Mariana Rossi

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Density-functional theory and beyond: Computational materials science for real materials 09.08.2013, Trieste, Italy

• Thermodynamic ensemble properties:

Static equilibrium properties:

$$\langle A \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ \mathrm{e}^{-\mathcal{H}/k_B T} A(p,R)$$

• Thermodynamic ensemble properties:

Static equilibrium properties:

Partition $\langle A \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ e^{\frac{Hamiltonian}{K_BT}} A(p,R)$ Hamiltonian

• Thermodynamic ensemble properties: Partition Function Static equilibrium properties: $\langle A \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ e^{-\mathcal{H}/k_BT}A(p(0), R(0))B(p(t), R(t))$

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• Thermodynamic ensemble properties: Partition Function Function $\langle A \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ e^{-\mathcal{H}/k_BT}A(p,R)$ Dynamic properties: $\langle A(0)B(t) \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ e^{-\mathcal{H}/k_BT}A(p(0), R(0))B(p(t), R(t))$



Thermodynamics: what are we interested in?

• Ergodic hypothesis: ensemble average equal to time average

$$\langle A \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ e^{-\mathcal{H}/k_B T} A(p,R)$$
$$\langle A(0)B(t) \rangle = \frac{1}{Z} \int d^{3N}R \int d^{3N}p \ e^{-\mathcal{H}/k_B T} A(p(0),R(0))B(p(t),R(t))$$



Thermodynamics: what are we interested in?

• Ergodic hypothesis: ensemble average equal to time average

$$\langle A \rangle = \frac{1}{T} \int_0^T dt' A(p(t'), R(t'))$$
$$\langle A(0)B(t) \rangle = \frac{1}{T} \int_0^T dt' A(t')B(t+t')$$





Molecular dynamics

I. Assign initial **R** (position) and **p** (momenta)



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2. Evolve (numerically) Newton's equation of motion for a finite time increment

$$\mathcal{H}(\mathbf{R},\mathbf{p}) = \sum_{I} \frac{\mathbf{p}_{I}^{2}}{2M_{I}} + V(\mathbf{R})$$

 $\dot{\mathbf{p}}_I = -\frac{\partial \mathcal{H}}{\partial \mathbf{R}_I} = -\nabla_I V(\mathbf{R}) \to M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I \qquad \dot{\mathbf{R}}_I = \mathbf{p}_I / M_I$



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 $(\mathbf{R}_{1}(t+dt), \mathbf{p}_{1}(t+dt))$

 $(\mathbf{R}_{2}(t+dt),$

 $\mathbf{p}_2(t+dt)$

3. Assign new position and momenta

 $(\mathbf{R}_{3}(t+dt), \mathbf{p}_{3}(t+dt))$



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"Computer experiment": equilibrate system and measure

• Forces come from an *ab initio* potential V (Hellmann-Feynman, Pulay terms, etc.)

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 - Born-Oppenheimer molecular dynamics
 - Car-Parrinello molecular dynamics R. Car and M. Parrinello, Phys. Rev. Lett. **55**, 2471-2474 (1985)

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 Time scales you can reach: hundreds of picoseconds to nanosecond

Born Oppenheimer MD

- I. Read initial $\boldsymbol{p}(t_0)$ and $\boldsymbol{R}(t_0)$
- 2. Converge electronic structure through a self-consistent cycle
- 3. Calculate **F**
- 4. Use a numerical integrator to evolve $p(t_0)$ and $R(t_0)$
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$$R(t + \Delta t) = R(t) + \frac{p(t)}{m}\Delta t + \frac{\dot{p}(t)}{2m}\Delta t^2 + \ddot{R}(t)\frac{\Delta t^3}{3!} + O(\Delta t^4)$$

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Force

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$$R(t - \Delta t) = R(t) - \frac{p(t)}{m}\Delta t + \frac{\dot{p}(t)}{2m}\Delta t^{2} - \ddot{R}(t)\frac{\Delta t^{3}}{3!} + O(\Delta t^{4}) + \frac{R(t + \Delta t) + R(t - \Delta t)}{m} = 2R(t) + \frac{\dot{p}(t)}{m}\Delta t^{2} + O(\Delta t^{4})$$

$$R(t + \Delta t) \approx 2R(t) - R(t - \Delta t) + \frac{\dot{p}(t)}{m}\Delta t^{2}$$

$$K(t + \Delta t) \approx 2R(t) - R(t - \Delta t) + \frac{\dot{p}(t)}{m}\Delta t^{2}$$

$$Verlet Algorithm$$

• First shot: Taylor expansion of R

Force

$$R(t + \Delta t) = R(t) + \frac{p(t)}{m}\Delta t + \frac{\dot{p(t)}}{2m}\Delta t^{2} + \ddot{R}(t)\frac{\Delta t^{3}}{3!} + O(\Delta t^{4})$$

$$\frac{R(t - \Delta t) = R(t) - \frac{p(t)}{m}\Delta t + \frac{\dot{p}(t)}{2m}\Delta t^{2} - \ddot{R}(t)\frac{\Delta t^{3}}{3!} + O(\Delta t^{4}) + \frac{R(t + \Delta t) + R(t - \Delta t) = 2R(t) + \frac{\dot{p}(t)}{m}\Delta t^{2} + O(\Delta t^{4}) \text{ Error}$$

$$R(t + \Delta t) \approx 2R(t) - R(t - \Delta t) + \frac{\dot{p}(t)}{m}\Delta t^{2}$$

$$\frac{\dot{p}(t)}{m}\Delta t^{2}$$

$$\frac{\dot{p}(t)}{m}\Delta t^{2}$$

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"Verlet" is also symplectic: conserves $dp \wedge dR$ and the form of Hamilton's equations

Velocity Verlet algorithm

• Implemented: velocity Verlet

$$p(t + \Delta t/2) = p(t) + F(t)\frac{\Delta t}{2}$$

$$R(t + \Delta t) = R(t) + \frac{p(t + \Delta t/2)}{m}\Delta t$$
New force evaluation
$$p(t + \Delta t) = p(t + \Delta t/2) + F(t + \Delta t)\frac{\Delta t}{2}$$

$\Delta t = 3 fs$

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- What is a good time step?
 - Depends on the highest vibrational frequency (thus mass) of your system ($\omega\approx\sqrt{k/M}$)
 - Typically, choose a time step corresponding to $\sim I/(10\omega_{max})$ (femtosecond time scale)





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R. Car and M. Parrinello, Phys. Rev. Lett. 55, 2471-2474 (1985)

$$\mathcal{L} = \frac{1}{2} \left[\sum_{I} M_{I} \dot{\mathbf{R}}_{I}^{2} + \mu \sum_{i} \int d\mathbf{r} |\dot{\phi}_{i}(\mathbf{r}, t)|^{2} \right] - V(\phi, \phi^{*}; \mathbf{R}) + 2\lambda_{ij} \left[\int d\mathbf{r} \phi_{i}^{*}(\mathbf{r}, t) \phi_{j}(\mathbf{r}, t) - \delta_{ij} \right]$$

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Lagrange multipliers

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$$\begin{array}{c} \mathsf{Fictitious} \\ \mathsf{electron\ mass} \\ \mathsf{orbitals} \\ \end{array}$$

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l agrange multipliers

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Fictitious
electron mass orbitals

$$M_{I}\ddot{\mathbf{R}}_{I} = -\nabla_{I}V(\phi, \phi^{*}; \mathbf{R}) \qquad \qquad \mu \ddot{\phi}_{i} = -\frac{1}{2}\frac{\delta V(\phi, \phi^{*}; \mathbf{R})}{\delta \phi_{i}^{*}} + \sum_{j} \phi_{j}\lambda_{ji}$$

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Fictitious
electron mass

$$M_{I} \ddot{\mathbf{R}}_{I} = -\nabla_{I} V(\phi, \phi^{*}; \mathbf{R}) \qquad \qquad \mu \ddot{\phi}_{i} = -\frac{1}{2} \frac{\delta V(\phi, \phi^{*}; \mathbf{R})}{\delta \phi_{i}^{*}} + \sum_{j} \phi_{j} \lambda_{ji}$$

- Adiabatic separation: fictitious mass of the electrons need to be very small ⇒ smaller time step
- Electrons "follow" nuclei avoids self consistency calculation at every step

How to get more realistic simulations?

• Some possibilities:

 Simulate conditions that are experimentally accessible: control temperature and/or pressure

 Nuclei are not classical particles: include their quantum nature in the simulations (Roberto Car, Tuesday 13.08.2013)

 Enhance sampling of potential energy surface (Luca Ghiringhelli, Tuesday 13.08.2013)

Temperature control: the canonical ensemble

- The idea: couple the system to a thermostat (heat bath)
- Interesting because:
 - Experiments are usually done at constant temperature
 - Better modeling of conformational changes



Temperature definition

Probability distribution of the kinetic energy:



Heavy(er) body in a solvent (or gas)

Newtonian dynamics



$$\mathbf{F}_I = -\nabla_{\mathbf{R}_I} V$$

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$$\mathbf{F}_I = -\nabla_{\mathbf{R}_I} V$$

Langevin dynamics



$$\mathbf{F}_I = -\gamma \dot{\mathbf{R}}_I + \xi(t)$$

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Langevin dynamics



friction

random

force



 In thermal equilibrium, drag of the friction and kicks of the random noise balance each other - Fluctuation Dissipation Theorem (FDT)

$$\langle \xi(t)\xi(0)\rangle = 2k_B T\gamma\delta(t)$$

No memory of past times No frequency dependence (white noise)

How to model a thermostat: first ideas

- Temperature rescaling: Berendsen "thermostat"
 - Rescale velocities by a factor containing the ratio of target and instant temperature
 - Does not sample the canonical ensemble (wrong temperature distribution)
 - "Flying ice-cube" effect: rotations and translations acquire high E_{kin} and vibrations are frozen H. J. C. Berendsen, et al. J. Chem. Phys. 81 3684 (1984)

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H. J. C. Berendsen, et al. J. Chem. Phys. 81 3684 (1984)

- Simple stochastic idea: Andersen thermostat
 - At each nth time-step, replace velocity of a random particle by one drawn from a Maxwell-Boltzmann distribution at target temperature
 - Not very efficient, no conserved quantity
 - Very sensitive on *n*

H. C. Andersen, J. Chem. Phys. 72, 2384 (1980)

S. Nosé, J. Chem. Phys. 81, 511 (1984) & W. G. Hoover, Phys. Rev. A 31, 1695 (1985).

Extended Hamiltonian (or Lagrangian):

$$\mathcal{H}_{NH} = \sum_{I} \frac{\mathbf{p}_{I}^{2}}{2M_{I}} + V(\mathbf{R}) + \frac{p_{\eta}^{2}}{2Q} + 3Nk_{B}T\eta$$

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• Momenta are damped by fictitious oscillator: $\dot{\mathbf{p}}_I = \mathbf{F}_I - \frac{p_{\eta}}{O}\mathbf{p}_I$

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- Ergodicity problems system may be stuck in a region of phase space
 - Possible solution: Nosé-Hoover chains Attach another fictitious oscillator to the first, and another to the second, and another to the third, ... (chain of fictitious oscillators)

Martyna, Klein, Tuckerman, J. Chem. Phys. 97, 2635 (1992)

Bussi-Donadio-Parrinello thermostat

G. Bussi, D. Donadio, and M. Parrinello, J. Chem. Phys. 126, 014101 (2007).

Combine concepts from velocity rescaling (fast!) with concepts from stochastic thermostats (accurate!)

Target temperature follows a stochastic differential equation:

$$\frac{dT}{\bar{T}} = \left[1 - \frac{T(t)}{\bar{T}}\right] \frac{dt}{\tau} - 2\sqrt{\frac{T(t)}{3\bar{T}N\tau}}\xi(t)$$

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Target temperature follows a stochastic differential equation:



- \bullet Very successful thermostat, weakly dependent on relaxation time τ
- Pseudo-Hamiltonian is conserved

Bussi, Parrinello, Phys. Rev. E 75, 056707 (2007)

Langevin (stochastic) thermostat

S.A.Adelman and J. D. Doll, J. Chem. Phys. 64, 2375 (1976).

Model dynamics via the Langevin equation:

$$M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I - \gamma_I \dot{\mathbf{R}}_I + \xi(t)$$

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Original system

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Original system Friction and White Noise
 $\langle \xi(t)\xi(0) \rangle = 2k_{B}T\gamma\delta(t)$

• Sensitive on γ

- For systems spanning a wide range of frequencies, how to achieve the "best" critical damping?
- Disturbs dynamics considerably

M. Ceriotti, G. Bussi, M. Parrinello, JCTC 2010, 6, 1170-1180 (http://gle4md.berlios.de/)

Extremely flexible class of thermostats based on the Generalized Langevin Equation (GLE)

• Markovian (no memory) process in high dimensions

$$\begin{pmatrix} \dot{p} \\ \dot{\mathbf{s}} \end{pmatrix} = \begin{pmatrix} -V'(R) \\ 0 \end{pmatrix} - \mathbf{A}_p \begin{pmatrix} p \\ \mathbf{s} \end{pmatrix} + \mathbf{B}_p \left(\boldsymbol{\xi} \right)$$

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• Non-Markovian process for the system (integrating out **s**):

$$\dot{p} = F - \int_{-\infty}^{t} d\tau K(t - \tau)p(\tau) + \boldsymbol{\zeta}(t)$$

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Memory Kernel Colored Noise

Fluctuation Dissipation: $H(t) = \langle \zeta(t)\zeta(0) \rangle = k_B T K(t)$

M. Ceriotti, G. Bussi, M. Parrinello, JCTC 2010, 6, 1170-1180 (http://gle4md.berlios.de/)

• Quite complex input matrices \mathbf{A}_{P} (and \mathbf{C}_{P}), connected by:

$$\mathbf{A}_{p}\mathbf{C}_{p} + \mathbf{C}_{p}\mathbf{A}_{p}^{T} = \mathbf{B}_{p}\mathbf{B}_{p}^{T}$$
covariance
matrix

- However, flexible:
 - For canonical sampling, $C_p = I k_B T$ and FDT is obeyed
 - For other samplings one can break FDT
- What can you model?
 - Nuclear quantum effects, excitation of single modes
 - Make "Path Integral Molecular Dynamics" computationally cheaper (see Roberto Car's talk)

Pressure control: Isobaric-isothermic ensemble

- Definition of pressure: $P = \frac{2}{V} (E_{kin} \Gamma)$ $\Gamma = -\frac{1}{2} \sum_{I} \mathbf{R}_{I} \cdot \mathbf{F}_{I}$
- Similar schemes for barostats: pressure rescaling (Berendsen), extended Lagrangian (Parrinello-Rahman, Andersen), ...
 M. Parinello and A. Rahman, J. Appl. Phys 52, 7182 (1981)

• Use thermostat together with a barostat to control pressure and temperature

Applications of AIMD

- Can be equally applied to solid-state, atoms, and molecules
- Phase transitions, diffusion coefficients, IR spectra, NMR, reaction paths, free energies of structures and reactions, etc.

Recent review:

B. Kirchner, Ph. J. di Dio, and J. Hutter, Real-World Predictions from Ab Initio Molecular Dynamics Simulations, Top. Curr. Chem., (2012), **307**, 109-154

Vibrational spectroscopy: Ac-Ala15-LysH⁺

α -helical Ac-Ala₁₅-LysH⁺



<u>Experiment</u>: von Helden, Kupser, Bierau, Meijer, Molecular Physics, FHI Berlin

Infrared multiphoton dissociation spectroscopy, FELIX free electron laser

Room temperature



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25 ps Born-Oppenheimer molecular dynamics, DFT-PBE+vdW

M. Rossi, V. Blum, P. Kupser, G. von Helden, F. Bierau, K. Pagel, G. Meijer, and M. Scheffler, J. Phys. Chem. Lett. 1, 3465 (2010)

Theory: PBE+vdW, shifted, not scaled



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0 ps

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dipole-dipole time correlation function

Vibrational spectroscopy: Ac-Ala15-LysH⁺

25 ps Born-Oppenheimer molecular dynamics, DFT-PBE+vdW

M. Rossi, V. Blum, P. Kupser, G. von Helden, F. Bierau, K. Pagel, G. Meijer, and M. Scheffler, J. Phys. Chem. Lett. 1, 3465 (2010)

0 ps

Theory: PBE+vdW, shifted, not scaled



Quality of the approximation: vdW interactions

- Evidence from gas-phase experiment [Kohtani, Jones, Schneider, Jarrold, JACS 126, 7420 (2004)] that the Ac-Ala₁₅-LysH⁺ α -helix is stable up to \approx 700 K
- Can DFT-PBE and DFT-PBE+vdW reproduce this high temperature stability?



A. Tkatchenko, M. Rossi, V. Blum, J. Ireta, and M. Scheffler, Phys. Rev. Lett. 106, 118102 (2011)

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References (books)

Understanding Molecular Simulation: From Algorithms to Applications D. Frenkel and B. Smith

Statistical Mechanics: Theory and Molecular Simulation *M.Tuckerman*

Ab initio molecular dynamics: Theory and Implementation Dominik Marx and Jurg Hutter

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