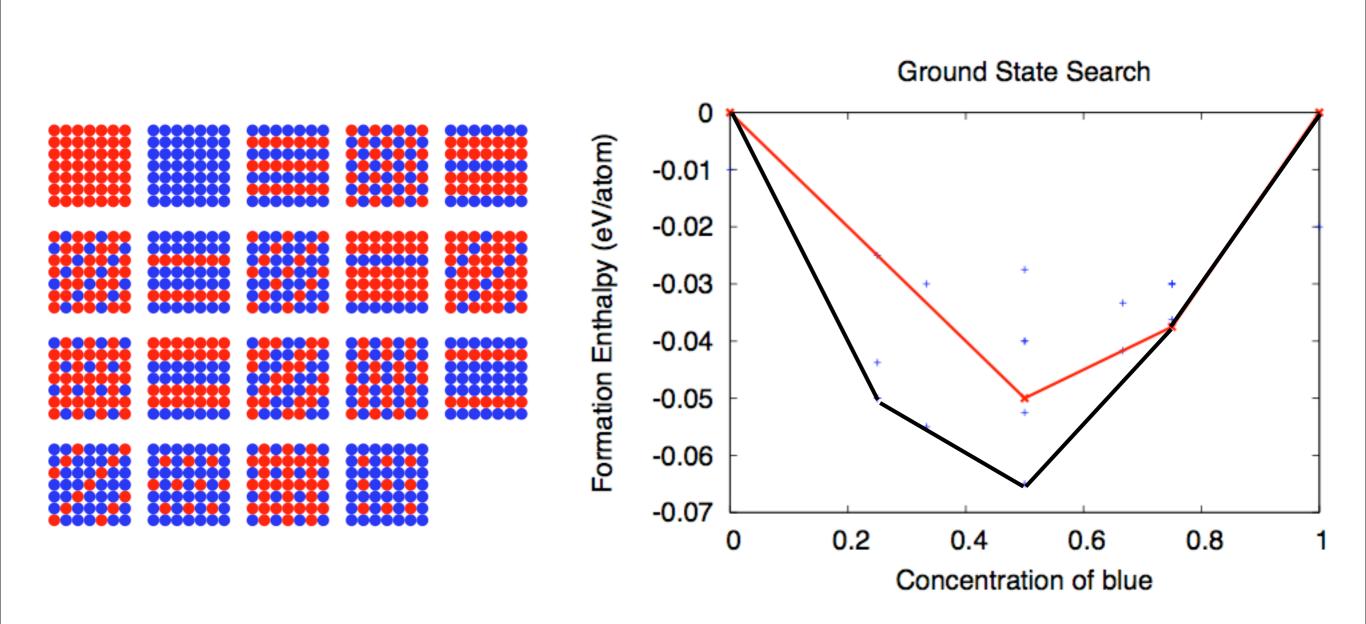
$\begin{array}{c} 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ 1 . 000000\\ \end{array}$	$ \begin{array}{c} 1.000000\\ 0.500000\\ 0.500000\\ 0.500000\\ 0.500000\\ 0.500000\\ 0.333333\\ 0.333333 \end{array} $	$ \begin{array}{c} 1.000000\\ 0.500000\\ 0.000000\\ 0.000000\\ 0.000000\\ 0.333333\\ -0.333333 \end{array} $	$ \begin{array}{c} 1.000000\\ 0.000000\\ 0.500000\\ 0.000000\\ 0.000000\\ 0.000000\\ -0.333333\\ 0.333333 \end{array} $
$ \begin{array}{c} 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 1.000000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.000\\ 0.000\\ 0.0000\\ 0.0000\\ 0.0000\\ 0.000\\ 0$	$\begin{array}{c} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 &$	$\begin{array}{c} 0 & . & 5 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 \\ - & 0 & . & 5 & 0 & 0 & 0 & 0 \\ 0 & . & 3 & 3 & 3 & 3 & 3 \\ 0 & . & 3 & 3 & 3 & 3 & 3 \\ 0 & . & 3 & 3 & 3 & 3 & 3 \\ 0 & . & 3 & 3 & 3 & 3 & 3 & 3 \\ 0 & . & 3 & 3 & 3 & 3 & 3 & 3 \\ 0 & . & 5 & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & . & 0 & 0 & 0 & 0 & 0 & 0 \end{array}$	$\begin{array}{c} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 &$

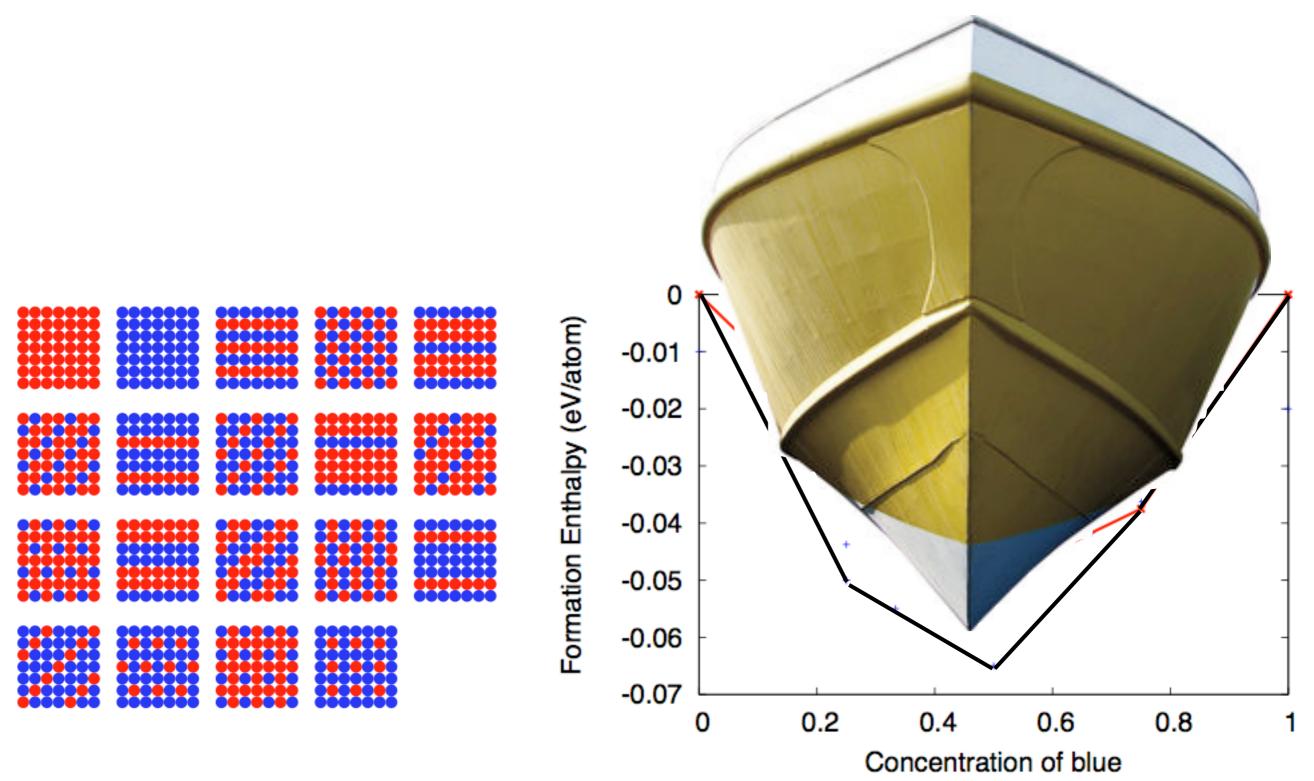


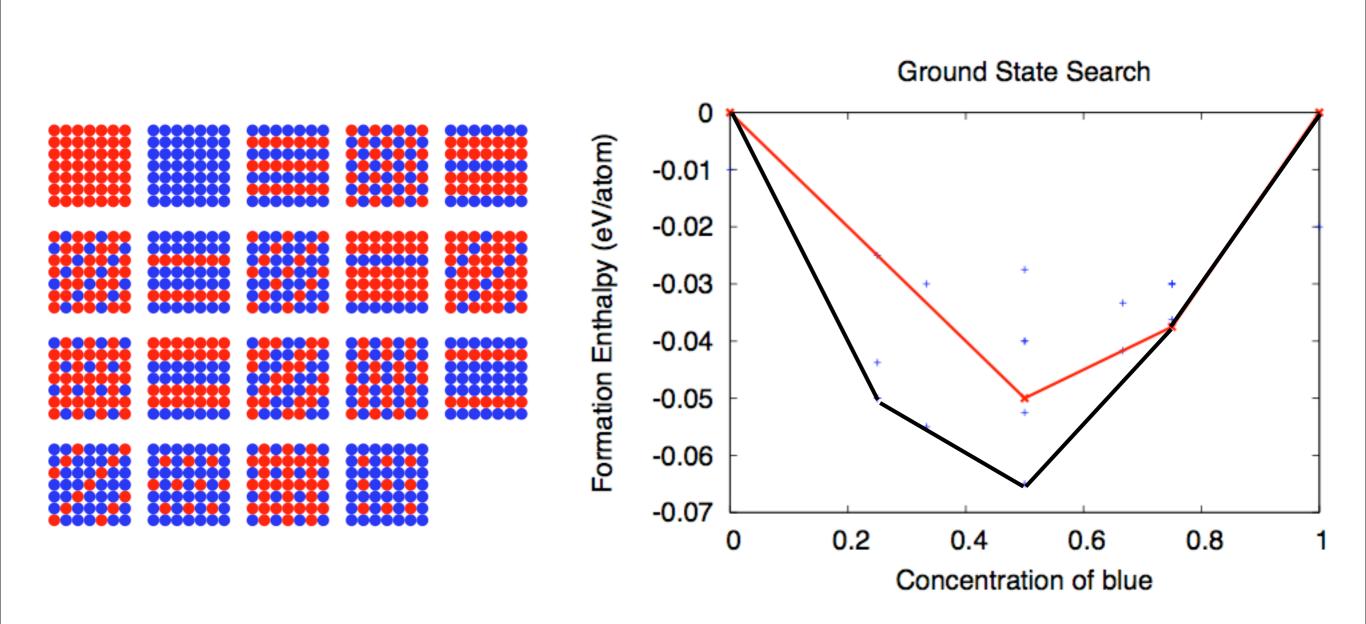








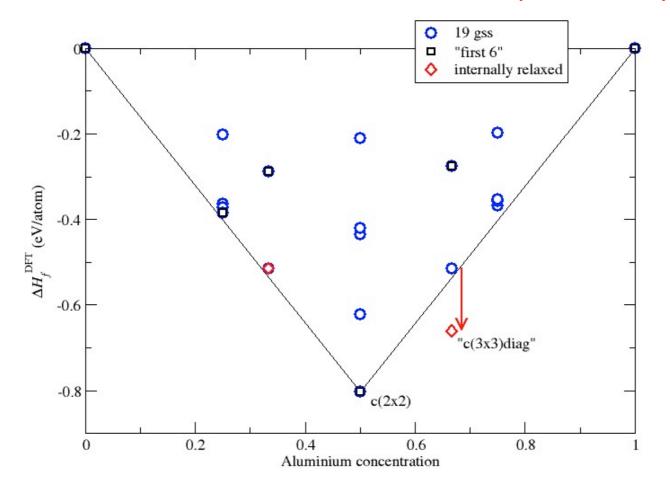




Repeat cluster expansion with real data

Predict ground state line, unrelaxed

Compute four DFT-LDA structures (3 atoms), relaxed!



Find optimum CE based on first 8 relaxed structures, predict remaining 19!

Problem V (remaining time): Order-disorder transitions

Repeat two cluster expansions: nearest-neighbour only vs. optimum (19 DFT input structures)

Predict ground states for both

Monte Carlo temperature schedules for both CE's, different unit cells, 50 %:

Monte Carlo temperature schedules for both CE's, different unit cells, 80% (Ni-rich): Phase separation?

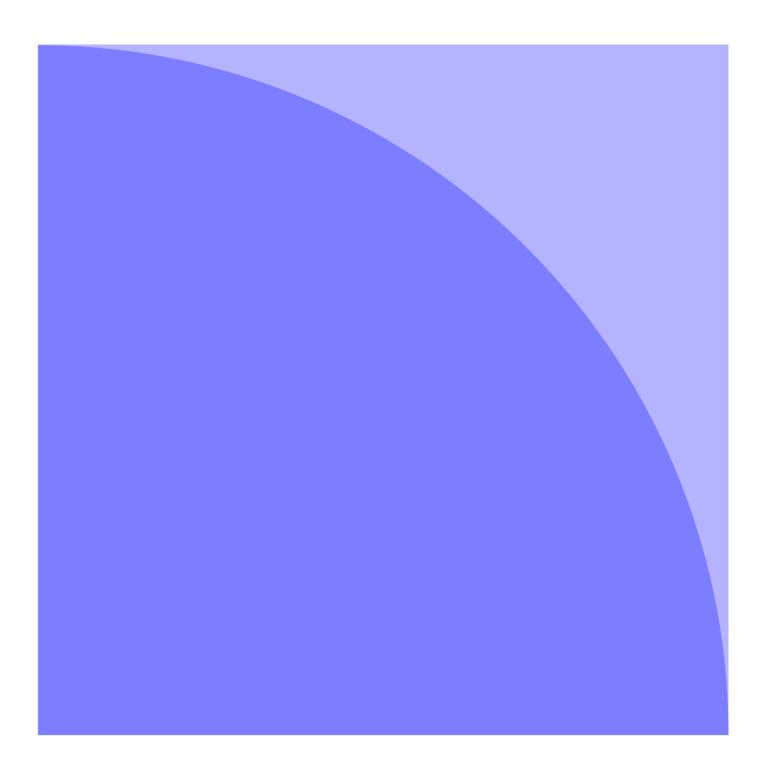
Use random numbers to...

Find the thermodynamic equilibrium of a system as a function of temperature.

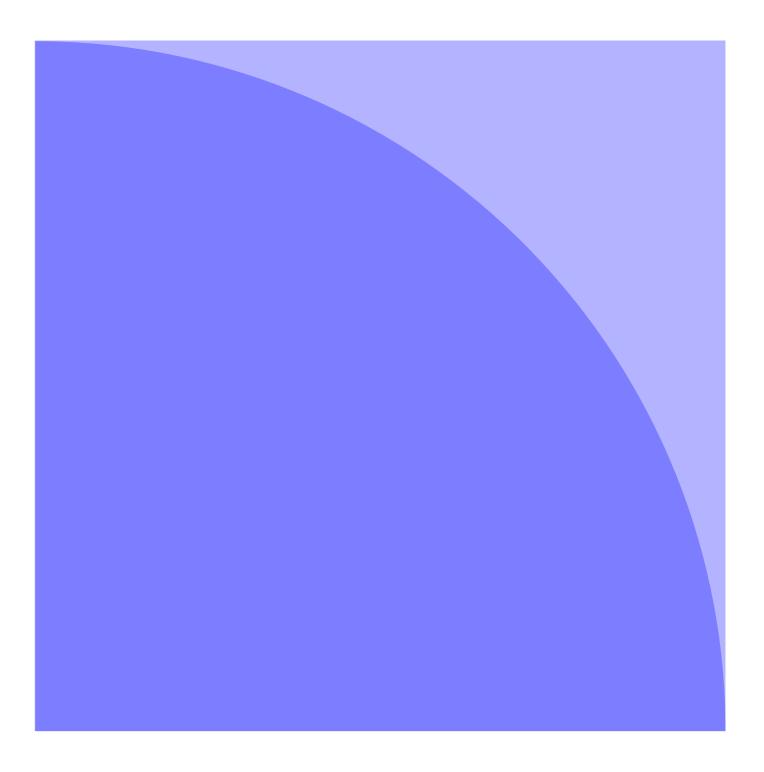
Use random numbers to...

Find the thermodynamic equilibrium of a system as a function of temperature.

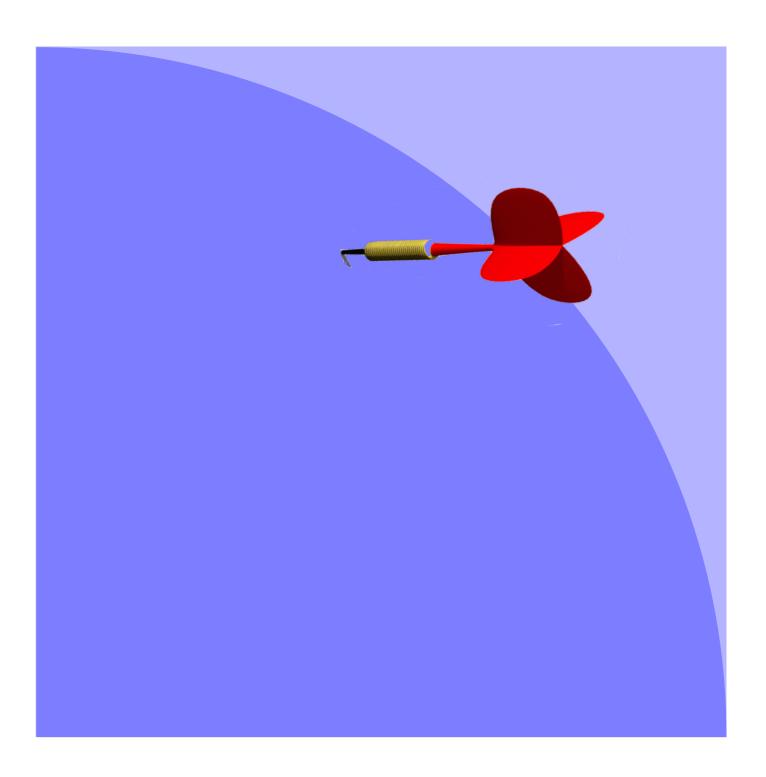
- Is a material magnetic at a given T?
- Is a material ordered (stronger) at a given T?



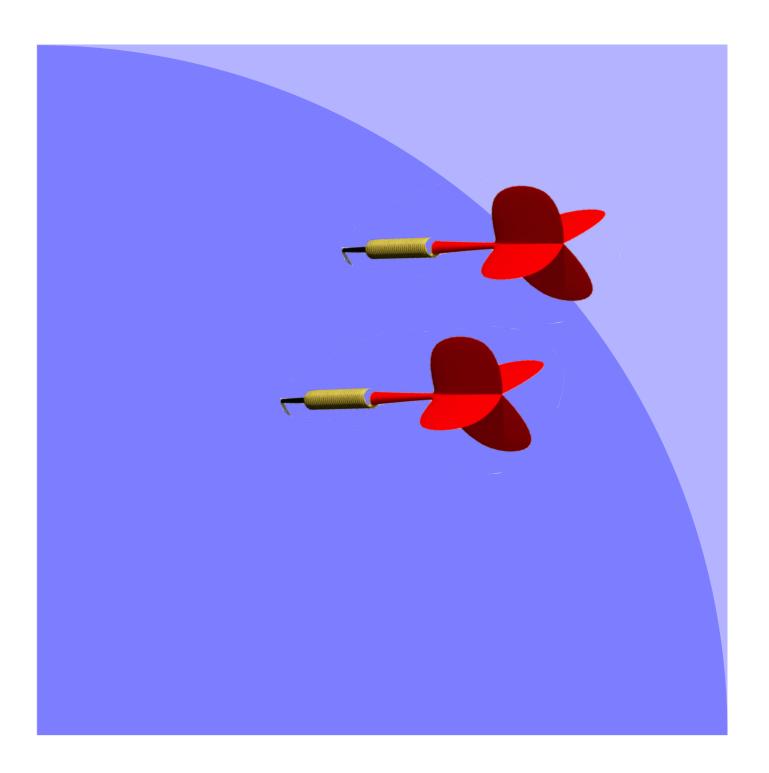
$$\frac{A_{\text{circle}}}{A_{\text{square}}} = \frac{\pi}{4}$$



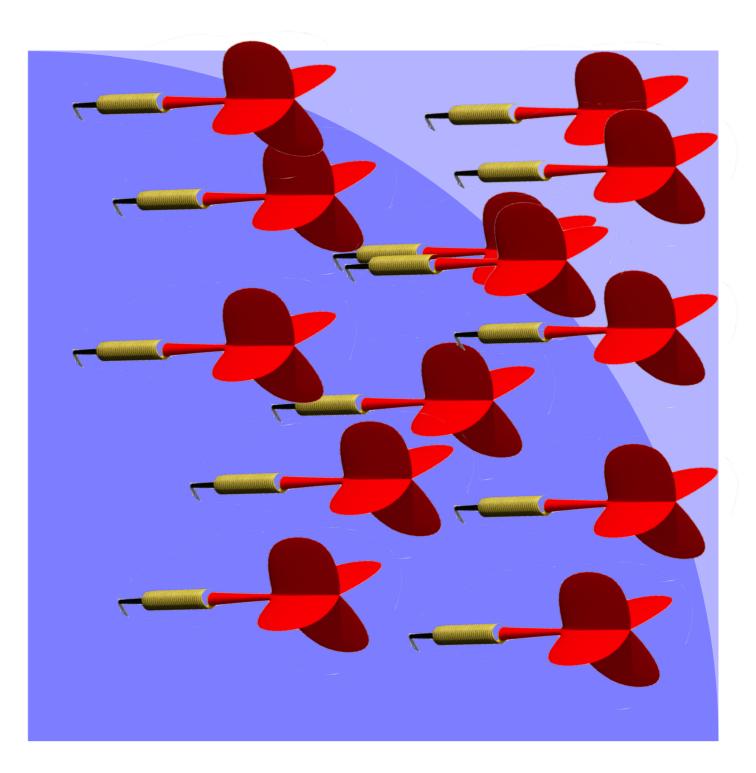
 A_{circle} π A_{square} -_____



 A_{circle} π \overline{A}_{square} Δ

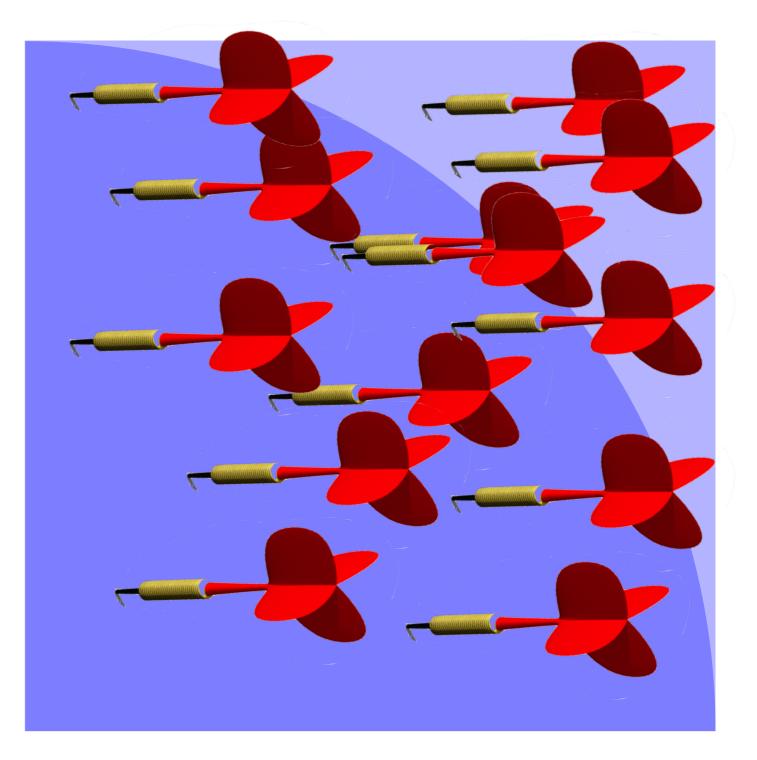


 A_{circle} π A_{square} Δ



$$\frac{A_{\text{circle}}}{A_{\text{square}}} = \frac{\pi}{4}$$

$$\frac{N_{\rm circle}}{N_{\rm square}} \approx \frac{\pi}{4}$$



Find the thermodynamic equilibrium of a system as a function of temperature.

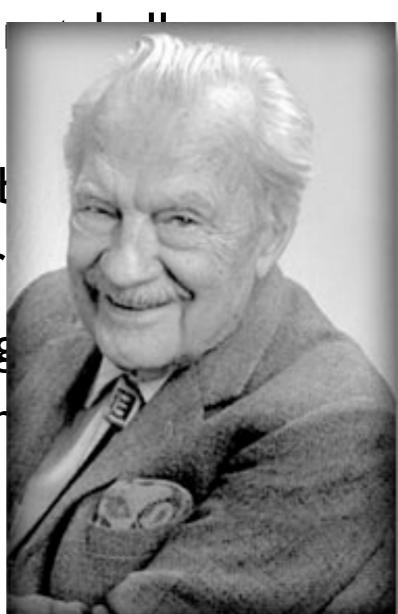
- Is a material magnetic at a given T?
- Is a material ordered (stronger) at a given T?

Metropolis algorithm:

Find the thermodynamic equilit system as a function of temper

- Is a material magnetic at a g
- Is a material ordered (stror

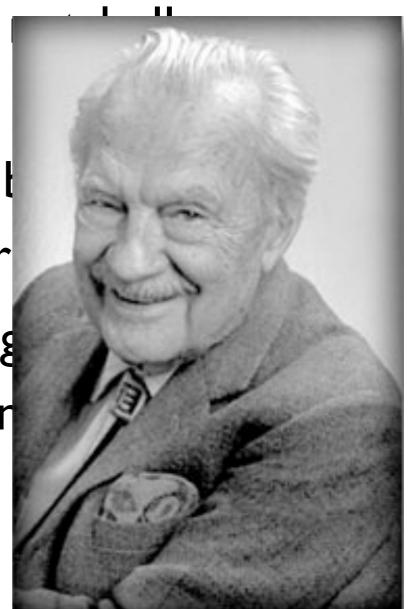
Metropolis algorithm:



Find the thermodynamic equilit system as a function of temper

- Is a material magnetic at a g
- Is a material ordered (stror

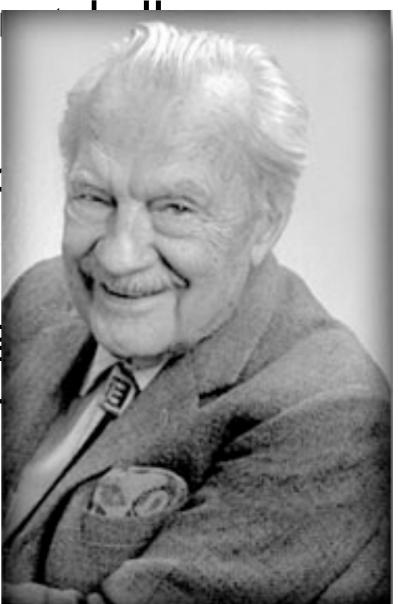




- Choose a new configuration, compute ΔE
- If $\Delta E \leq 0$, keep it
- If $\Delta E > 0$, keep it only if $\exp \Delta E/kT > r$

Find the thermodynamic equilit system as a function of temper

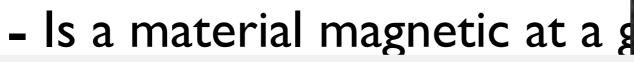
- Is a material magnetic at a g
- Is a material ordered (stror



Metropolis algorithm: At random

- Choose a new configuration, compute ΔE
- If $\Delta E \leq 0$, keep it
- If $\Delta E > 0$, keep it only if $\exp \Delta E/kT > r$

Find the thermodynamic equilit system as a function of temper





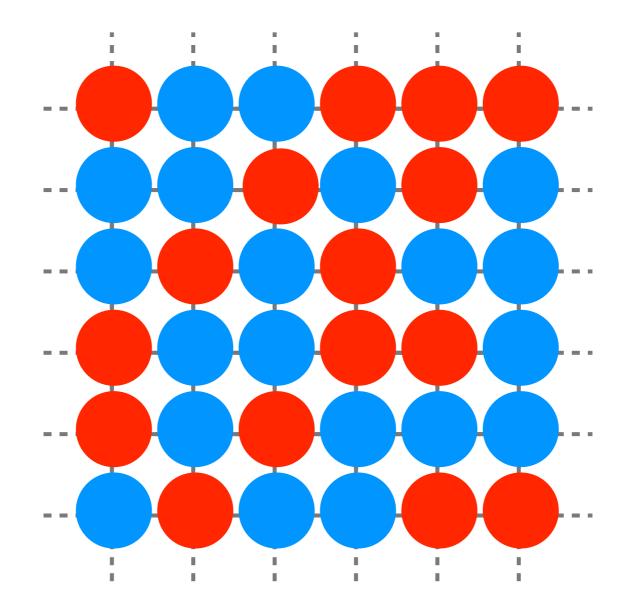
Collection of states: Boltzmann distribution



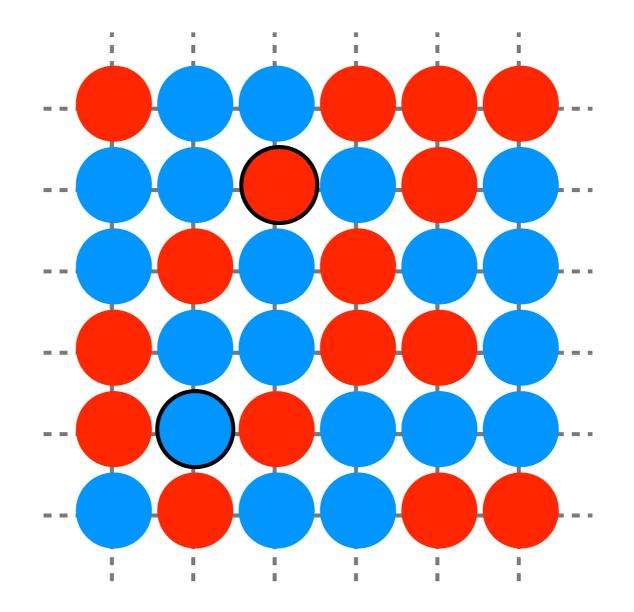
Metropolis algorithm: At random

• Choose a new configuration, compute ΔE

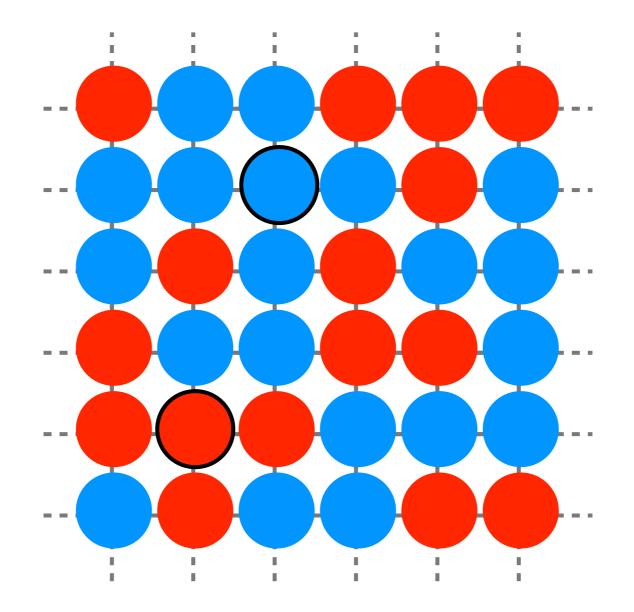
- If $\Delta E \leq 0$, keep it
- If $\Delta E > 0$, keep it only if $\exp \Delta E/kT > r$



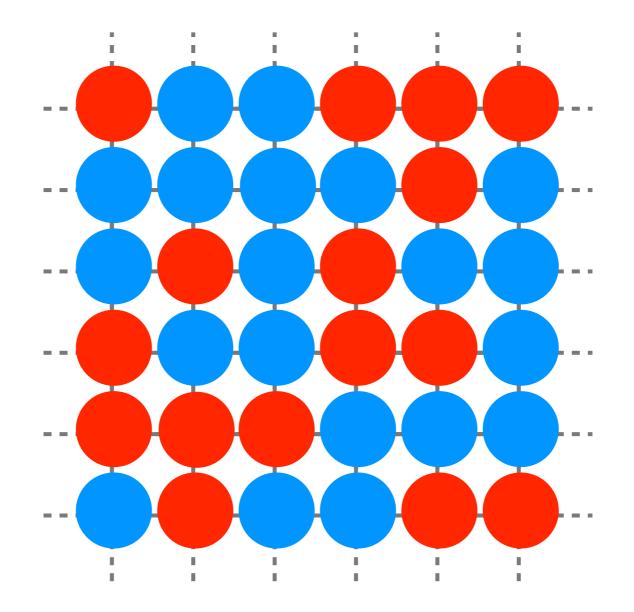
- Choose a new configuration, compute ΔE
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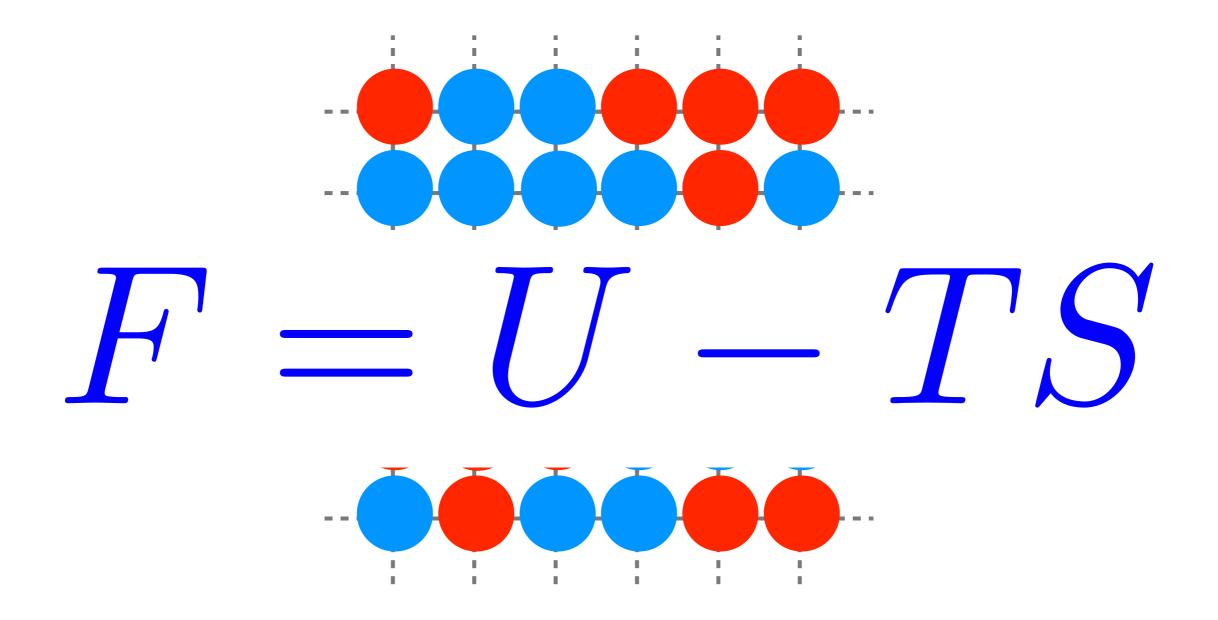
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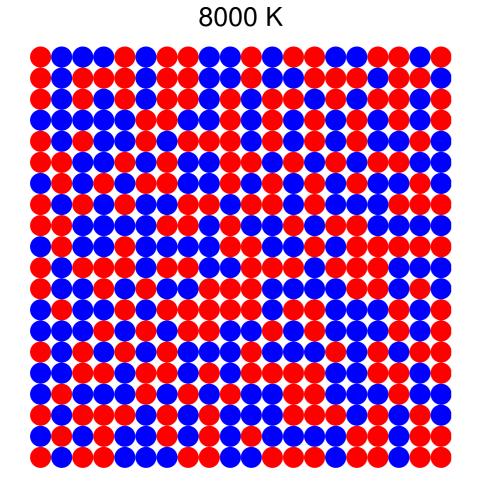
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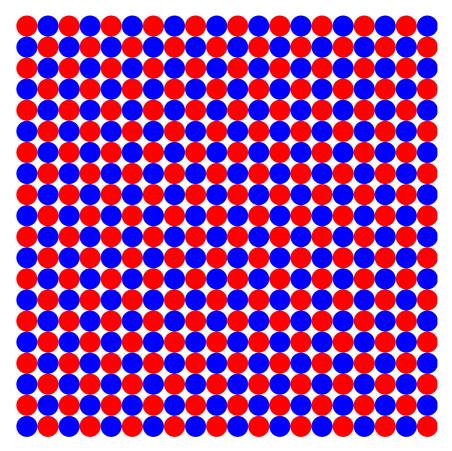
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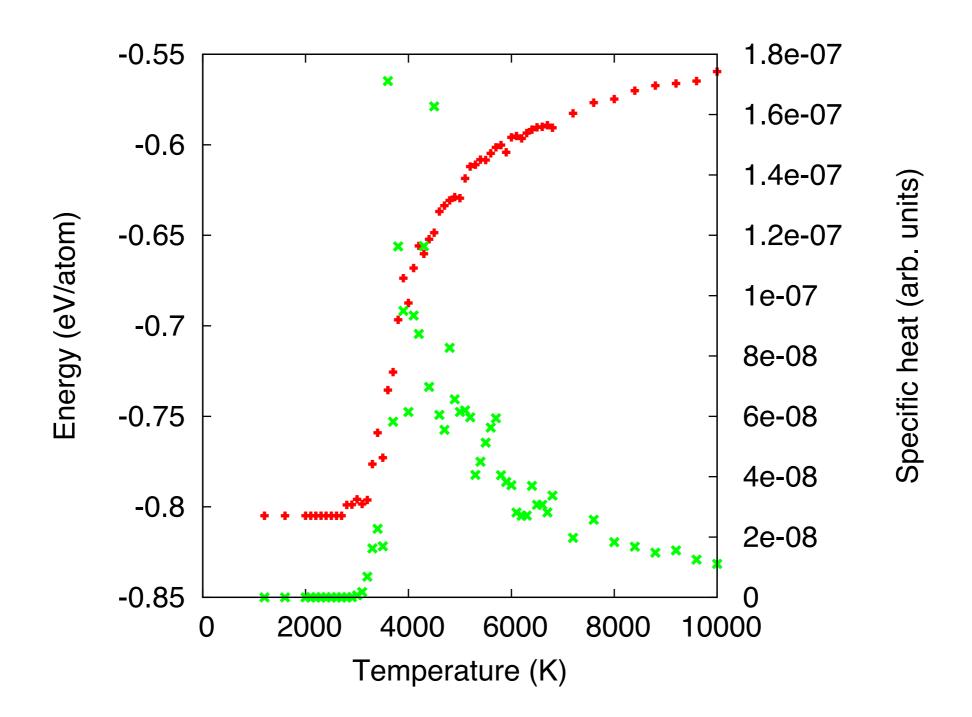


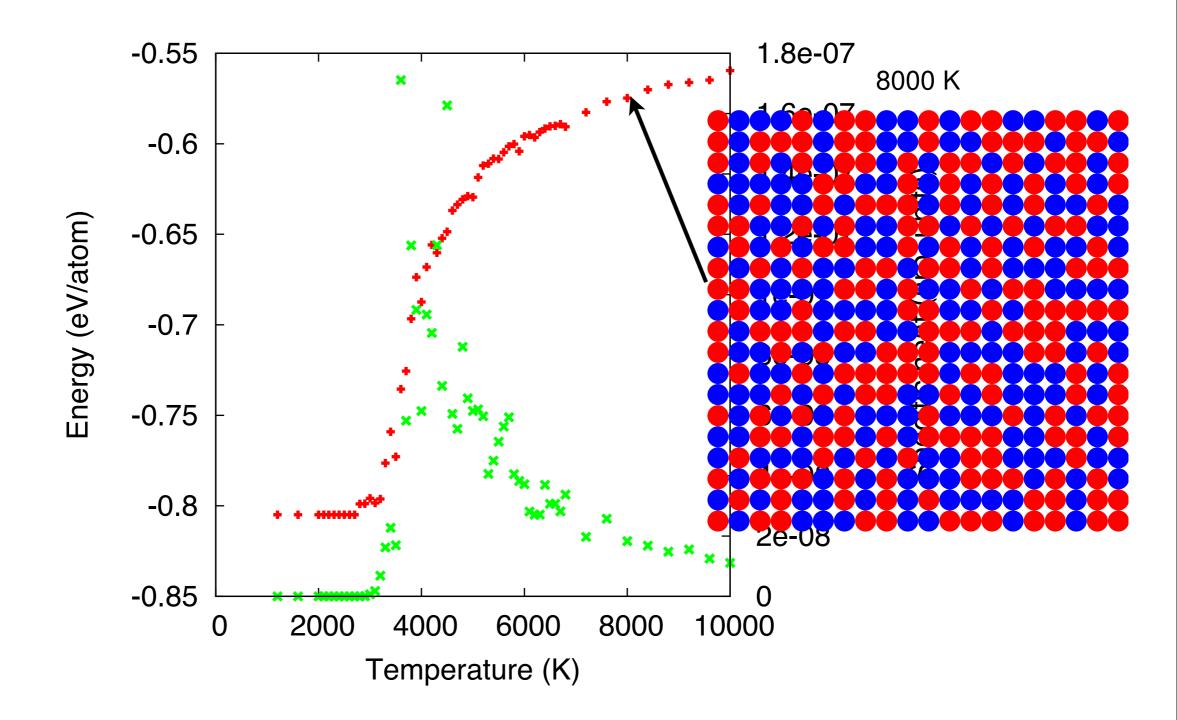
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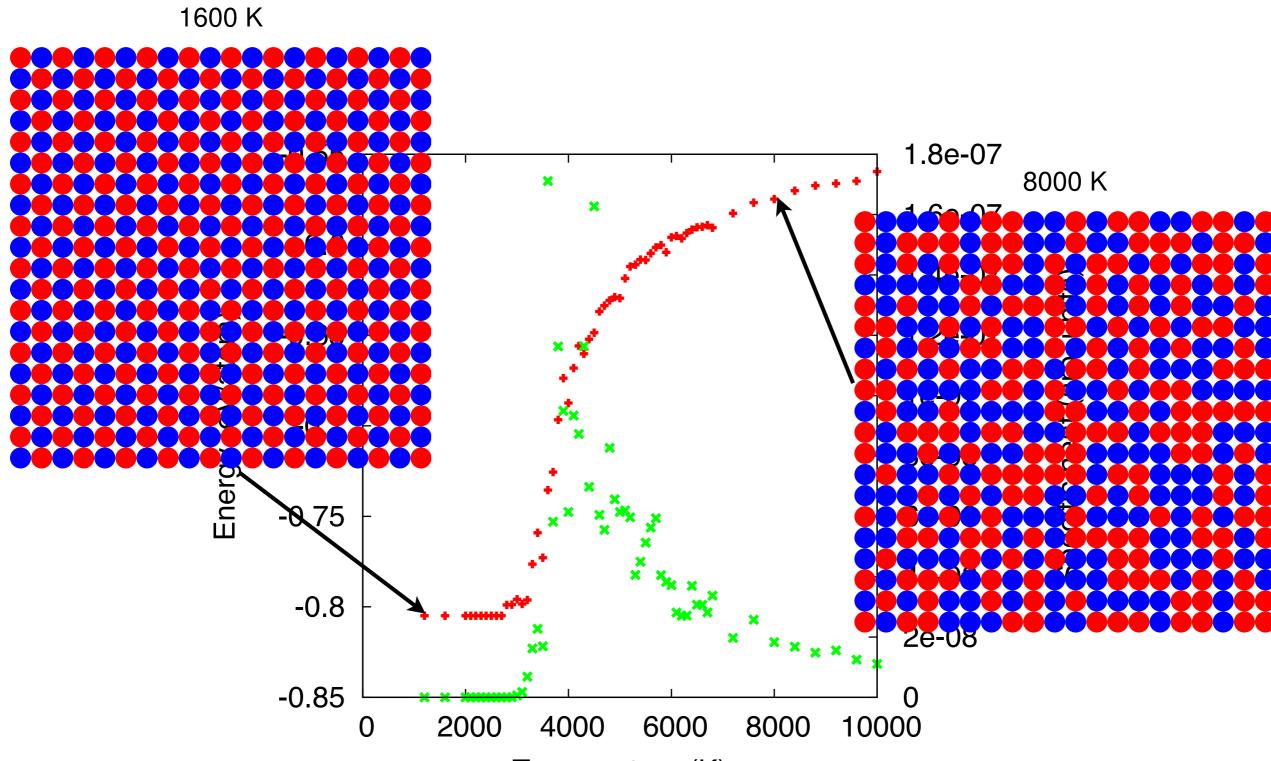












Temperature (K)