### The ELSI Infrastructure

#### for Scalable Electronic Structure Theory



Hands-On Workshop Density Functional Theory and Beyond - Barcelona, August 29, 2019

Nucleus: Emilio Artacho, 2014: "Dear all, There will be a workshop in CECAM at Lausanne ... aiming to kick-start an electronic structure library. ... I hope you are interested"

Nucleus: Emilio Artacho, 2014: "Dear all, There will be a workshop in CECAM at Lausanne ... aiming to kick-start an electronic structure library. ... I hope you are interested"

#### NSF-SI2 - ACI-1450280:

Volker Blum, Jianfeng Lu, Lin Lin, Chao Yang, Alvaro Vazquez-Mayagoitia, Fabiano Corsetti

Nucleus: Emilio Artacho, 2014: "Dear all, There will be a workshop in CECAM at Lausanne ... aiming to kick-start an electronic structure library. ... I hope you are interested"

#### NSF-SI2 - ACI-1450280:

Volker Blum, Jianfeng Lu, Lin Lin, Chao Yang, Alvaro Vazquez-Mayagoitia, Fabiano Corsetti

#### Why ELSI Works:





Victor Yu (Duke)

Yingzhou Li Will Huhn (Duke) (Duke)

William Dawson, Alberto Garcia, Ville Havu, Ben Hourahine, Mathias Jacquelin, Weile Jia, Murat Keceli, Raul Laasner, Björn Lange, Wenhui Mi, Jonathan Moussa, Jose E. Roman, Ali Seifitokaldani, Haizhao Yang; ELPA, PEXSI, NTPoly, Slepc, ...

<u>Nucleus</u>: Emilio Artacho, 2014: "Dear all, There will be a workshop in CECAM at Lausanne ... aiming to kick-start an electronic structure library. ... I hope you are interested"

#### NSF-SI2 - ACI-1450280:

Volker Blum, Jianfeng Lu, Lin Lin, Chao Yang, Alvaro Vazquez-Mayagoitia, Fabiano Corsetti

#### Why ELSI Works:







Victor Yu (Duke)

Yingzhou Li Will Huhn (Duke) (Duke)

#### Electronic Structure Library:

William Dawson, Alberto Garcia, Ville Havu, Ben Hourahine, Mathias Jacquelin, Weile Jia, Murat Keceli, Raul Laasner, Björn Lange, Wenhui Mi, Jonathan Moussa, Jose E. Roman, Ali Seifitokaldani, Haizhao Yang; ELPA, PEXSI, NTPoly, Slepc, ...



Micael Oliveira, Yann Pouillon, Fabiano Corsetti, Nick Papior, many more. https://esl.cecam.org https://gitlab.com/ElectronicStructureLibrary ELSI, Fdict, Futile, libfdf, libgridxc, libpsml, libxc, Psolver, pspio, xmlf90, ...

#### Materials Properties from Theory?



### Materials Properties from Theory?



### Materials Properties from Theory?



#### AE4T-PbBr4: Energy Levels - Impact of Spin-Orbit Coupling



#### AE4T-PbBr4: Energy Levels - Impact of Spin-Orbit Coupling

AE4T-PbBr<sub>4</sub> - HSE06, no SOC



SOC changes the character of conduction band minimum ("electrons")

Holes on organic component, electrons on inorganic component: Type IIb Quantum Well AE4T-PbBr<sub>4</sub> - HSE06, SOC





#### AE4T-PbBr4: Energy Levels - Impact of Spin-Orbit Coupling

AE4T-PbBr<sub>4</sub> - HSE06, no SOC



SOC changes the character of conduction band minimum ("electrons")

Holes on organic component, electrons on inorganic component: Type IIb Quantum Well AE4T-PbBr<sub>4</sub> - HSE06, SOC





... but how exactly were these calculations run?



424 atoms (light and heavy) <u>Geometry:</u> DFT-PBE+vdW<sup>TS</sup> (Tkatchenko, Scheffler, PRL 2009) tight settings (12,384 basis functions) several tens to hundreds of steps for geometry optimization **Electronic Structure:** DFT-HSE06+SOC intermediate settings

(10,224 basis functions)

424 atoms (light and heavy)

Geometry:

DFT-PBE+vdW<sup>TS</sup>

(Tkatchenko, Scheffler, PRL 2009)

tight settings (12,384 basis functions)

several tens to hundreds of steps for geometry optimization

**Electronic Structure:** 

DFT-HSE06+SOC

intermediate settings (10,224 basis functions)

#### Which computer to use?



424 atoms (light and heavy)

Geometry:

DFT-PBE+vdW<sup>TS</sup> (Tkatchenko, Scheffler, PRL 2009)

tight settings (12,384 basis functions)

several tens to hundreds of steps for geometry optimization

Electronic Structure:

DFT-HSE06+SOC

intermediate settings (10,224 basis functions)

#### Which computer to use?





https://www.alcf.anl.gov/theta Intel KNL 9.8 PFlops (231,424 cores) I,024 - 2,048 cores comfortably perform these tasks 424 atoms (light and heavy)

#### Geometry:

DFT-PBE+vdW<sup>TS</sup> (Tkatchenko, Scheffler, PRL 2009)

tight settings (12,384 basis functions)

several tens to hundreds of steps for geometry optimization

Electronic Structure: DFT-HSE06+SOC intermediate settings (10,224 basis functions)

#### However, How to Go Much Larger?

I. Real space grid operations

$$\left(h_{ij}=\int d^3rarphi_i(oldsymbol{r})\hat{h}_{
m KS}arphi_j(oldsymbol{r})
ight)$$

Basis functions, Hamiltonian, Kohn-Sham potential etc. 2. Matrix algebra (basis space)

$$\underbrace{\underline{h}}\underline{c}_{k} = \epsilon_{k} \underline{\underline{s}} \underline{c}_{k}$$

Kohn-Sham eigenvalue problem

#### However, How to Go Much Larger?

#### I. Real space grid operations

$$h_{ij} = \int d^3 r arphi_i(m{r}) \hat{h}_{
m KS} arphi_j(m{r})$$

<u>Basis functions, Hamiltonian,</u> <u>Kohn-Sham potential etc.</u>

- Large "prefactor:" Dominant for standard problems
- Mature algorithms (Delley, others)
- O(N) scalability possible in all steps
- relatively simple parallelization

V. Havu, V. Blum, P. Havu, M. Scheffler, J. Comp. Phys. **228**, 8367-8379 (2009)

#### 2. Matrix algebra (basis space)

$$\underbrace{\underline{h}}\underline{c}_{k} = \epsilon_{k} \underline{\underline{s}} \underline{c}_{k}$$

#### Kohn-Sham eigenvalue problem

#### However, How to Go Much Larger?

#### I. Real space grid operations

$$\int d^3 r arphi_i(m{r}) \hat{h}_{
m KS} arphi_j(m{r})$$

#### <u>Basis functions, Hamiltonian,</u> <u>Kohn-Sham potential etc.</u>

- Large "prefactor:" Dominant for standard problems
- Mature algorithms (Delley, others)
- O(N) scalability possible in all steps
- relatively simple parallelization

V. Havu, V. Blum, P. Havu, M. Scheffler, J. Comp. Phys. **228**, 8367-8379 (2009)

#### 2. Matrix algebra (basis space)

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

#### Kohn-Sham eigenvalue problem

#### "Conventional" solvers (Lapack-like):

- Small prefactor for NAO's: affordable up to  $\geq$  1,000 atoms
- Robust, general (metals!)
- O(size<sup>3</sup>) scalability inevitable
- Massively parallel scalability not out of the box

How far can we push such solvers?

# Typical Scaling - O(N<sup>3</sup>) Wall



<u>Generic</u> problem for any Kohn-Sham DFT code ... solution strategies?

### In Principle, Much Larger Systems Are Possible

IOP PUBLISHING

J. Phys.: Condens. Matter 22 (2010) 074207 (6pp)

JOURNAL OF PHYSICS: CONDENSED MATTER

doi:10.1088/0953-8984/22/7/074207

Calculations for millions of atoms with

# density functional theory: linear scaling shows its potential

**D R Bowler**<sup>1,2,3</sup> and **T Miyazaki**<sup>4</sup>

## In Principle, Much Larger Systems Are Possible

**IOP PUBLISHING** 

J. Phys.: Condens. Matter 22 (2010) 074207 (6pp)

JOURNAL OF PHYSICS: CONDENSED MATTER

doi:10.1088/0953-8984/22/7/074207

### **Calculations for millions of atoms with** density functional theory: linear scaling shows its pote Correct Cournal of Chemical Theory and Computation\_

Artic

pubs.acs.org/J

D R Bowler<sup>1,2,3</sup> and T Miyazaki



#### Hybrid MPI-OpenMP Parallelism in the ONETEP Linear-Scaling **Electronic Structure Code: Application to the Delamination of** Journal of Chemical Theory and Cellulose Nanofibrils

Karl A. Wilkinson,<sup>†</sup> Nicholas D. M. Hine,<sup>‡</sup> and Chris-Kriton Skylaris<sup>\*,†</sup>

#### Linear Scaling Self-Consistent Field Calculations with Millions of Atoms in the Condensed Phase

Joost VandeVondele,<sup>\*,†</sup> Urban Borštnik,<sup>‡,§</sup> and Jürg Hutter<sup>‡</sup>

<sup>†</sup>Department of Materials, ETH Zurich, Wolfgang-Pauli-Strasse 27, 8093 Zurich, Switzerland <sup>‡</sup>Physical Chemistry Institute, University of Zurich, Winterthurerstrasse 190, CH-8057 Zurich, Switzerland

**ABSTRACT:** In this work, the applicability and performance of a linear scaling algorithm is investigated for three-dimensional condensed phase systems. A simple but robust approach based on the matrix sign function is employed together with a thresholding matrix multiplication that does not require a prescribed sparsity pattern. Semiempirical methods and density functional theory have been tested. We demonstrate that self-consistent calculations with 1 million atoms are feasible for simple systems. With this approach, the computational cost of the calculation depends strongly on basis set quality. In the current

## In Principle, Much Larger Systems Are Possible

**IOP PUBLISHING** 

J. Phys.: Condens. Matter 22 (2010) 074207 (6pp)

JOURNAL OF PHYSICS: CONDENSED MATTER

doi:10.1088/0953-8984/22/7/074207



pubs.acs.org/J

r-Scaling nation of

<sup>†</sup>Department of <sup>‡</sup>Physical Chem

#### ABSTRACT

condensed p ch based on the matrix sign function is empl thresholding matrix multiplication that does not require a prescribed sparsity pattern. Semiempirical methods and density functional theory have been tested. We demonstrate that self-consistent calculations with 1 million atoms are feasible for simple systems. With this approach, the computational cost of the calculation depends strongly on basis set quality. In the current

laptop to supercomputer not straightforward

#### Agenda for the Remainder of this Talk

• Making an Eigenvalue Solver Scalable (ELPA library)

• Bypassing the Eigenvalue Problem - Density-Matrix Based Approaches

• ELSI Infrastructure

### A Massively Parallel Dense Eigensolver: "ELPA"

$$\left| \underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k \right|$$

Given a matrix H and metric S (dimension N), find M eigenvalue/eigenvector pairs  $\varepsilon_k/c_k$ 

#### http://elpa.rzg.mpg.de

A. Marek, V. Blum, R. Johanni, V. Havu, B. Lang, T. Auckenthaler, A. Heinecke, H.-J. Bungartz, H. Lederer, The Journal of Physics: Condensed Matter 26, 213201 (2014).

## A Massively Parallel Dense Eigensolver: "ELPA"

$$\left| \underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k \right|$$

Given a matrix H and metric S (dimension N), find M eigenvalue/eigenvector pairs  $\varepsilon_k/c_k$ 

#### Original Goals:

- Scalable, Scalapack-compatible "drop-in enhancement"
- Pure MPI-based implementation
- Detailed rewrite based on proven robust/general algorithms

#### http://elpa.rzg.mpg.de

A. Marek, V. Blum, R. Johanni, V. Havu, B. Lang, T. Auckenthaler, A. Heinecke, H.-J. Bungartz, H. Lederer, The Journal of Physics: Condensed Matter 26, 213201 (2014).

## A Massively Parallel Dense Eigensolver: "ELPA"

$$\underline{\underline{h}}\underline{c}_{k} = \epsilon_{k}\underline{\underline{s}}\underline{c}_{k}$$

Given a matrix H and metric S (dimension N), find M eigenvalue/eigenvector pairs  $\varepsilon_k/c_k$ 

#### Original Goals:

- Scalable, Scalapack-compatible "drop-in enhancement"
- Pure MPI-based implementation
- Detailed rewrite based on proven robust/general algorithms

#### Today:

- Standalone open-source (LGPL) library, used in other major codes (cp2k, Quantum Espresso, VASP, ...)
- Optional support for shared-memory systems (OpenMP)

#### http://elpa.rzg.mpg.de

A. Marek, V. Blum, R. Johanni, V. Havu, B. Lang, T. Auckenthaler, A. Heinecke, H.-J. Bungartz, H. Lederer, The Journal of Physics: Condensed Matter 26, 213201 (2014).

### Taking Apart the Eigenvalue Problem

$$\underbrace{\underline{h}}\underline{c}_{k} = \epsilon_{k} \underline{\underline{s}} \underline{c}_{k}$$

<u>Generalized (non-orthogonal) eigenvalue problem:</u>

- Transform to orthogonal form:  $U^{T}HU^{-1}$
- Transform orthogonal H' to tridiagonal form
- Solve tridiagonal eigenproblem
- Backtransform (1) solution to standard form
- Backtransform (2) standard to general form

$$\underbrace{\underline{h}}\underline{c}_{k} = \epsilon_{k} \underline{\underline{s}} \underline{c}_{k}$$

<u>Generalized (non-orthogonal) eigenvalue problem:</u>

- Transform to orthogonal form:  $U^{-T}HU^{-1}$
- Transform orthogonal H' to tridiagonal form
- Solve tridiagonal eigenproblem
- Backtransform (1) solution to standard form
- Backtransform (2) standard to general form



#### A Careful Rewrite Can Improve Scaling ("ELPA I")



#### A Careful Rewrite Can Improve Scaling ("ELPA I")



### Algorithmic Improvement: 2-Step Tridiagonalization



### Algorithmic Improvement: 2-Step Tridiagonalization

#### Remaining chief bottleneck: Tridiagonalization "Two-step" reduction: C. Bischof, B. Lang, X. Sun, ACM Trans. Math. Software **26**, 581 (2000). Step 1: matrix-matrix Matrix-vector

full matrix

band matrix

tridiagonal matrix

But extra back transform necessary - benefit shrinks for M approaching N

Auckenthaler, Blum, Bungartz, Huckle, Johanni, Krämer, Lang, Lederer, Willems, Parallel Computing (2011)

## Algorithmic Improvement: 2-Step Tridiagonalization

## Remaining chief bottleneck: Tridiagonalization "Two-step" reduction: C. Bischof, B. Lang, X. Sun, ACM Trans. Math. Software **26**, 581 (2000). Step 1: matrix-matrix Matrix-vector

full matrix

band matrix

tridiagonal matrix

But extra back transform necessary - benefit shrinks for M approaching N

#### Massively parallel two-step tridiagonalization:

- 2-dimensional data layout for eigenvectors
- Heavily optimized backtransform steps for eigenvectors (adaptive data layout, architecture-specific linear algebra kernels cache blocking)

Auckenthaler, Blum, Bungartz, Huckle, Johanni, Krämer, Lang, Lederer, Willems, Parallel Computing (2011)

#### ELPA, Two-Step Solver



### **ELPA** Today





Fig. 3, Kus et al., Comput. Phys. Commun. 2019 Matrix size 20,000, Intel MKL version 2018 ELPA dense eigensolver http://elpa.mpcdf.mpg.de

- High-performance, massively parallel two-stage tri-diagonalization
- Scales to over 10k CPU cores
- Optimized for present-day supercomputing architectures
- Drop-in enhancement to ScaLAPACK standard and generalized eigensolvers

Auckenthaler et al., Parallel Comput. 2011 Marek et al., J. Phys. Condens. Matter 2014 Kus et al., Comput. Phys. Commun. 2019

But How to Tackle Larger System Sizes?

#### **ELPA Eigenvalue Solver**

- Efficient full  $\rightarrow$  band  $\rightarrow$  tridiagonal reduction & backtransform
- Dense linear algebra up to full spectrum





Benchmark: Alvaro Vazquez-Mayagoitia, ANL

Auckenthaler, Blum, Bungartz, Huckle, Johanni, Krämer, Lang, Lederer, Willems, Parallel Computing 37, 783 (2011) A. Marek, V. Blum, R. Johanni, V. Havu, B. Lang, T. Auckenthaler, A. Heinecke, H.-J. Bungartz, H. Lederer, The Journal of Physics: Condensed Matter 26, 213201 (2014).

#### Plan B: Density Matrix Based Approaches

#### <u>Straightforward Solution: Eigenvalue Solver, $O(N^3)$ </u>

$$\psi_k(r) = \sum_i c_{ik} \varphi_i(r) \quad \rightarrow \quad \underline{hc}_k = \epsilon_k \underline{sc}_k \quad \rightarrow \quad n(r) = \sum_k f_k |\psi_k(r)|^2$$

#### Plan B: Density Matrix Based Approaches

#### <u>Straightforward Solution: Eigenvalue Solver, $O(N^3)$ </u>

$$\psi_k(r) = \sum_i c_{ik} \varphi_i(r) \quad \rightarrow \quad \underline{hc}_k = \epsilon_k \underline{sc}_k \quad \rightarrow \quad n(r) = \sum_k f_k |\psi_k(r)|^2$$

However, in DFT we need n(r) - eigenvectors are not needed:

$$n(r) = \sum_{k} f_{k} \sum_{ij} c_{ik} c_{jk} \varphi_{i}(r) \varphi_{j}(r) = \sum_{ij} \varphi_{i}(r) n_{ij} \varphi_{j}(r)$$

$$n_{ij} = \sum_{k} f_{k} c_{ik} c_{jk}$$
Density matrix - sparse!
Decays with distance
between basis functions *i*,j

 $\rightarrow$  Construct density matrix in less than  $O(N^3)$  for given h, s?

$$\underline{\underline{h}} \, \underline{\underline{c}}_k = \epsilon_k \, \underline{\underline{s}} \, \underline{\underline{c}}_k$$

Different use cases (basis sets, physics), different "solvers". Solve (eigenvectors,  $O(N^3)$ ) or circumvent (density matrix)?

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

Different use cases (basis sets, physics), different "solvers". Solve (eigenvectors,  $O(N^3)$ ) or circumvent (density matrix)?



$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

Different use cases (basis sets, physics), different "solvers". Solve (eigenvectors,  $O(N^3)$ ) or circumvent (density matrix)?

<u>Exact solvers</u>	<u>Iterative solvers</u>	<u>DM: O(N) solvers</u>	<u>Other DM-based</u>
Lapack	Davidson	NTPoly	<u>approaches</u>
Scalapack	Projected	Various code-	PEXSI
ELPA	Preconditioned	internal and/or	Orbital
EigenExa	Conjugate Gradient	proprietary	Minimization
Magma	Chebychev Filtering	implementations	Method
	Slepc-SIPS		FEAST
			•••
Robust	(Essentially) robust	Sparse H, S	Sparse H, S

Nonmetallic systems can depend on XC

 $N_{\text{basis}} >> N_{\text{ev}}$ 

General

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

Different use cases (basis sets, physics), different "solvers". Solve (eigenvectors,  $O(N^3)$ ) or circumvent (density matrix)?



General

(Essentially) robust N<sub>basis</sub> >> N<sub>ev</sub> Sparse H, S Nonmetallic systems

can depend on XC

### Pole Expansion and Selected Inversion (PEXSI)

Expand density matrix **P** in terms of rational functions (poles)

$$\mathbf{P} = \sum_{l} Im \left( \frac{w_l}{\mathbf{H} - (z_l + \mu) \mathbf{S}} \right)$$

- P: Density matrix
- H: Hamiltonian matrix
- S: Overlap matrix
- z<sub>l</sub>: Shift (pole)
- $w_l$ : Weight
- μ: Chemical potential

- Computational steps:
  - 1) Determine pole expansion parameters  $\{z_l, w_l\}$
  - 2) Compute all poles in parallel by process groups
  - 3) Sum over poles to get density matrix and other quantities

Lin et al., Commun. Math. Sci. 2009 Lin et al., J. Phys. Condens. Matter 2013 Lin et al., J. Phys. Condens. Matter 2014 Jia and Lin, J. Chem. Phys. 2017

- > Applicable to insulators, semiconductors as well as metals
- > Selected inversion: Evaluate needed elements of  $(\mathbf{H} (z_l \mu)\mathbf{S})^{-1}$

## Pole Expansion and Selected Inversion (PEXSI)



Lv. 1
Pole expansion
(20~100 poles)

Lv. 2 Chemical potential search (a few trial µ points)

0	4	8	12
1	5	9	13
2	6	10	14
3	7	11	15

Lv. 3 Parallel selected inversion (1,000+ CPU cores)

PEXSI sparse density matrix solver <a href="http://www.pexsi.org">http://www.pexsi.org</a>

$$\mathbf{P} = \sum_{l} \operatorname{Im} \left( \frac{w_{l}}{\mathbf{H} - (z_{l} + \mu)\mathbf{S}} \right)$$

- Computational complexity (semi-local XC)
  - 1D system: O(N)
  - 2D system: O(N<sup>1.5</sup>)
  - 3D system: O(N<sup>2</sup>)
- Scales to over 100k CPU cores

Lin et al., Commun. Math. Sci. 2009 Lin et al., J. Phys. Condens. Matter 2013 Lin et al., J. Phys. Condens. Matter 2014 Jia and Lin, J. Chem. Phys. 2017

# NTPoly: O(N) Approaches

 $Hc = \epsilon Sc$ convert  $\widetilde{\mathbf{H}} = \mathbf{S}^{-1/2} \mathbf{H} \mathbf{S}^{-1/2}$ initialize  $\widetilde{\mathbf{P}}_0 = f_0(\widetilde{\mathbf{H}})$ purify  $\left( \widetilde{\mathbf{P}}_{n+1} = f(\widetilde{\mathbf{P}}_n) \right)$ convert  $\mathbf{P} = \mathbf{S}^{-1/2} \widetilde{\mathbf{P}} \mathbf{S}^{-1/2}$ 

- Zero-temperature density matrix P must be: (assuming orthogonal basis)
  - Hermitian:  $\mathbf{P} = \mathbf{P}^*$
  - Normalized:  $Tr(\mathbf{P}) = N_{electron}$
  - Idempotent:  $\mathbf{P} = \mathbf{P}^2$
- Computational steps:
  - 1) Convert to orthogonal basis
  - 2) Construct initial guess of density matrix
  - 3) Iteratively purify density matrix to satisfy the above three conditions
  - 4) Convert back to non-orthogonal basis
- Established methods for linear scaling electronic structure theory: One million atoms possible

Goedecker, Rev. Mod. Phys. 1999 Bowler and Miyazaki, Rep. Prog. Phys. 2012

## NTPoly: O(N) Approaches

 $Hc = \epsilon Sc$ convert  $\widetilde{\mathbf{H}} = \mathbf{S}^{-1/2} \mathbf{H} \mathbf{S}^{-1/2}$ initialize  $\widetilde{\mathbf{P}}_0 = f_0(\widetilde{\mathbf{H}})$ purify  $\left( \widetilde{\mathbf{P}}_{n+1} = f(\widetilde{\mathbf{P}}_n) \right)$  $\mathbf{P} = \mathbf{S}^{-1/2} \widetilde{\mathbf{P}} \mathbf{S}^{-1/2}$ 

- > Purification function  $f(\tilde{\mathbf{P}}_n)$  is often a matrix polynomial of order m
  - Canonical purification (m = 3)
  - Trace resetting purification (m = 2, 3, 4, ...)
  - $\circ$  Generalized canonical purification (m = 3)
- Example: 2<sup>nd</sup> order trace resetting purification  $\sigma_n = sign(N_{electron} Tr(P_n))$   $P_{n+1} = P_n + \sigma_n(I P_n)P_n$
- Sparse matrix algebra essential for linear scaling

Goedecker, Rev. Mod. Phys. 1999 Bowler and Miyazaki, Rep. Prog. Phys. 2012 Palser and Manolopoulos, Phys. Rev. B 1998 Niklasson, Phys. Rev. B 2002 Truflandier et al., J. Chem. Phys. 2016



## ELSI: Connecting Electronic Structure Codes and Solvers

Yu et al., Comput. Phys. Commun. 2018 <u>http://elsi-interchange.org</u> <u>http://git.elsi-interchange.org/elsi-devel/elsi-interface</u>



Unified interface connecting KS-DFT codes and solvers

Fast, automatic matrix format conversion and redistribution



Recommendation of optimal solver based on benchmarks

## ELSI: Connecting Electronic Structure Codes and Solvers





- Compatible with common workflows
  - Single self-consistent field (SCF)
  - Multiple SCF cycles (geometry relaxation or molecular dynamics)
- Supports density matrix solvers and eigensolvers on equal footing
- Technical settings adjustable for experienced users
- > Object-oriented: Concurrent instances

```
ELSI API

elsi_init

elsi_set_parameters

while (geometry not converged) do

while (SCF not converged) do

elsi_{ev|dm}

end while

elsi_reinit

end while

elsi finalize
```

## Portability, Extendability, Sustainability



### **ELSI** Functionality

**Functionalities** 

- > Eigensolvers: ELPA, EigenExa, SLEPc, LAPACK
- > Density matrix solvers: libOMM, PEXSI, NTPoly
- Parallel solution for spin-polarized and periodic systems
- > Dense and sparse matrix formats, arbitrary distribution
- Parallel matrix format conversion and I/O



Code freely available at: <a href="http://elsi-interchange.org">http://elsi-interchange.org</a>

#### Portability

- > CMake build system supports Cray, GNU, IBM, Intel, PGI compilers
- From laptops to supercomputers (Cobra, Cori, Mira, Sierra, Theta, ...)
- Provides Fortran, C, C++ programming interfaces
- Part of CECAM <u>Electronic Structure Library</u> (ESL): Distribution of shared open-source libraries in the electronic structure community
- <u>http://gitlab.com/ElectronicStructureLibrary/esl-bundle</u>



## Performance: Solver Benchmarks on Equal Footing



Victor Yu

http://www.nersc.gov/edison



#### Edison Cray XC30

Processor: Intel Ivy Bridge Interconnect: Cray Aries

5,586 compute nodes134,064 processing cores2.57 Petaflops

#### Cori-Haswell Cray XC40

Processor: Intel Haswell Interconnect: Cray Aries

2,388 compute nodes76,416 processing cores2.81 Petaflops

#### http://www.nersc.gov/cori



#### Cori-KNL Cray XC40

Processor: Intel Knights Landing Interconnect: Cray Aries

9,688 compute nodes658,784 processing cores29.5 Petaflops

### Performance: Solver Benchmarks on Equal Footing



#### Example: FHI-aims Basis Sets - ELPA vs. PEXSI



PEXSI: Semilocal DFT, O(N) - O(N<sup>2</sup>) for large systems

Lin et al., Commun. Math. Sci. 7, 755 (2009); Lin et al., J. Phys.: Condens. Matter 25, 295501 (2013); Lin et al., J. Phys: Condens. Matter 26, 305503 (2014)

#### Performance: Solver Benchmarks on Equal Footing



#### Example: Siesta Basis Sets - ELPA vs. PEXSI



<u>PEXSI: Semilocal DFT,  $O(N) - O(N^2)$  for large systems</u>

Lin et al., Commun. Math. Sci. 7, 755 (2009); Lin et al., J. Phys.: Condens. Matter 25, 295501 (2013); Lin et al., J. Phys: Condens. Matter 26, 305503 (2014)

#### Performance: Solver Benchmarks on Equal Footing

<u>3D Periodic Systems:</u>

(a)  $H_2O$ 



(b) Si



### Example: DFTB+ (semiempirical) - ELPA, PEXSI, NTPoly

(b) 3D Si





DFTB

DFTB+ (highly sparse matrices)

NTPoly faster for large (sparse) gapped systems

2,560 CPU cores on Cori-Haswell

NTPoly: Sparse Matrix Algebra, O(N) Solvers Dawson, Nakajima, Computer Physics Communications 225,154-165 (2018)

# ELSI Decision Layer (Beginnings)

 $\triangleright$ 



- 1<sup>st</sup> layer: Quick decision
   Choose ELPA
   ✓ n\_basis < 20,000</li>
- Choose PEXSI
   ✓ dimensionality < 3</li>
  - ✓ sparsity > 0.95
- Choose NTPoly
  - ✓ n\_basis > 100,000
  - ✓ sparsity > 0.999
  - ✓ have\_gap

- 2<sup>nd</sup> layer: Direct comparison
  - Exclude PEXSI
    - $\checkmark$  dimensionality == 3
    - ✓ n\_cpu < 20,000</p>
  - Exclude NTPoly
    - ✓ n\_basis < 50,000</p>
    - ✓ sparsity < 0.99
    - ✓ NOT have\_gap
  - Try available solvers
     (one in an SCF step)
  - Choose fastest solver

### What About Iterative Solvers? (Plane Waves)



<u>If  $N_{basis} >> N_{ev}$ , "full matrix" solvers are not competitive (time and memory).</u>

Alternative: Iterative - e.g., Davidson

#### • Ψ

- Solve Rayleigh Ritz problem ( $\Psi^*H\Psi, \Psi^*\Psi$ ) for smallest eigenpairs  $\Lambda, Q$
- $R = H\Psi Q \Psi Q\Lambda$
- If ||R|| < tol, converged
- Approximately solve  $(H \Lambda_i I)V_i = R_i$  for all  $V_i$

 $\Psi = [\Psi V]$ 

### **RCI - Generic Iterative Solvers for Existing Codes**

<u>Problem:</u> Data Structures, Distribution in Different Codes Can Vary Widely.

Solution: Ask Code to Perform Detailed Operations, Drive Sequence of Steps.



# ELSI-RCI - Code Example

iiob = RCL_INIT_LIOB						
do						
call rci_solve(r_h, ijob, iS, task, resvec)						
select case (task)	all rci_omm(r_h, ijob, iS, task, resvec)					
case (RCI_NULL)						
case (RCI_STOP) ca	l rci_davidson(r_h, ijob, iS, task, resvec)					
exit						
case (RCI_CONVERGE)	call rci_ppcg(r_h, ijob, iS, task, resvec)					
exit						
case (RCI_H_MULTI)						
call dgemm(iS%TrH, 'N', n, n_state, n, 1.0, H, n, Work(iS%Aidx)%Mat, Ida, 0.0, Work(iS%Bidx)%Mat, Idb)						
case (RCI_S_MULTI)						
call dgemm(iS%TrS, 'N', n, n_state, n, 1.0, S, n, Work(iS%Aidx)%Mat, Ida, 0.0, Work(iS%Bidx)%Mat, Id	b)					
case (RCI_P_MULII) ! No preconditioner						
Work(IS%Bidx)%Mat = Work(IS%Aidx)%Mat						
case (RCI_GEMIM)						
call dgemm(IS%trA, IS%trB, IS%m, IS%n, IS%k, IS%alpha, Work(IS%Aldx)%iViat, IS%ida, Work(IS%Bldx)	%Mat, IS%Idb, IS%beta, Work(IS%Cldx)%Mat, IS%Idc)					
Case (RCI_AXPY)						
$Call (daxpy(15\%11^{15\%11}, 15\%11) for a light of K(15\%A10x)\%1V(11, 1, VV01K(15\%B10x)\%1V(11, 1)$						
Case (RCI_COPT) Work(iS% Ridy)% Mat = Work(iS% Aidy)%						
$\frac{1}{1000} = \frac{1}{1000} = 1$						
Case ()						
end select						
end do						
	Do as the instruction					

## **ELSI-RCI - Proof of Principle**



→ Efficient prototyping, implementation of solvers for different purposes (e.g., BSE)

## **Conclusion and Acknowledgments**



- ELSI offers a unified interface to various eigensolvers and density matrix solvers
  - Small-to-medium calculations: ELPA
  - Large 1D/2D geometries: PEXSI
  - Large 3D geometries: NTPoly, PEXSI
  - More solvers to come
- Adopted by DFTB+, DGDFT, FHI-aims, SIESTA
- Ongoing efforts
  - RCI framework for iterative solvers
  - Solver optimization targeting GPUs



ELSI is an NSF SI<sup>2</sup>-SSI supported project under grant number 1450280. Any opinions, findings, and conclusions or recommendations expressed here are those of the author(s) and do not necessarily reflect the views of NSF.



Victor Yu