

# The use of spin-flip excitation in DFT: theory and applications

David Casanova

Thursday August 29, 2019

Barcelona

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# Motivation: molecular low-lying electronic states

Framework: molecular photophysics and photochemistry with **DFT**

- Electronic states**
- ground state
  - excited states

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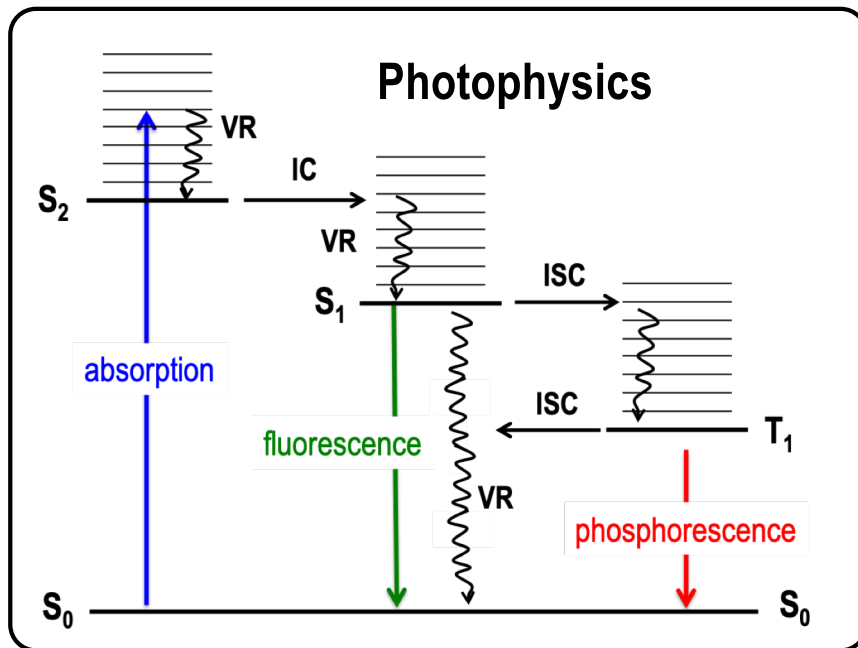
Framework: molecular photophysics and photochemistry with **DFT**

## Electronic states

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## Processes

- photophysics



## Excited generation

- photo absorption
- charge recombination

## Radiative

- fluorescence
- phosphorescence

## Non-radiative

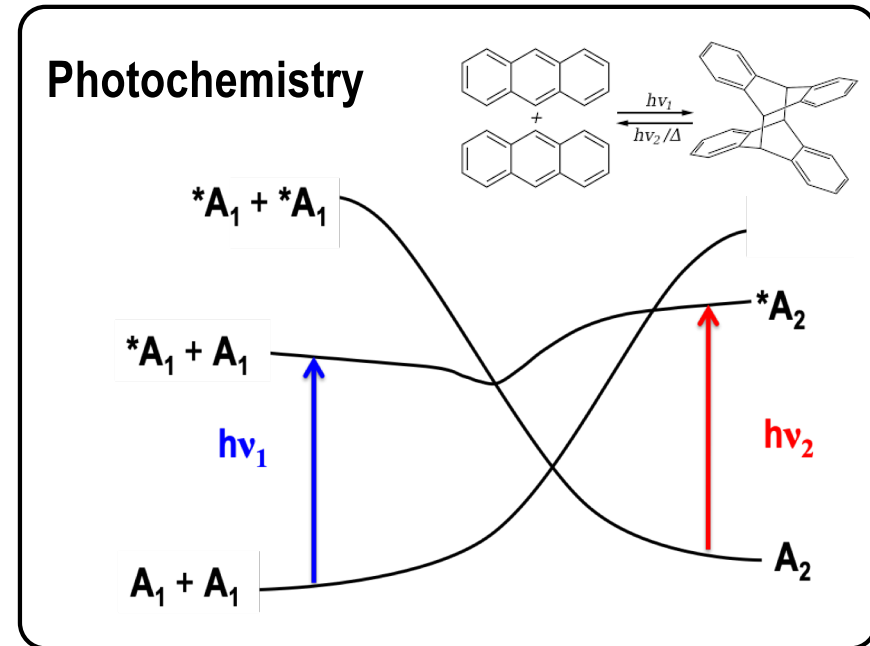
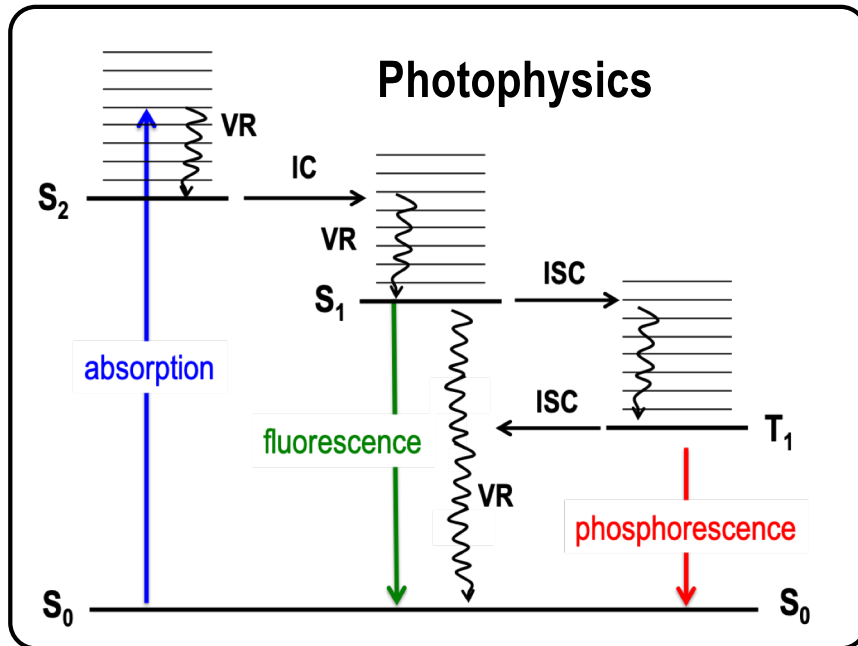
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- physical process: IC, ISC
  - chemical reaction

# Outline

- Sketch of TDDFT  
properties and limitations
- Introduction of the spin-flip operator
- Spin-flip in TDDFT (SF-TDDFT)
- Noncollinear SF-TDDFT
- Source of spin contamination
- Spin adapted solutions

	<b>HANDS-ON DFT AND BEYOND: HIGH-THROUGHPUT SCREENING AND BIG-DATA ANALYTICS, TOWARDS EXASCALE COMPUTATIONAL MATERIALS SCIENCE</b> University of Barcelona, Barcelona, Spain, August 26th to September 6th, 2019	
<b>Monday September 2nd Day 6: Quasiparticle approaches: DFT and beyond</b>		
09:00 - 10:00	Patrick Rinke	Charged excitation (GW)
10:00 - 11:00	Claudia Draxl	Neutral Excitation (BSE)
11:00 - 11:30	Coffee Break	
11:30 - 12:30	Miguel Alexandre Marques	Neutral Excitations (TDDFT)
12:30 - 14:30	Lunch Break	
14:30 - 15:30	Xinguo Ren	RPA and Beyond
15:30 - 19:30	Dorothea Golze	Tutorial 5: Excited states and spectroscopy

# Time-dependent density functional

## Time-independent DFT

### HK-1 mapping

$$\rho(r) \leftrightarrow v[\rho](r) \leftrightarrow \Psi[\rho](r)$$

exact ground state

## Time-dependent DFT

### Runge-Gross theorem PRL 52 (1984) 997

$$\rho(r, t) \leftrightarrow v[\rho](r, t) + C(t) \leftrightarrow \Psi[\rho; \Psi_0](r, t)$$

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Action integral

$$A[\rho; \Psi_0] = \int_{t_0}^{t_1} dt \langle \Psi[\rho; \Psi_0](r, t) | i\partial_t - \hat{H}(t) | \Psi[\rho; \Psi_0](r, t) \rangle$$

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**Formally exact**



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## Formally exact

Time-independent  
Kohn-Sham equations

← Non-interacting system →

Time-dependent  
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# Time-dependent Kohn-Sham equations

Non-interacting system

$$v_S(r, t) \rightarrow \rho(r, t) = \rho_S(r, t)$$

1p potential

$$v_S(r, t) = v_{ext}(r, t) + \int d^3r' \frac{\rho(r', t)}{|r - r'|} + v_{xc}(r, t)$$

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time-dependent 1p SE

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time-dependent 1p SE →

TDKS equation

$$i\partial_t \mathbf{C} = \mathbf{FC}$$

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Density matrix (Dirac) form

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Quantum Chemistry codes

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Quantum Chemistry codes

1<sup>st</sup> order

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oscillatory TD field

$$\mathbf{H}^{(1)} = \frac{1}{2}(\mathbf{g}e^{-i\omega t} + \mathbf{g}^\dagger e^{i\omega t})$$



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idempotency

zero-frequency limit

(infinitesimal perturbation)

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{B}^* & \mathbf{A}^* \end{bmatrix} \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix} = \omega \begin{bmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} \end{bmatrix} \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix}$$

non-Hermitian eigenvalue eq.

(Casida's form)

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$x_{ai}$  : virtual-occupied  $i \in O, a \in V$

$y_{ai}$  : occupied-virtual

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## Adiabatic approximation

$$v_{xc}(r, t) = \frac{\delta A_{xc}[\rho, \Psi_0]}{\delta \rho(r, t)}$$

$$f_{xc}(r, r'; \omega) = \int_{-\infty}^{\infty} d(t - t') e^{i\omega(t-t')} \frac{\delta^2 A_{xc}[\rho, \Psi_0]}{\delta \rho(r, t) \delta \rho(r', t')}$$



$$v_{xc}(r) = \frac{\delta E_{xc}[\rho]}{\delta \rho(r)}$$

$$f_{xc}(r, r') = \frac{\delta^2 E_{xc}[\rho]}{\delta \rho(r) \delta \rho(r')}$$

## Instantaneous change xc potential

- use of time-independent xc kernel
- no retardation/memory effects
- single electron excitations

# TDDFT in Quantum Chemistry

## Casida's formulation of TDDF

### Advantages

- Good accuracies (absorption and emission properties)
- Low computational cost → large compounds
- Easy to implement and use
- Coupled to environmental models

**Method of choice in  
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### Disadvantages

- Poor description transitions with sizeable hole/electron spatial separation  
charge transfer and Rydberg states
- Unable to deal with degeneracies or near-degeneracies  
dissociations, diradicals, transition states, conical intersections
- Missing doubly or highly-excited states (adiabatic approximation)  
dark states, multi-excitons,...

**Strong limitations for photochemistry and photobiology**

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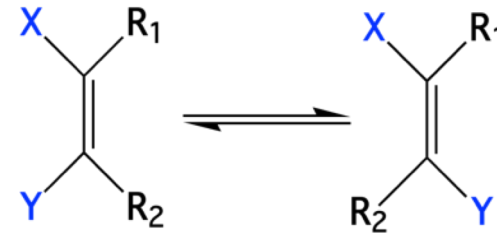
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# LR-TDDFT failures

## Electronic degeneracy

### Double bond torsion

- light  $\Rightarrow$  mechanical motion
- photobiological systems (vision)
- technological uses (optical memories)

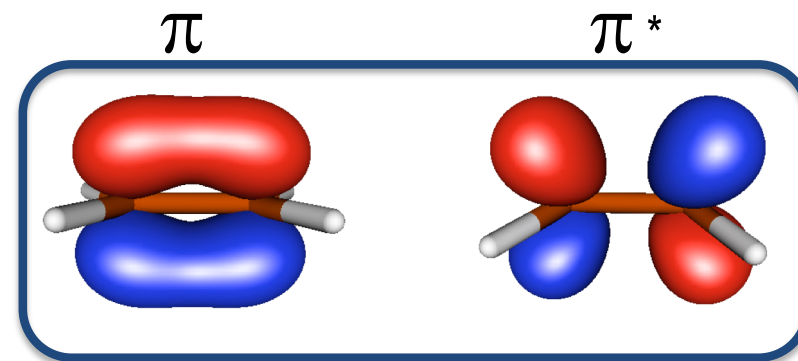
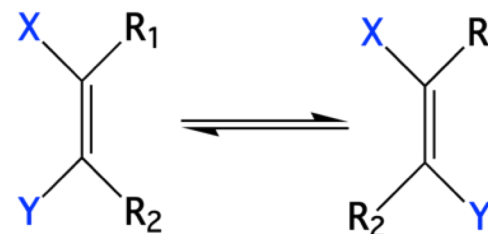
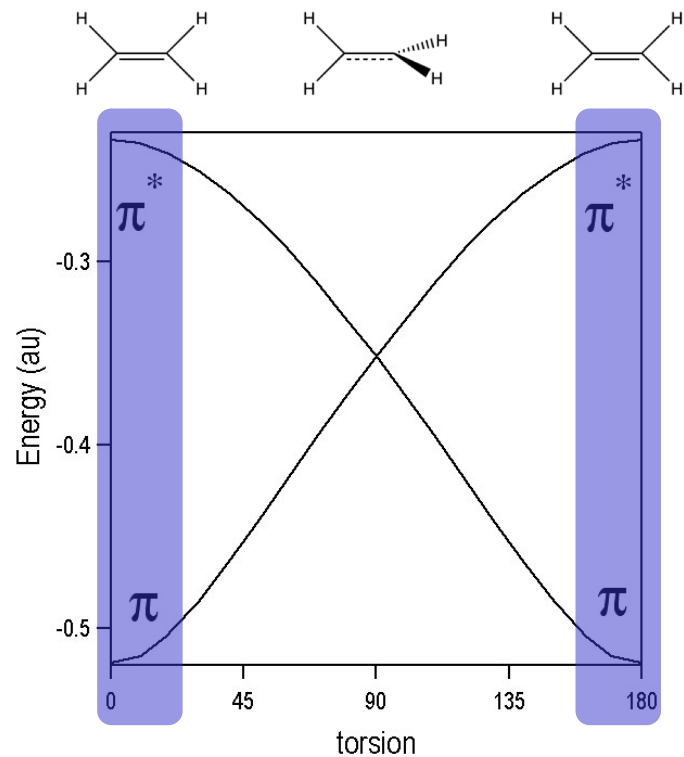


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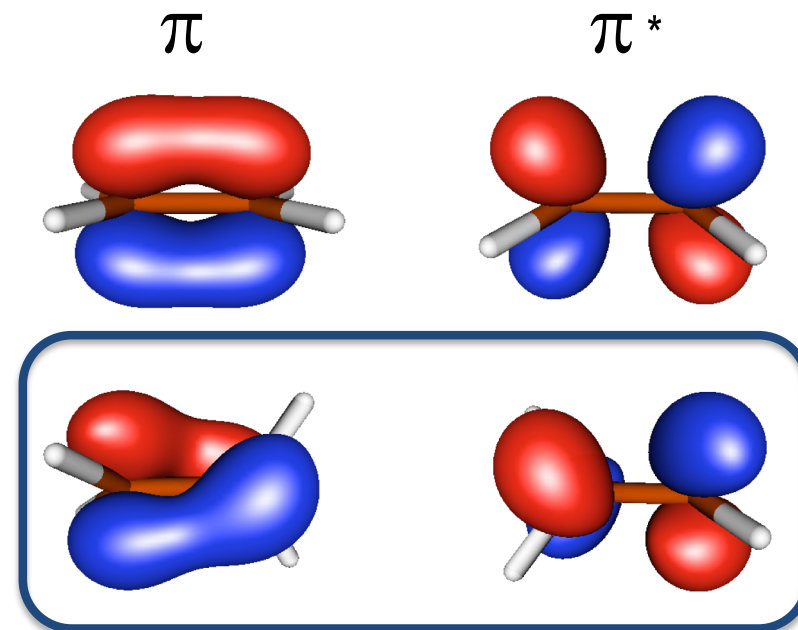
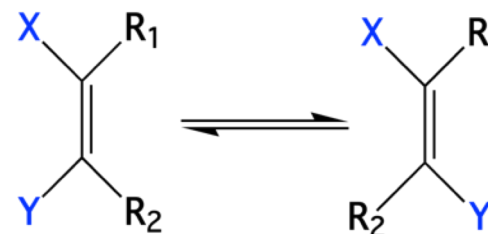
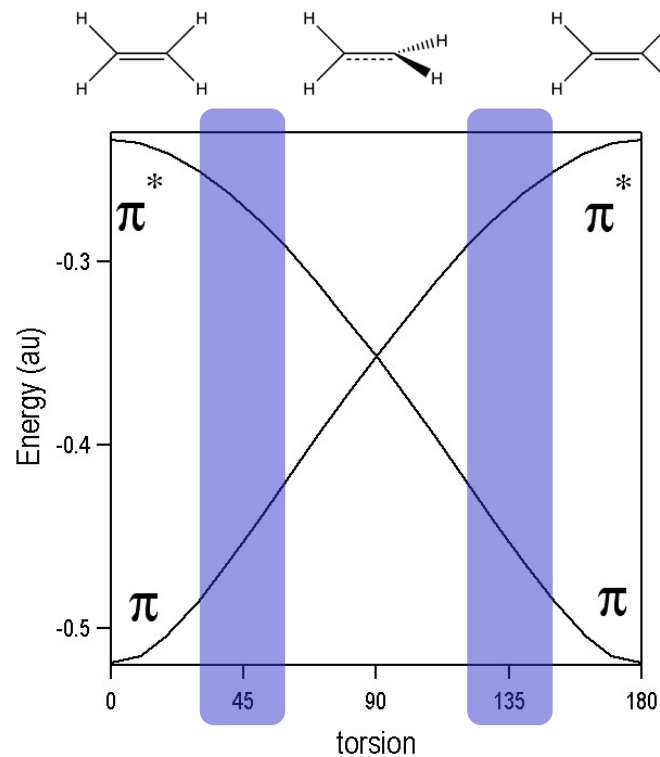


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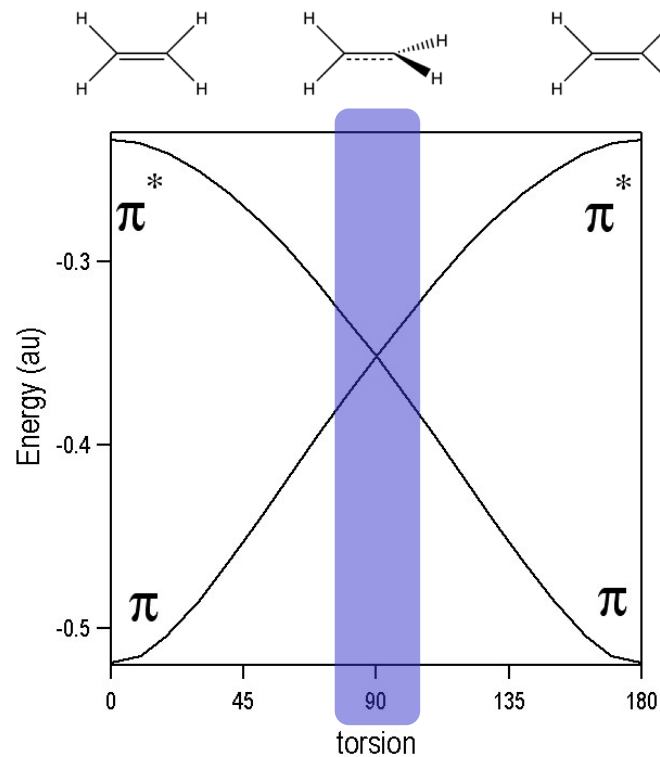


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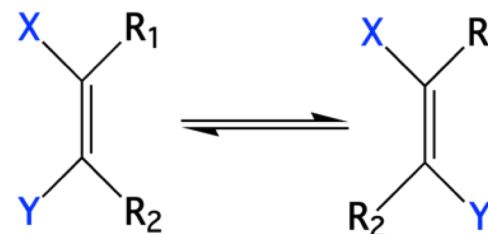
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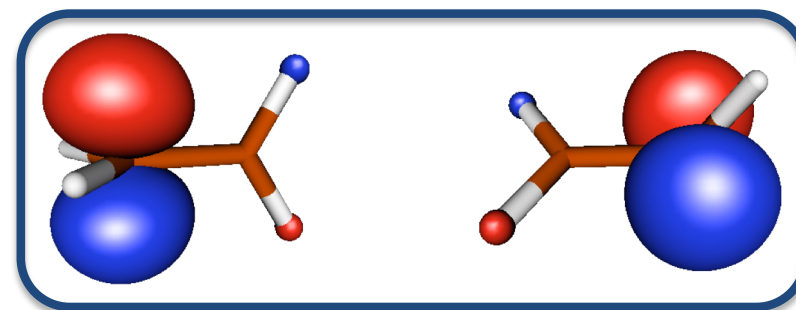
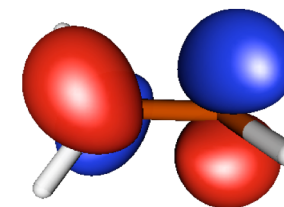
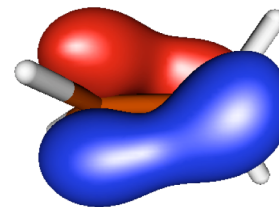
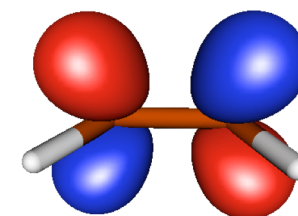
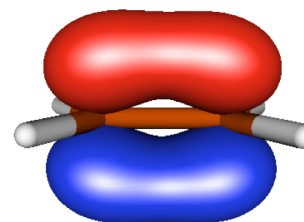


**KS ground state is ill-defined**



$\pi$

$\pi^*$

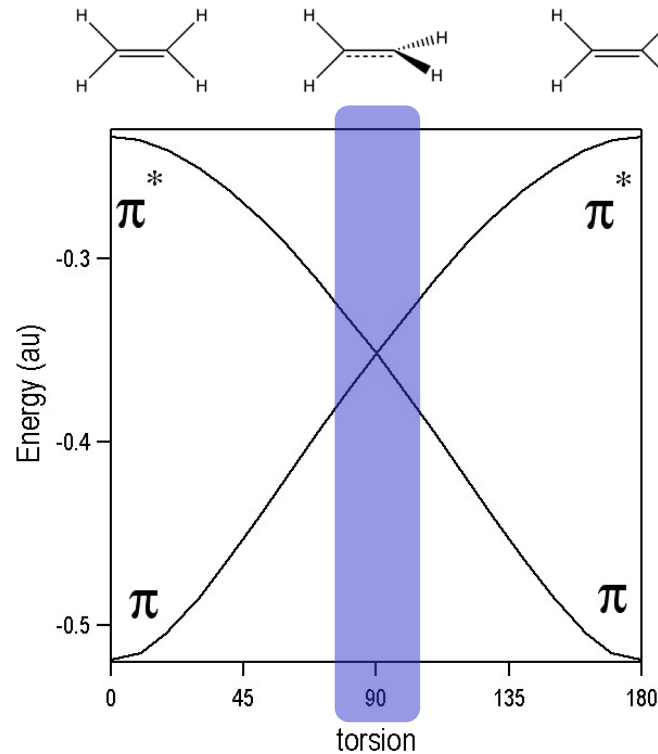
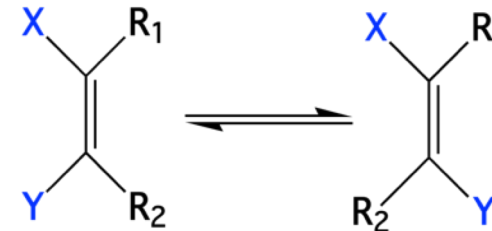


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### B3LYP/DZP

#### TDDFT/TDA Excitation Energies

```
Excited state 1: excitation energy (eV) = -1.0654
Total energy for state 1: -78.47809856 au
Multiplicity: Triplet
Trans. Mom.: 0.0000 X 0.0000 Y 0.0000 Z
Strength : 0.0000000000
D( 8) --> V( 1) amplitude = 0.9964

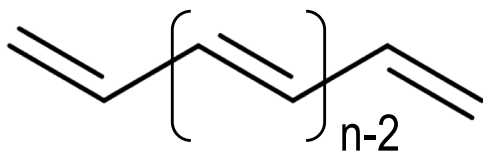
Excited state 2: excitation energy (eV) = 1.6800
Total energy for state 2: -78.37720765 au
Multiplicity: Singlet
Trans. Mom.: 0.7975 X 0.0000 Y 0.0000 Z
Strength : 0.0261748746
D( 8) --> V( 1) amplitude = 0.9748
```

**KS ground state is ill-defined  $\rightarrow$  linear response fails**

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## Double excitations

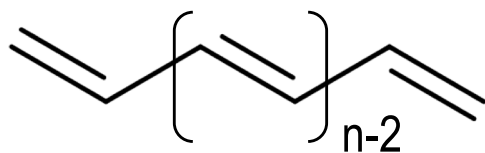
Example: all-*trans* polyenes



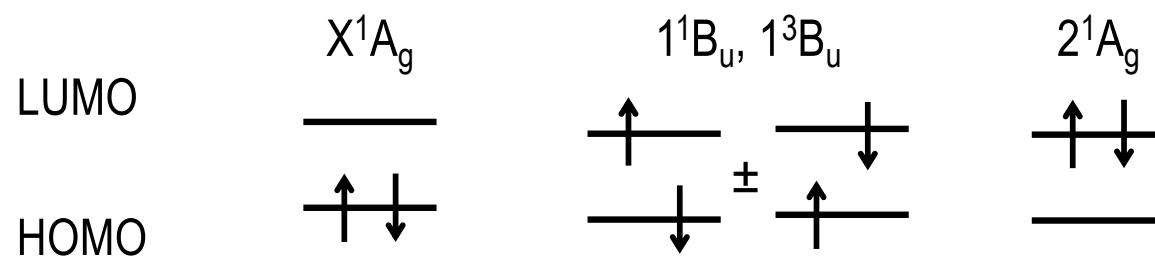
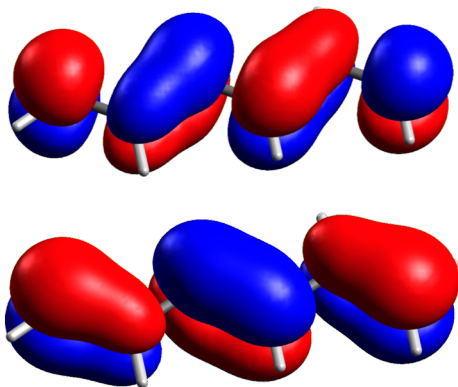
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Hexatriene ( $n=3$ )

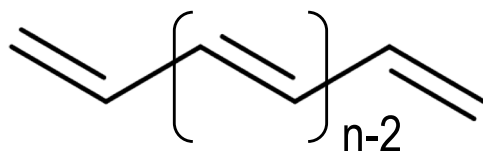


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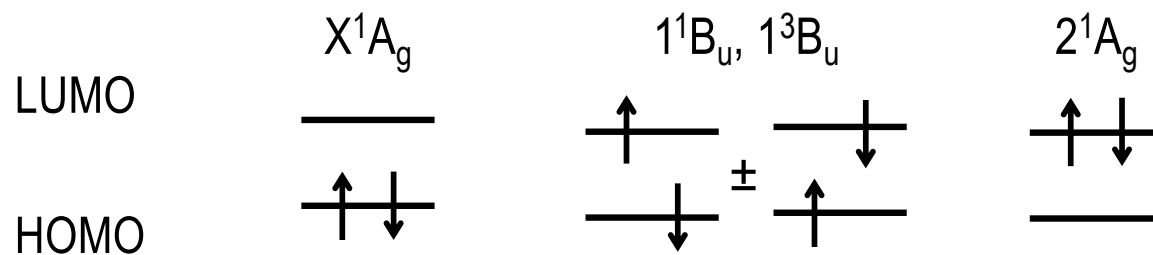
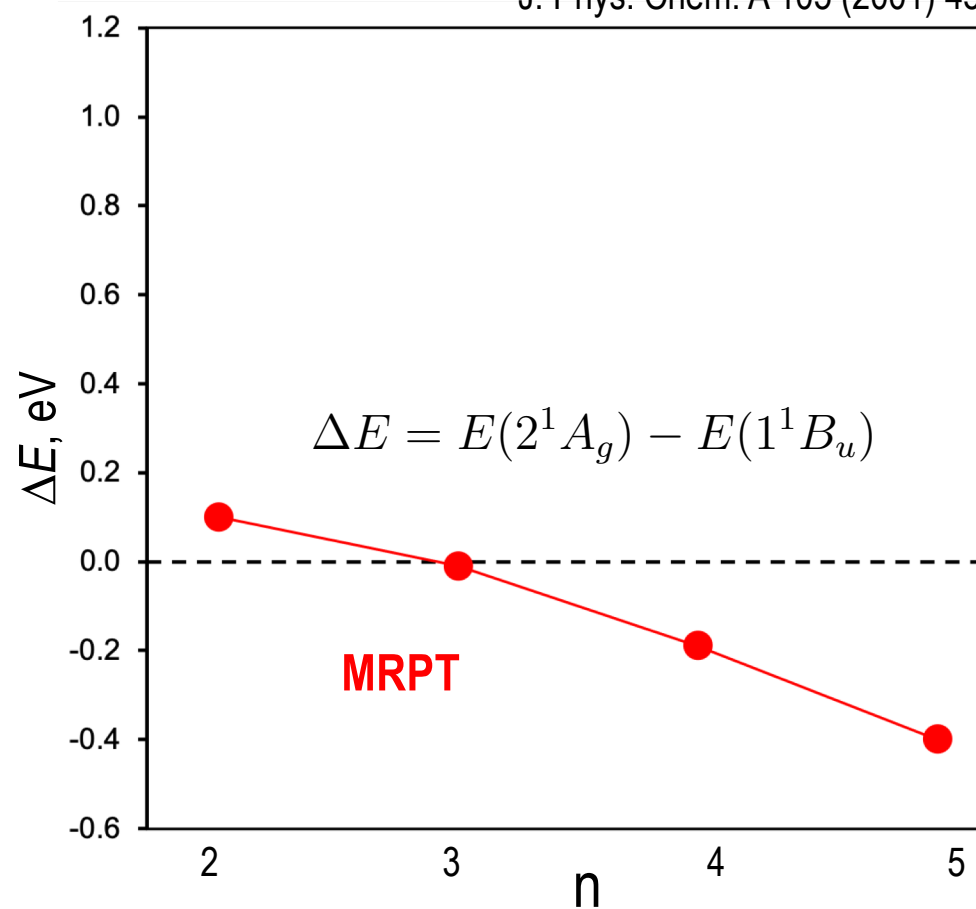
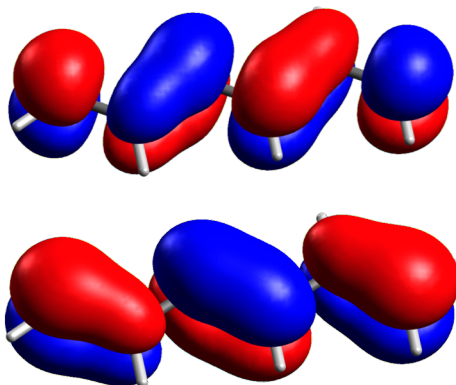
Int. J. Quantum Chem. 66 (1998) 157  
 J. Phys. Chem. A 105 (2001) 451

## Double excitations

Example: all-*trans* polyenes



Hexatriene ( $n=3$ )

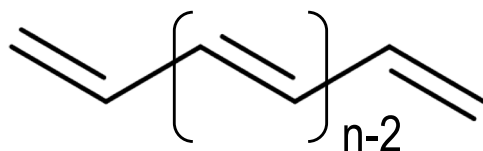


# LR-TDDFT failures

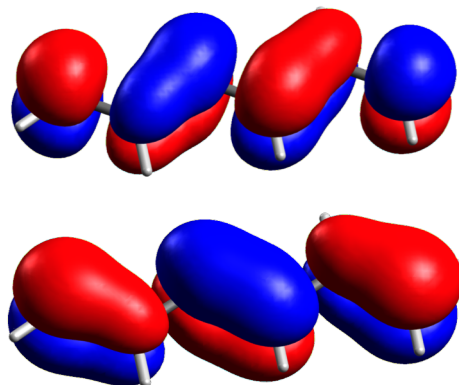
Int. J. Quantum Chem. 66 (1998) 157  
 J. Phys. Chem. A 105 (2001) 451

## Double excitations

Example: all-*trans* polyenes

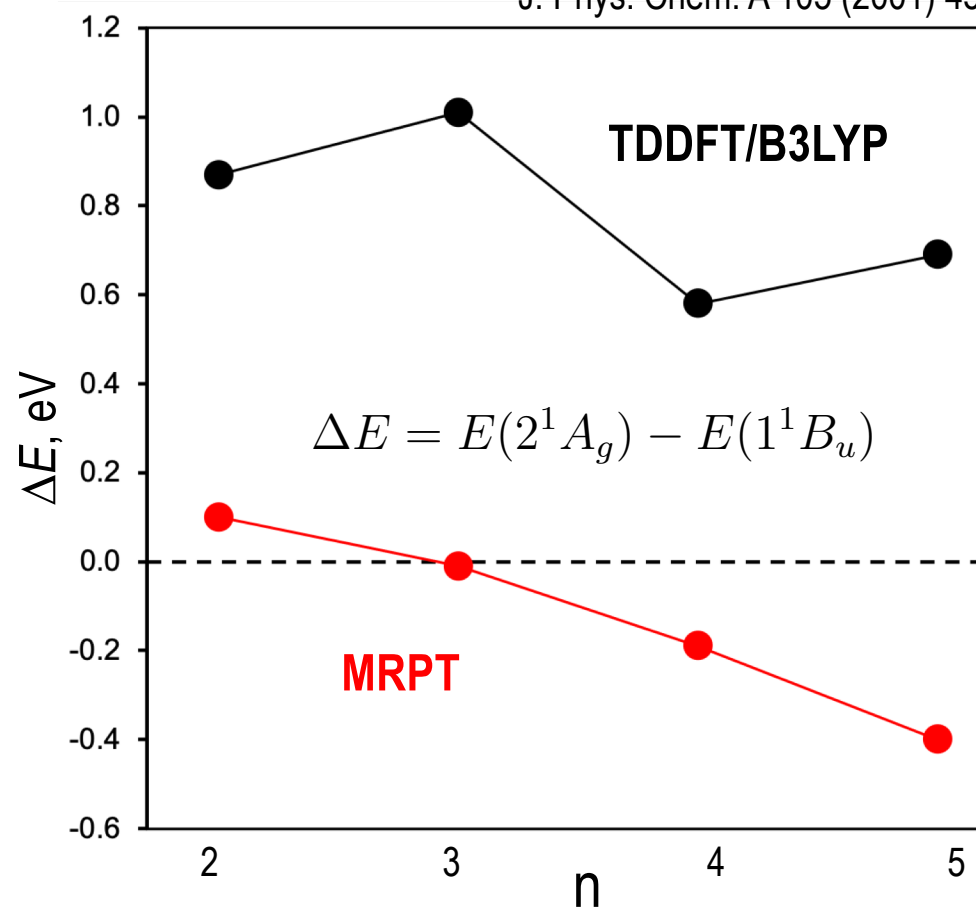


Hexatriene (n=3)



LUMO

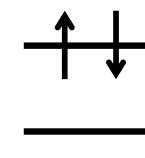
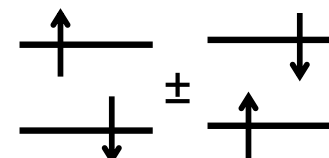
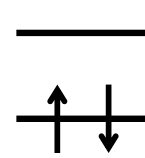
HOMO



$X^1A_g$

$1^1B_u, 1^3B_u$

$2^1A_g$



# Spin-flip excitation operator

Spin-flip in CC and CI

Chem. Phys. Lett. 338 (2001) 375

Chem. Phys. Lett. 350 (2001) 522

$$[\hat{H}, \hat{R}]|\Psi_0\rangle = \omega\hat{R}|\Psi_0\rangle$$

$$|\Psi_f\rangle = \hat{R}|\Psi_0\rangle$$

$$\hat{H} = e^{-T}\hat{H}e^T$$



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## Spin-flip in CC and CI

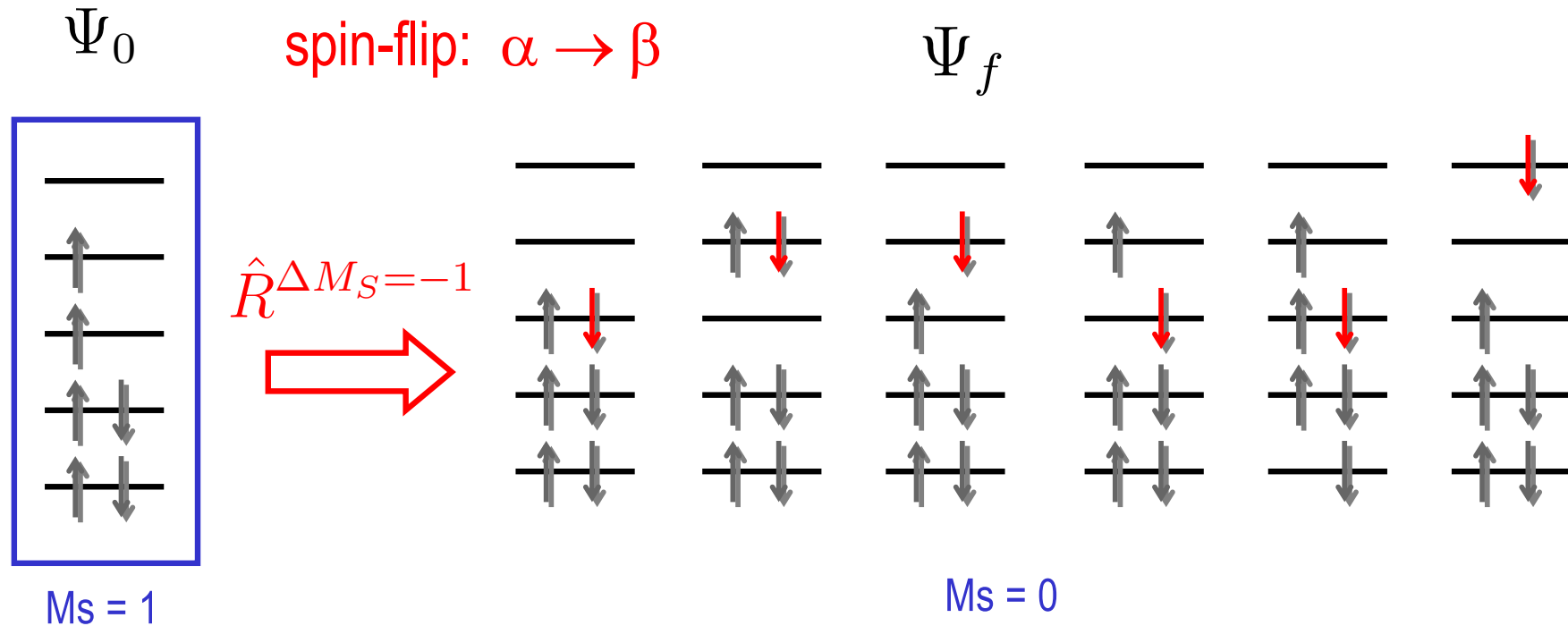
Chem. Phys. Lett. 338 (2001) 375

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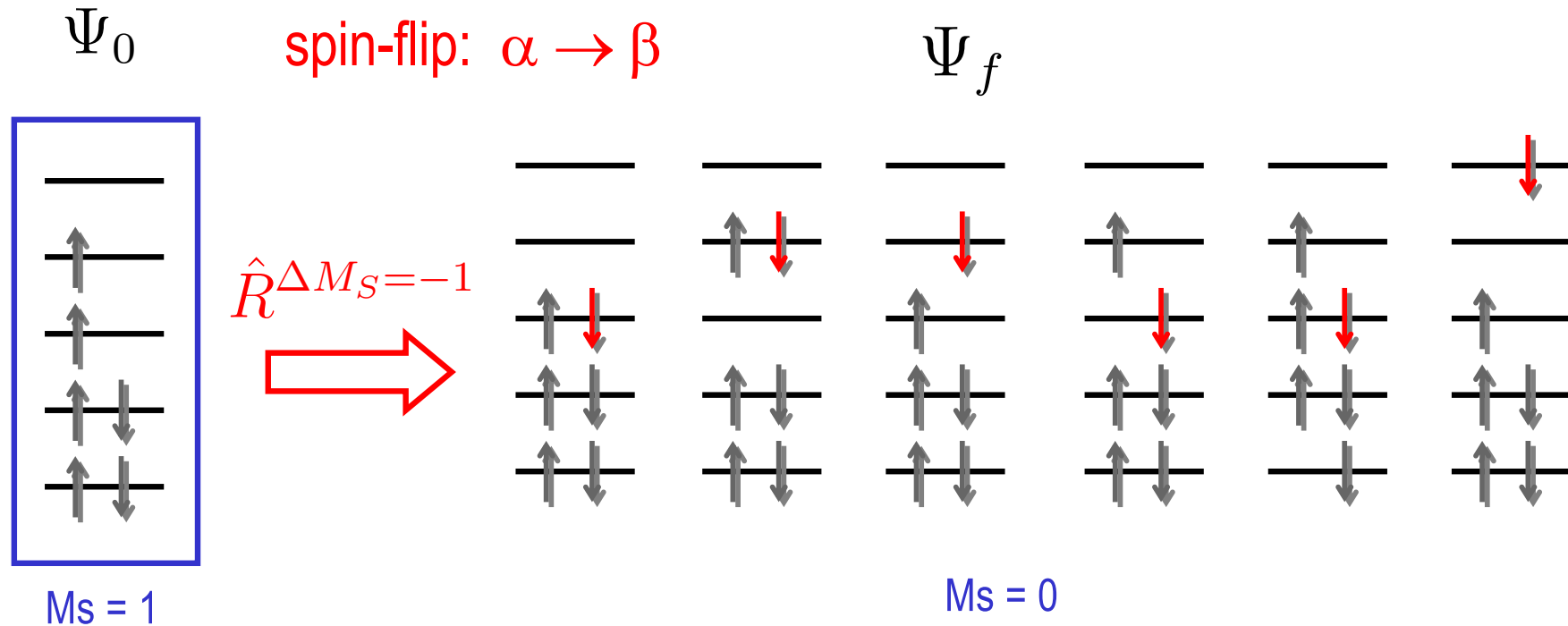
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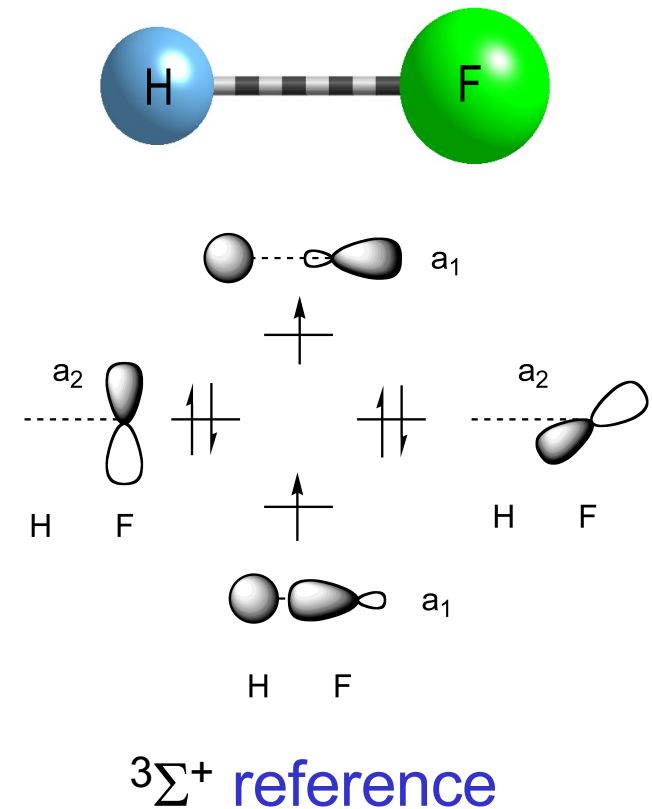
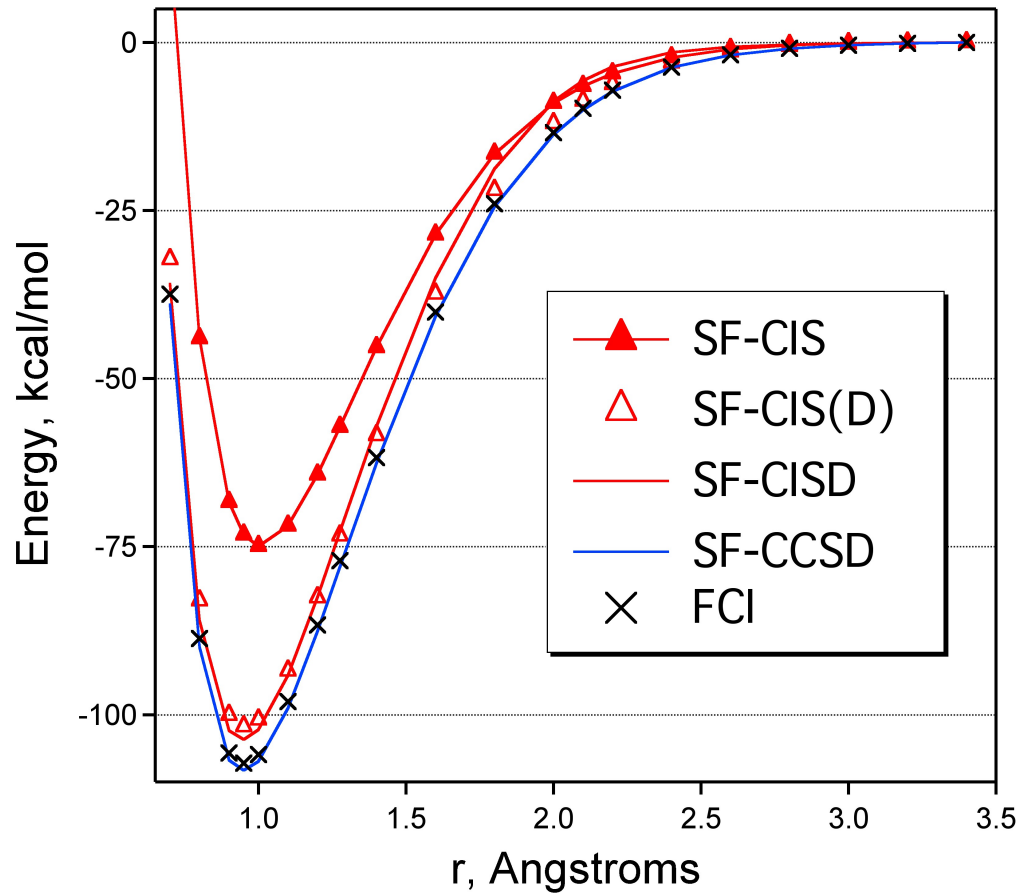
Characterization  
of diradicals

Ground and low-lying excited states  
Double excitations

# Examples: SF in wave function methods

Ground state

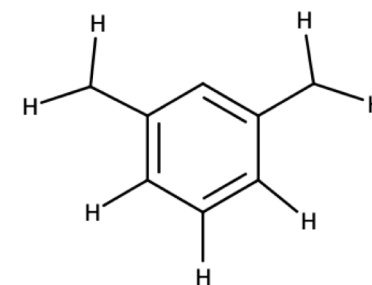
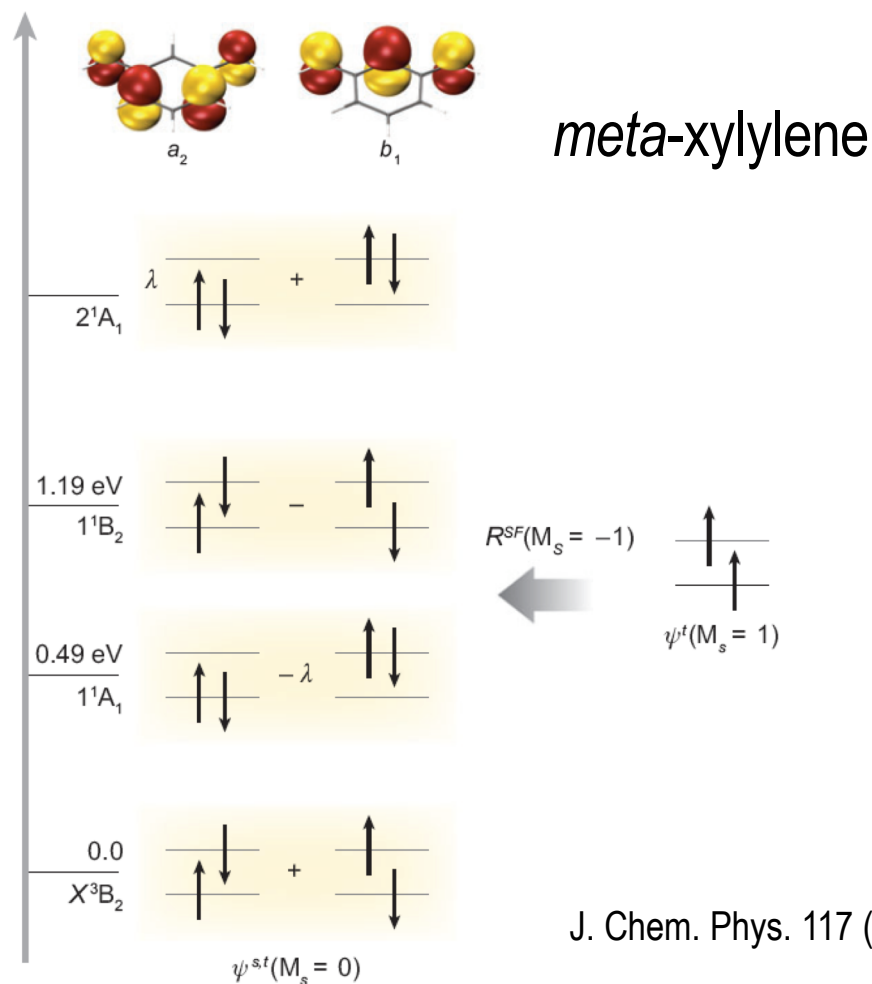
Diradicals, triradicals, bond-breaking



# Examples: SF in wave function methods

## Excited states

Diradicals, triradicals, bond-breaking



Excited states in open-shell molecules

EOM-SF-CCSD

# Spin-flip in DFT: SF-TDDFT

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{B}^* & \mathbf{A}^* \end{bmatrix} \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix} = \omega \begin{bmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} \end{bmatrix} \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix}$$

$x_{\bar{a}i}$  : virtual-occupied  $i \in O(\alpha), \bar{a} \in V(\beta)$

$y_{a\bar{i}}$  : occupied-virtual  $\bar{i} \in O(\beta), a \in V(\alpha)$

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) + \frac{\partial F_{i\bar{a}}}{\partial P_{j\bar{b}}}$$

$$B_{i\bar{a},b\bar{j}} = \frac{\partial F_{i\bar{a}}}{\partial P_{b\bar{j}}}$$

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) + (i\bar{a}|f_H|j\bar{b}) + (i\bar{a}|f_{xc}|j\bar{b})$$

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$$(i\bar{a}|f_H|j\bar{b}) = \int \phi_i(r_1)\phi_a(r_1) \frac{1}{|r_1 - r_2|} \phi_j(r_2)\phi_b(r_2) dr_1 dr_2 \langle \alpha_1|\beta_1 \rangle \langle \alpha_2|\beta_2 \rangle = 0$$

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Pure xc-functional

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i)$$

$$B_{i\bar{a},j\bar{b}} = 0$$

No coupling between SF states

# Spin-flip in DFT: SF-TDDFT

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## Hybrid xc-functional

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) - c_{HF}(ij|f_H|\bar{a}\bar{b})$$

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# Spin-flip in DFT: SF-TDDFT

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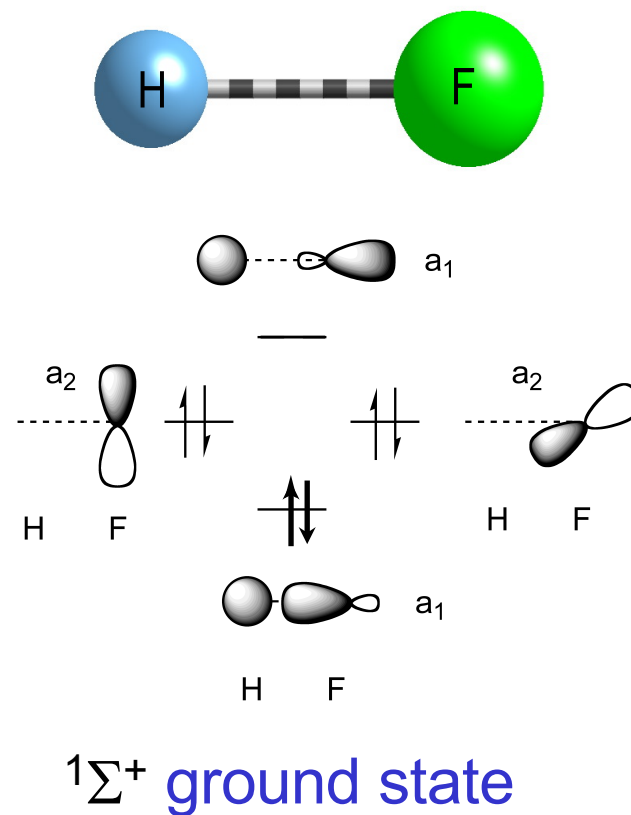
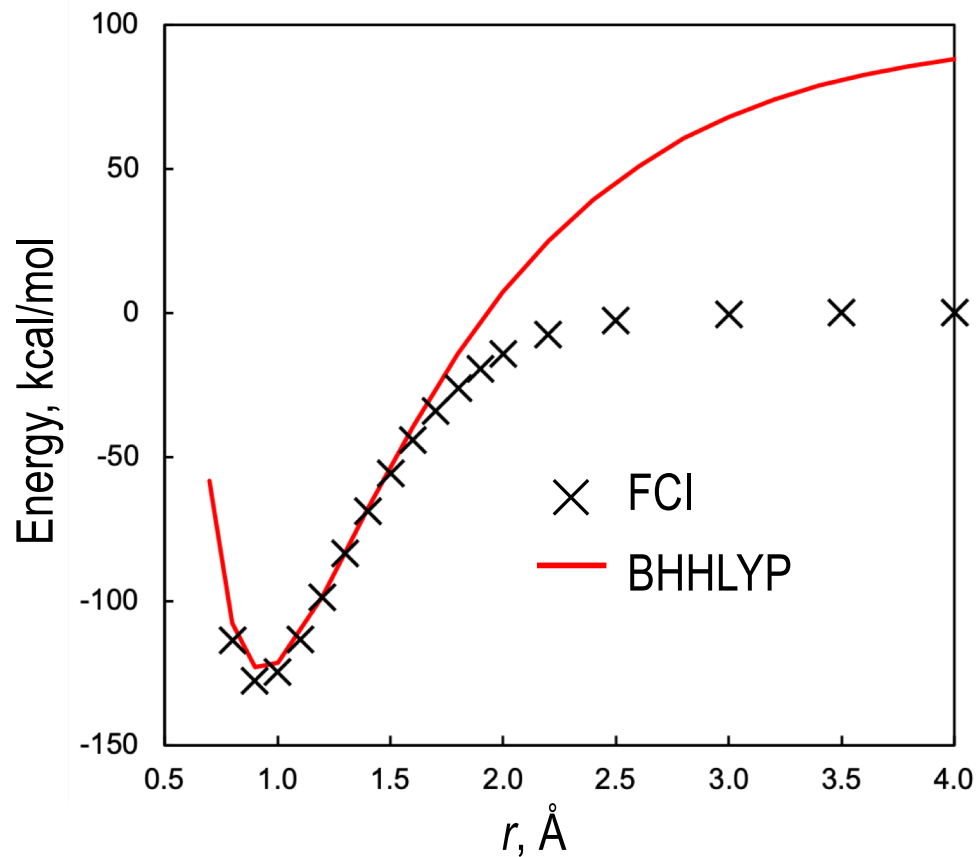
Best performance of SF-TDDFT achieved with functionals with large amounts of HF exchange

BHLYP, 50-50 or PBE50 (50%)

# Example: HF dissociation

Ground state dissociation

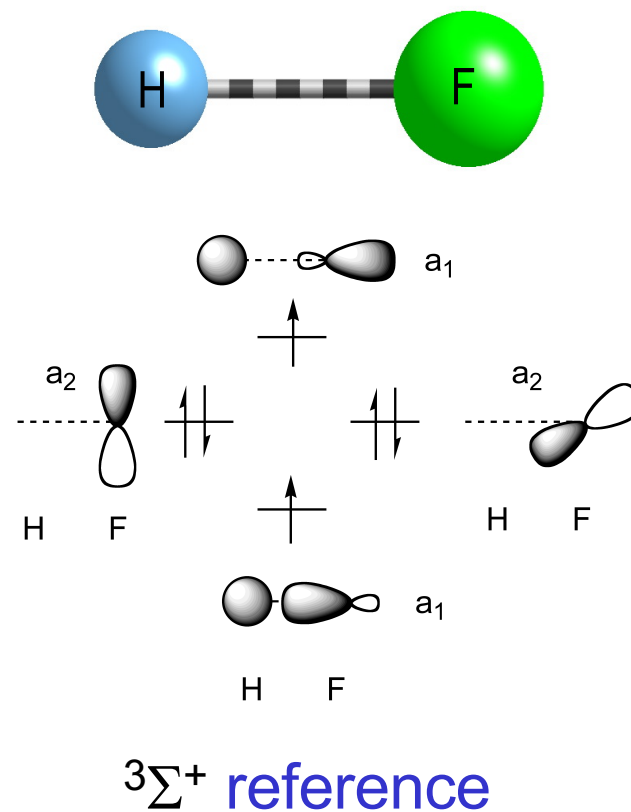
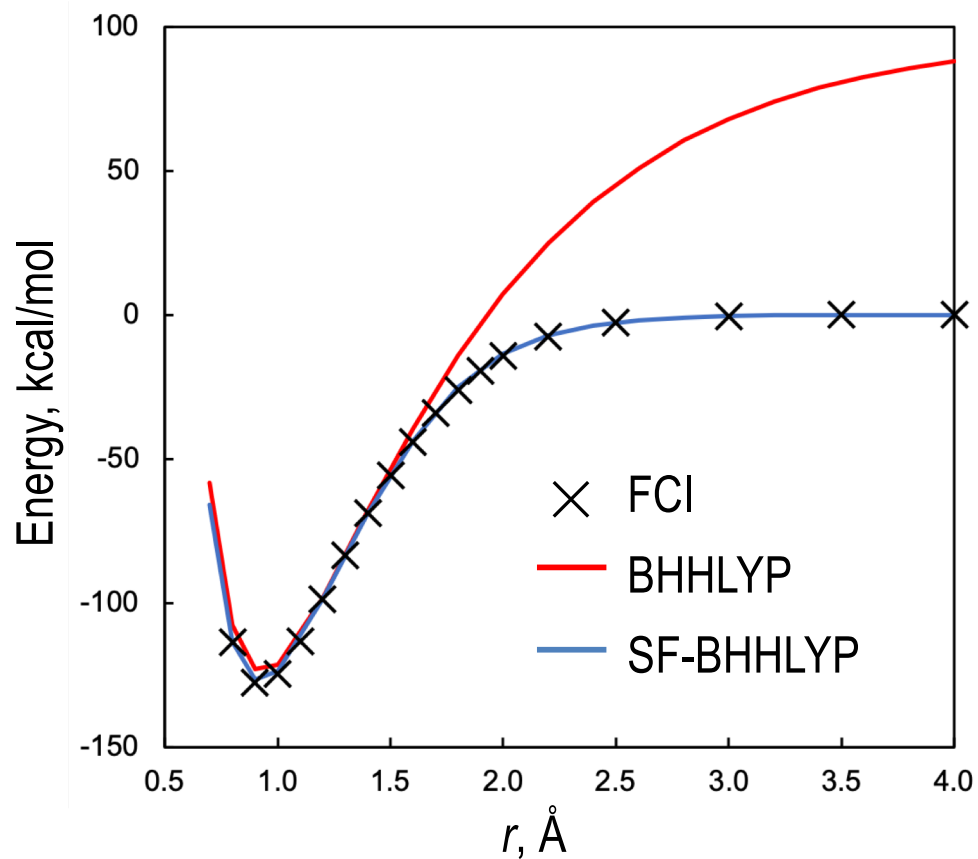
BHHLYP/6-31G



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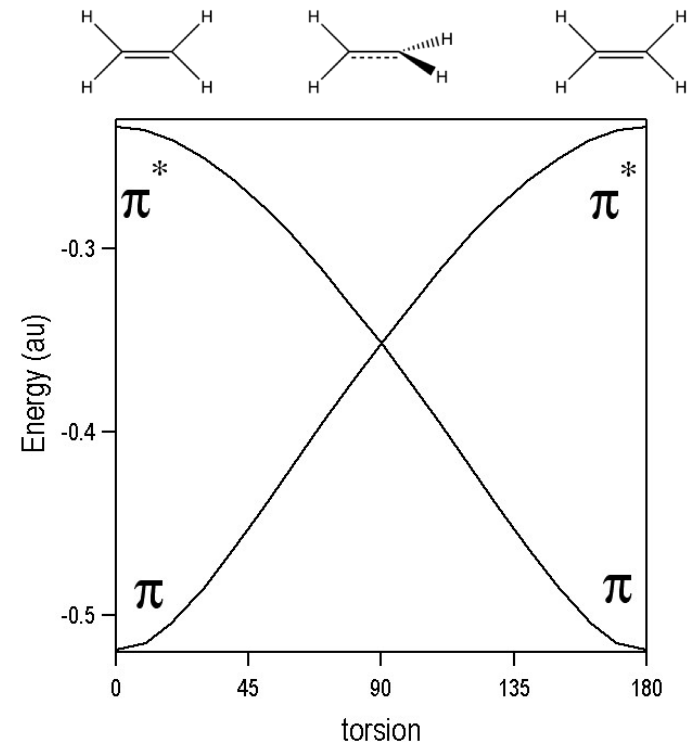
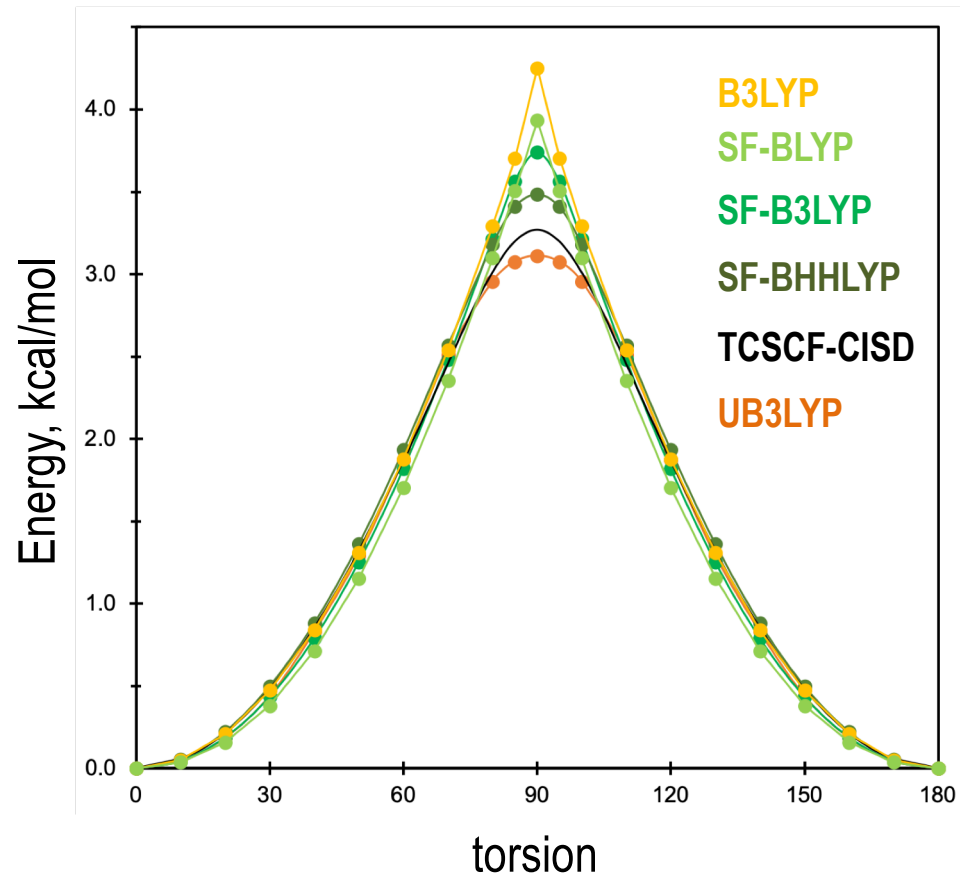
BHHLYP/6-31G



# Example: ethene torsion

Ground state PES

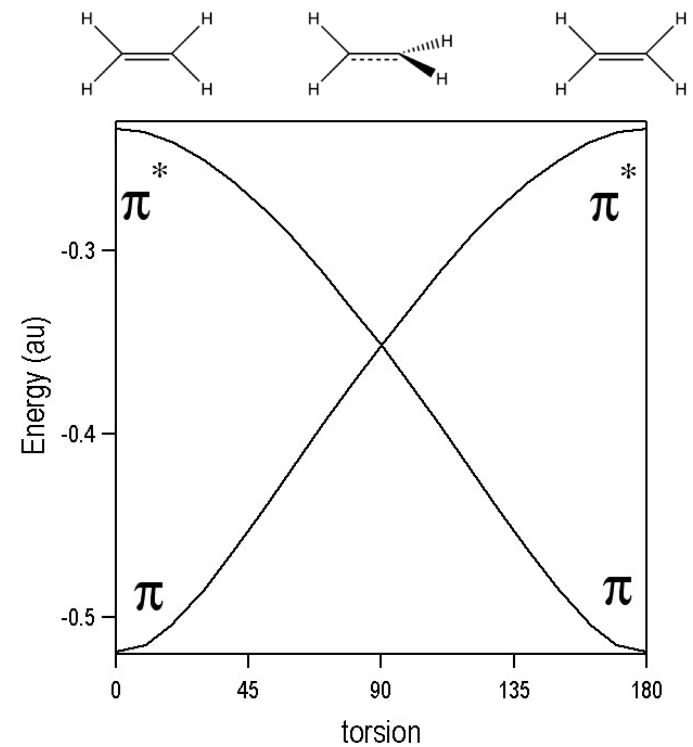
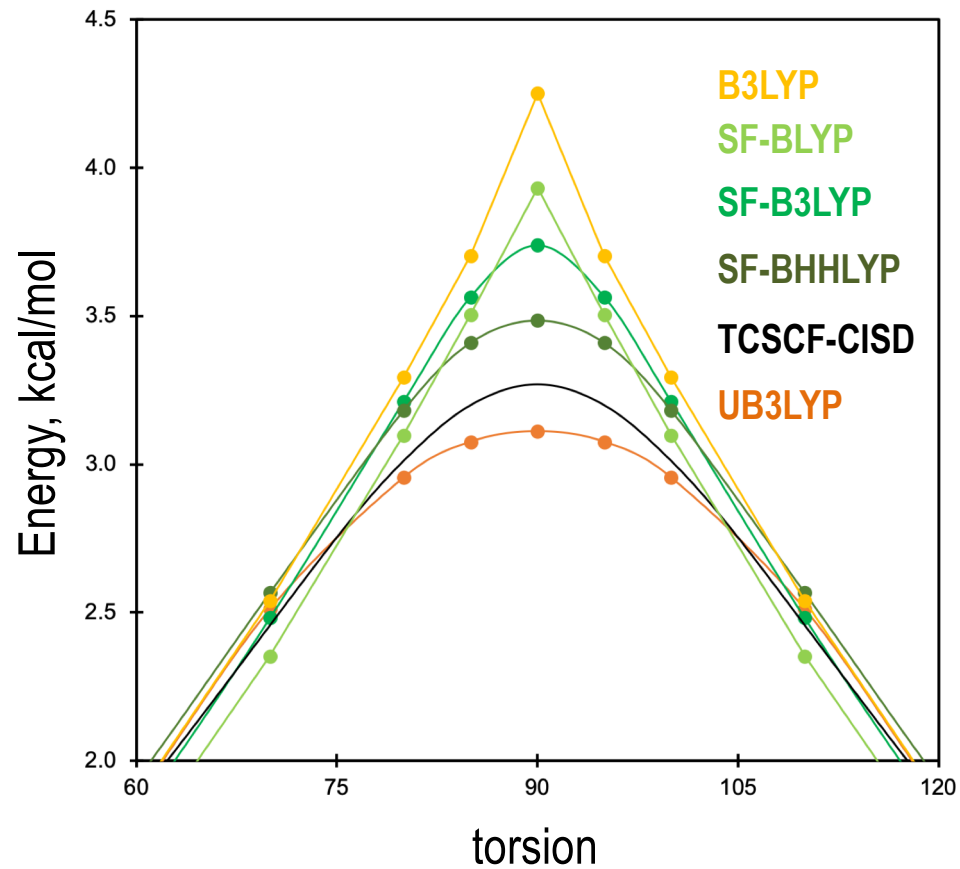
Basis set: DZP



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Ground state PES

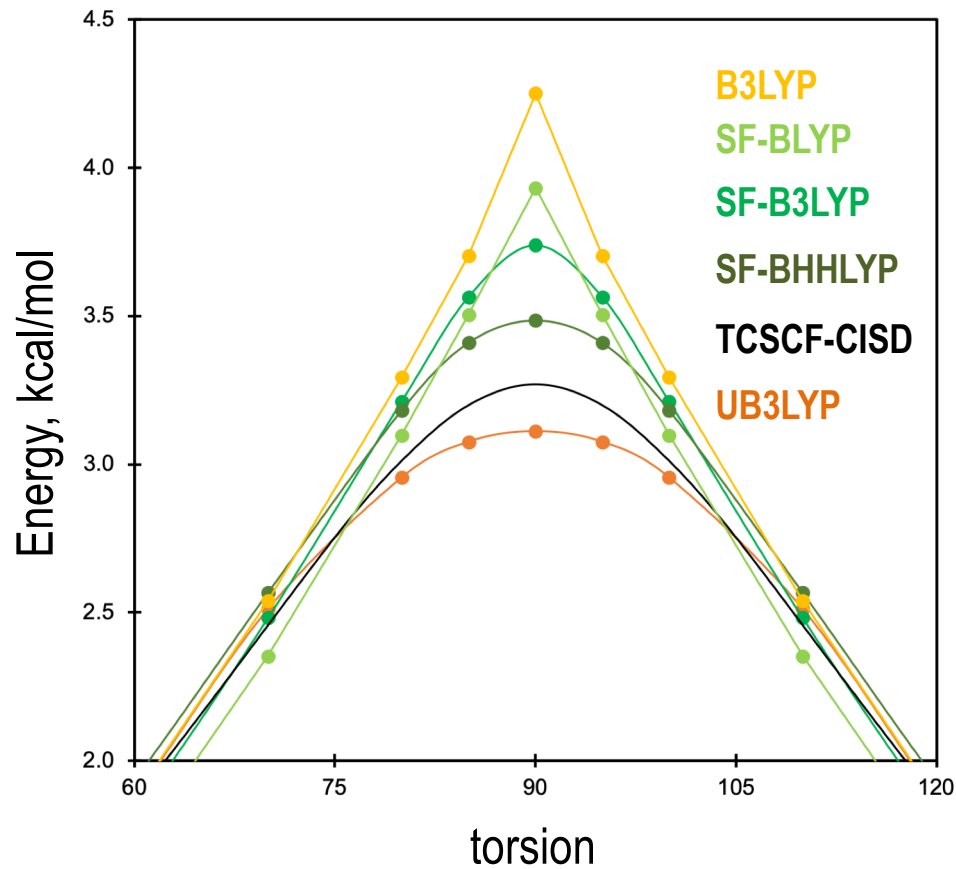
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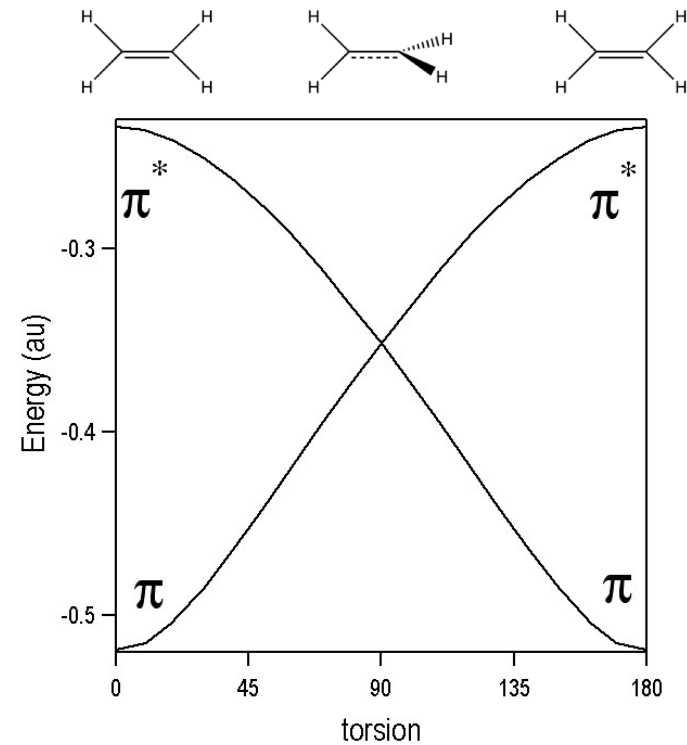
## Ground state PES

Basis set: DZP



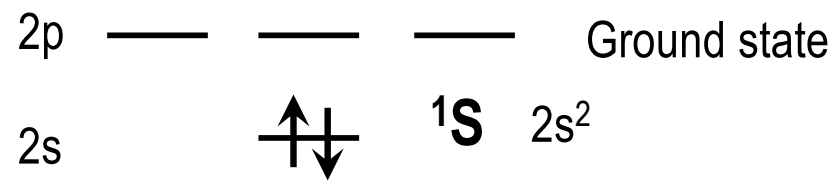
## SF-TDDFT

- PES in chemical reactions
- Transition state characterization



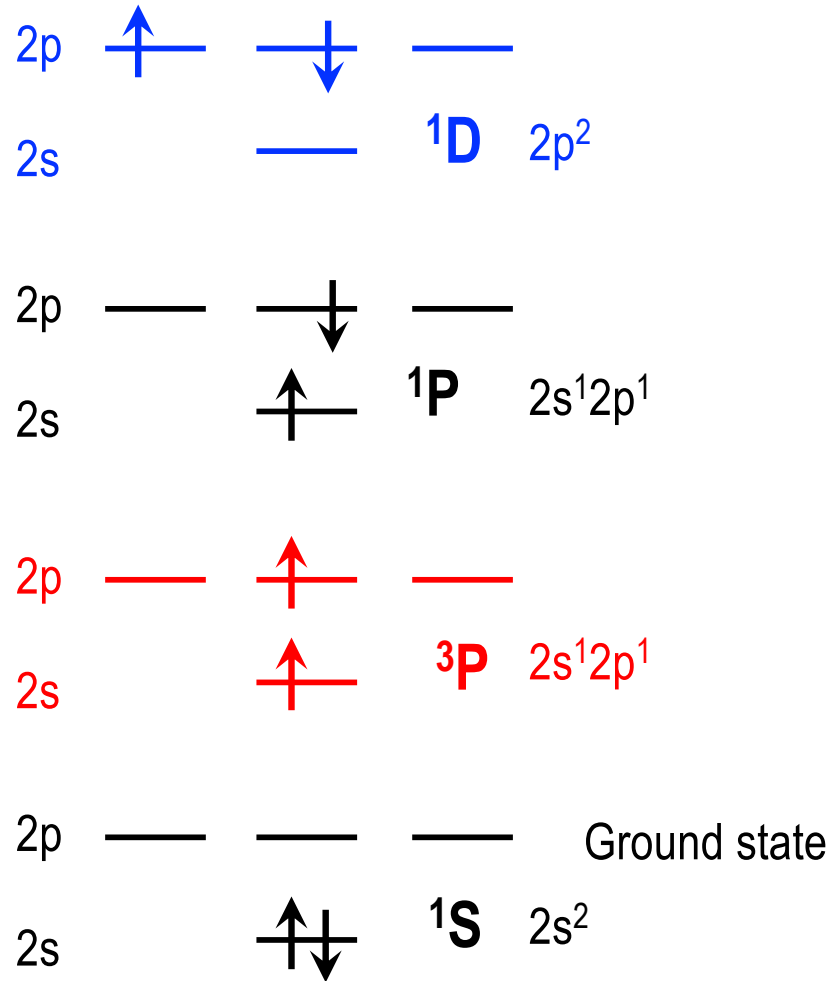
# Example: Excitation energies

Be atom



# Example: Excitation energies

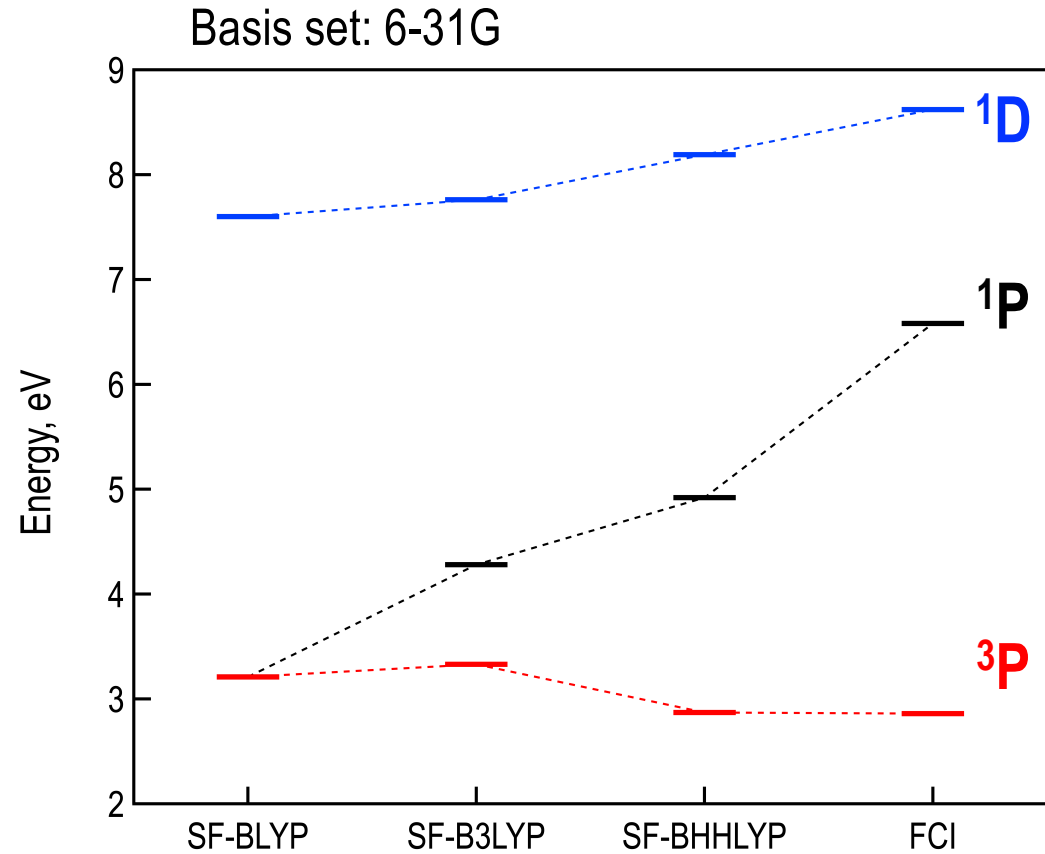
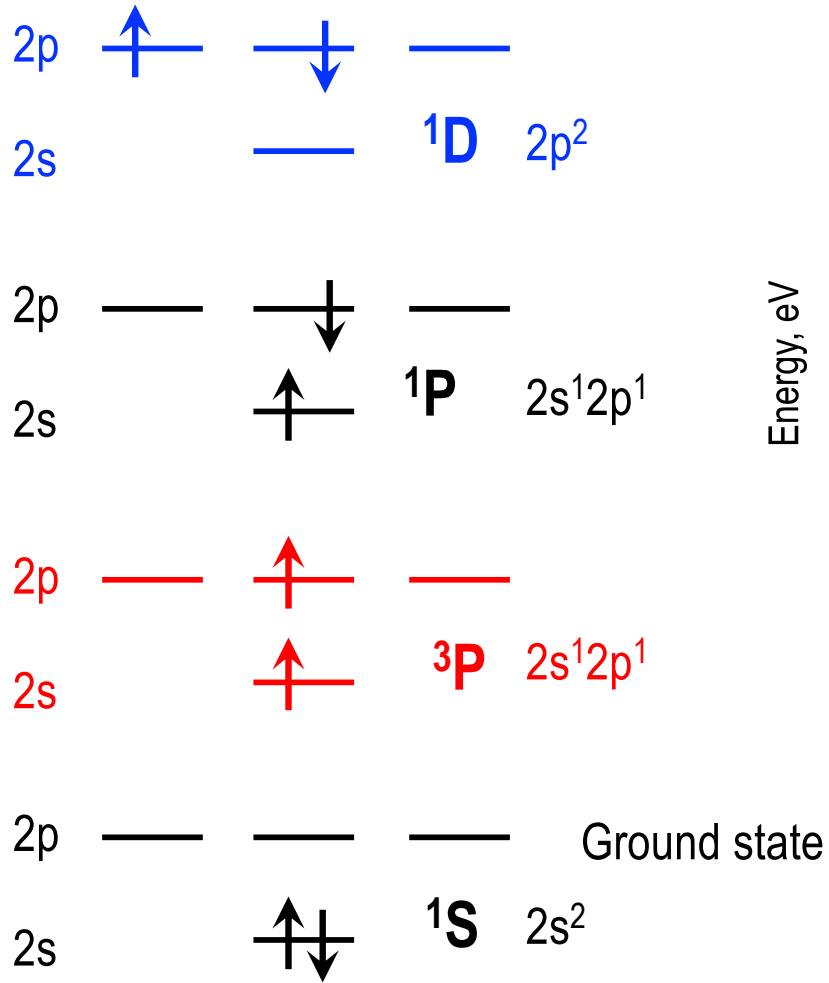
## Be atom





# Example: Excitation energies

Be atom



$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) - c_{HF}(ij|f_H|\bar{a}\bar{b})$$

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# Noncollinear SF-TDDFT

XC potential

---

non-relativistic TDDFT

collinear

$$v_{xc}^C = \frac{\delta E_{XC}[\rho]}{\delta \rho}$$

relativistic TDDFT

noncollinear

$$v_{xc}^{NC} = \frac{\delta E_{XC}[\rho]}{\delta \rho} + \frac{\delta E_{XC}[\rho]}{\delta s} \frac{\mathbf{m} \cdot \boldsymbol{\sigma}}{s}$$

---

$s$ : spin density

$\mathbf{m}$ : magnetic vector

$\boldsymbol{\sigma}$ : Pauli matrices

J. Chem. Phys. 121 (2004) 6658

# Noncollinear SF-TDDFT

XC potential

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J. Chem. Phys. 121 (2004) 6658

IF

SOC = 0  
 $\alpha/\beta$  spin-orbitals



$$v_{xc}^{NC} = v_{xc}^C$$

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J. Chem. Phys. 121 (2004) 6658

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$$v_{xc}^{NC} = v_{xc}^C$$

BUT

TD perturbation



1<sup>st</sup> order change in  $\rho_{\alpha\beta}$  and  $v_{xc}^{NC}$   
(spin-flip transition)

J. Chem. Phys. 121 (2004) 12191

# Noncollinear SF-TDDFT

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relativistic TDDFT

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$$v_{xc}^{NC} = \frac{\delta E_{XC}[\rho]}{\delta \rho} + \frac{\delta E_{XC}[\rho]}{\delta s} \frac{\mathbf{m} \cdot \boldsymbol{\sigma}}{s}$$

$s$ : spin density

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J. Chem. Phys. 121 (2004) 6658

IF SOC = 0  
 $\alpha/\beta$  spin-orbitals  $\Rightarrow$   $v_{xc}^{NC} = v_{xc}^C$  BUT TD perturbation  $\Rightarrow$  1<sup>st</sup> order change in  $\rho_{\alpha\beta}$  and  $v_{xc}^{NC}$   
(spin-flip transition)

J. Chem. Phys. 121 (2004) 12191

## NC-SF-TDDFT

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$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) + \frac{\partial F_{i\bar{a}}}{\partial P_{j\bar{b}}}$$

$$B_{i\bar{a},b\bar{j}} = \frac{\partial F_{i\bar{a}}}{\partial P_{b\bar{j}}}$$

$$\frac{\partial F_{i\bar{a}}}{\partial P_{j\bar{b}}} = (i\bar{a}|\varpi|j\bar{b}) = \int \phi_i(\mathbf{r})\phi_{\bar{a}}(\mathbf{r}) \frac{1}{\rho_{\alpha} - \rho_{\beta}} \left( \frac{\delta E_{XC}}{\delta \rho_{\alpha}} - \frac{\delta E_{XC}}{\delta \rho_{\beta}} \right) \phi_j(\mathbf{r})\phi_{\bar{b}}(\mathbf{r}) d\mathbf{r}$$

# Noncollinear SF-TDDFT

## XC potential

non-relativistic TDDFT

collinear

$$v_{xc}^C = \frac{\delta E_{XC}[\rho]}{\delta \rho}$$

relativistic TDDFT

noncollinear

$$v_{xc}^{NC} = \frac{\delta E_{XC}[\rho]}{\delta \rho} + \frac{\delta E_{XC}[\rho]}{\delta s} \frac{\mathbf{m} \cdot \boldsymbol{\sigma}}{s}$$

$s$ : spin density

$\mathbf{m}$ : magnetic vector

$\boldsymbol{\sigma}$ : Pauli matrices

J. Chem. Phys. 121 (2004) 6658

IF SOC = 0  
 $\alpha/\beta$  spin-orbitals  $\Rightarrow$   $v_{xc}^{NC} = v_{xc}^C$  BUT TD perturbation  $\Rightarrow$  1<sup>st</sup> order change in  $\rho_{\alpha\beta}$  and  $v_{xc}^{NC}$  (spin-flip transition)

J. Chem. Phys. 121 (2004) 12191

## NC-SF-TDDFT

$$\begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{B}^* & \mathbf{A}^* \end{bmatrix} \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix} = \omega \begin{bmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} \end{bmatrix} \begin{bmatrix} \mathbf{X} \\ \mathbf{Y} \end{bmatrix}$$

$x_{\bar{a}i}$ : virtual-occupied

$i \in O(\alpha), \bar{a} \in V(\beta)$

$y_{\bar{a}\bar{i}}$ : occupied-virtual

$\bar{i} \in O(\beta), a \in V(\alpha)$

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) + \frac{\partial F_{i\bar{a}}}{\partial P_{j\bar{b}}}$$

$$B_{i\bar{a},b\bar{j}} = \frac{\partial F_{i\bar{a}}}{\partial P_{b\bar{j}}}$$

$$\frac{\partial F_{i\bar{a}}}{\partial P_{j\bar{b}}} = (i\bar{a}|\varpi|j\bar{b}) = \int \phi_i(\mathbf{r})\phi_a(\mathbf{r}) \frac{1}{\rho_\alpha - \rho_\beta} \left( \frac{\delta E_{XC}}{\delta \rho_\alpha} - \frac{\delta E_{XC}}{\delta \rho_\beta} \right) \phi_j(\mathbf{r})\phi_b(\mathbf{r}) d\mathbf{r}$$

### Pure xc-functional

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) + (i\bar{a}|\varpi|j\bar{b})$$

$$B_{i\bar{a},\bar{j}b} = (i\bar{a}|\varpi|b\bar{j})$$

### Hybrid xc-functional

$$A_{i\bar{a},j\bar{b}} = \delta_{ij}\delta_{\bar{a}\bar{b}}(\epsilon_{\bar{a}} - \epsilon_i) - c_{HF}(i\bar{j}|f_H|\bar{a}\bar{b}) + (1 - c_{HF})(i\bar{a}|\varpi|j\bar{b})$$

$$B_{i\bar{a},\bar{j}b} = -c_{HF}(i\bar{b}|f_H|\bar{a}\bar{j}) + (1 - c_{HF})(i\bar{a}|\varpi|b\bar{j})$$

# Examples: NC-SF-TDDFT

Atomic excitation energies: **open-shell** ground state

Carbon, Oxygen, Silicon, Sulfur

Ground state:  $^3P$

Excited state:  $^1D$

Nitrogen, Phosphorus

Ground state:  $^4S$

Excited state:  $^2D$ ,  $^2P$

# Examples: NC-SF-TDDFT

Atomic excitation energies: **open-shell** ground state

Carbon, Oxygen, Silicon, Sulfur

Ground state:  $^3P$

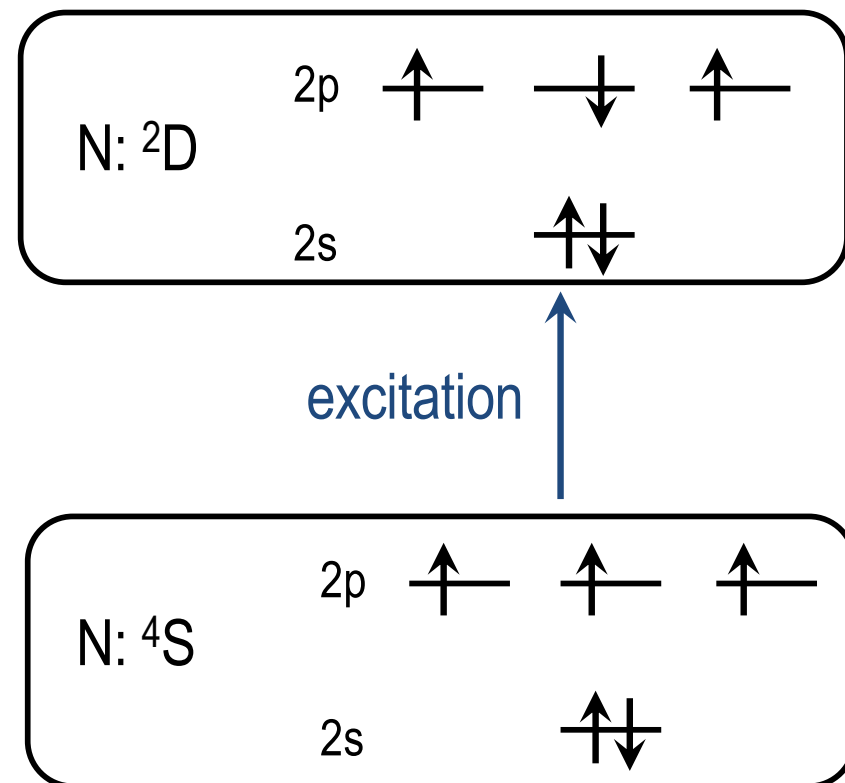
Excited state:  $^1D$

Nitrogen, Phosphorus

Ground state:  $^4S$

Excited state:  $^2D$ ,  $^2P$

**X** *spin-conserving* TDDFT





# Examples: NC-SF-TDDFT

Atomic excitation energies: **open-shell** ground state

Carbon, Oxygen, Silicon, Sulfur

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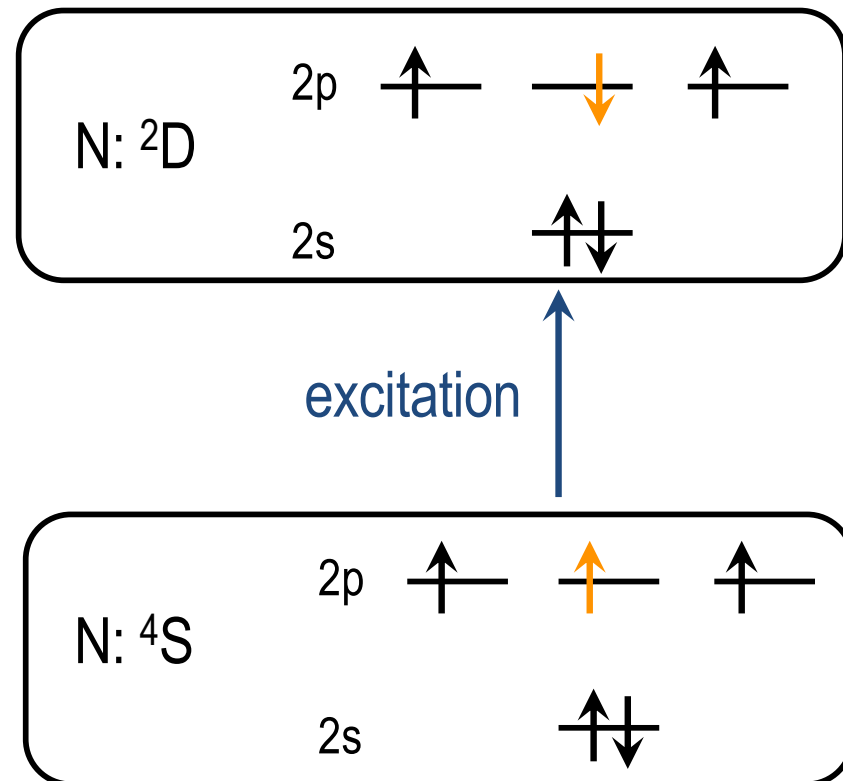
Nitrogen, Phosphorus

Ground state:  $^4S$

Excited state:  $^2D, ^2P$

**X** *spin-conserving* TDDFT

**✓** SF-TDDFT



# Examples: NC-SF-TDDFT

Atomic excitation energies: **open-shell** ground state

Carbon, Oxygen, Silicon, Sulfur

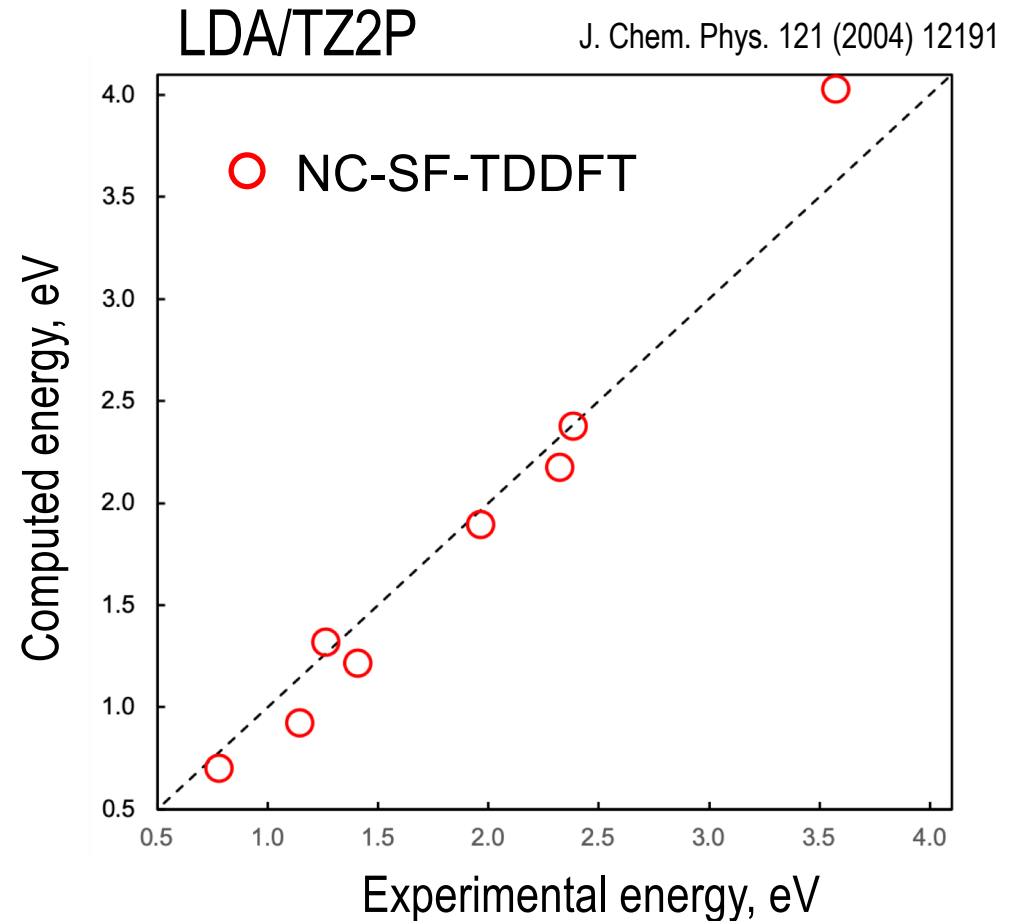
Ground state:  $^3P$

Excited state:  $^1D$

Nitrogen, Phosphorus

Ground state:  $^4S$

Excited state:  $^2D, ^2P$



# Examples: NC-SF-TDDFT

Atomic excitation energies: **open-shell** ground state

Carbon, Oxygen, Silicon, Sulfur

Ground state:  $^3P$

Excited state:  $^1D$

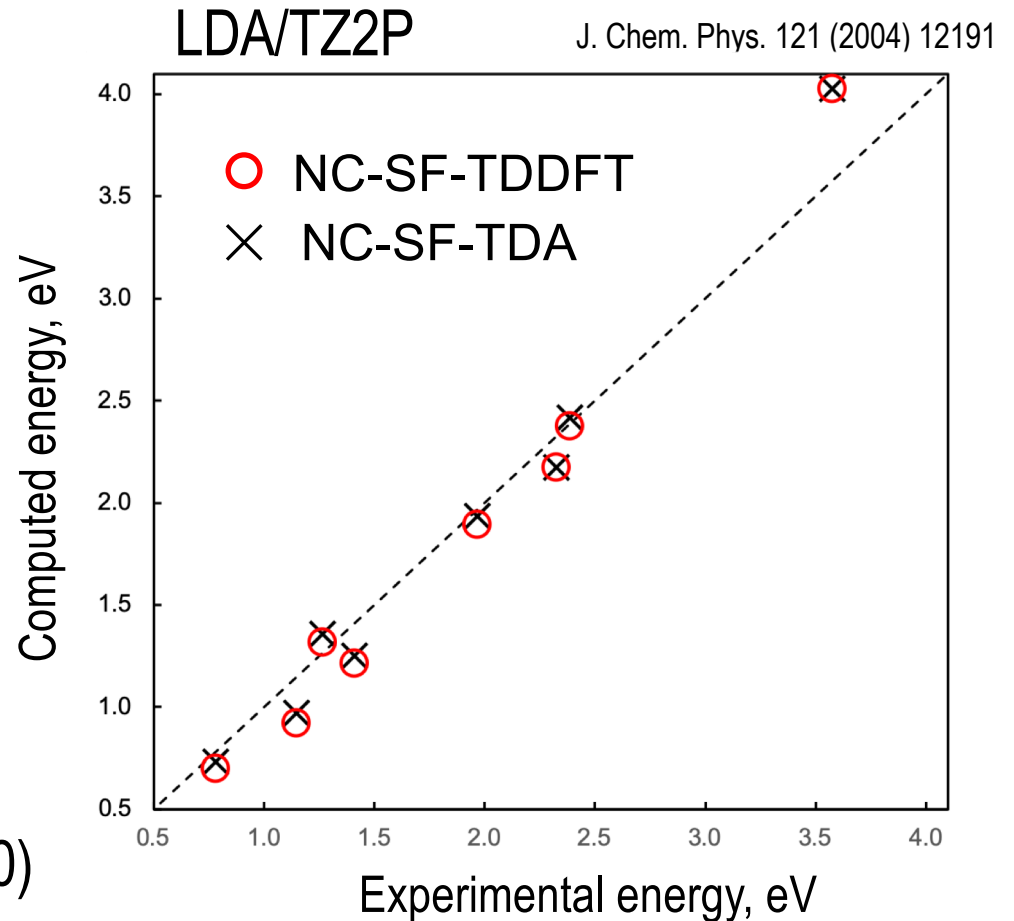
Nitrogen, Phosphorus

Ground state:  $^4S$

Excited state:  $^2D, ^2P$

NC-SF-TDDFT vs. NC-SF-TDA (B = 0)

TDA in SF-TDDFT



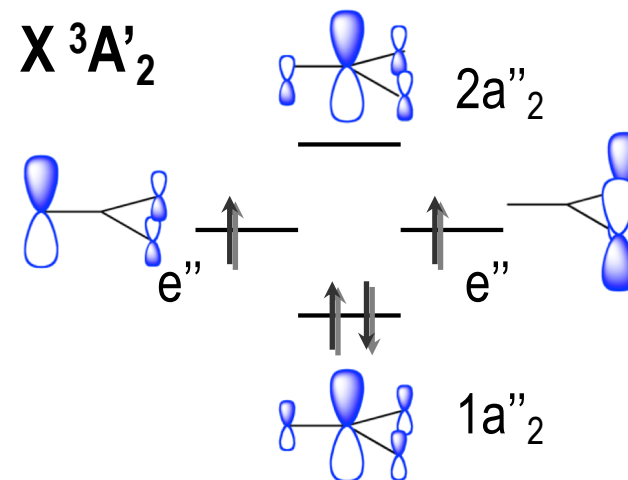
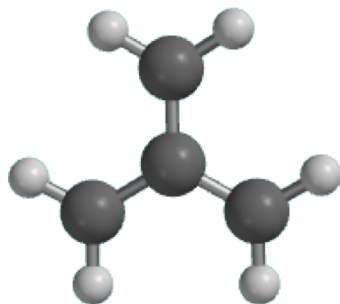
$$\begin{bmatrix} A & B \\ B^* & A^* \end{bmatrix} \begin{bmatrix} X \\ Y \end{bmatrix} = \omega \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} X \\ Y \end{bmatrix}$$

# Examples: NC-SF-TDDFT

TMM diradical

Geometry:  $D_{3h}$

Ground state:  ${}^3A'_2$

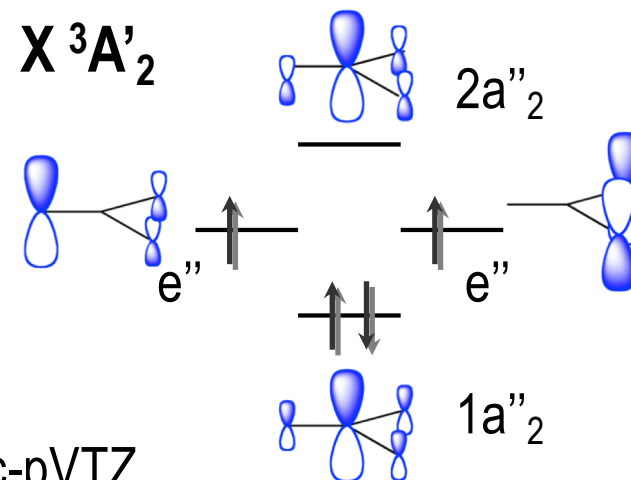
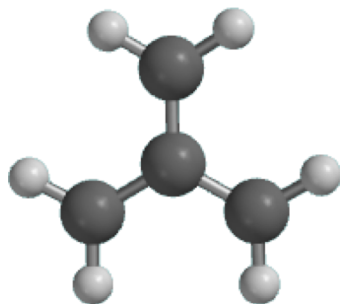


# Examples: NC-SF-TDDFT

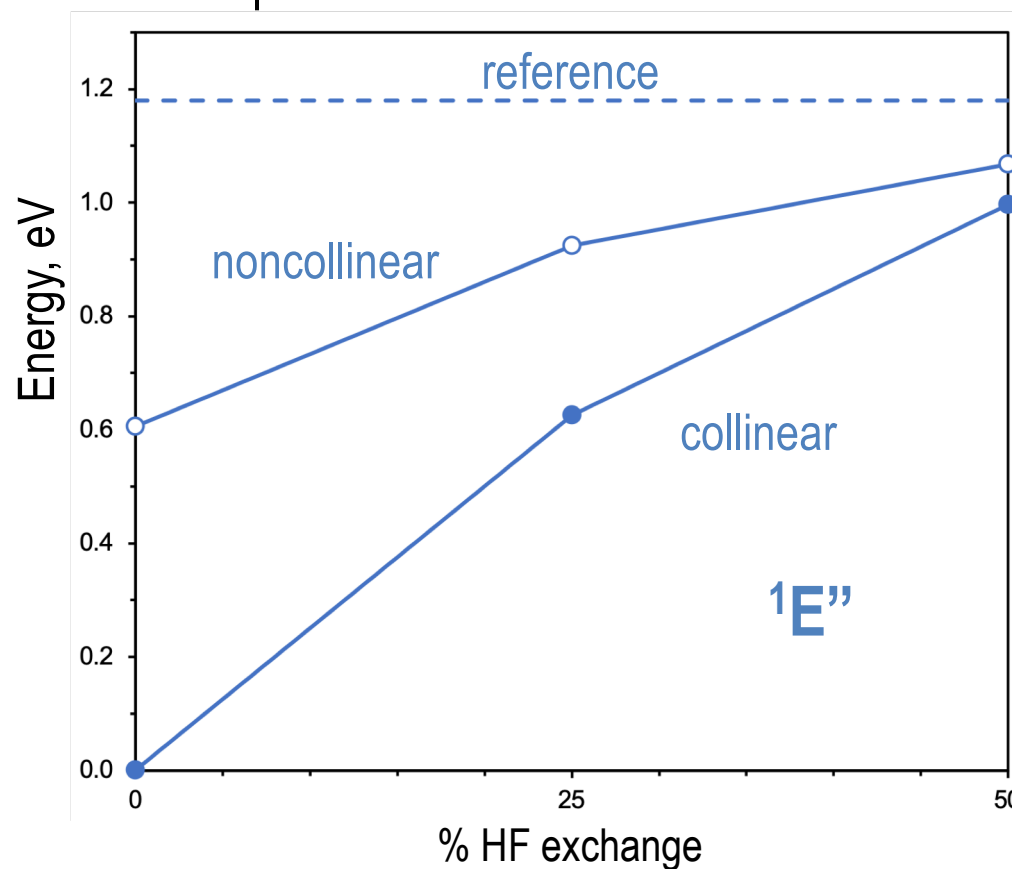
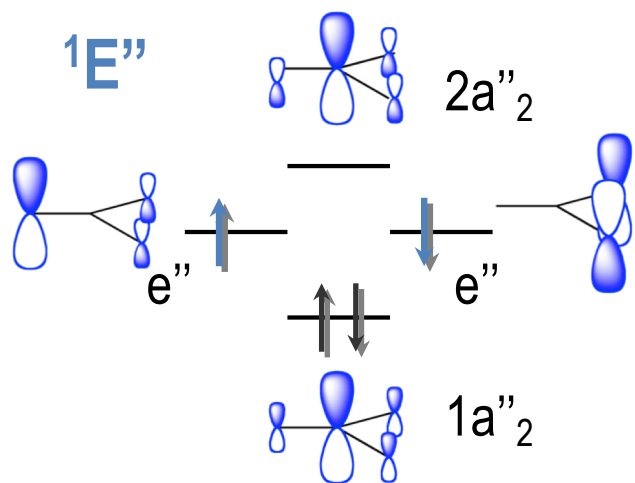
TMM diradical

Geometry:  $D_{3h}$

Ground state:  $^3A'_2$



SF-PBE/cc-pVTZ

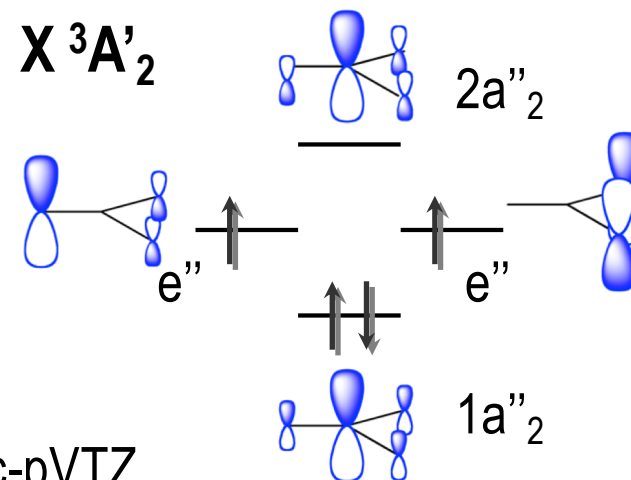
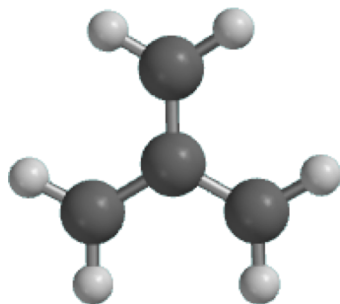


# Examples: NC-SF-TDDFT

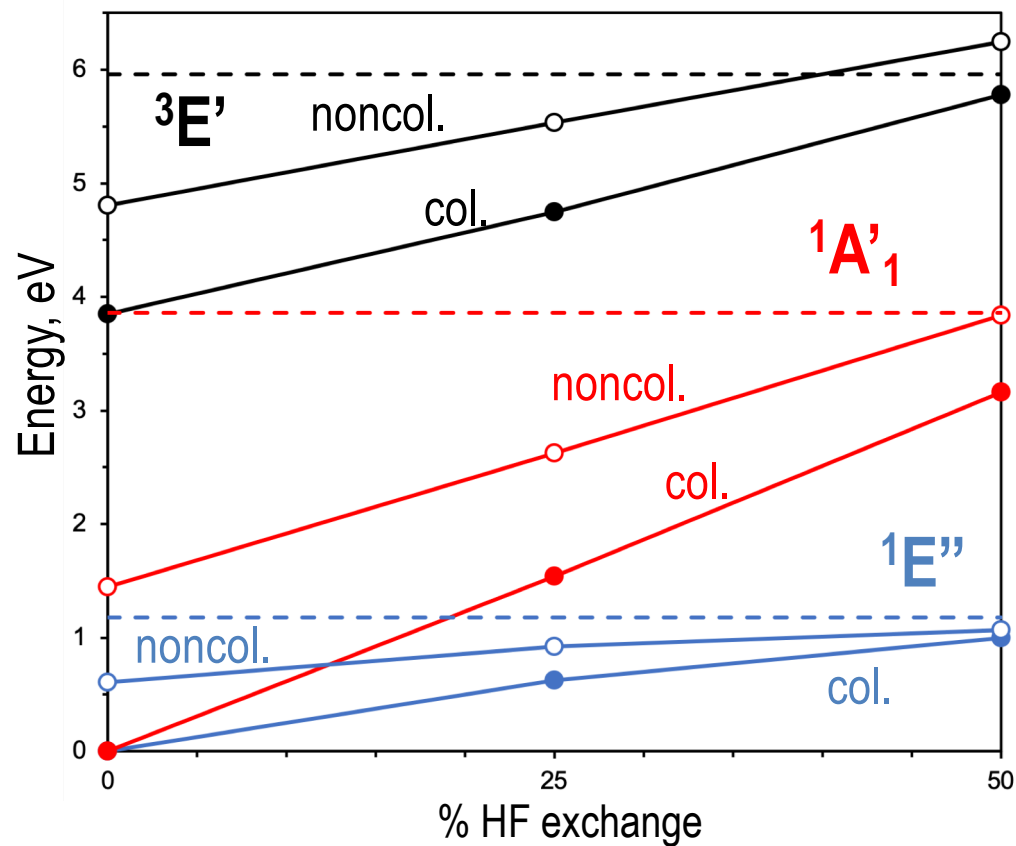
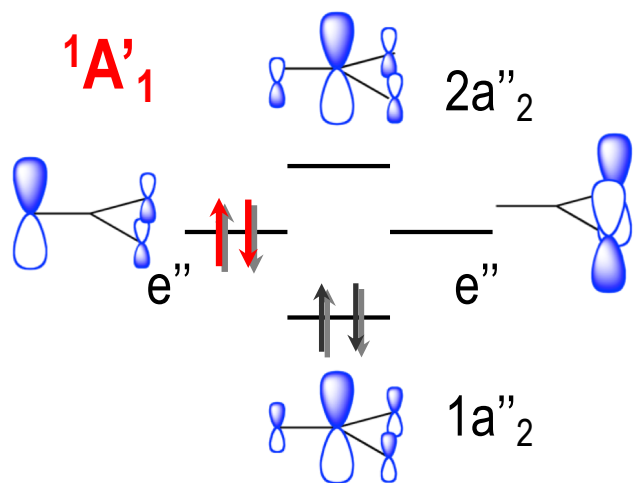
TMM diradical

Geometry:  $D_{3h}$

Ground state:  $^3A'_2$



SF-PBE/cc-pVTZ

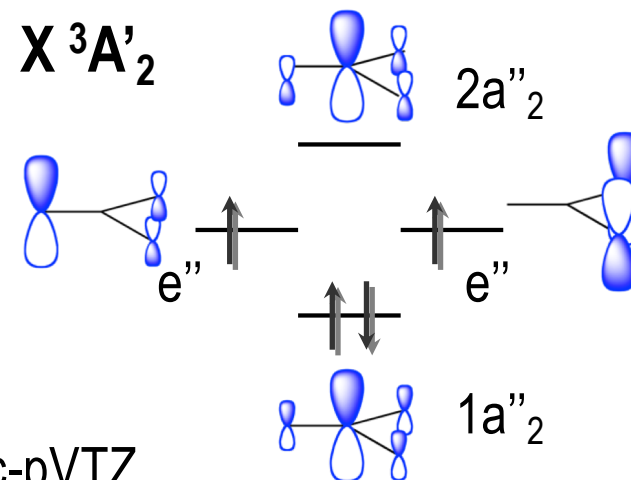
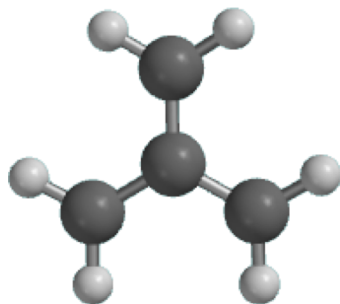


# Examples: NC-SF-TDDFT

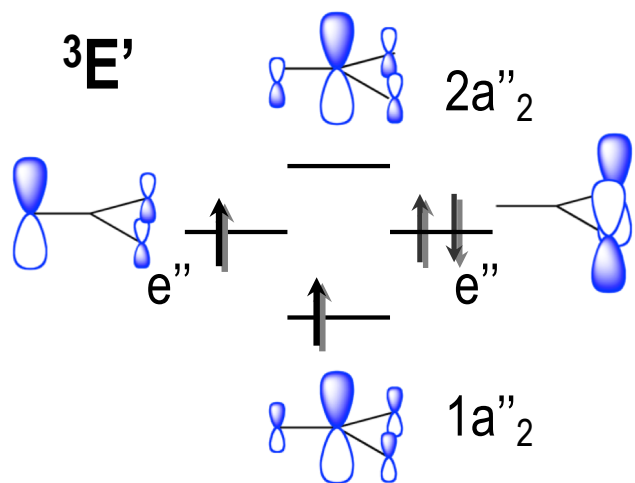
TMM diradical

Geometry:  $D_{3h}$

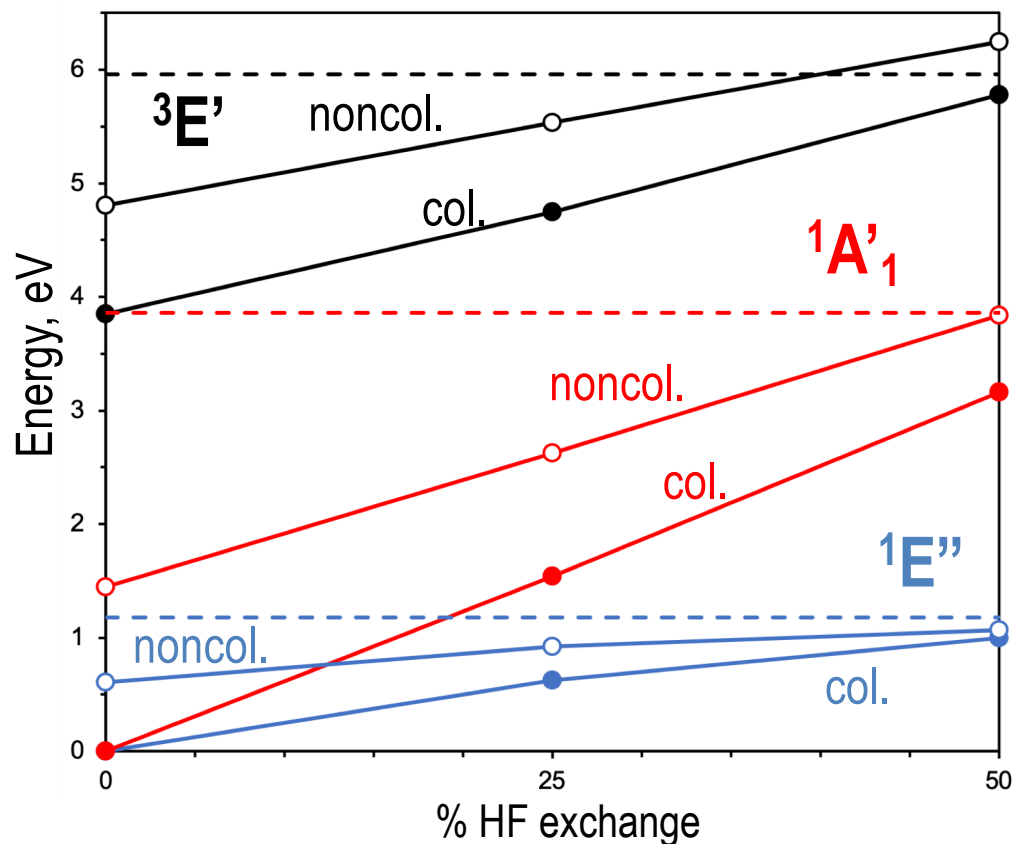
Ground state:  $^3A'_2$



SF-PBE/cc-pVTZ



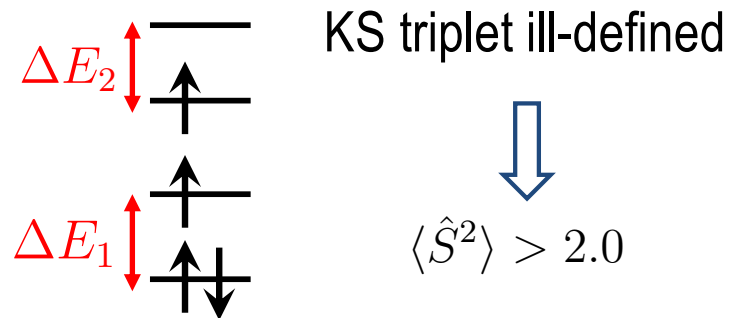
HF exchange in NC-SF-TDDFT ✓



# Spin contamination in SF-TDDFT

## Sources of spin contamination

- Spin-unrestricted KS reference



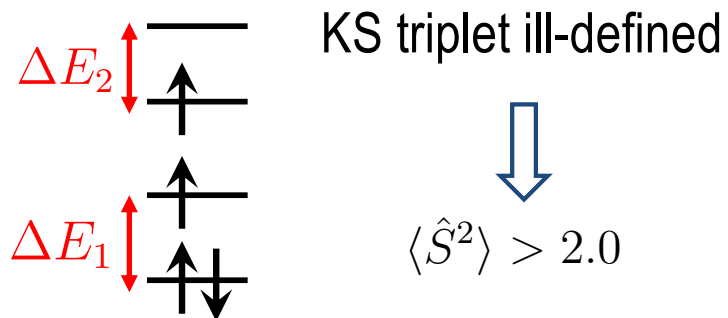
$\Delta E_1$  and/or  $\Delta E_2$  small



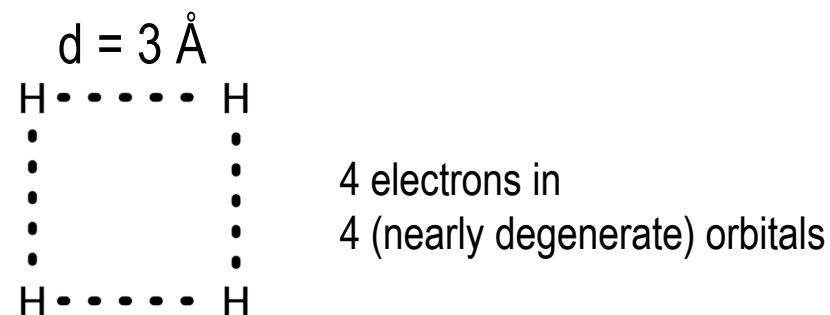
# Spin contamination in SF-TDDFT

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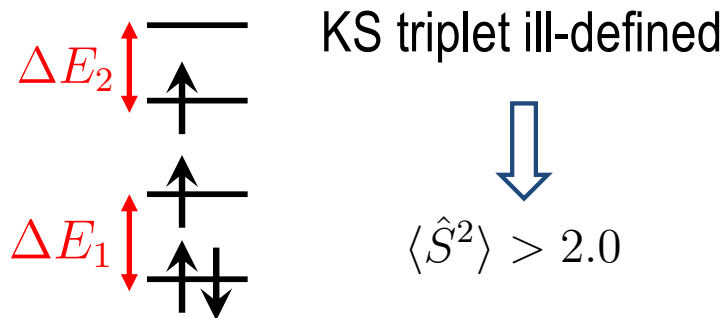
$\Delta E_1$  and/or  $\Delta E_2$  small



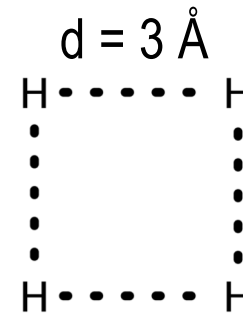
# Spin contamination in SF-TDDFT

## Sources of spin contamination

- Spin-unrestricted KS reference



$\Delta E_1$  and/or  $\Delta E_2$  small



4 electrons in  
4 (nearly degenerate) orbitals

### FCI

state	E, meV
S <sub>0</sub>	0
T <sub>1</sub>	24
T <sub>2</sub>	50
S <sub>1</sub>	64
T <sub>3</sub>	76
Q <sub>1</sub>	87

### SF-PBE50

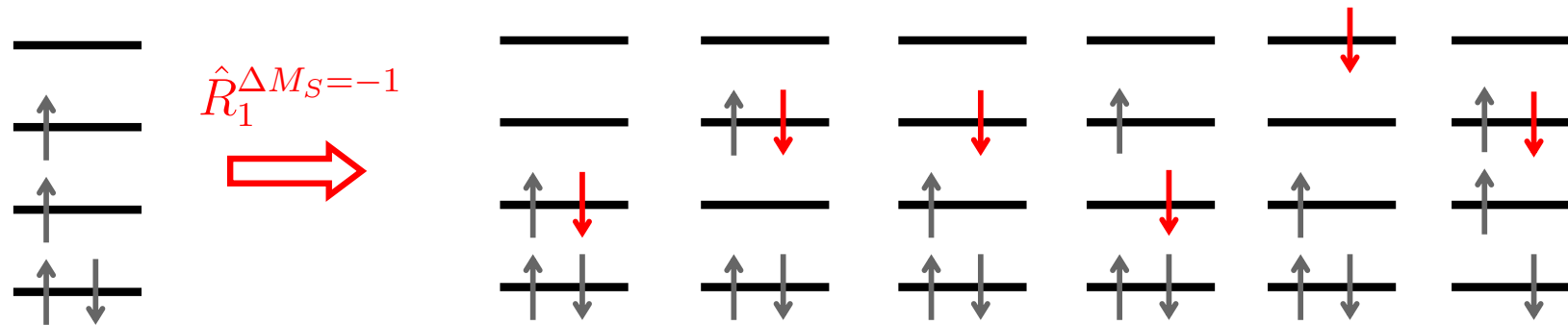
$\langle S^2 \rangle$	E, meV
1.02	0
2.22	2912
1.00	2953
0.99	4695
0.85	5924
...	...

$\langle S^2 \rangle_{\text{ref}} = 2.26$

# Spin contamination in SF-TDDFT

## Sources of spin contamination

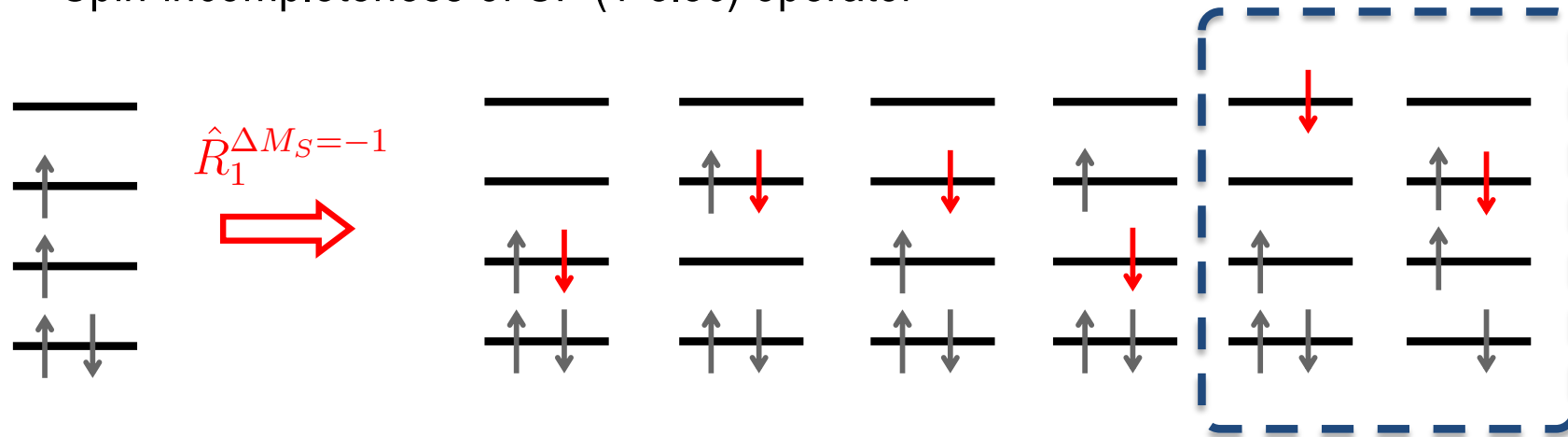
- Spin-unrestricted KS reference
- Spin-incompleteness of SF (1-elec) operator



# Spin contamination in SF-TDDFT

## Sources of spin contamination

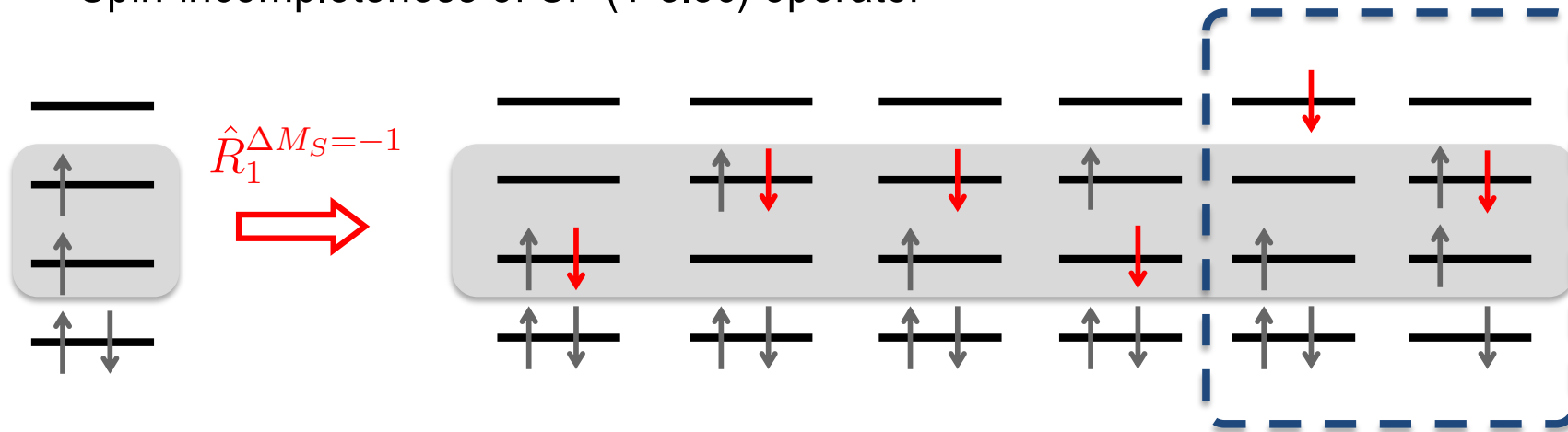
- Spin-unrestricted KS reference
- Spin-incompleteness of SF (1-elec) operator



# Spin contamination in SF-TDDFT

## Sources of spin contamination

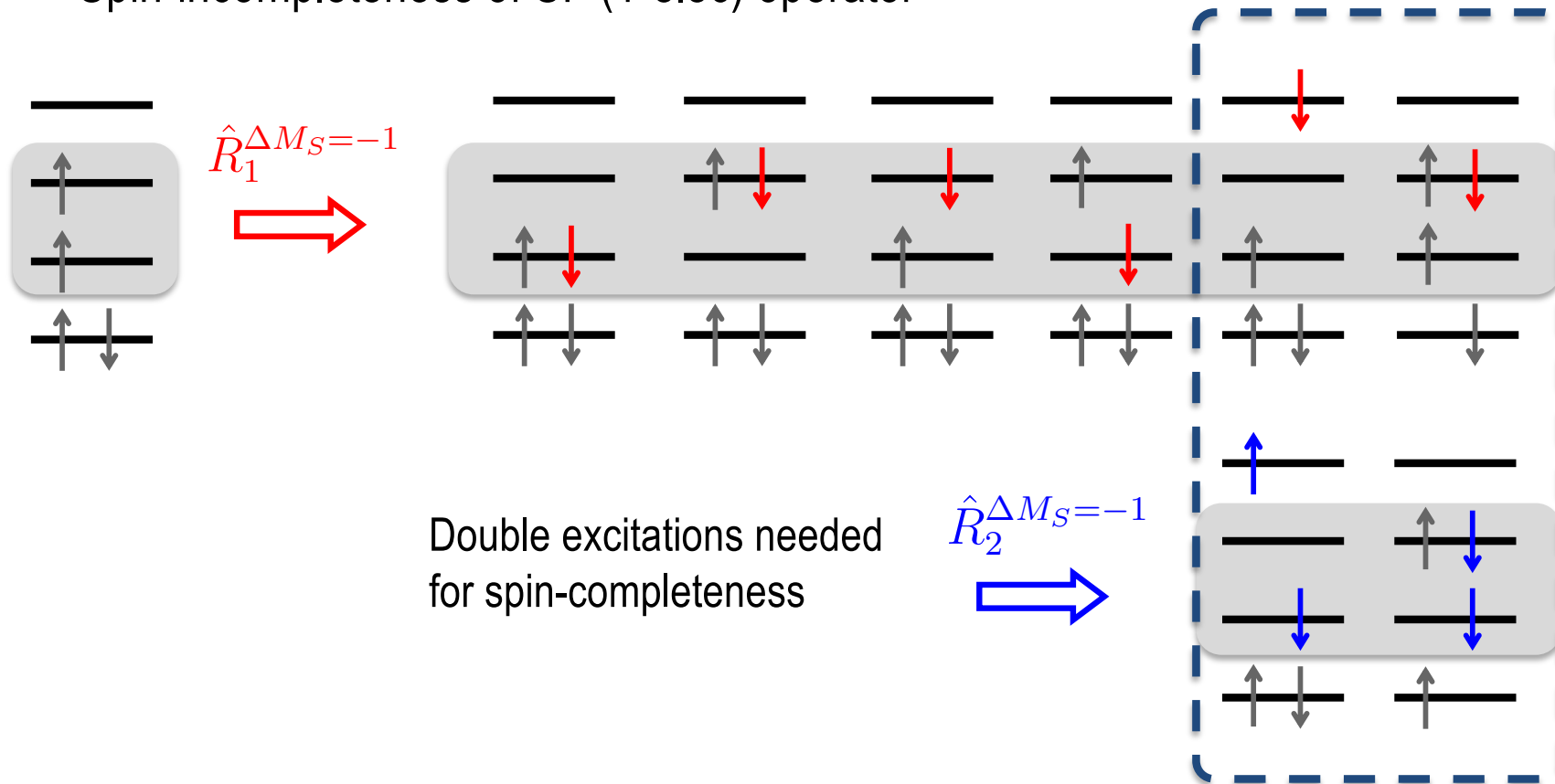
- Spin-unrestricted KS reference
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# Spin contamination in SF-TDDFT

## Sources of spin contamination

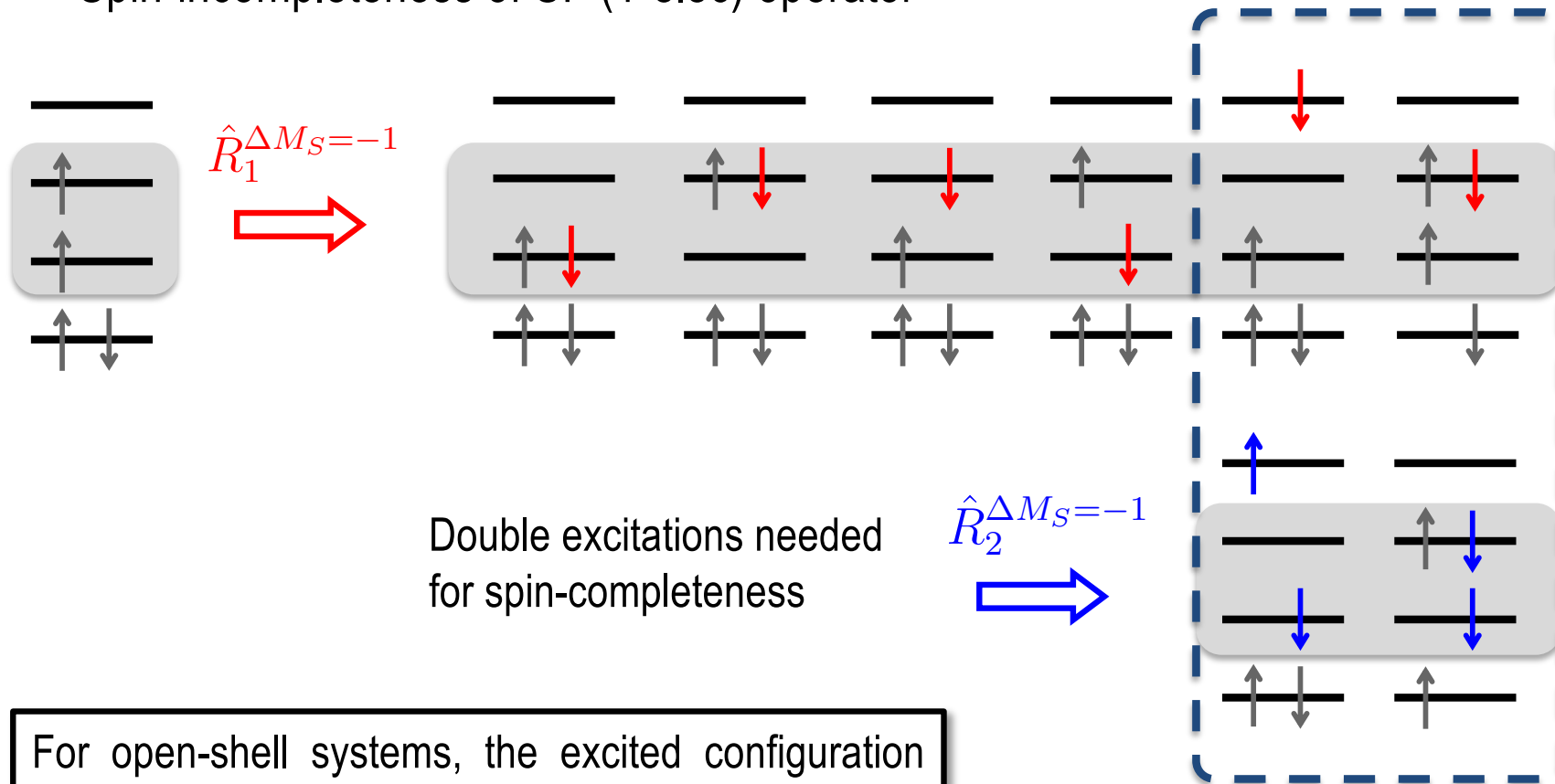
- Spin-unrestricted KS reference
- Spin-incompleteness of SF (1-elec) operator



# Spin contamination in SF-TDDFT

## Sources of spin contamination

- Spin-unrestricted KS reference
- Spin-incompleteness of SF (1-elec) operator



For open-shell systems, the excited configuration space cannot be made spin-adapted within the truncated rank.

# Spin contamination in SF-TDDFT

## Spin adaptation in SF-TDDFT

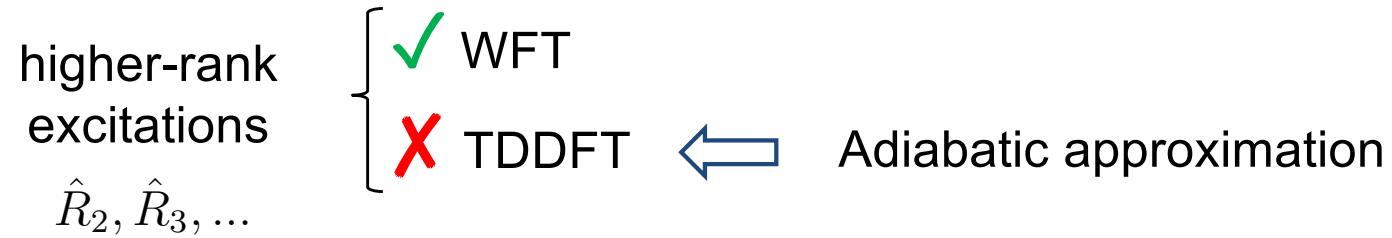
higher-rank  
excitations

$$\hat{R}_2, \hat{R}_3, \dots$$



# Spin contamination in SF-TDDFT

## Spin adaptation in SF-TDDFT



# Spin contamination in SF-TDDFT

## Spin adaptation in SF-TDDFT

higher-rank  
excitations  
 $\hat{R}_2, \hat{R}_3, \dots$

$\left\{ \begin{array}{l} \checkmark \text{ WFT} \\ \times \text{ TDDFT} \end{array} \right. \leftarrow \text{Adiabatic approximation}$

- “Dressed” TDDFT  $\leftarrow$  corrections to AA (frequency dependent XC kernel)

J. Chem. Phys. 122 (2005) 054111

# Spin contamination in SF-TDDFT

## Spin adaptation in SF-TDDFT

higher-rank  
excitations  
 $\hat{R}_2, \hat{R}_3, \dots$

$\left\{ \begin{array}{l} \checkmark \text{ WFT} \\ \times \text{ TDDFT} \end{array} \right. \leftarrow \text{Adiabatic approximation}$

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J. Chem. Phys. 122 (2005) 054111

- “Transfer rule”

J. Chem. Phys. 133 (2010) 064106  
J. Chem. Phys. 149 (2018) 104101

SA-TDHF  $\rightarrow$  SA-TDDFT

$$F_{pq} \rightarrow F_{pq}^{KS}$$
$$(pq|sr) - (pr|sq) \rightarrow (pq|sr) + (pq|f_{xc}|sr)$$

# Spin contamination in SF-TDDFT

## Spin adaptation in SF-TDDFT

higher-rank excitations  
 $\hat{R}_2, \hat{R}_3, \dots$

{
✓ WFT  
✗ TDDFT

← Adiabatic approximation

- “Dressed” TDDFT ← corrections to AA (frequency dependent XC kernel)

J. Chem. Phys. 122 (2005) 054111

- “Transfer rule”

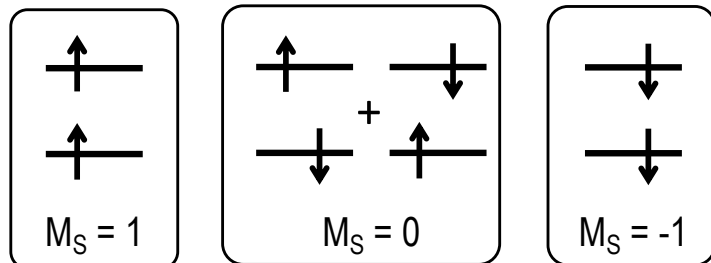
J. Chem. Phys. 133 (2010) 064106  
 J. Chem. Phys. 149 (2018) 104101

SA-TDHF → SA-TDDFT

$$F_{pq} \rightarrow F_{pq}^{KS}$$

$$(pq|sr) - (pr|sq) \rightarrow (pq|sr) + (pq|f_{xc}|sr)$$

tensor/mixed reference



generalized excitations

$$\hat{R}_1^{\Delta M_S = -1, 0, +1}$$

# Wrapping up

- SF-TDDFT near degeneracies and double excitations within DFT
- Ground and excited state method
- Collinear kernel requires exact exchange (%50)
- Noncollinear kernel naturally couples SF excitations
- Computational cost scales as TDDFT
- Spin contamination: reference and target states
- Limited to low-lying states