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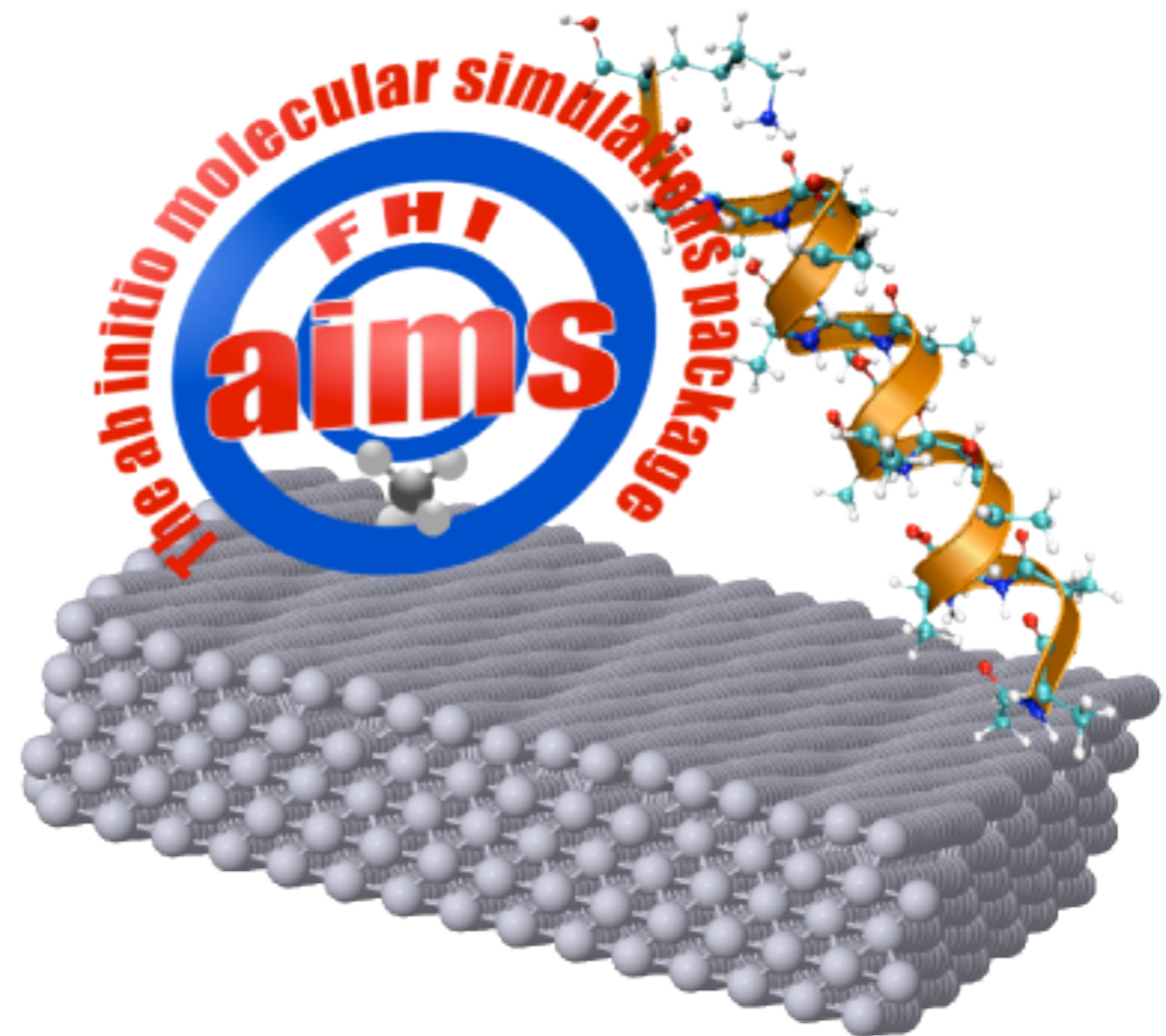
# The Nuts and Bolts of Electronic Structure Theory

## Basis sets, Real-Space Grids, Relativity, Scalability

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Volker Blum

Fritz Haber Institute  
of the Max Planck Society  
Berlin, Germany



# Scope

$$\left[ -\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{es}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

*Kohn-Sham Equations, 1965*

## General concepts:

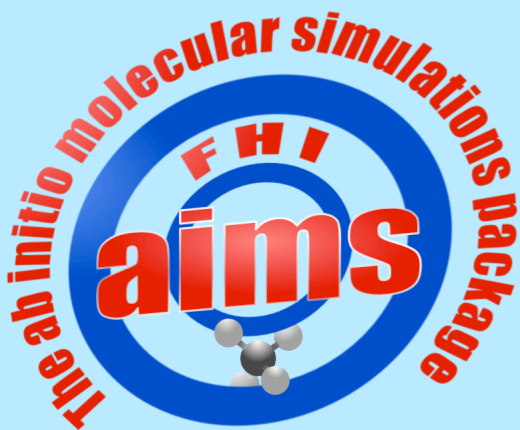
- Basis sets
- Integrals and grids; electrostatics; molecules vs. periodic solids
- Scalar relativity
- Eigenvalue solution, scalability (large systems, large computers)

Similar pieces for Hartree-Fock & hybrids, many-body methods etc. → X. Ren, Fri 11:30h

## Our implementation: FHI-aims

The Fritz Haber Institute *ab initio* molecular simulations package

- main example for this talk (others in the next 9 days)
- used for tutorials in the next 9 days



# Wishlist for Electronic Structure Theory

- Cover (essentially) the entirety of chemistry / materials:
  - ▶ first/second row elements
  - ▶ 3d transition metals (magnetism)
  - ▶ 4d/5d elements (relativity)
  - ▶ *f*-electron systems
  - ▶ ...
- Periodic, cluster systems on equal footing
- all-electron
- Path “beyond” DFT-LDA/GGA (HF, hybrids, RPA, MP2, GW, ...)
- (Massively) parallel scalability

And, efficiency, but under a constraint: Accuracy

Accurate numerical convergence must be affordable for real systems

# The Kohn-Sham Equations (again)

$$\left[ -\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{es}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

“As (almost) everyone does”:

1. Pick *basis set*  $\{|\varphi_i\rangle\}$ :

$$\psi_k(\mathbf{r}) = \sum_i c_{ki} \varphi_i(\mathbf{r})$$

→ generalized eigenvalue problem:

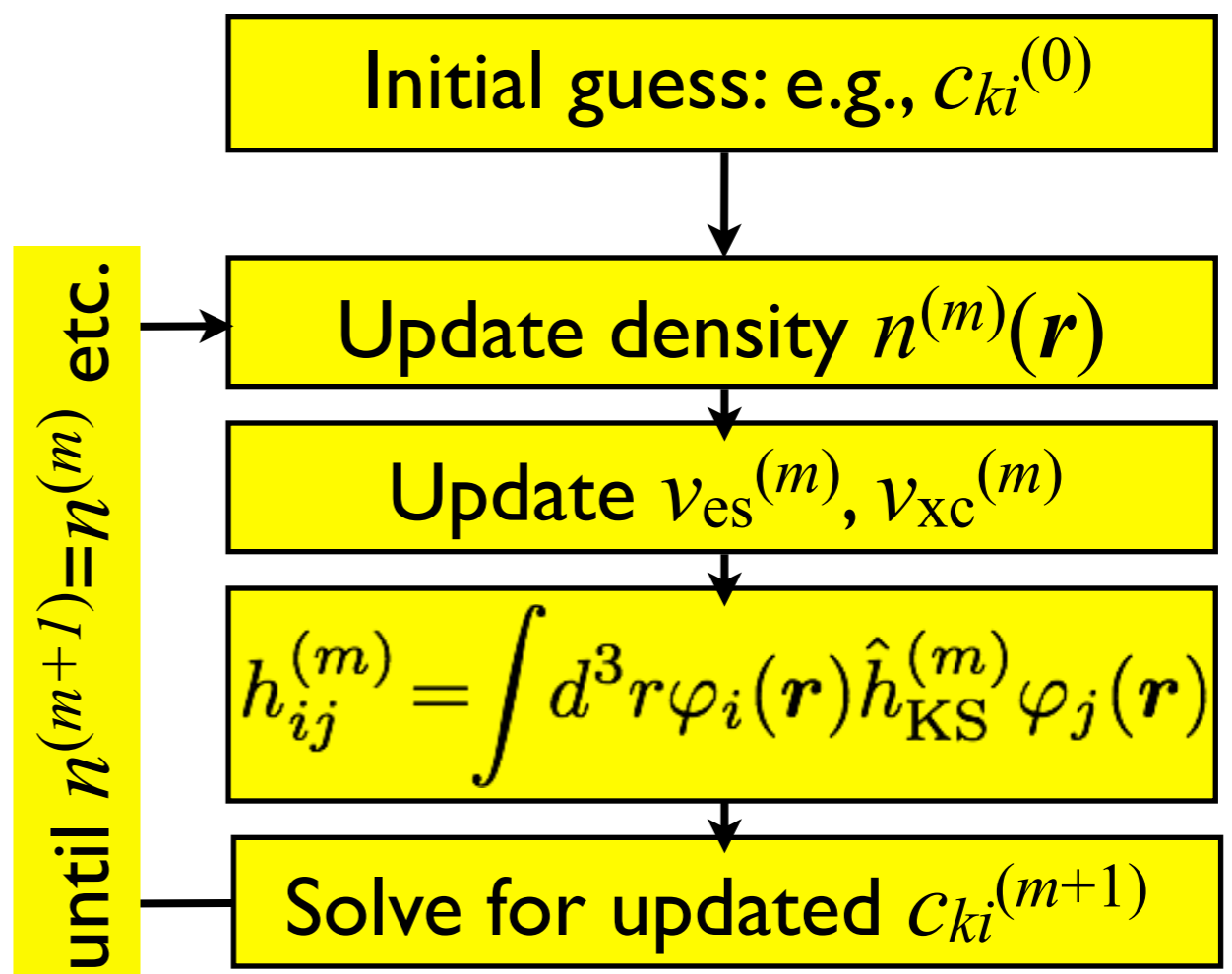
$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

$$h_{ij} = \langle \varphi_i | \hat{h}_{\text{KS}} | \varphi_j \rangle$$

$$s_{ij} = \langle \varphi_i | \varphi_j \rangle$$

2. Self-consistency:

J. Wieferink  
Wed 11:30h



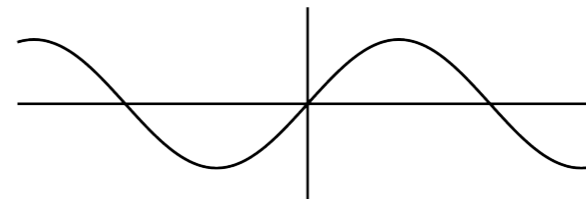
# Electronic Structure Basis Sets

$$\psi_{\mathbf{k}}(\mathbf{r}) = \sum_i c_{ki} \varphi_i(\mathbf{r})$$

... impacts all further algorithms  
(efficiency, accuracy)

Many good options:

- Plane waves  $\varphi_{\mathbf{k}}(\mathbf{r}) = \frac{1}{N} e^{i\mathbf{k}\mathbf{r}}$



M. Marsman  
Thu 11:30h

→ efficient FFT's (density, electrostatics, XC-LDA/GGA)

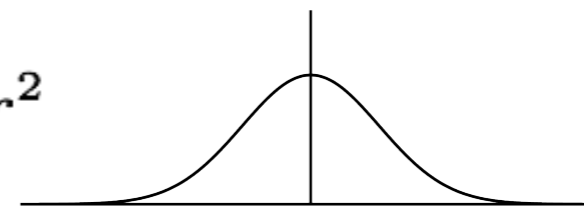
→ inherently periodic

→ not all-electron (*Slater 1937*) - need “pseudoization”

- *Augmented plane waves* (*Slater 1937; Andersen 1975; etc.*)

C. Ambrosch-Draxl  
Thu 10:00h

- Gaussian-type orbitals  $\varphi_i(\mathbf{r}) = \frac{1}{N} r^l e^{-\alpha r^2}$



- Many others: (L)MTO, “real-space”, numeric atom-centered functions, ...

H. Appel  
Tue 11:30h

# FHI-aims: Numeric atom-centered basis functions

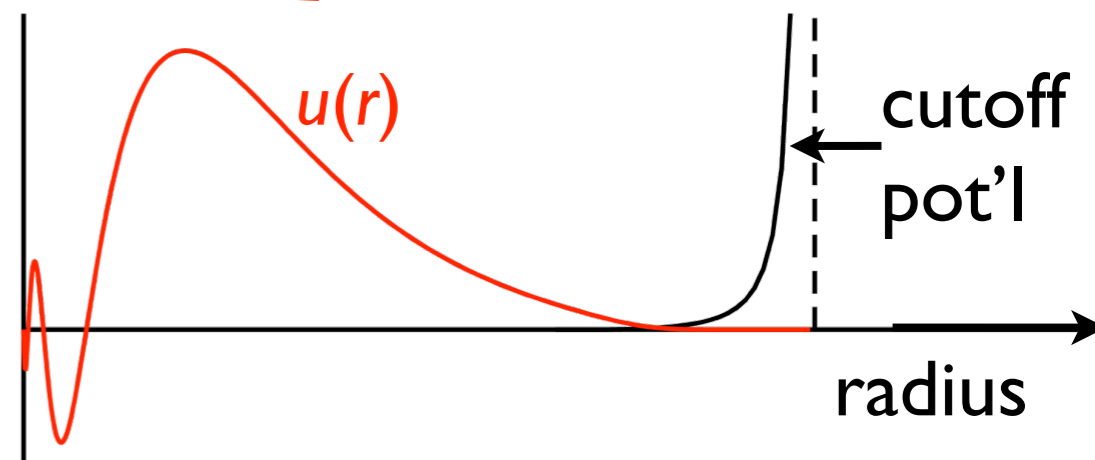
$$\varphi_{i[lm]}(\mathbf{r}) = \frac{u_i(r)}{r} \cdot Y_{lm}(\Omega)$$

Many popular implementations:  
DMol<sup>3</sup> (Delley), FPLO (Koepernik *et al.*), PLATO (Horsfield *et al.*),  
PAOs (Siesta, Conquest, OpenMX<sup>2</sup>, Fireball, ...)

- $u_i(r)$ : Flexible choice - “Anything you like.”

$$\left[ -\frac{1}{2} \frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + v_i(r) + v_{\text{cut}}(r) \right] u_i(r) = \epsilon_i u_i(r)$$

- free-atom like:  $v_i(r) = v_{\text{free atom}}^{\text{DFT}}(r)$
- Hydrogen-like:  $v_i(r) = z/r$
- free ions, harm. osc. (Gaussians), ...



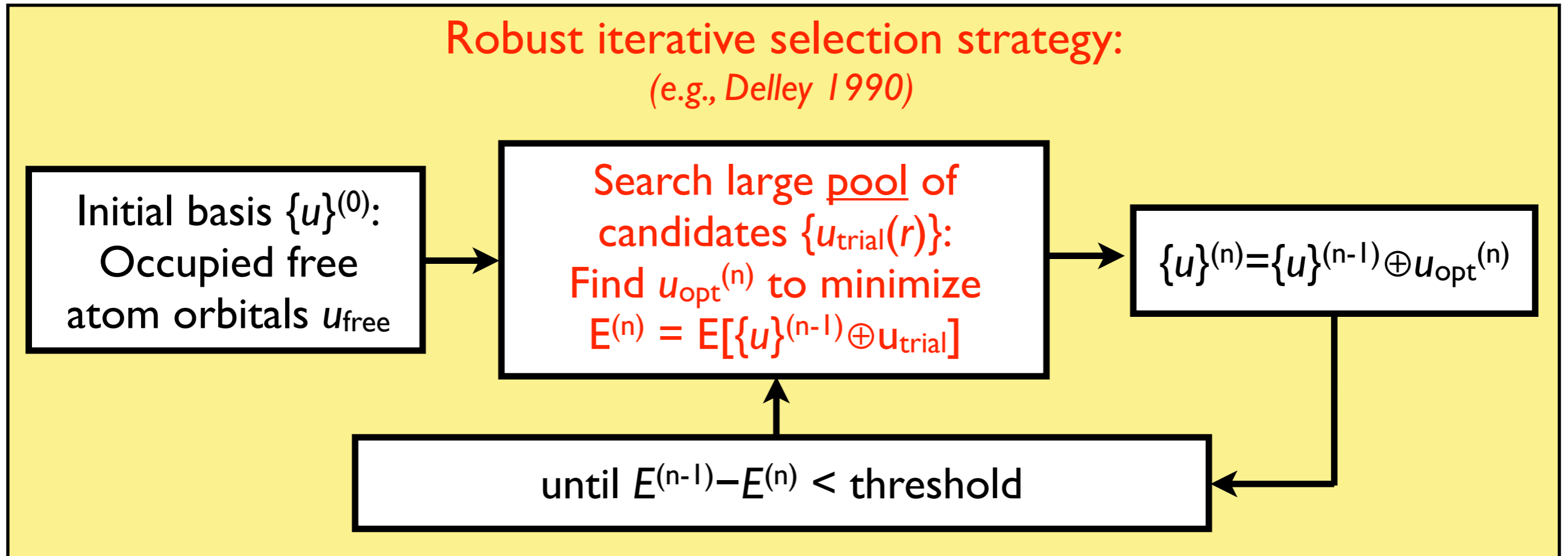
... but how do we find good radial functions for practical calculations?  
(efficient yet systematically convergeable!)

# Finding accurate, transferable NAO basis sets

Goal: Element-dependent, *transferable* basis sets  
from fast qualitative to meV-converged total energy accuracy (ground-state DFT)

Can't we have the computer pick  
good basis sets for us?

Robust iterative selection strategy:  
(e.g., Delley 1990)

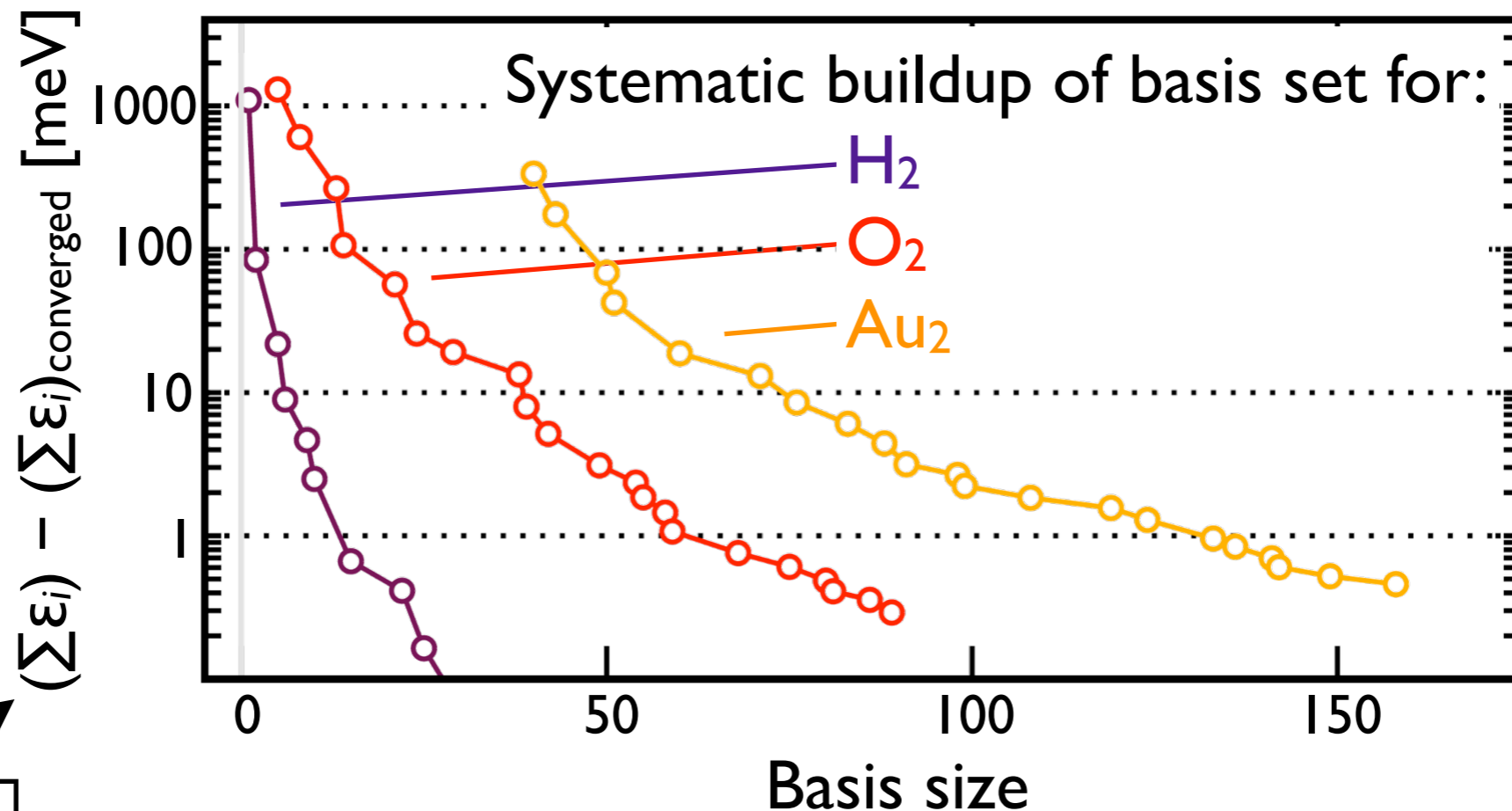


# Iterative selection of NAO basis functions

“Pool” of trial basis functions:  
2+ ionic  $u(r)$   
Hydrogen-like  $u(r)$  for  $z=0.1-20$

Optimization target:  
Non-selfconsistent symmetric  
dimers, averaged for different  $d$

Pick basis functions one by one, up to complete *total energy* convergence





# Result: Hierarchical Basis Set Library for All Elements

	H	C	O	Au
minimal	$1s$	$[\text{He}] + 2s2p$	$[\text{He}] + 2s2p$	$[\text{Xe}] + 6s5d4f$
Tier 1	H( $2s, 2.1$ )	H( $2p, 1.7$ )	H( $2p, 1.8$ )	$\text{Au}^{2+}(6p)$
	H( $2p, 3.5$ )	H( $3d, 6.0$ )	H( $3d, 7.6$ )	H( $4f, 7.4$ )
		H( $2s, 4.9$ )	H( $3s, 6.4$ )	$\text{Au}^{2+}(6s)$
				H( $5g, 10$ )
				H( $6h, 12.8$ )
				H( $3d, 2.5$ )
Tier 2	H( $1s, 0.85$ )	H( $4f, 9.8$ )	H( $4f, 11.6$ )	H( $5f, 14.8$ )
	H( $2p, 3.7$ )	H( $3p, 5.2$ )	H( $3p, 6.2$ )	H( $4d, 3.9$ )
	H( $2s, 1.2$ )	H( $3s, 4.3$ )	H( $3d, 5.6$ )	H( $3p, 3.3$ )
	H( $3d, 7.0$ )	H( $5g, 14.4$ )	H( $5g, 17.6$ )	H( $1s, 0.45$ )
		H( $3d, 6.2$ )	H( $1s, 0.75$ )	H( $5g, 16.4$ )
				H( $6h, 13.6$ )
Tier 3	H( $4f, 11.2$ )	H( $2p, 5.6$ )	$\text{O}^{2+}(2p)$	H( $4f, 5.2$ )*
	H( $3p, 4.8$ )	H( $2s, 1.4$ )	H( $4f, 10.8$ )	H( $4d, 5.0$ )
	...	...	...	...

Systematic hierarchy of basis (sub)sets, iterative *automated* construction based on *dimers*

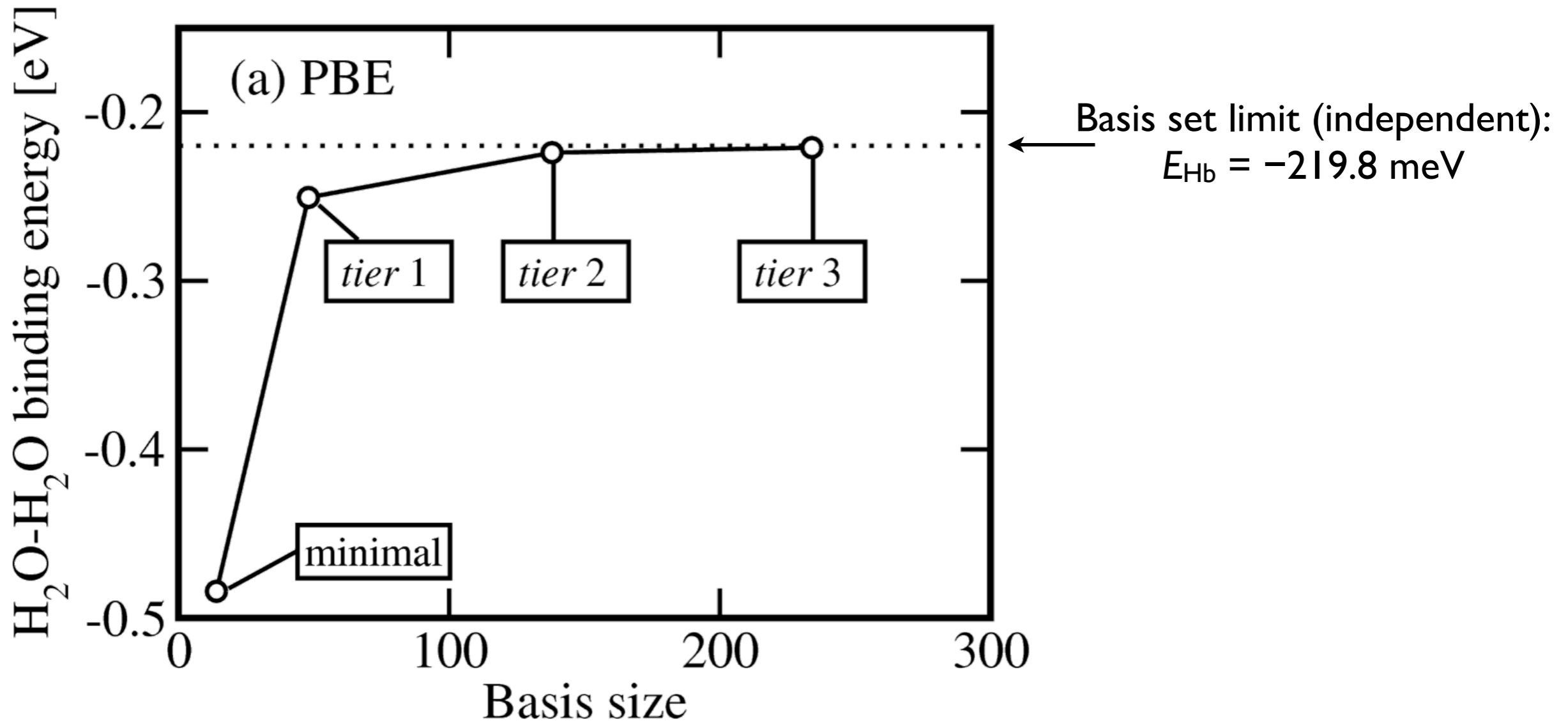
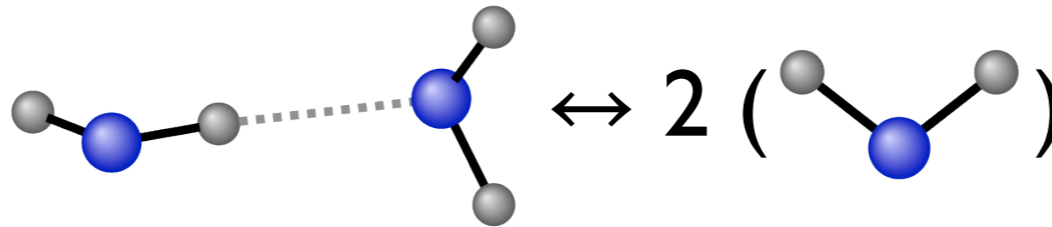
“First tier (level)”

“Second tier”

“Third tier”

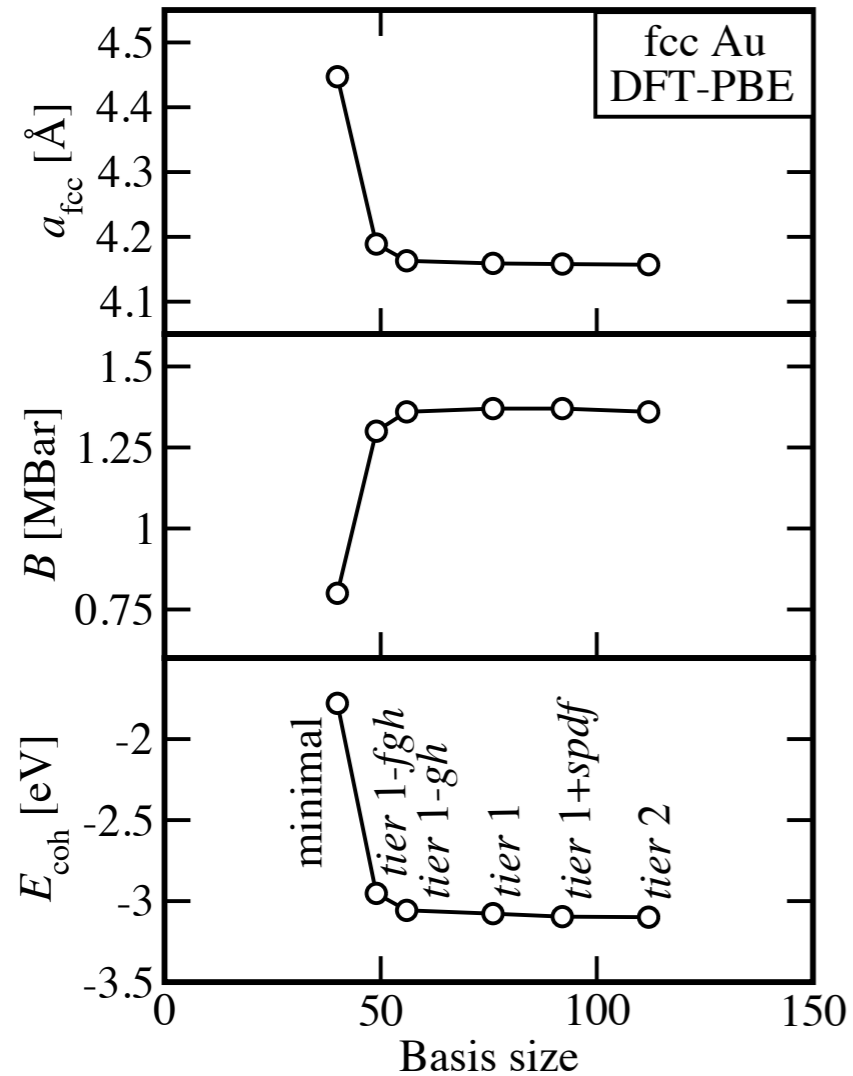
...

# Transferability: $(\text{H}_2\text{O})_2$ hydrogen bond energy



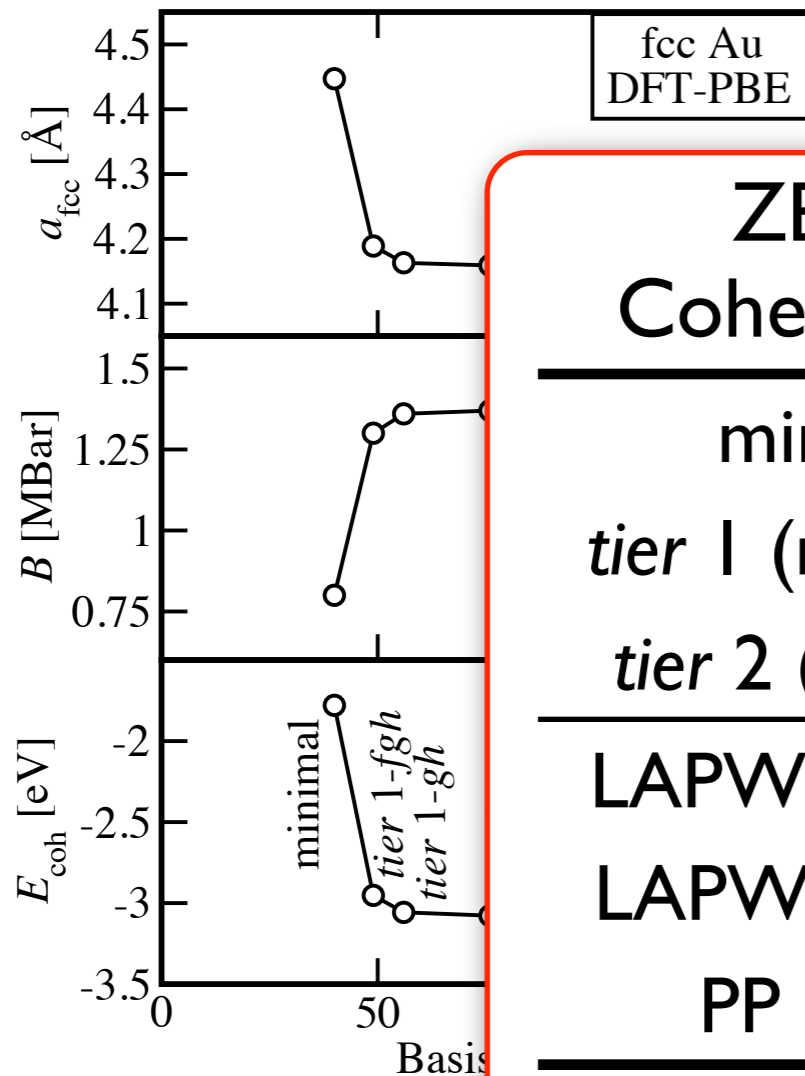
# Transferability: generally not a problem for DFT

## Bulk Au: Cohesive properties



# Transferability: generally not a problem for DFT

## Bulk Au: Cohesive properties



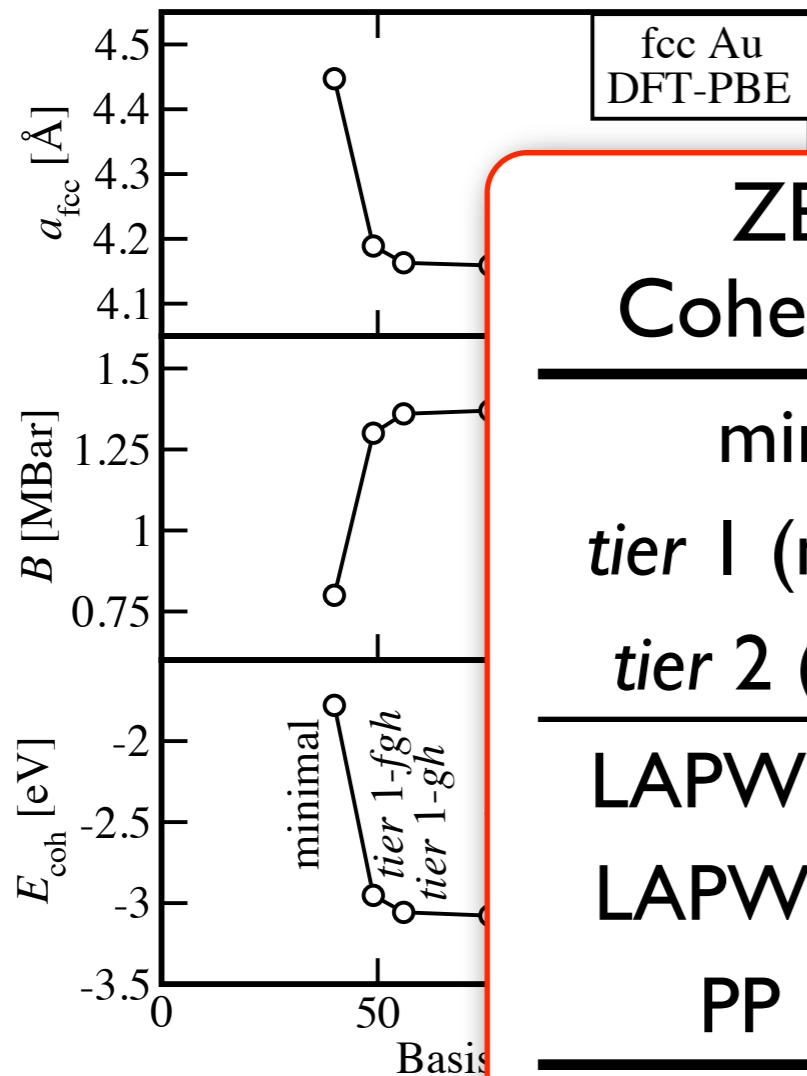
## ZB GaAs, LDA: Cohesive energy [eV]

<i>min+spd</i>	7.99
<i>tier 1 (min+spdf)</i>	8.06
<i>tier 2 (+spdfgh)</i>	8.09
LAPW 2009 (A)	7.80
LAPW 2009 (B)	8.00
PP 1998 <sup>1)</sup>	8.15

<sup>1)</sup> Fuchs, Bockstedte, Pehlke, Scheffler, PRB 1998

# Transferability: generally not a problem for DFT

## Bulk Au: Cohesive properties



## ZB GaAs, LDA: Cohesive energy [eV]

min+spd  
7.99  
tier 1 (min+spd)  
tier 2 (+spdfgh)

LAPW 2009 (A  
LAPW 2009 (B  
PP 1998<sup>1)</sup>)

<sup>1)</sup> Fuchs, Bockstedte, Pe  
PRB 1998

## 5d(100) surfaces: (1×1)→(1×5) reconstruction energy [meV/1×1]

	Pt(100)	Au(100)
min+spdf	-65	-21
tier 1	-80	-30
tier 2	-83	-31
FP-LAPW	-89	-24

...

# Excursion: “Basis Set Superposition Errors”?

## Traditional quantum chemistry: “Basis set superposition errors”

$$\text{e.g.: Binding energy } E_b = E(\text{●—●}) - 2E(\text{●})$$



Problem:

●—● has larger basis set than ●.  
→ Distance-dependent overbinding!

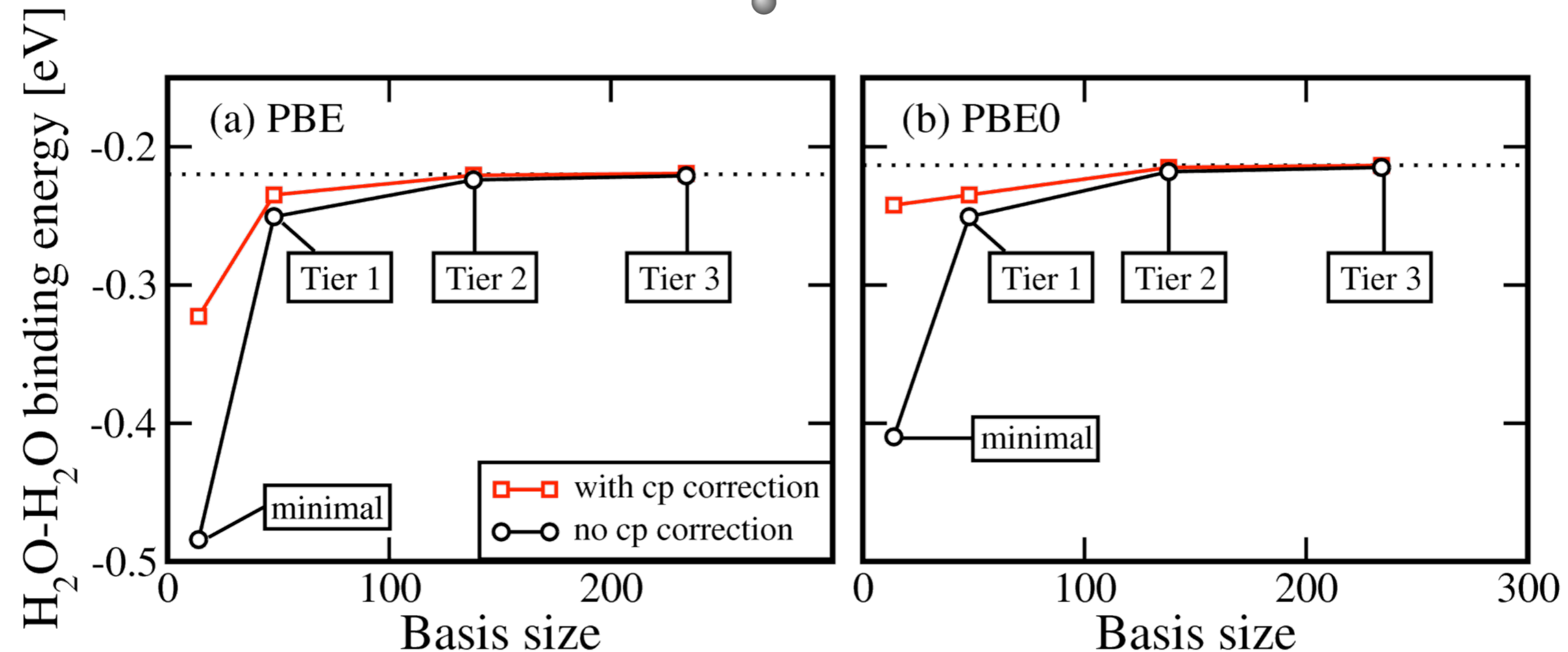
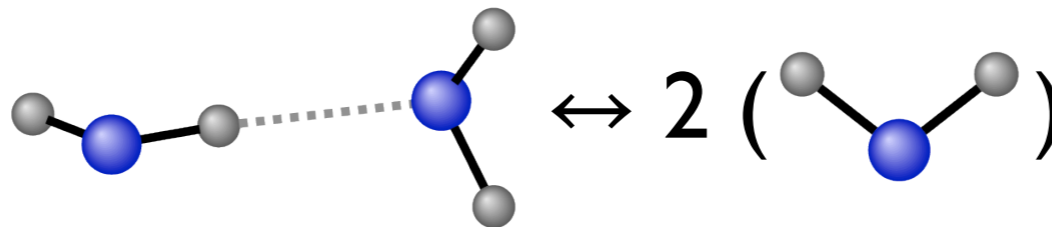
Remedy: “Counterpoise correction”

$$\Delta E_{\text{BSSE}} = E(\text{●—●}) - E(\text{●})$$

No nucleus - basis functions only

NAO basis sets: ● is already exact → no BSSE for ●—●.  
But how about *molecular* BSSE?

# $(\text{H}_2\text{O})_2$ : “Counterpoise correction”



Ground-state energetics, NAO's:

BSSE *not* the most critical basis convergence error (e.g., tier 2)

# Using Numeric Atom-Centered Basis Functions: Pieces

- *Numerical* Integration

$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

- Electron density update

$$n(\mathbf{r}) = \sum_k f_k |\psi_k(\mathbf{r})|^2$$

- All-electron electrostatics

$$v_{\text{es}}(\mathbf{r}) = \int d^3r' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

- Eigenvalue solver

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{S}} \underline{\underline{c}}_k$$

- Relativity?

*needed for heavy elements*

- Periodic systems?

*need suitable basis, electrostatics*



# Numeric Atom-Centered Basis Functions: Integration

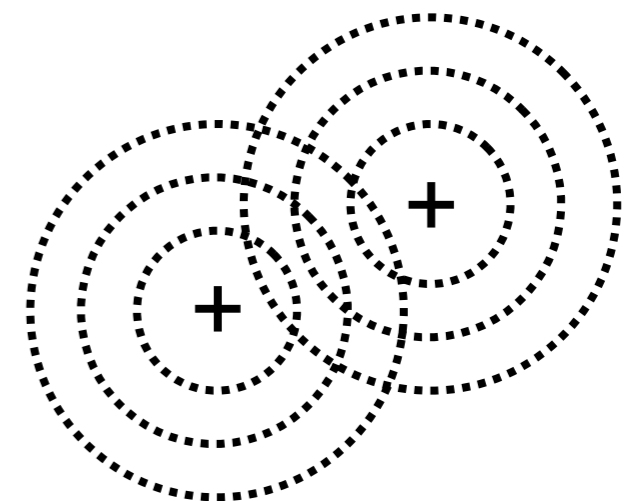
$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

- Discretize to integration grid:  $\int d^3r f(\mathbf{r}) \rightarrow \sum_{\mathbf{r}} w(\mathbf{r}) f(\mathbf{r})$

... but even-spaced integration grids are out:  
 $f(r)$  has peaks, wiggles near all nuclei!

- Overlapping atom-centered integration grids:

- Radial shells (e.g., H, light: 24; Au, tight: 147)
- Specific angular point distribution (“Lebedev”) exact up to given integration order  $l$  (50, 110, 194, 302, ... points per shell)



Pioneered by

Becke JCP 88, 2547 (1988), Delley, JCP 92, 508 (1990), MANY others!

# Integrals: “Partitioning of Unity”

$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

- Rewrite to atom-centered integrands:

$$\int d^3r f(\mathbf{r}) = \sum_{\text{atoms}} \int d^3r p_{\text{atom}}(\mathbf{r}) f(\mathbf{r})$$

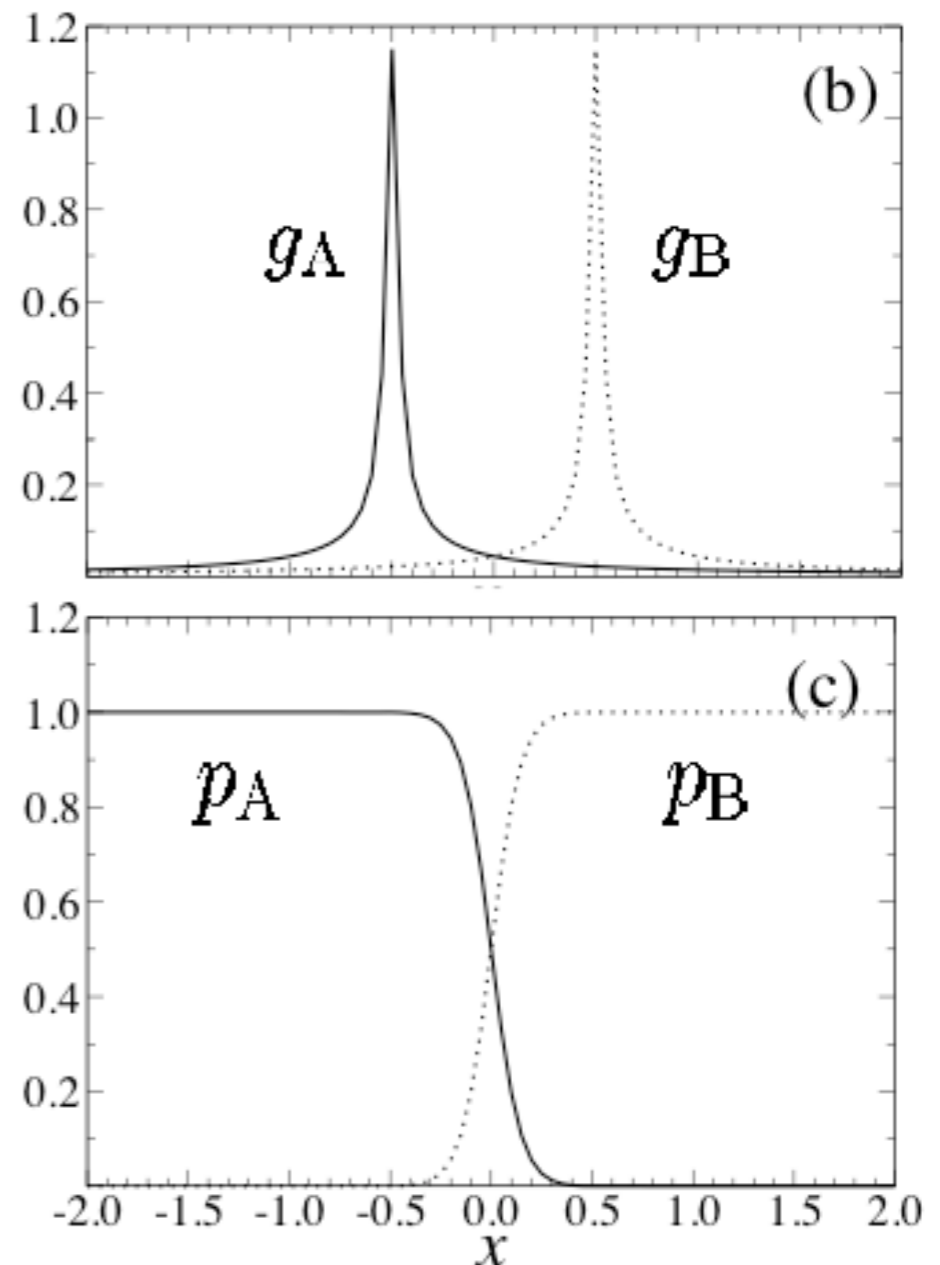
exact: 
$$\sum_{\text{atoms}} p_{\text{atom}}(\mathbf{r}) = 1$$

through 
$$p_{\text{atom}}(\mathbf{r}) = \frac{g_{\text{atom}}(\mathbf{r})}{\sum_{\text{atom}'} g_{\text{atom}'}(\mathbf{r})}$$

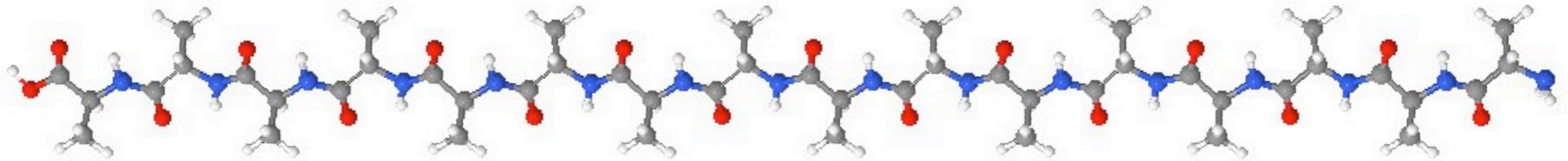
- e.g.: 
$$g_{\text{atom}} = \frac{\rho_{\text{atom}}(r)}{r^2} \quad (\text{Delley 1990})$$

many alternatives:

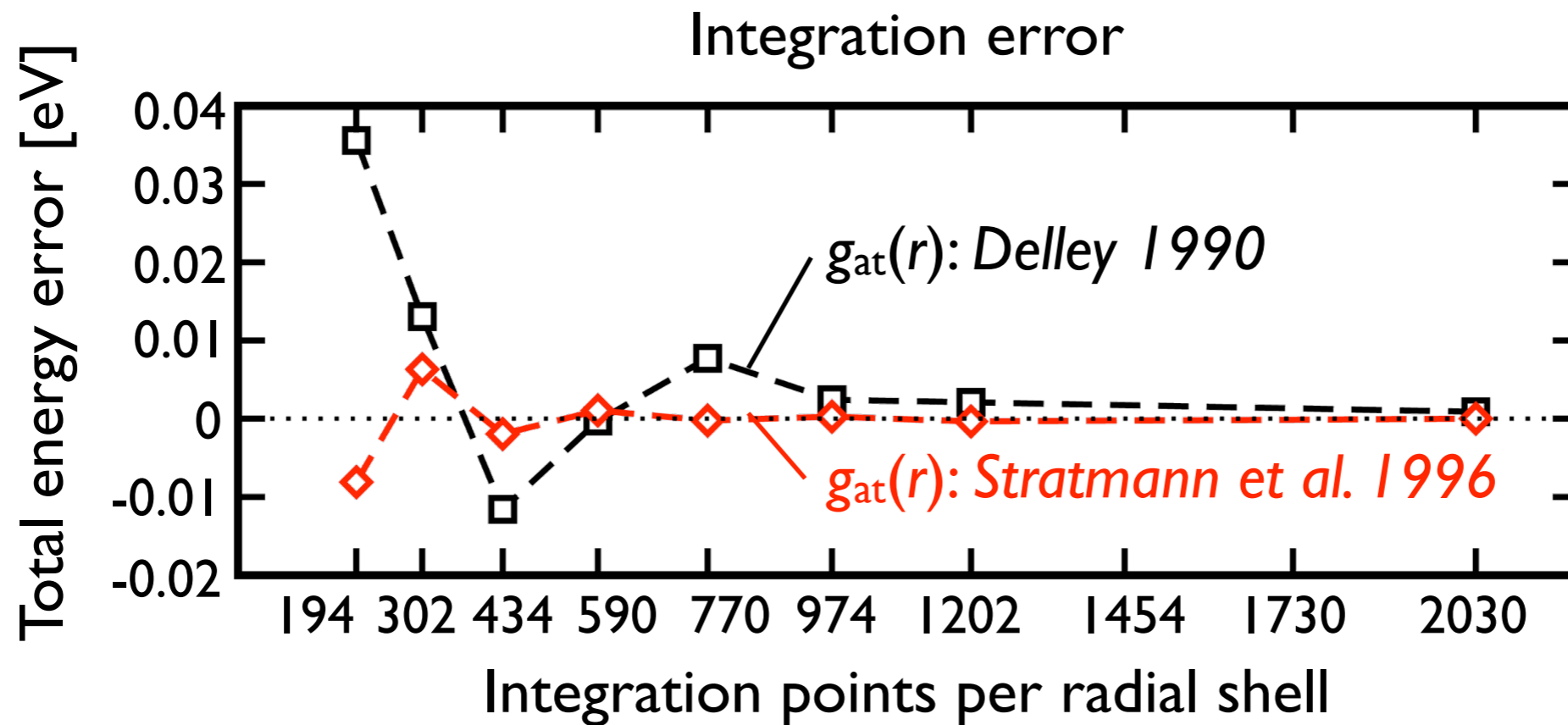
Becke 1988, Stratmann 1996, Koepernik 1999, ...



# Integrals in practice: Any problem?



Fully extended Polyalanine peptide molecule Ala<sub>20</sub>, DFT-PBE (203 atoms)



# Hartree potential (electrostatics): Same trick

$$v_{\text{es}}(\mathbf{r}) = \int d^3r' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

- Partitioning of Unity:

$$n(\mathbf{r}) = \sum_{\text{atoms}} p_{\text{atom}}(\mathbf{r}) n(\mathbf{r})$$

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- Multipole expansion:  $n_{\text{atom},lm}(\mathbf{r}) = \int_{s=|\mathbf{r}' - \mathbf{R}_{\text{atom}}|} p_{\text{atom}}(\mathbf{r}') n(\mathbf{r}') Y_{lm}(\Omega)$
- 

- Classical electrostatics:

$$v_{\text{es}}(\mathbf{r}) = \sum_{\text{atoms}} \sum_{lm}^{l_{\text{max}}} v_{\text{atom},lm}(|\mathbf{r} - \mathbf{R}_{\text{atom}}|) Y_{lm}(\Omega_{\text{atom}})$$

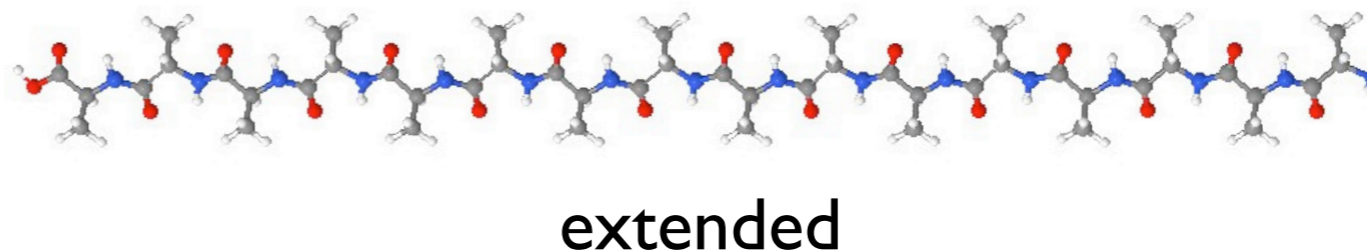
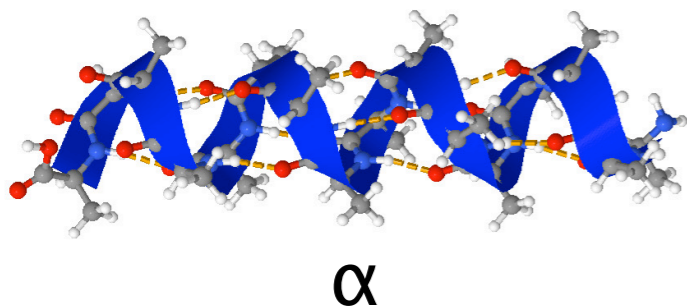
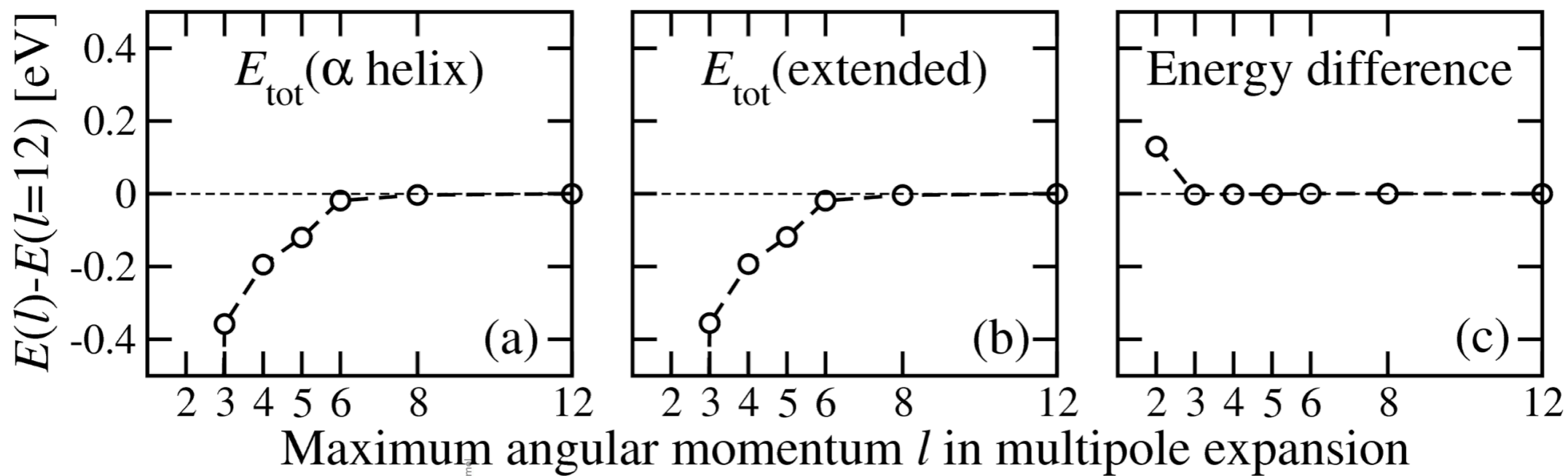
e.g., Delley, JCP 92, 508 (1990)

# Electrostatics: Multipole expansion

$$v_{\text{es}}(\mathbf{r}) = \sum_{\text{atoms}} \sum_{lm}^{l_{\text{max}}} v_{\text{atom},lm}(|\mathbf{r} - \mathbf{R}_{\text{atom}}|) Y_{lm}(\Omega_{\text{atom}})$$

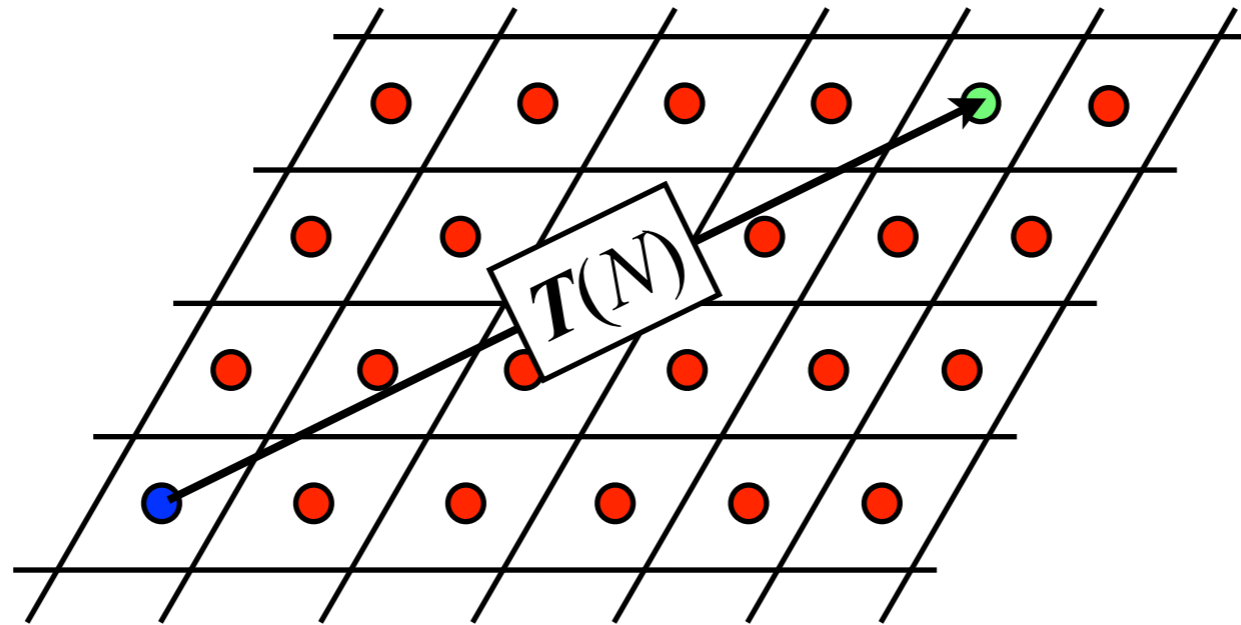
Polyalanine Ala<sub>20</sub>, DFT-PBE (203 atoms)

$\alpha$ -helical vs. extended: Total energy convergence with  $l_{\text{max}}$



# Periodic systems

see S. Levchenko  
Thu. 9:00



- Formally: Bloch-like basis functions

$$\chi_{i,k} = \sum_N \exp[i\mathbf{k}\mathbf{T}(N)] \varphi_i[\mathbf{r} - \mathbf{R}_{\text{atom}} + \mathbf{T}(N)]$$

$\mathbf{k}$ : “Crystal momentum” = Quantum number in per. systems

- Long-range Hartree potential: Ewald’s method (1921)

$$v_{\text{atom},lm}(\mathbf{r}) \rightarrow \underbrace{v_{\text{atom},lm}(\mathbf{r}) - v_{\text{atom},lm}^{\text{Gauss}}(\mathbf{r})}_{\text{short-ranged real-space part - } O(N)} + \sum_G e^{i\mathbf{G}\mathbf{r}} FT[v_{\text{atom},lm}^{\text{Gauss}}]$$

short-ranged real-space part -  $O(N)$

e.g., Saunders et al. 1992; Birkenheuer 1994; Delley 1996; Koepernik 1999; Trickey 2004; etc.

# Relativity

## Non-relativistic QM: Schrödinger Equation

$$V\phi + \frac{\mathbf{p}^2}{2m}\phi = \epsilon\phi$$

- ▶ one component (two with spin)
- ▶ one Hamiltonian for all states

## Relativistic QM: Dirac Equation

$$\begin{pmatrix} V & c\boldsymbol{\sigma} \cdot \mathbf{p} \\ c\boldsymbol{\sigma} \cdot \mathbf{p} & -2c^2 + V \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = \epsilon \begin{pmatrix} \phi \\ \chi \end{pmatrix}$$

... simply rewrite:

$$V\phi + \boldsymbol{\sigma} \cdot \mathbf{p} \frac{c^2}{2c^2 + \epsilon - V} \boldsymbol{\sigma} \cdot \mathbf{p} \phi = \epsilon\phi$$

- ▶  $\epsilon$ -dependent Hamiltonian
- ▶ Not negligible for  $\epsilon - v(\mathbf{r}) \approx 2c^2$   
 $\Leftrightarrow$  affects near-nuclear part of *any* wave function

# Implementing scalar relativity

$$V\phi + \mathbf{p} \frac{c^2}{2c^2 + \epsilon - V} \mathbf{p} \phi = \epsilon \phi$$

## 1. LAPW, others: Outright treatment

- radial functions in atomic sphere (core, valence): Per-state relativistic
- 3-dimensional non-relativistic treatment of interstitial regions

Tricky with NAO's: Basis functions from different atomic centers overlap!

## 2. Approximate one-Hamiltonian treatment

Popular: Zero-order regular approximation (ZORA) [1]

[1] E. van Lenthe, E.J. Baerends, J.G. Snijders, *J. Chem. Phys.* **99**, 4597 (1993)



# Implementing scalar relativity

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ZORA

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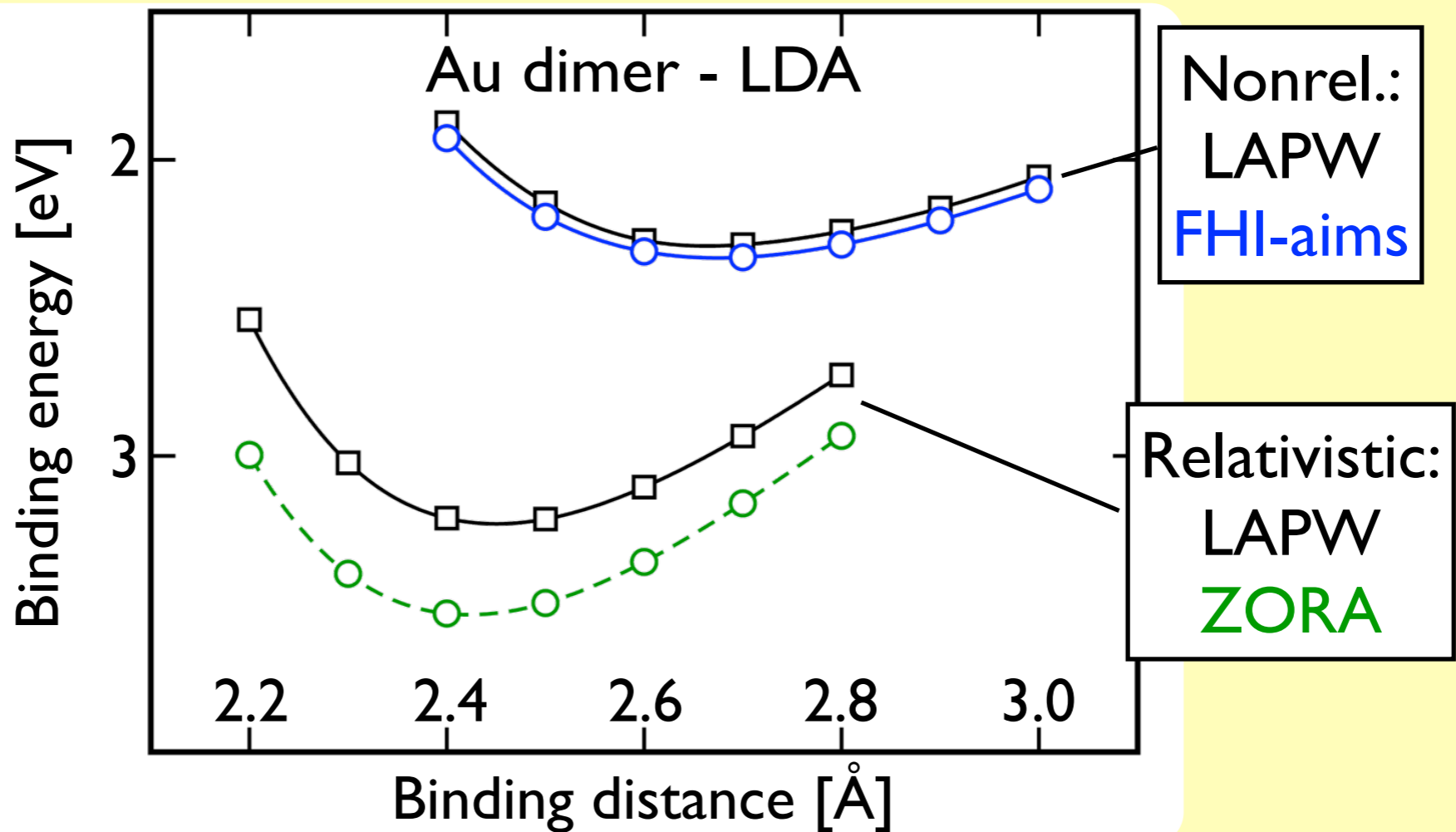
... not gauge-invariant!

[1] E. van Lenthe, E.J. Baerends, J.G. Snijders, *J. Chem. Phys.* **99**, 4597 (1993)

# Implementing scalar relativity

$$V\phi + \mathbf{p} \frac{c^2}{2c^2 + V} \mathbf{p} \phi = \epsilon \phi$$

ZORA in practice: Harsh approximation (known)



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!

... gauge invariance

# Fixing ZORA

$$V\phi + \mathbf{p} \frac{c^2}{2c^2 + \epsilon - V} \mathbf{p} \phi = \epsilon \phi$$

ZORA

I. "Atomic ZORA"

$$V\phi + \mathbf{p} \frac{c^2}{2c^2 - V_{\text{free atom}}} \mathbf{p} \phi = \epsilon \phi$$

- No gauge-invariance problem
- Simple total-energy gradients

2. Scaled ZORA

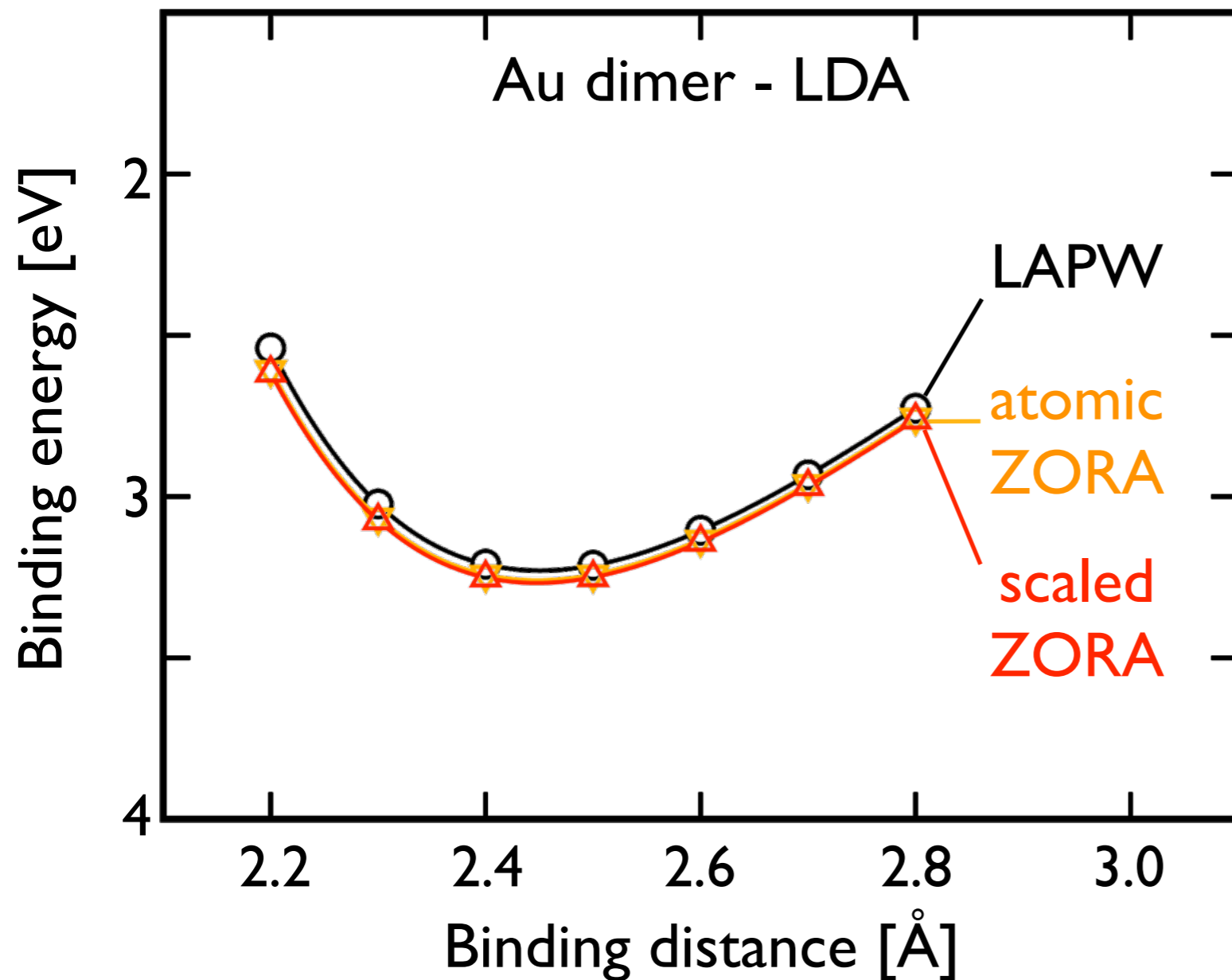
$$\epsilon_{\text{ZORA}}^{\text{scaled}} = \frac{\epsilon_{\text{ZORA}}}{1 + \langle \Phi | \mathbf{p} \frac{c^2}{(2c^2 - V)^2} \mathbf{p} | \Phi \rangle}$$

$$E_{\text{tot}}^{\text{SZ}} = E_{\text{tot}}^{\text{ZORA}} - \sum (\epsilon_{\text{ZORA}} - \epsilon_{\text{ZORA}}^{\text{scaled}})$$

- Formally exact for H-like systems
- Perturbative, based on ZORA

*E. van Lenthe et al., JCP 101, 9783 (1994).*

# Atomic ZORA + scaled ZORA: A viable strategy



Au atom: $E_{\text{tot}}$ [eV]	
nonrel.	-486,015.94
(at.) ZORA	-535,328.71
sc. ZORA	-517,036.15
Koelling-Harmon	-517,053.45

- Viable strategy:
- Geometry optimization: atomic ZORA (simple gradients)
  - (Final) total energies, eigenvalues: scaled ZORA

In all our benchmarks so far, we seem to be essentially as accurate as LAPW.

# How does this scale? Two sub-problems

## I. Real space grid operations

$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

Basis functions, Hamiltonian,  
Kohn-Sham potential etc.

- Large “prefactor:” Dominant for standard problems
- Mature algorithms (Delley, others)
- $O(N)$  scalability possible in all steps
- *relatively* simple parallelization

V. Havu, V. Blum, P. Havu, M. Scheffler,  
*J. Comp. Phys.* **228**, 8367-8379 (2009)

## 2. Matrix algebra (basis space)

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

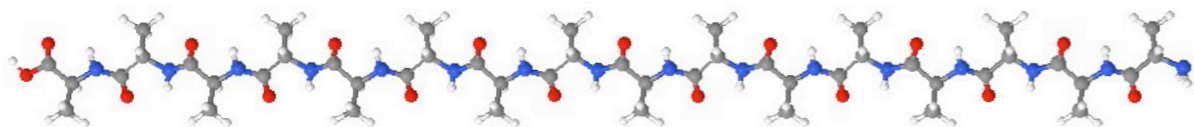
Kohn-Sham eigenvalue problem

### “Conventional” solvers (Lapack-like):

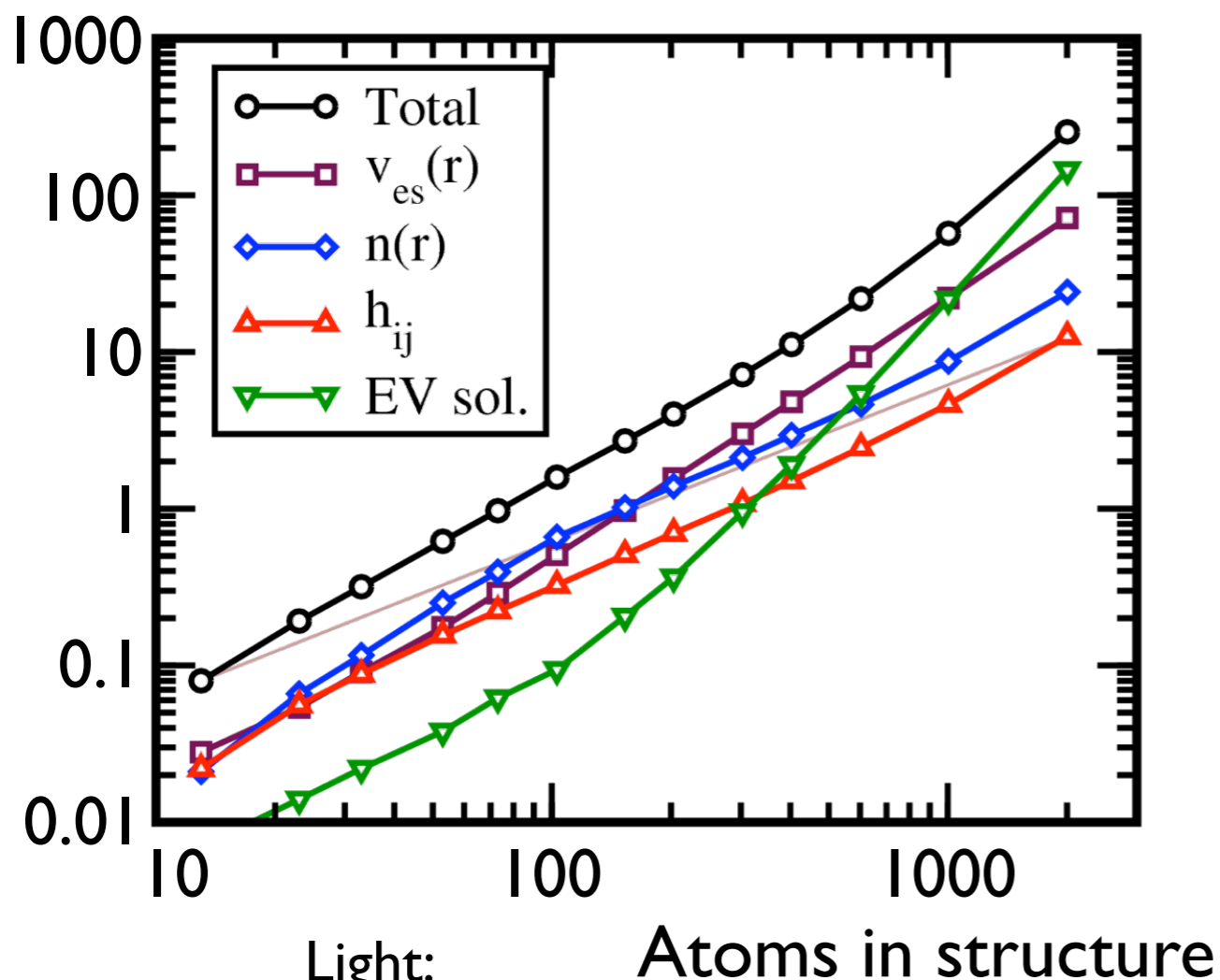
- Small prefactor for NAO’s: affordable up to  $\geq 1,000$  atoms
- Robust, general (metals!)
- $O(\text{size}^3)$  scalability inevitable
- Massively parallel scalability not out of the box

**How far can we push such solvers?**

# ... but how does it all scale?



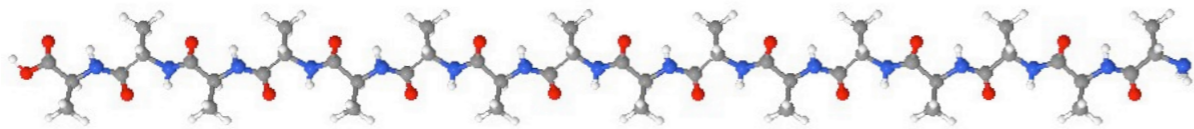
Fully extended Polyaniline, "light"



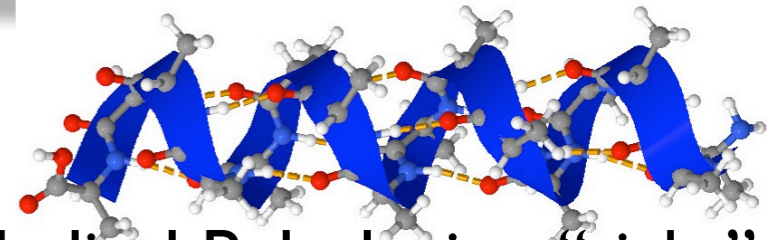
Basis	Light:
$I_{\text{Hartree}}$	tier I
radial shells	4
pts. per shell	24-36
Cutoff width	302 max.
	5Å

32 CPUs  
standard Infiniband/Xeon cluster  
Benchmarks: W. Jürgens / FHI

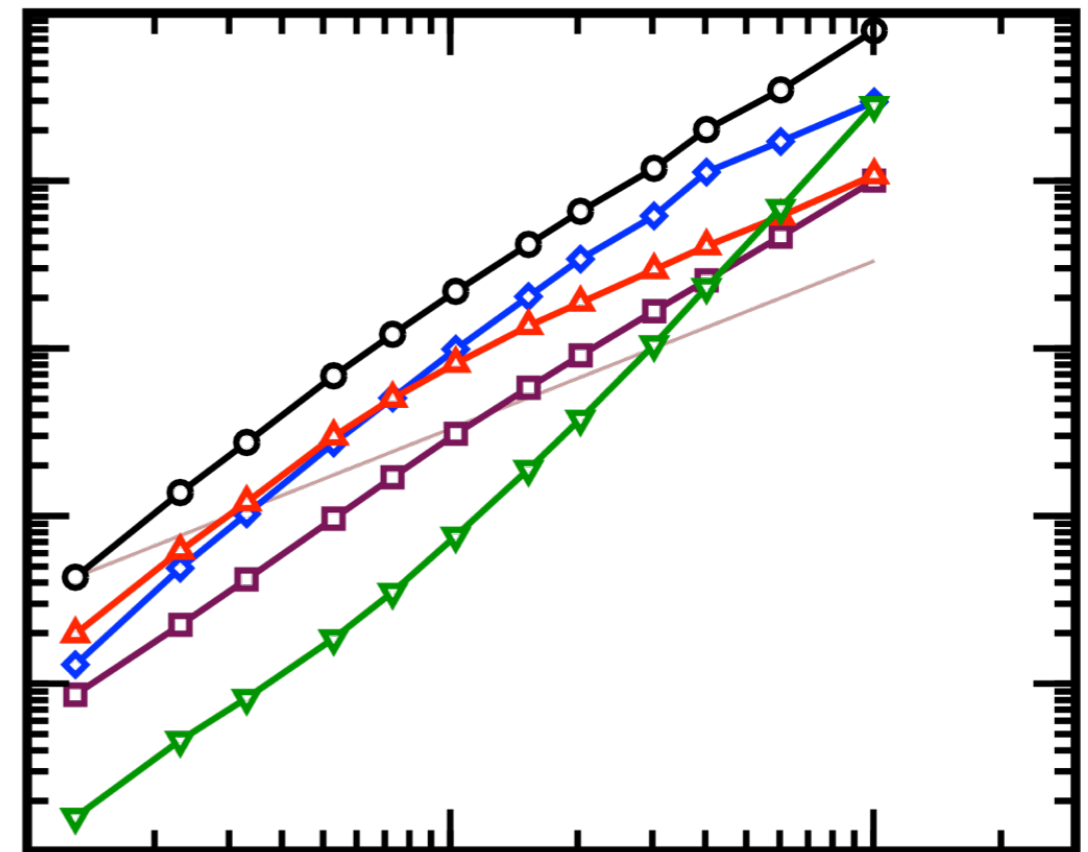
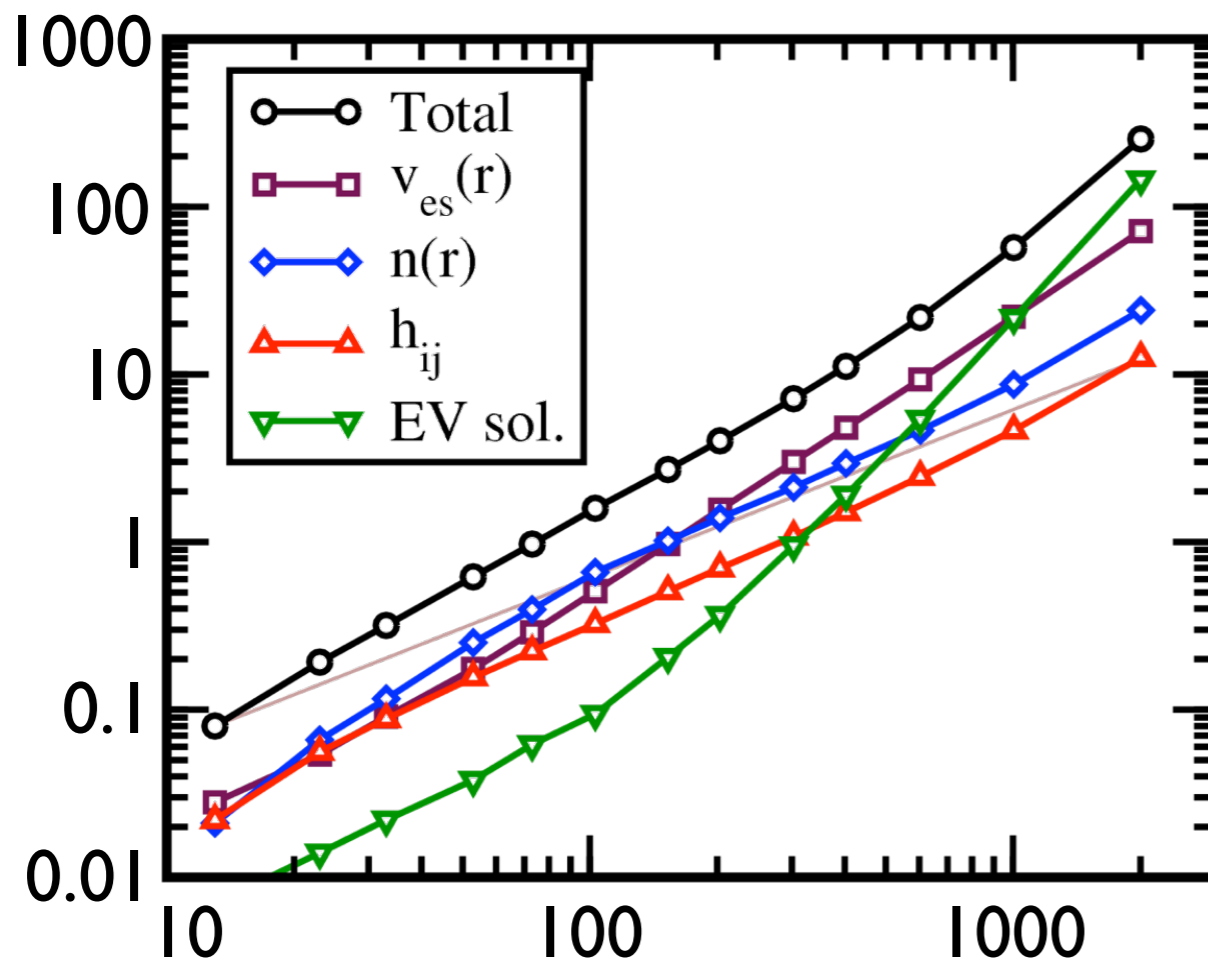
# ... but how does it all scale?



Fully extended Polyalanine, "light"



$\alpha$ -helical Polyalanine, "tight"

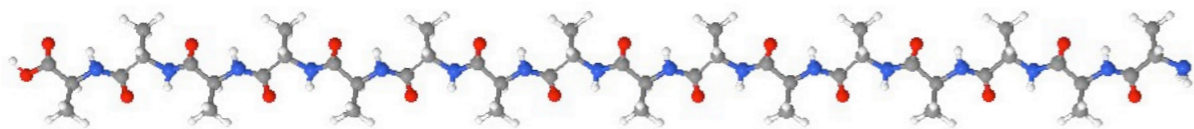


Atoms in structure

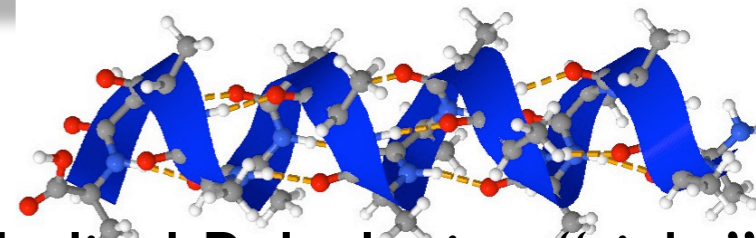
	<u>Light:</u> tier 1	<u>Tight:</u> tier2
Basis	4	6
$I_{\text{Hartree}}$	4	6
radial shells	24-36	49-73
pts. per shell	302 max.	434 max.
Cutoff width	5Å	6Å

32 CPUs  
standard Infiniband/Xeon cluster  
Benchmarks: W. Jürgens / FHI

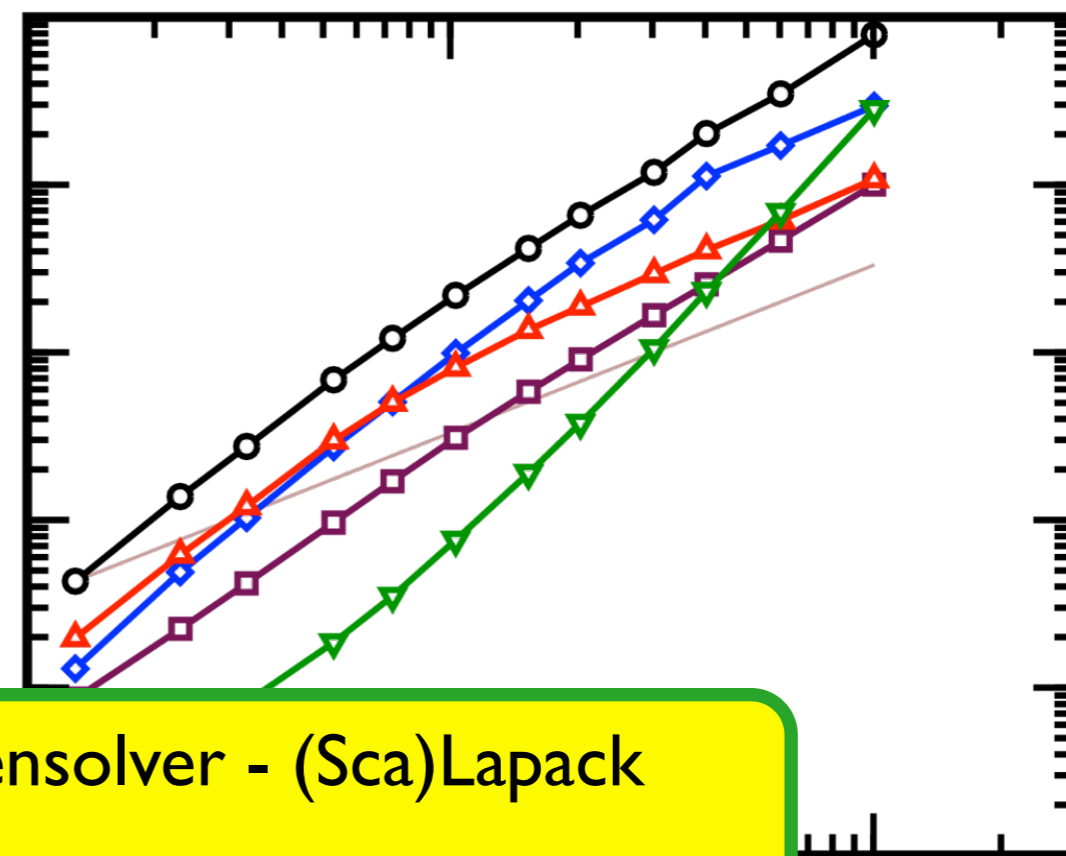
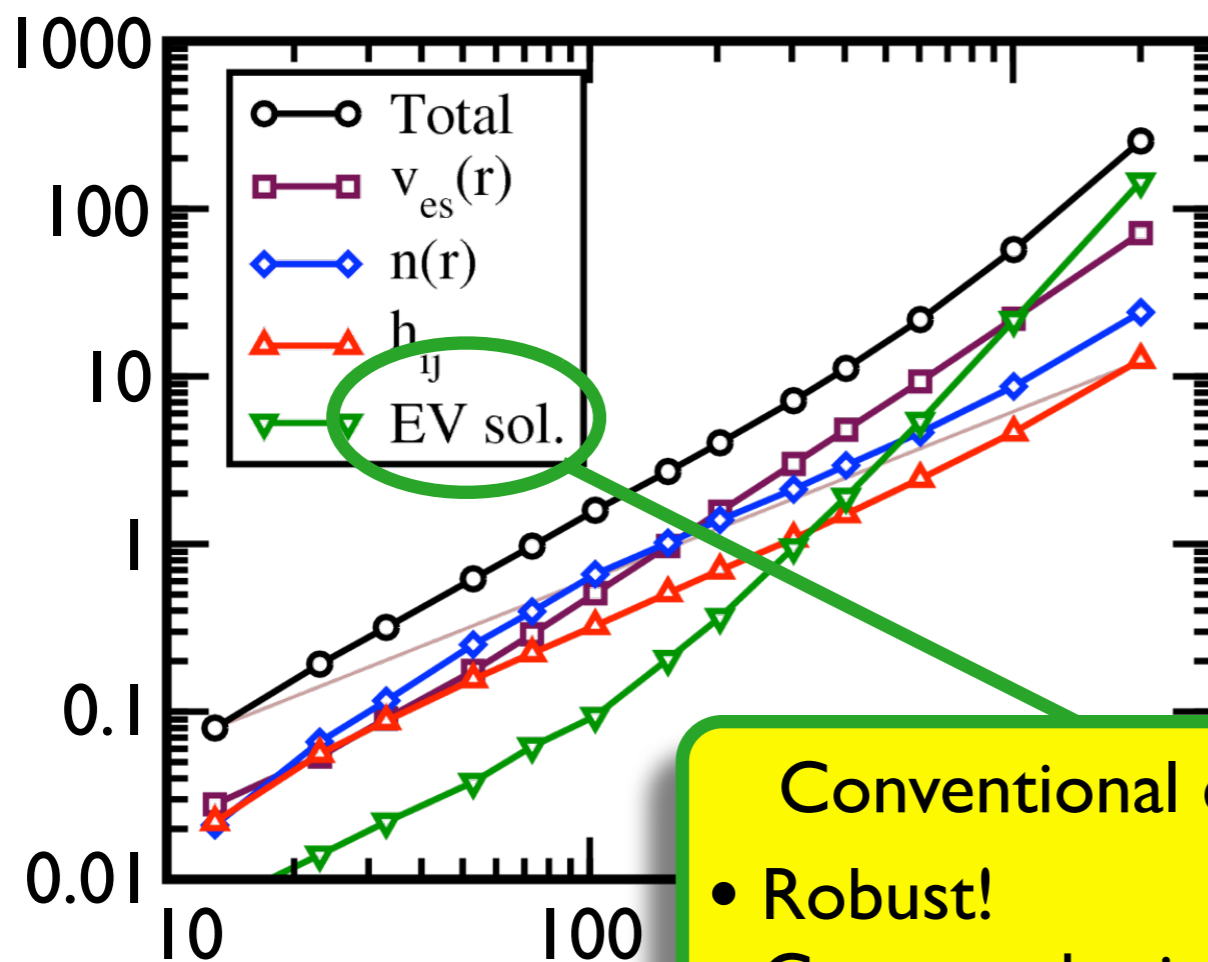
# ... but how does it all scale?



Fully extended Polyalanine, "light"



$\alpha$ -helical Polyalanine, "tight"



Conventional eigensolver - (Sca)Lapack

- Robust!
- Compact basis sets: Small matrices
- **but  $O(N^3)$  scaling - relevant  $\approx 100$ s of atoms**
- **1,000s of CPUs: Scaling bottleneck?**

Basis	Light: tier I	Tight: tier I
$I_{\text{Hartree}}$	4	6
radial shells	24-36	49-
pts. per shell	302 max.	434 max.
Cutoff width	5Å	6Å

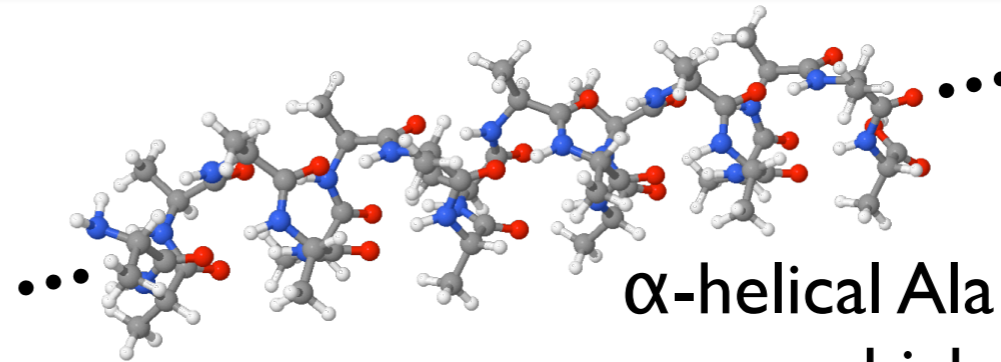
Standard IBM and Xeon cluster  
Benchmarks: W. Jürgens / FHI



# Towards the “petaflop”: Tackling the eigenvalue solver

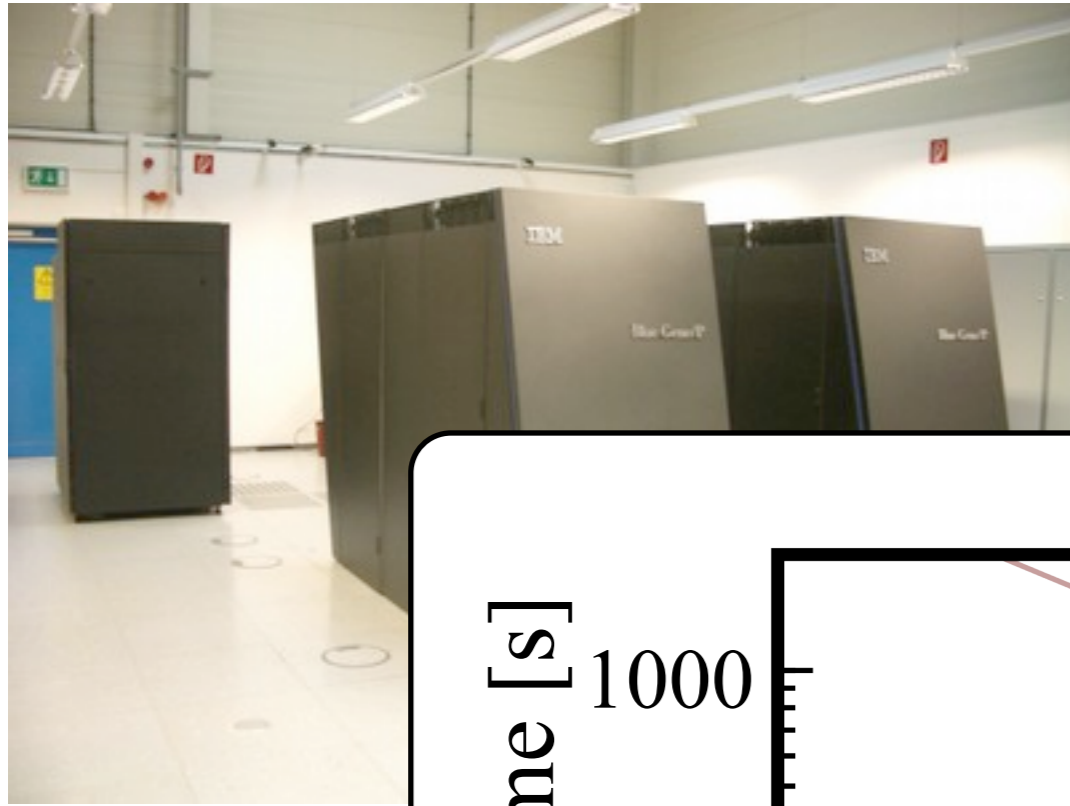


IBM BlueGene (MPG, Garching)  
16384 CPU cores

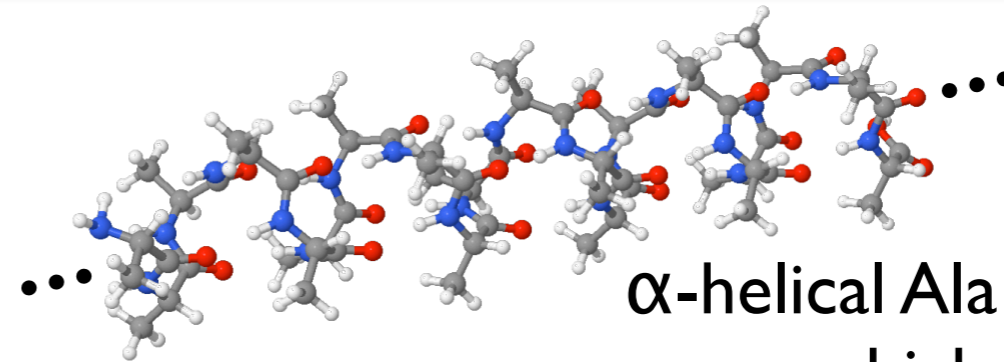


$\alpha$ -helical Ala<sub>100</sub> (1000 atoms),  
high accuracy

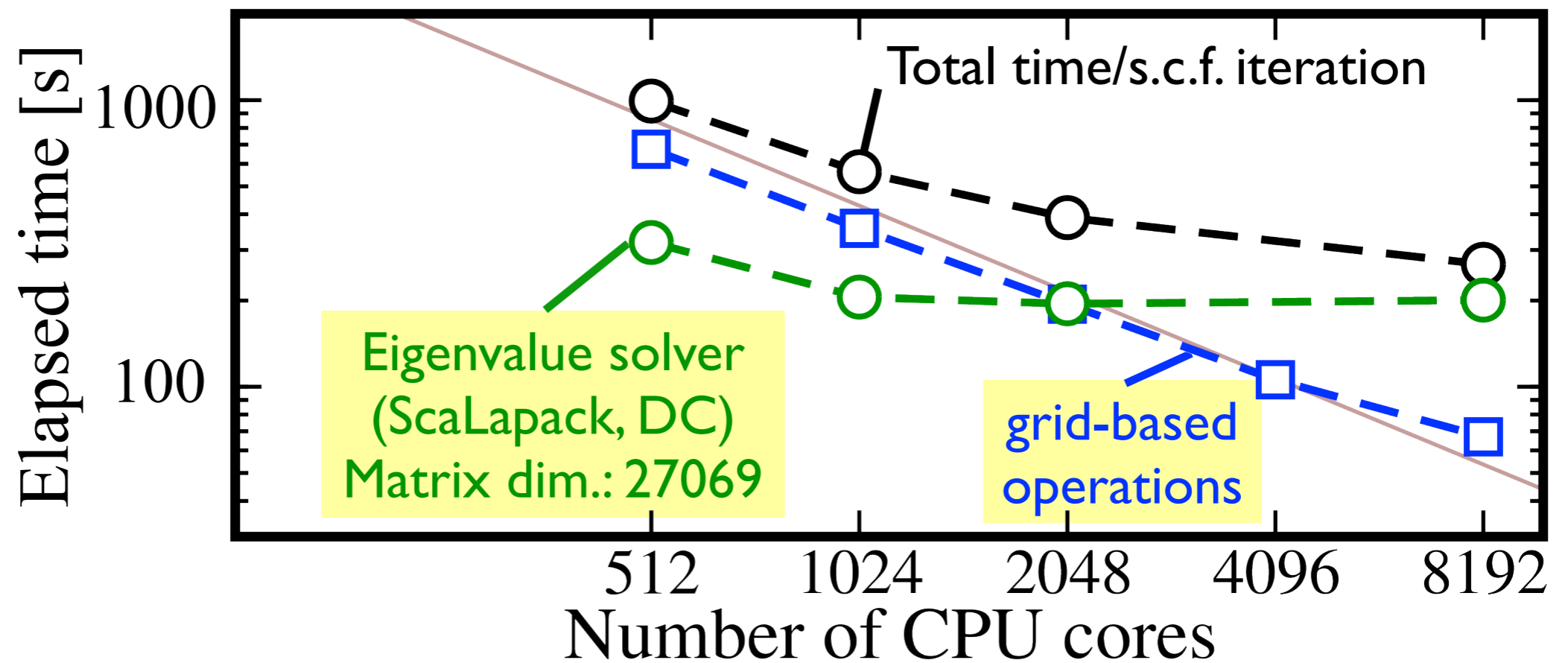
# Towards the “petaflop”: Tackling the eigenvalue solver



IBM BlueGene/P  
16384



$\alpha$ -helical Ala<sub>100</sub> (1000 atoms),  
high accuracy



# A conventional, massively parallel eigensolver: “ELPA”

$$\underline{H} \underline{C}_k = \epsilon_k \underline{S} \underline{C}_k$$

Given a matrix  $H$  and metric  $S$  (dimension  $N$ ),  
find  $M$  eigenvalue/eigenvector pairs  $\epsilon_k/c_k$

## Goal:

- scalable, Scalapack-compatible “drop-in enhancement”
- pure MPI-based implementation
- detailed rewrite based on proven robust/general algorithms

Garching Computing Center (*H. Lederer, R. Johanni*)

Wuppertal University, Mathematics (*L. Krämer, P. Willems, B. Lang*)

TU Munich, Computer Science (*Th. Auckenthaler, H.-J. Bungartz, Th. Huckle*)

FHI Berlin (*V. Blum, M. Scheffler*)

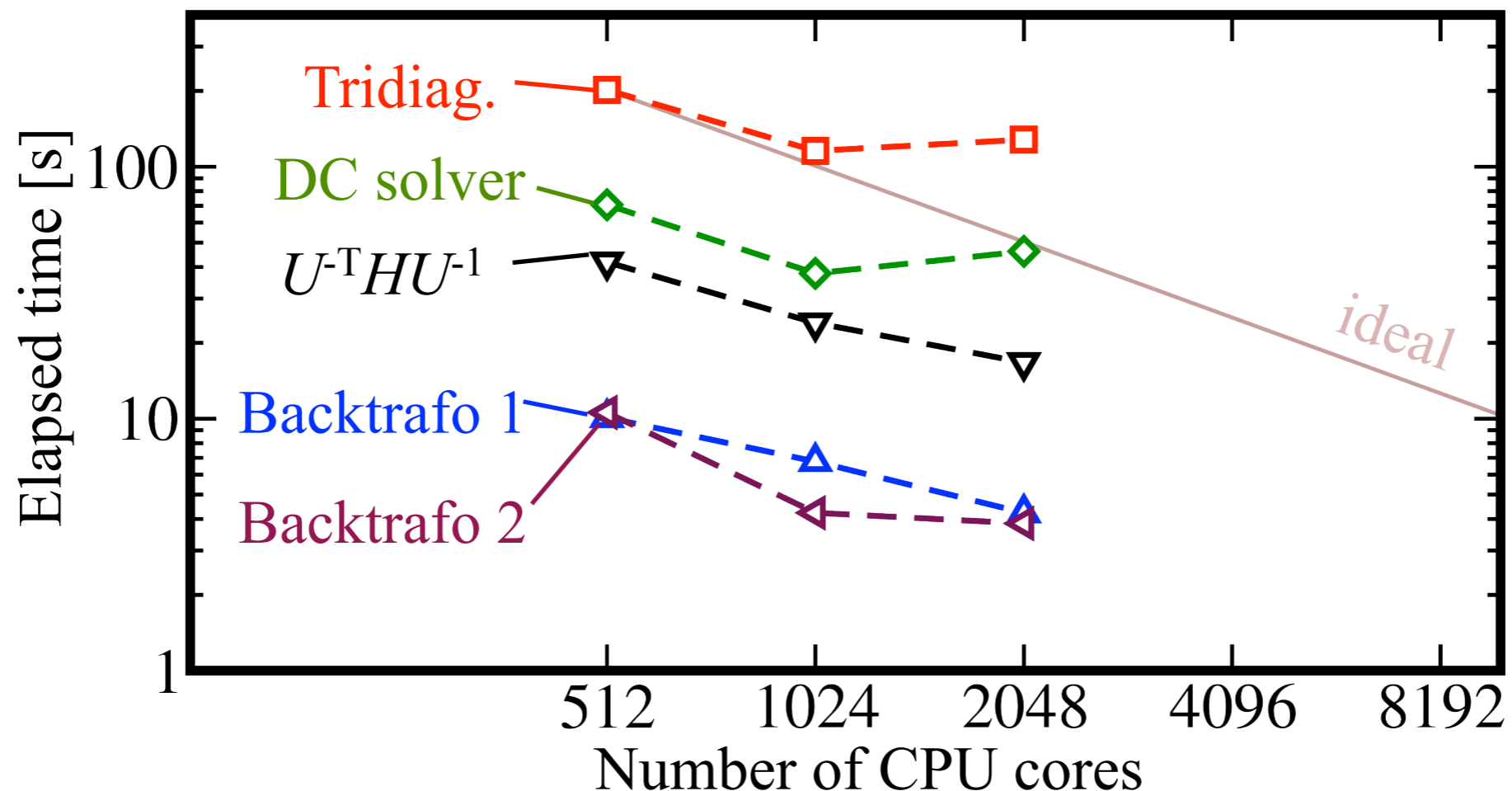
part of “Eigensolvers for Petaflop Applications” (ELPA) consortium (BMBF)  
standalone open-source / LGPL library

# Taking apart the eigenproblem

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

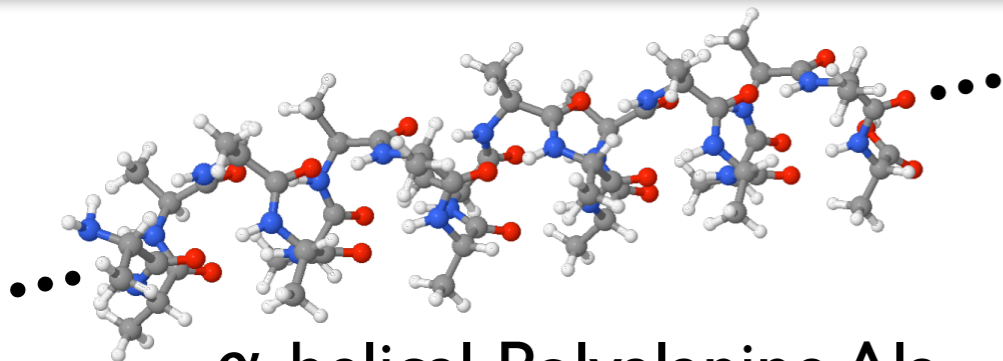
Generalized (non-orthogonal) eigenvalue problem:

- Transform to orthogonal form:  $U^{-T} H U^{-1}$
- Transform orthogonal  $H'$  to *tridiagonal* form
- Solve *tridiagonal* eigenproblem
- Backtransform (1) solution to standard form
- Backtransform (2) standard to general form

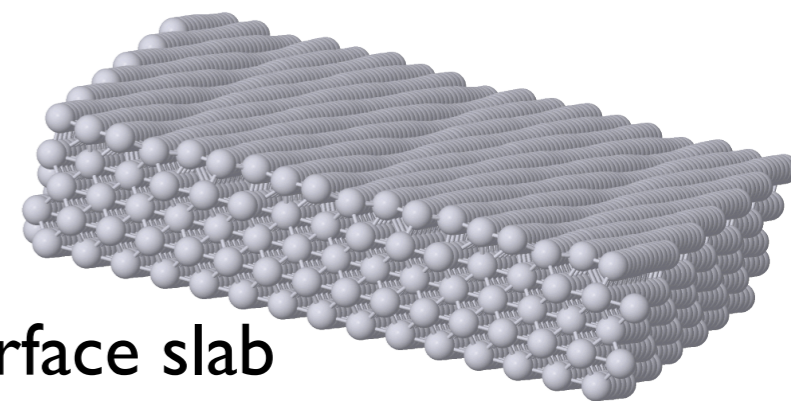


$\alpha$ -helical  
Polyalanine  
Ala<sub>100</sub>,  
BlueGene/P

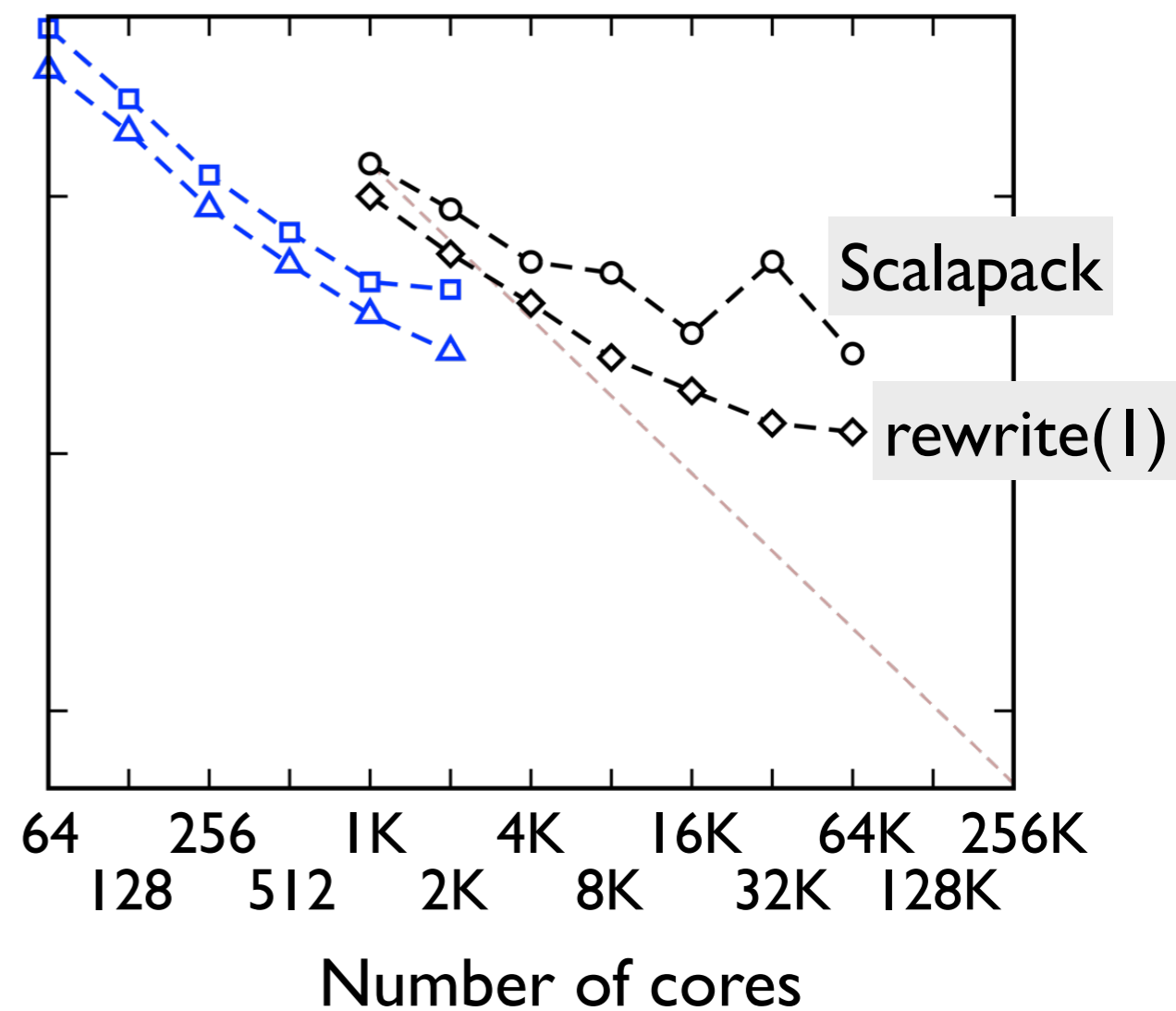
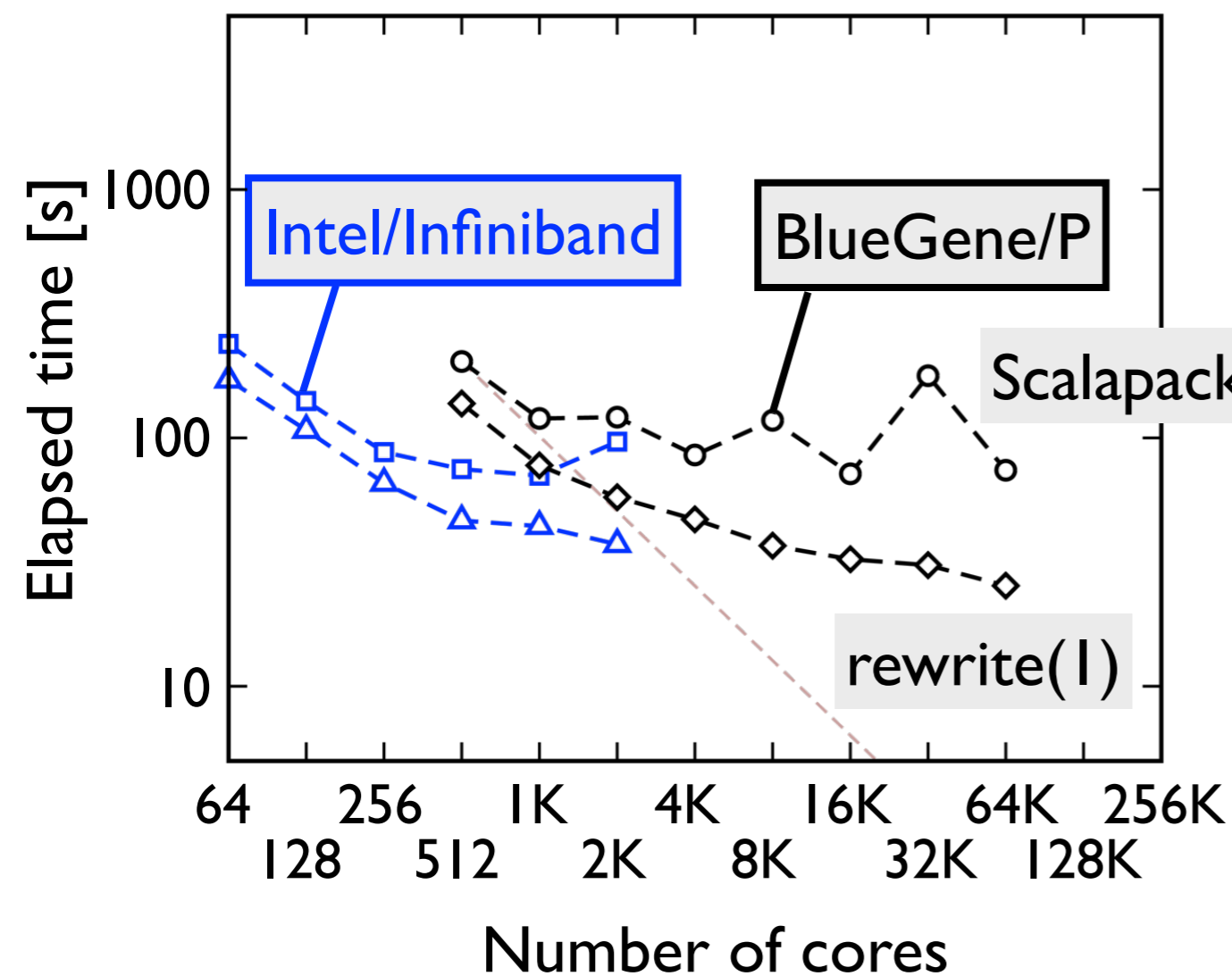
# Rewritten eigensolver (ELPA I)



$\alpha$ -helical Polyalanine Ala<sub>100</sub>  
 $N=27069, M=3410$   
 NAO basis set (FHI-aims)



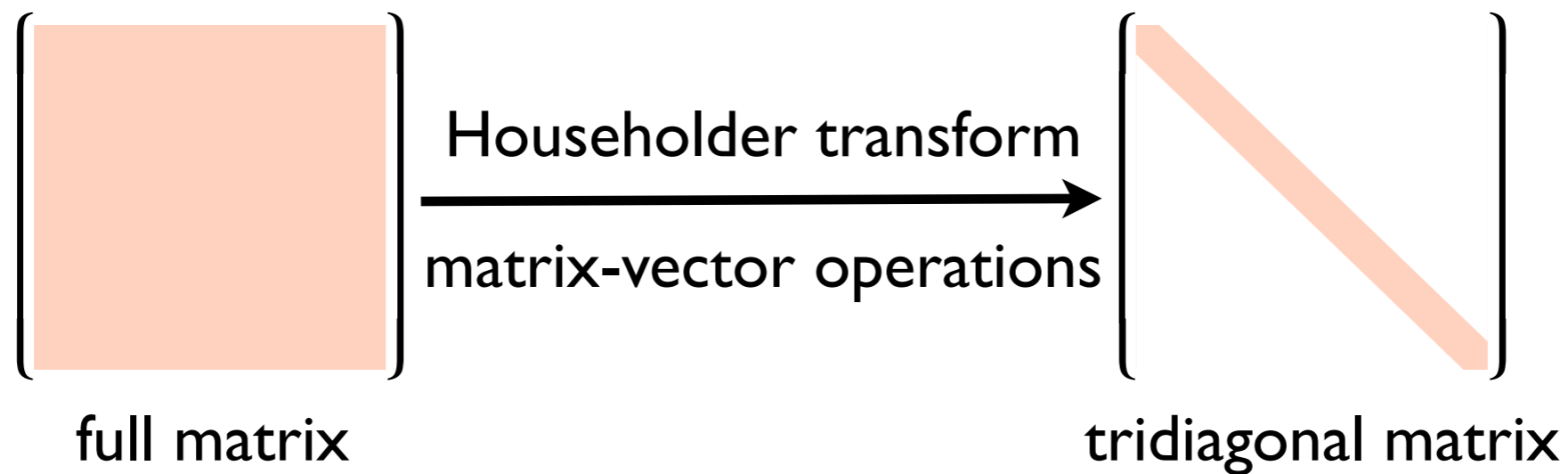
Pt(100)-5x40 surface slab  
 $N=67990, M=43409$   
 NAO basis set (FHI-aims)



# Optional improvement: 2-step tridiagonalization

## Remaining chief bottleneck: Tridiagonalization

“Conventional” reduction:

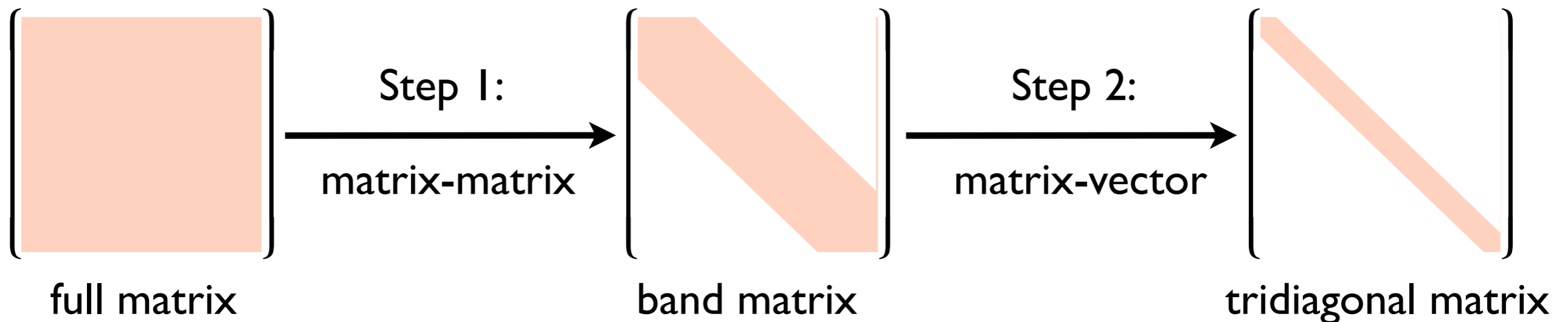


# Optional improvement: 2-step tridiagonalization

## Remaining chief bottleneck: Tridiagonalization

“Two-step” reduction:

*C. Bischof, B. Lang, X. Sun, ACM Trans. Math. Software* **26**, 581 (2000).



But extra back transform necessary - benefit shrinks for  $M$  approaching  $N$

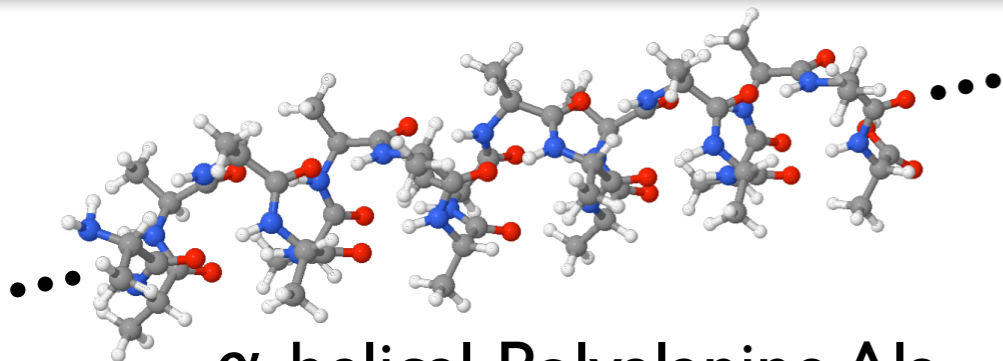
### Massively parallel two-step tridiagonalization:

- 2-dimensional data layout for eigenvectors
- Heavily optimized backtransform steps for eigenvectors (adaptive data layout, architecture-specific linear algebra kernels - cache blocking)

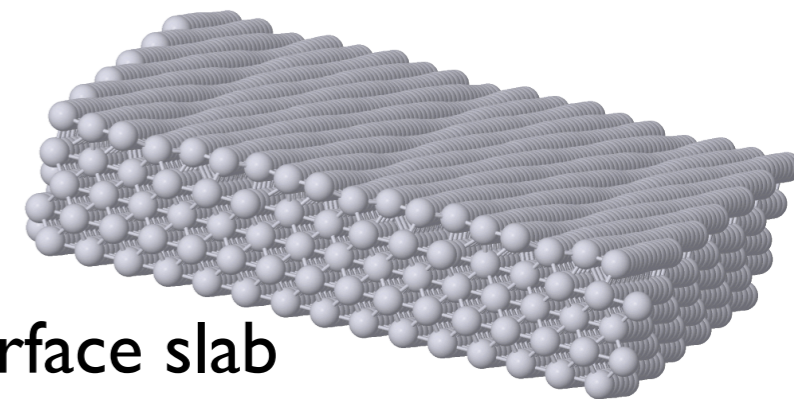
*Auckenthaler, Blum, Bungartz, Huckle, Johanni, Krämer, Lang, Lederer, Willems, Parallel Computing* (2011)

Preprint: [http://www.fhi-berlin.mpg.de/aims/aims\\_publications.php](http://www.fhi-berlin.mpg.de/aims/aims_publications.php)

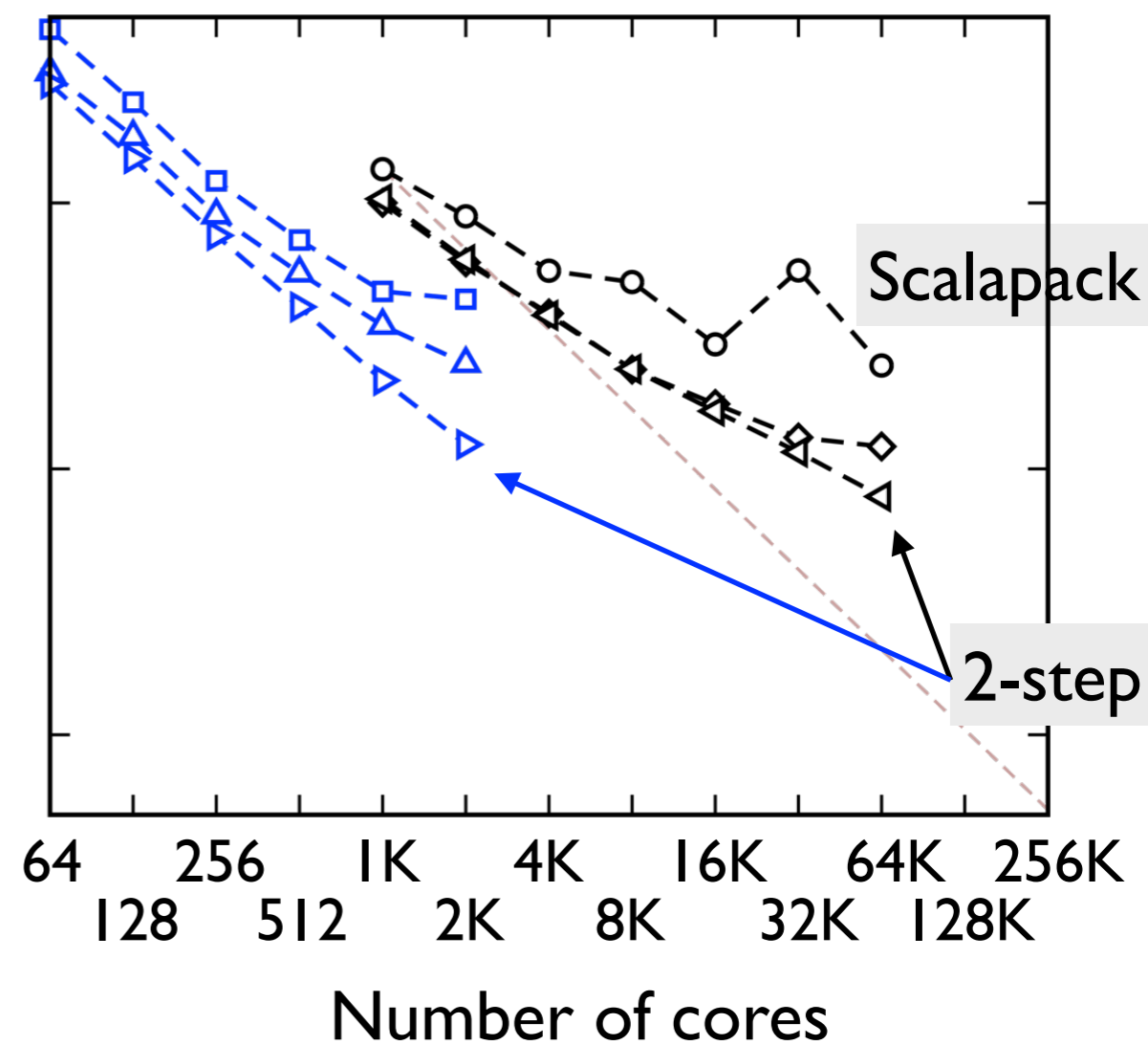
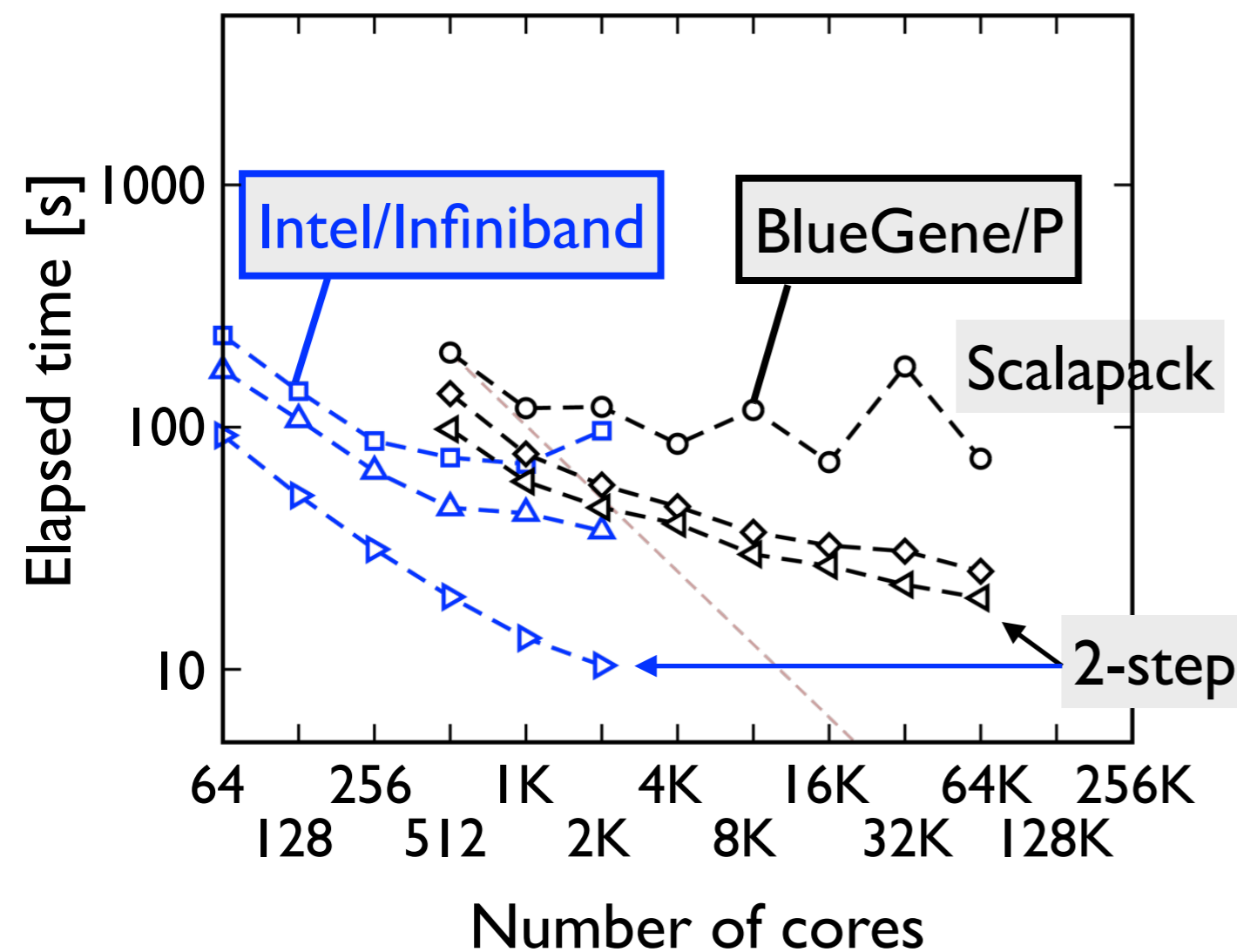
# ELPA, two-step solver



$\alpha$ -helical Polyalanine Ala<sub>100</sub>  
 $N=27069, M=3410$   
 NAO basis set (FHI-aims)



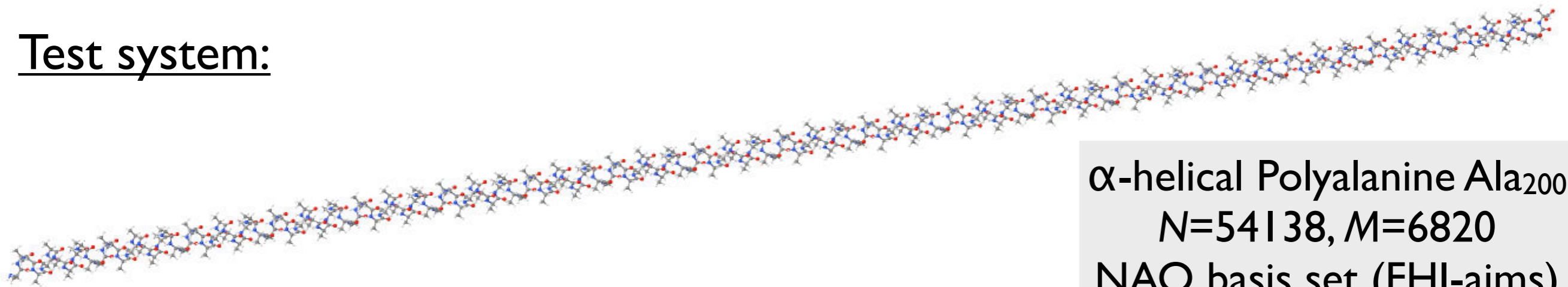
Pt(100)-5x40 surface slab  
 $N=67990, M=43409$   
 NAO basis set (FHI-aims)



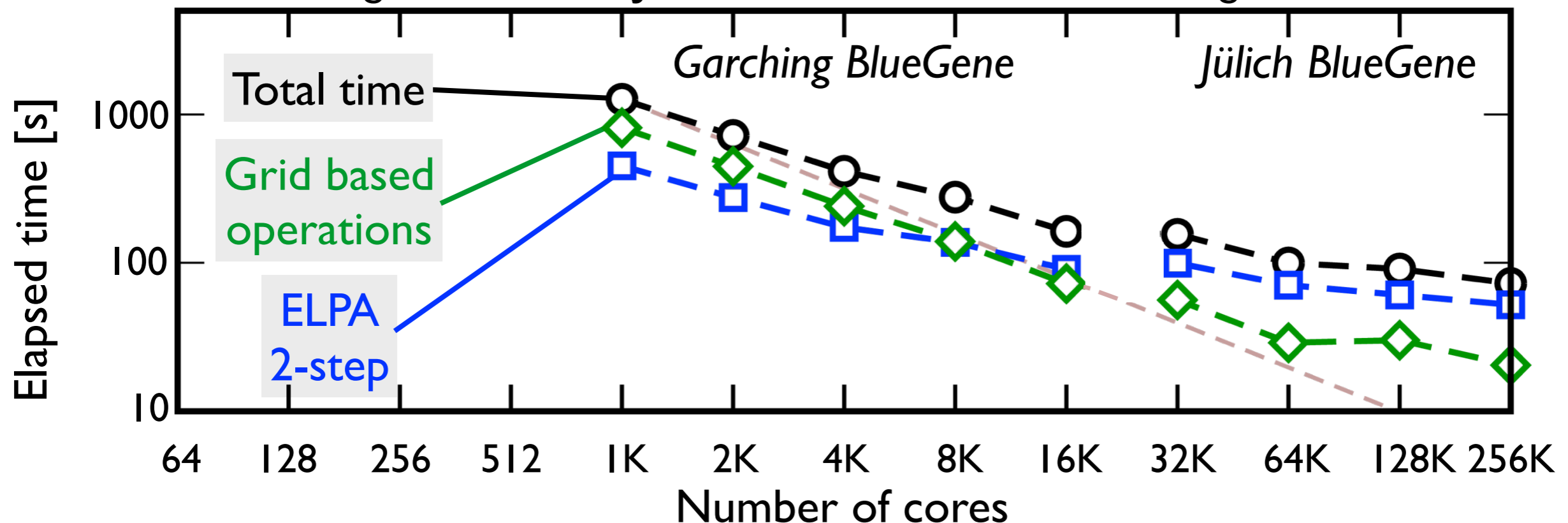


# So what about the “Petascale”?

Test system:

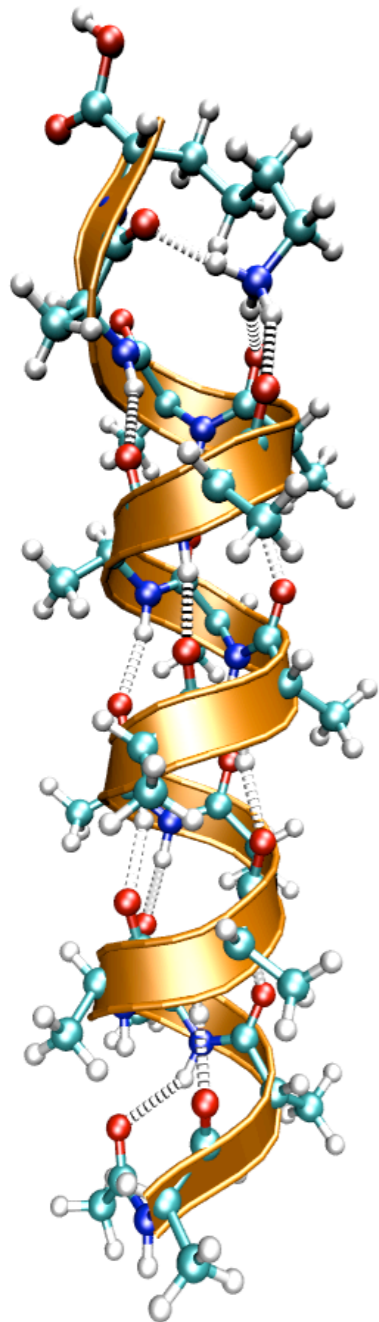


Time per FULL DFT-PBE s.c.f. iteration: BlueGene/P  
Timings: A. Marek, R. Johanni, Rechenzentrum Garching, Feb. 2011



# FHI-aims - what is it good for?

## Pushing the limits of all-electron molecular dynamics



$\alpha$ -helical Ac-Ala<sub>15</sub>-LysH<sup>+</sup>  
(180 atoms): Helical?

### Experiment:

*von Helden, Kupser, Bierau, Meijer,  
Molecular Physics, FHI Berlin*

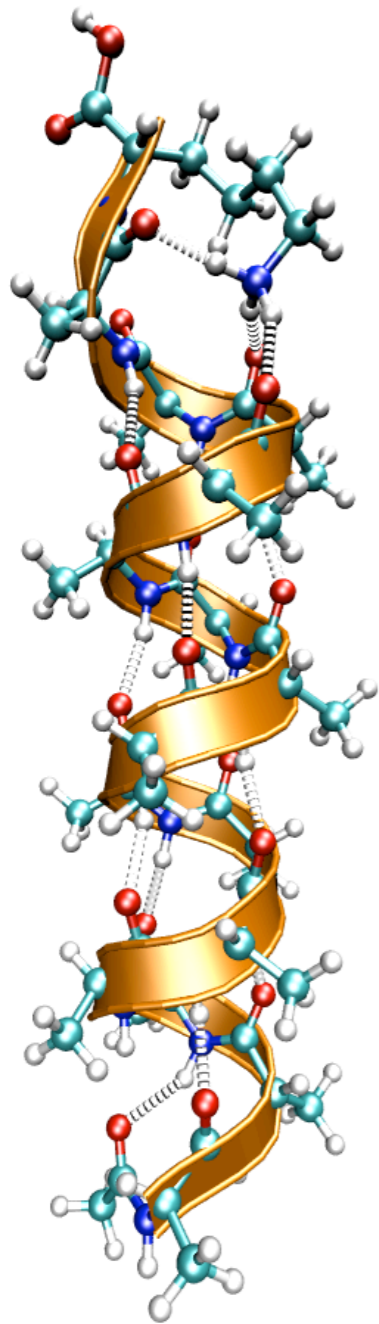
Infrared multiphoton dissociation  
spectroscopy, FELIX free electron laser

Room temperature

*Rossi, Blum, Kupser, von Helden, Bierau,  
Pagel, Meijer, Scheffler, J. Phys. Chem. Lett. 1, 3465 (2010)*

# (Bio)molecular vibrational spectroscopy *in vacuo*

Rossi, Blum, Kupser, von Helden, Bierau, Pagel, Meijer, Scheffler, *J. Phys. Chem. Lett.* **1**, 3465 (2010)



$\alpha$ -helical Ac-Ala<sub>15</sub>-LysH<sup>+</sup>  
(180 atoms): Helical?

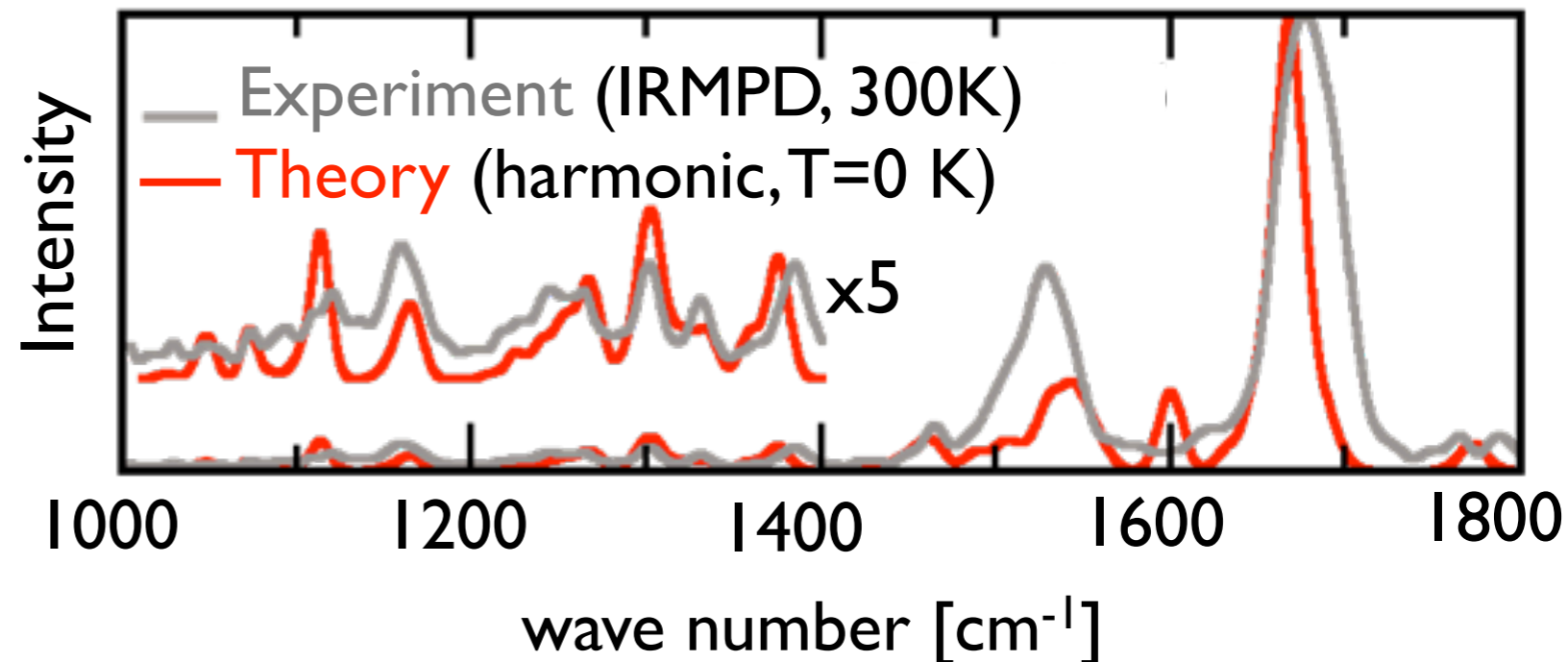
## Experiment:

von Helden, Kupser, Bierau, Meijer,  
*Molecular Physics, FHI Berlin*

Infrared multiphoton dissociation  
spectroscopy, FELIX free electron laser

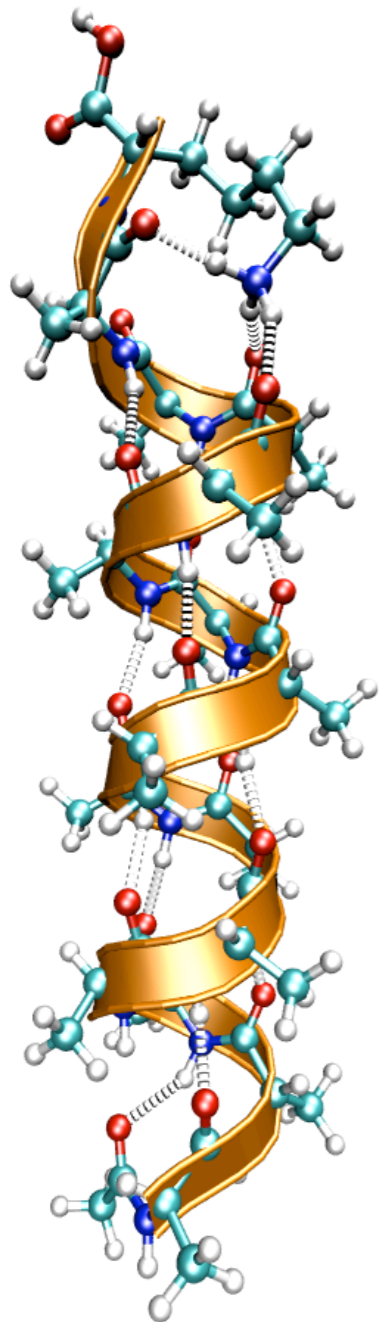
Room temperature

*Theory: DFT-PBE+vdW; shifted, not scaled*

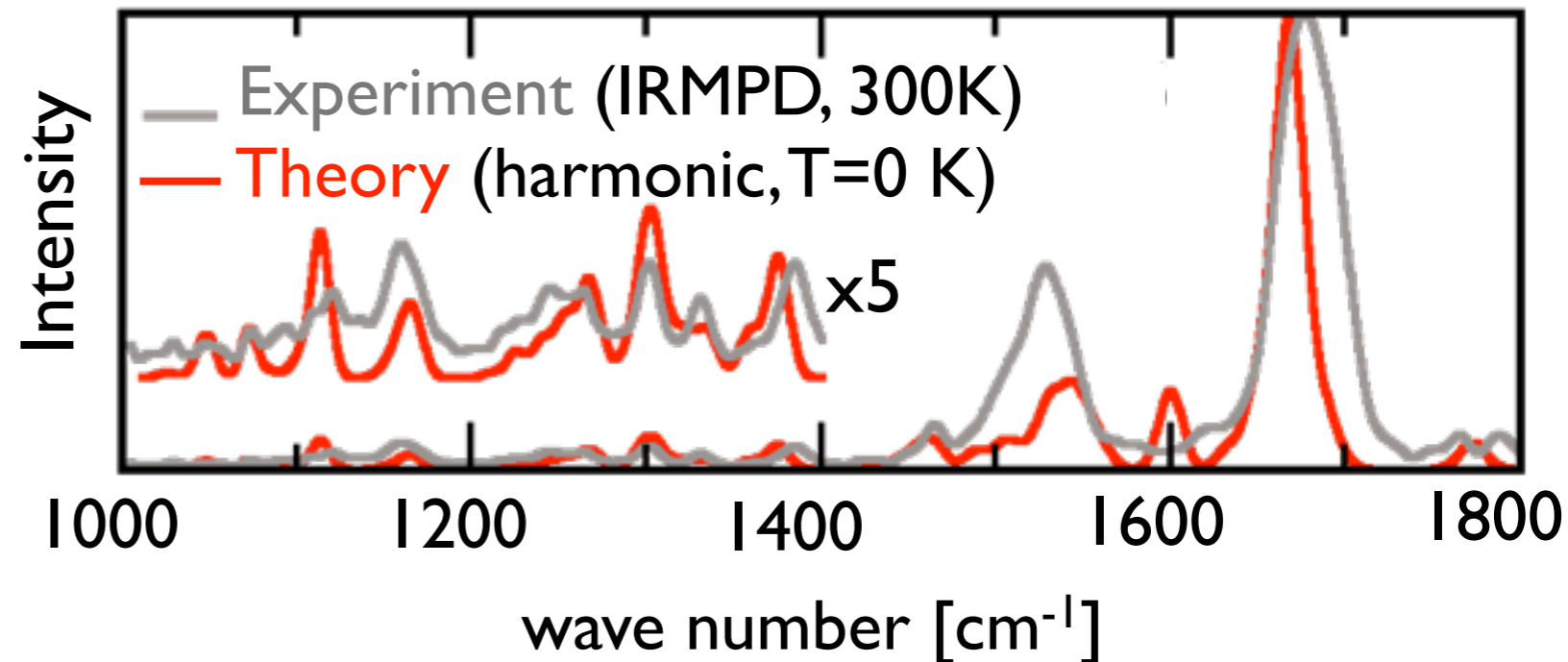


# (Bio)molecular vibrational spectroscopy *in vacuo*

Rossi, Blum, Kupser, von Helden, Bierau, Pagel, Meijer, Scheffler, *J. Phys. Chem. Lett.* **1**, 3465 (2010)



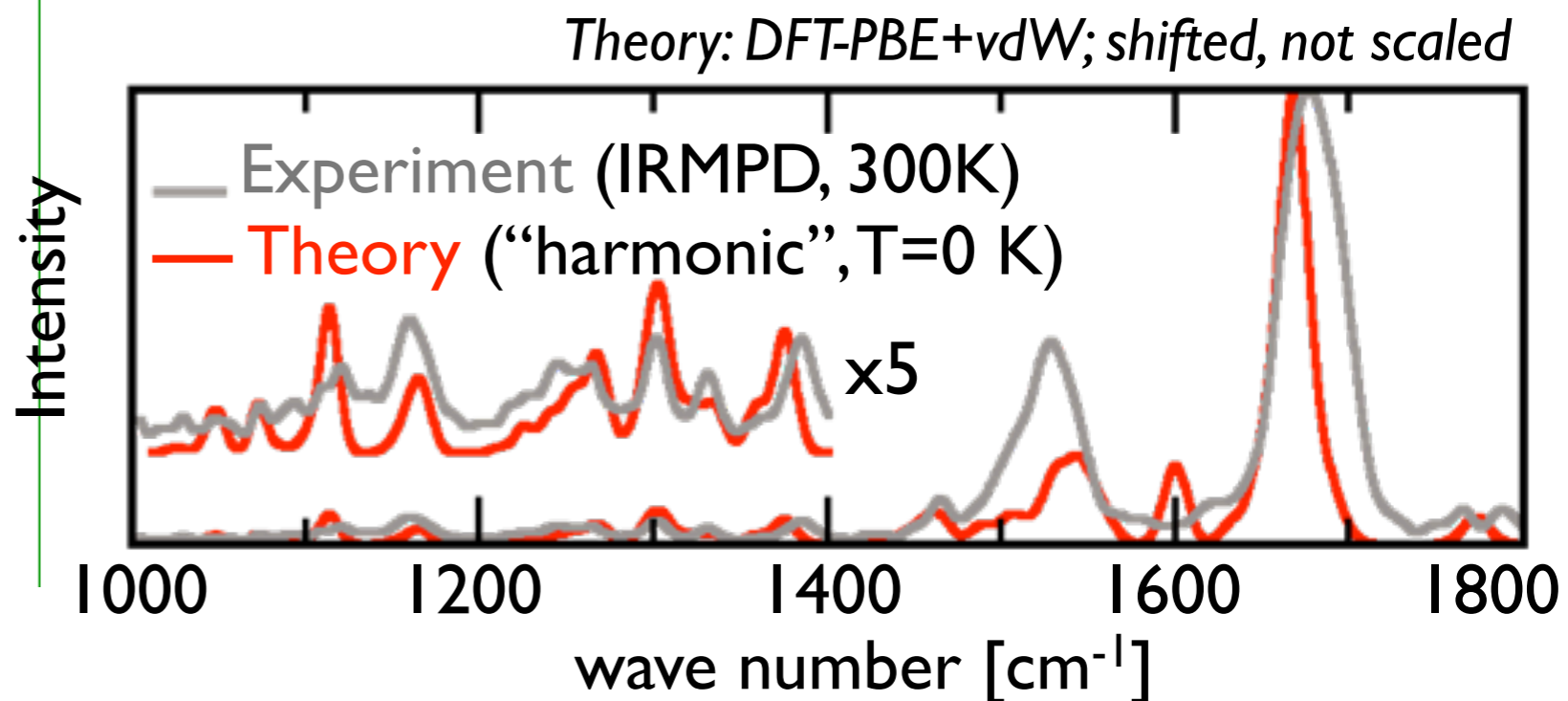
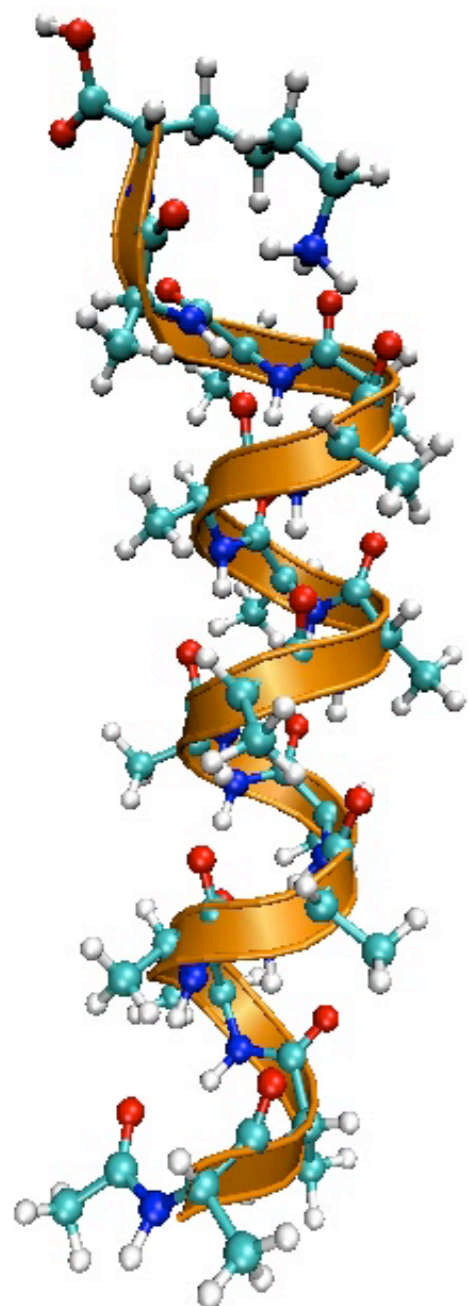
Theory: DFT-PBE+vdW; shifted, not scaled



$\alpha$ -helical Ac-Ala<sub>15</sub>-LysH<sup>+</sup>  
(180 atoms): Helical?

# (Bio)molecular vibrational spectroscopy *in vacuo*

Rossi, Blum, Kupser, von Helden, Bierau, Pagel, Meijer, Scheffler, *J. Phys. Chem. Lett.* **1**, 3465 (2010)

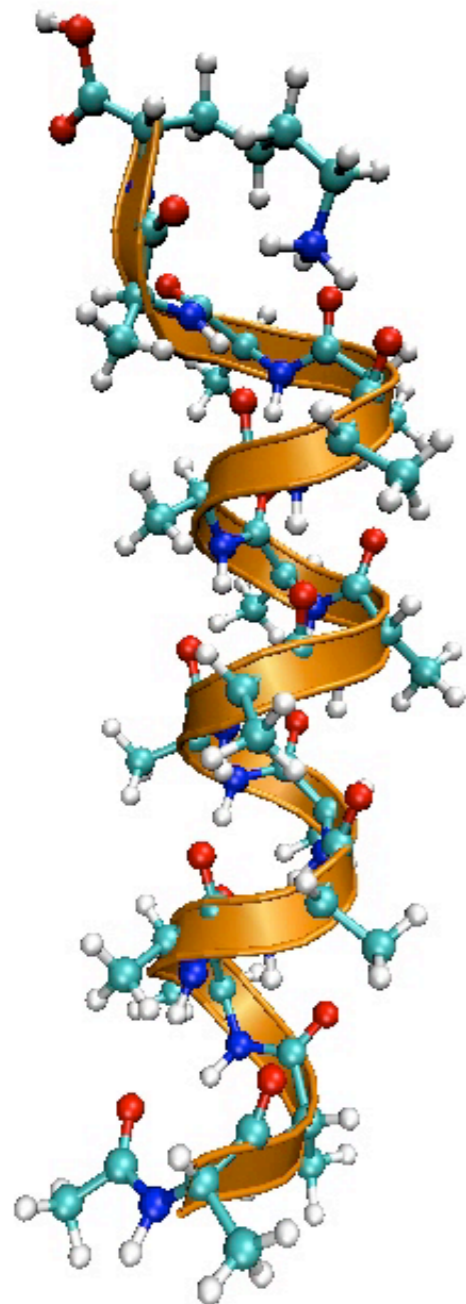


18 ps Born-Oppenheimer molecular dynamics, DFT-PBE+vdW, “tight”/tier 2!

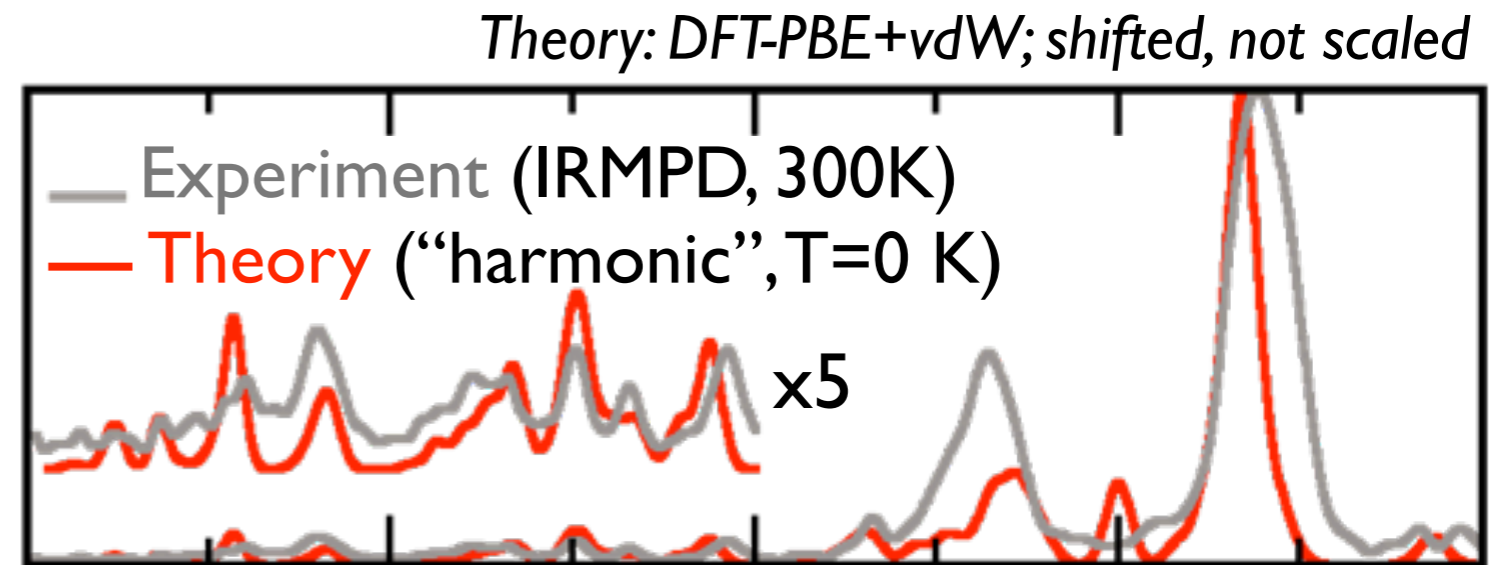
$$I(\omega) \propto \omega^2 \int_{-\infty}^{\infty} dt \underbrace{\langle \vec{M}(t) \cdot \vec{M}(0) \rangle}_{\text{dipole-dipole time correlation function}} e^{i\omega t}$$

# (Bio)molecular vibrational spectroscopy *in vacuo*

Rossi, Blum, Kupser, von Helden, Bierau, Pagel, Meijer, Scheffler, *J. Phys. Chem. Lett.* **1**, 3465 (2010)



Intensity

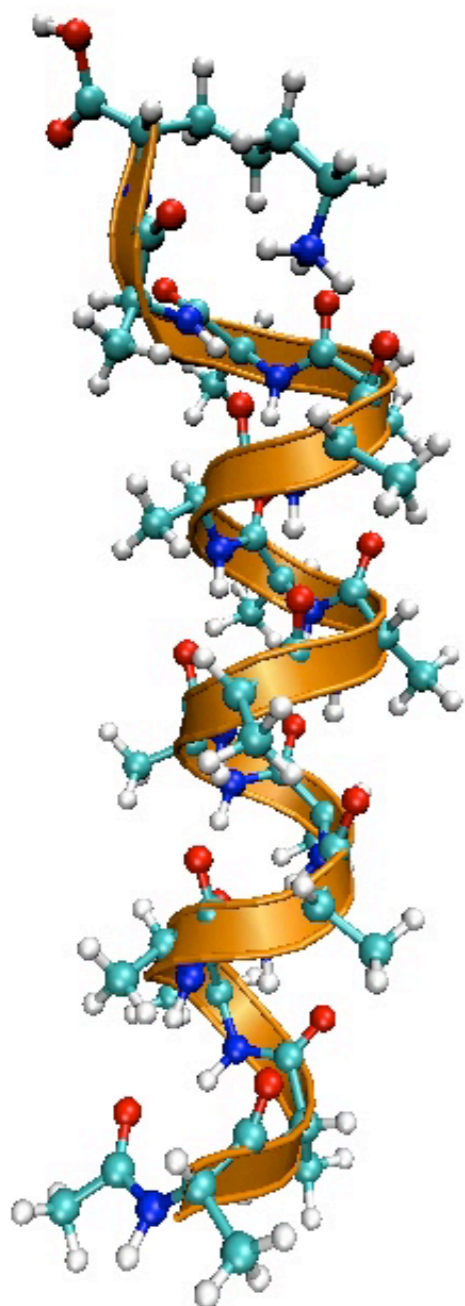


18 ps Born-Oppenheimer molecular dynamics, DFT-PBE+vdW, “tight”/tier 2!

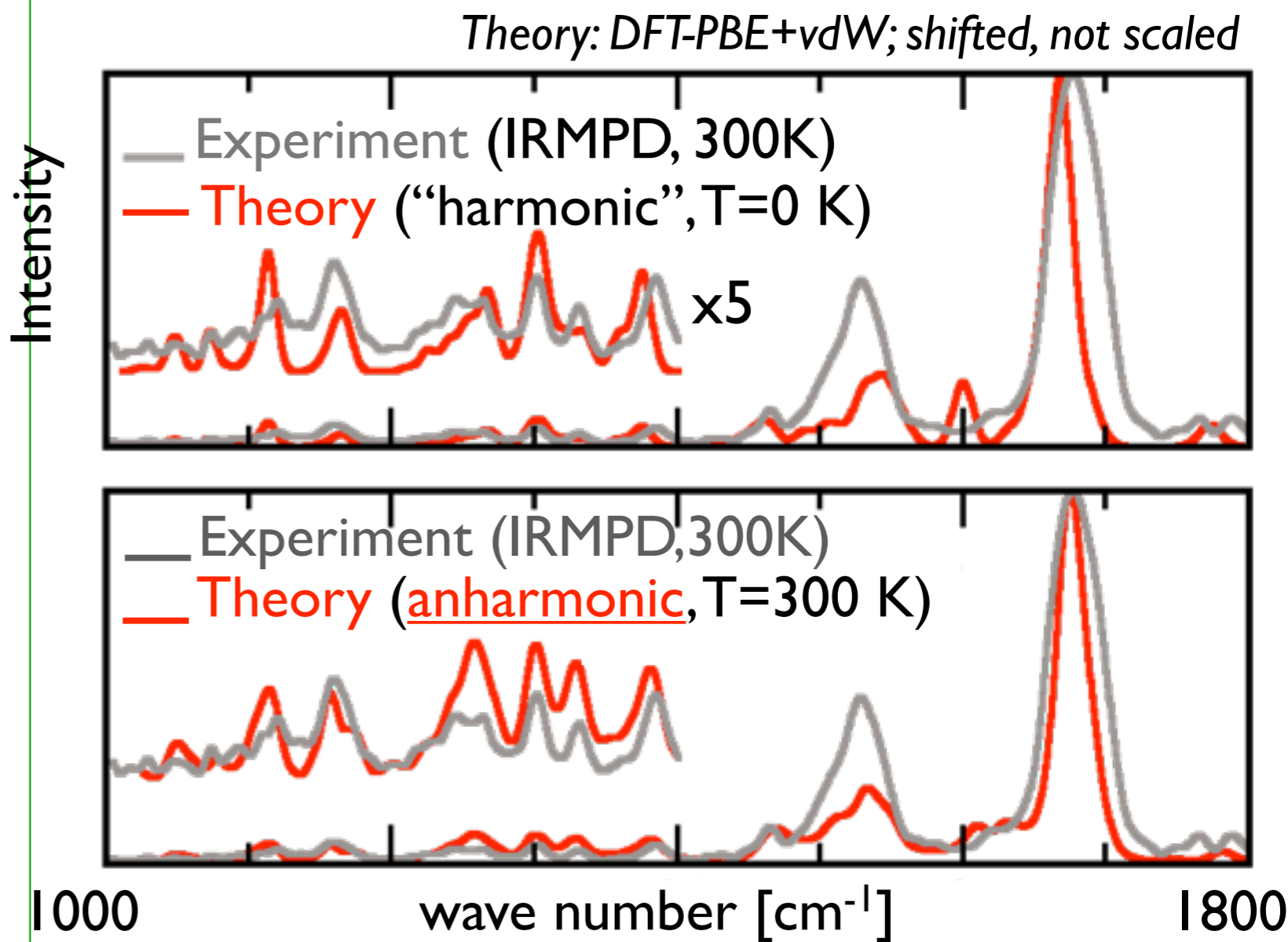
$$I(\omega) \propto \omega^2 \int_{-\infty}^{\infty} dt \underbrace{\langle \vec{M}(t) \cdot \vec{M}(0) \rangle}_{\text{dipole-dipole time correlation function}} e^{i\omega t}$$

# (Bio)molecular vibrational spectroscopy *in vacuo*

Rossi, Blum, Kupser, von Helden, Bierau, Pagel, Meijer, Scheffler, *J. Phys. Chem. Lett.* **1**, 3465 (2010)



0 ps

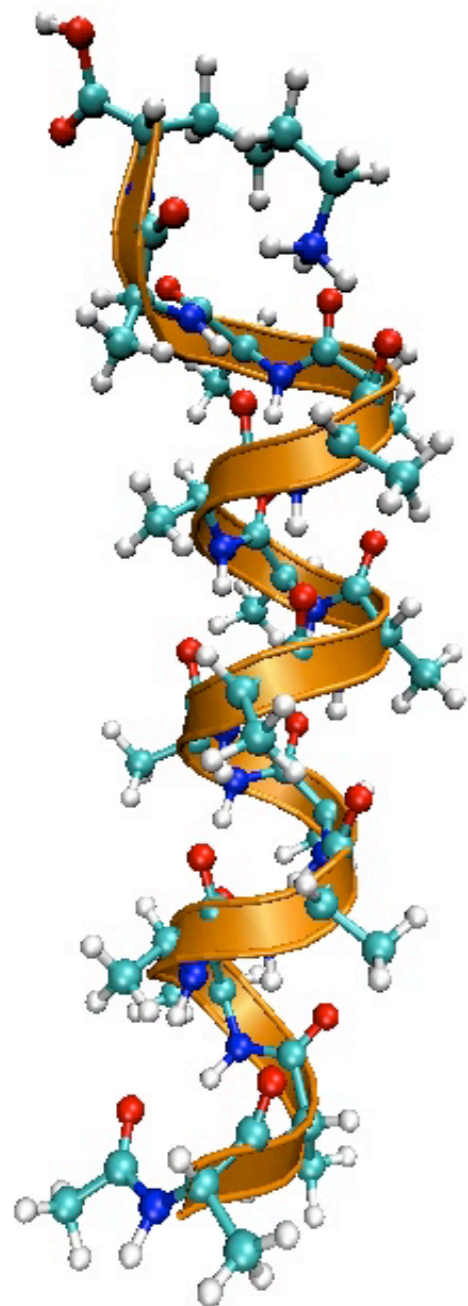


18 ps Born-Oppenheimer molecular dynamics, DFT-PBE+vdW, “tight”/tier 2!

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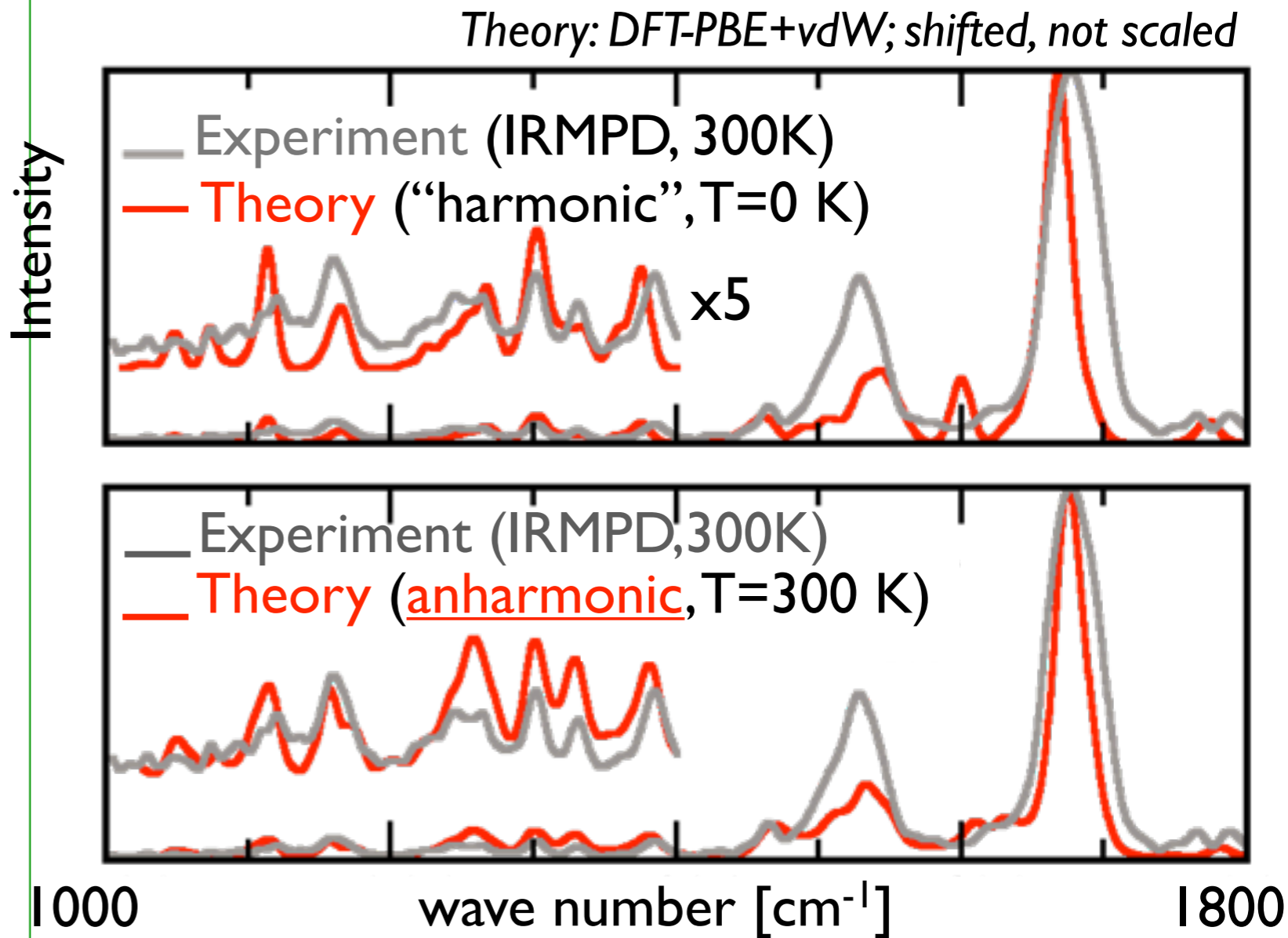
# (Bio)molecular vibrational spectroscopy *in vacuo*

Rossi, Blum, Kupser, von Helden, Bierau, Pagel, Meijer, Scheffler, *J. Phys. Chem. Lett.* **1**, 3465 (2010)



$R_p=0.46$

$R_p=0.32$



18 ps Born-Oppenheimer molecular dynamics, DFT-PBE+vdW, "tight"/tier 2!

$$I(\omega) \propto \omega^2 \int_{-\infty}^{\infty} dt \underbrace{\langle \vec{M}(t) \cdot \vec{M}(0) \rangle}_{\text{dipole-dipole time correlation function}} e^{i\omega t}$$

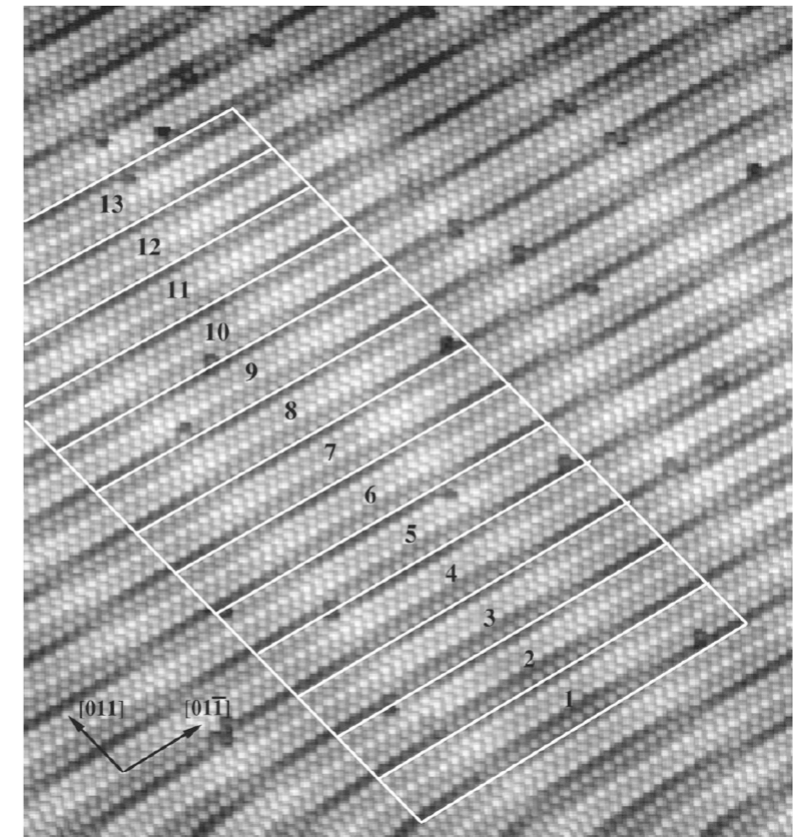
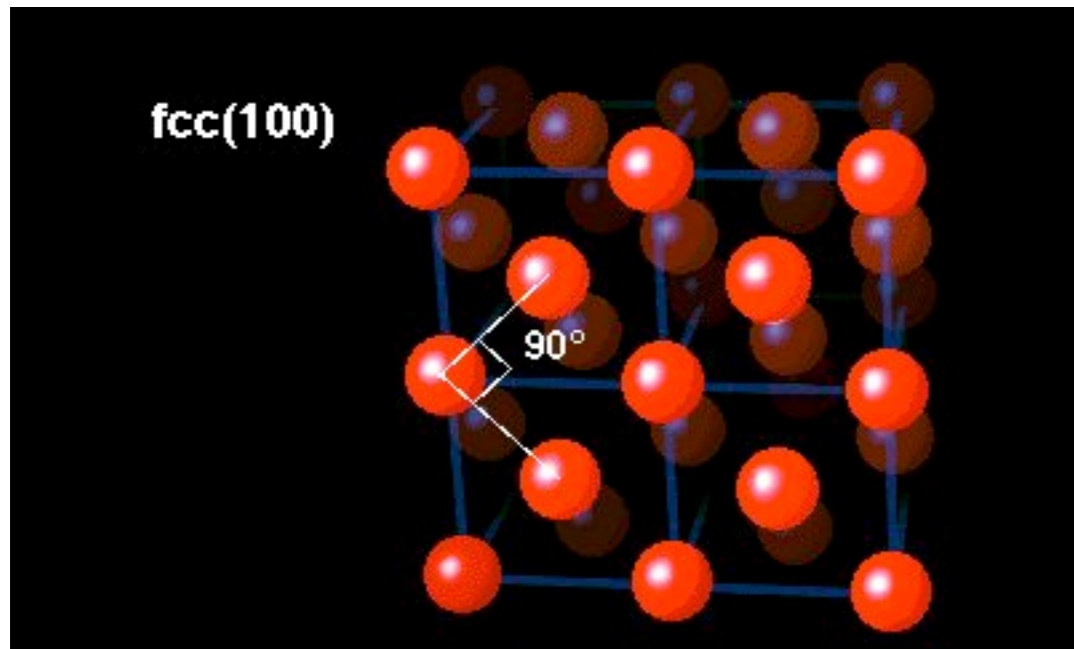


# FHI-aims - what is it good for?

(100)-(1x1)



("hex")



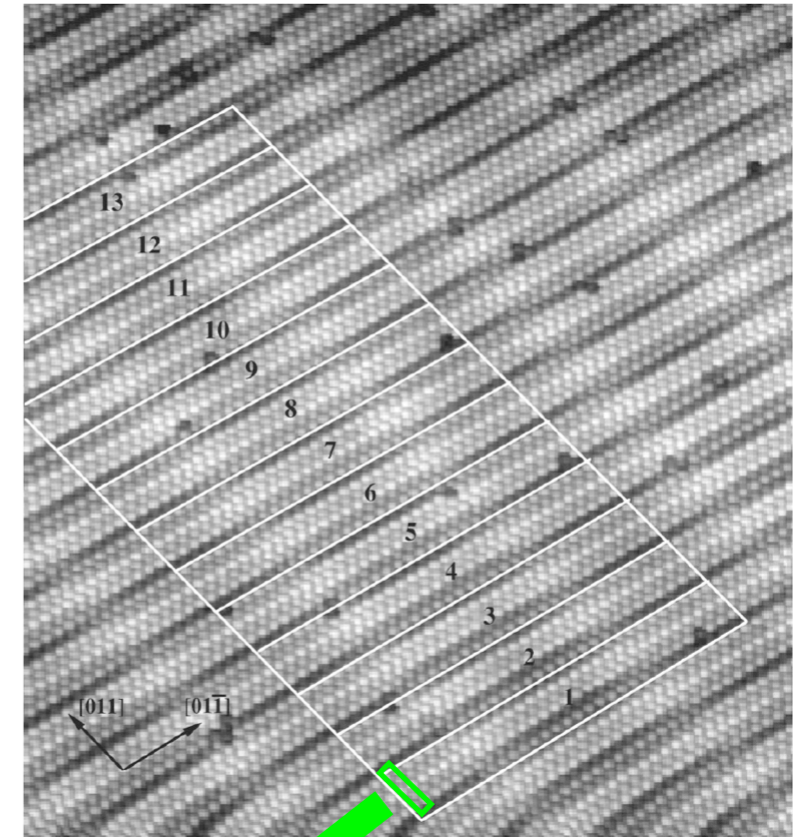
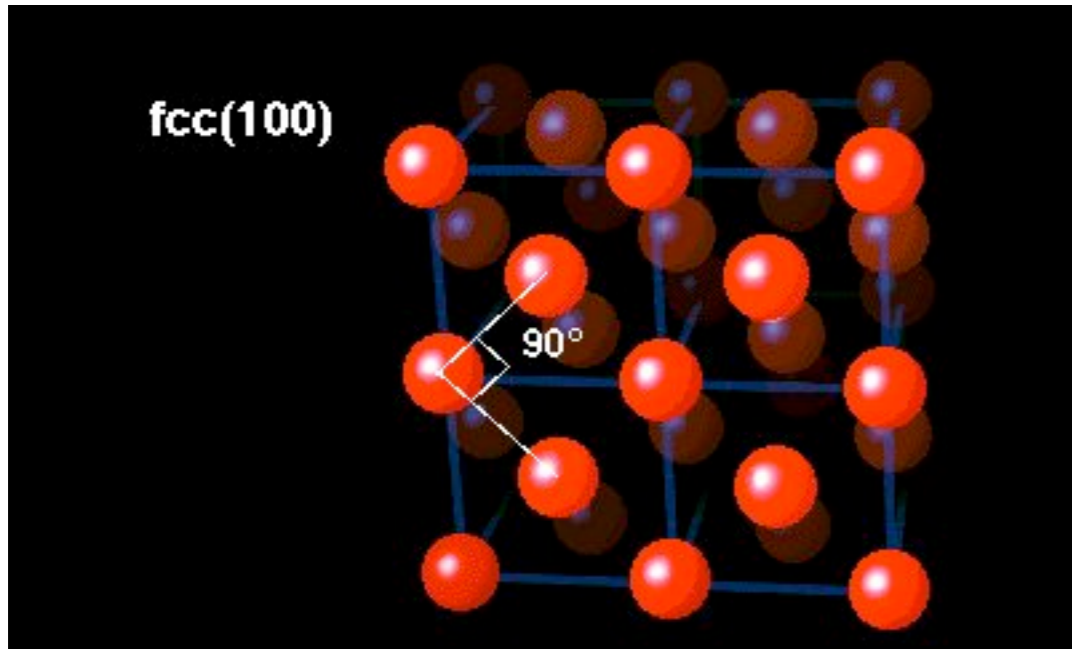
Large-scale surface reconstructions: Au(100), Pt(100)

P. Havu, V. Blum, V. Havu, P. Rinke, M. Scheffler, PRB **82**, 161418(R) (2010)

# Large-scale surface reconstructions: Au, Pt(100)

(100)-(1x1)

(“hex”)



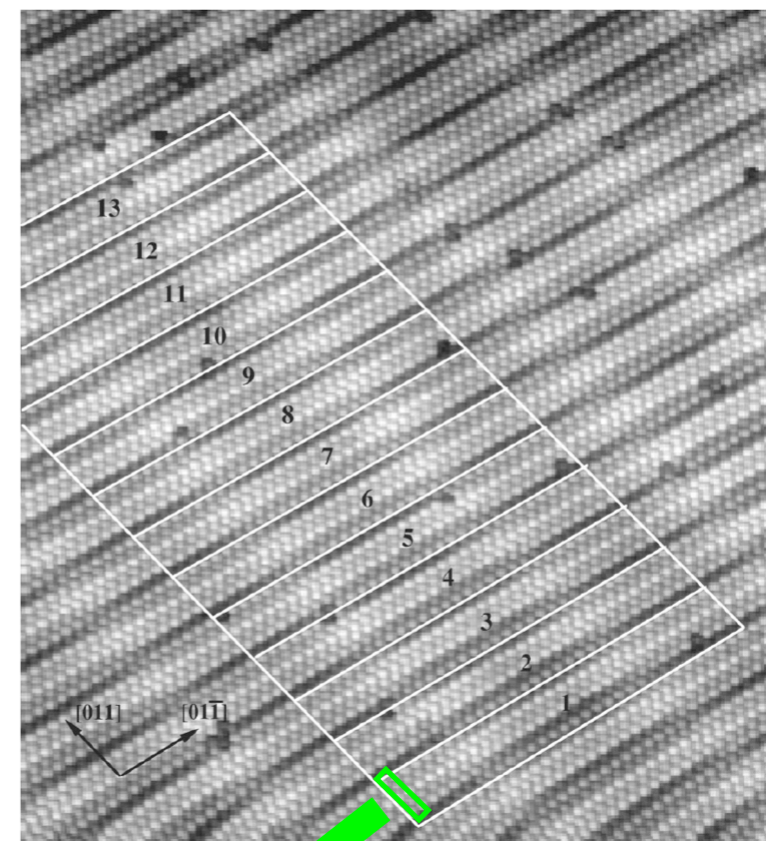
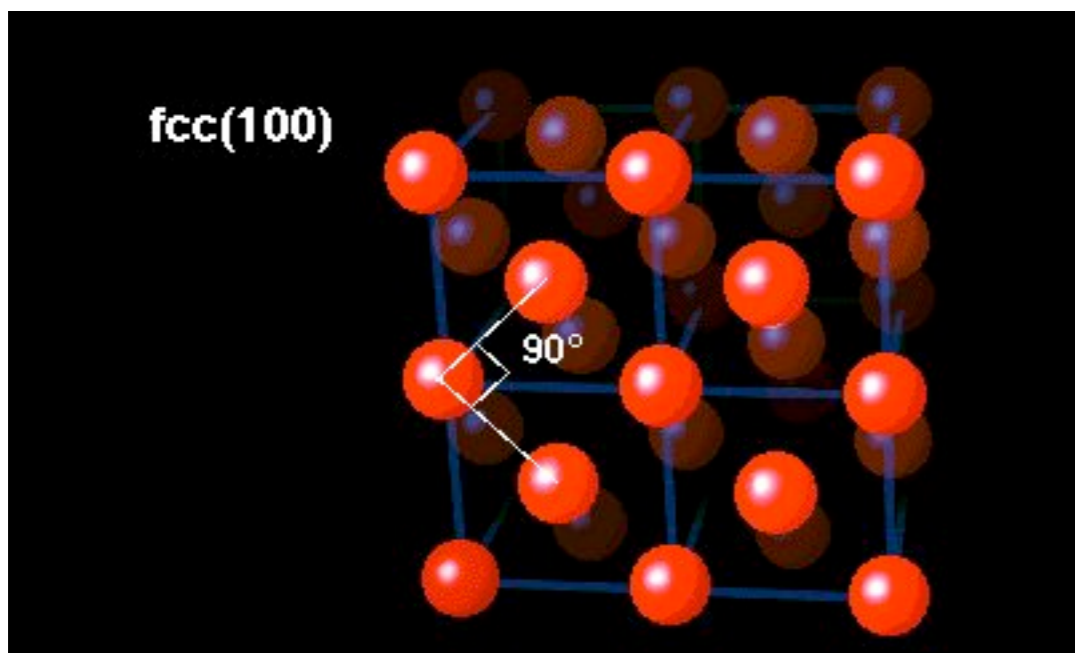
Reconstruction energetics (stability!) challenges experiment and theory:

	Experiment	Theory (DFT), “5x1”
Au(100)		
Pt(100)		

# Large-scale surface reconstructions: Au, Pt(100)

(100)-(1x1)

(“hex”)



Reconstruction energetics (stability!) challenges experiment and theory:

	Experiment	Theory (DFT), “5x1”
Au(100)		
Pt(100)	-0.12 eV/1x1 (vacuum, CO titration[1])	-0.05-0.07 eV/1x1

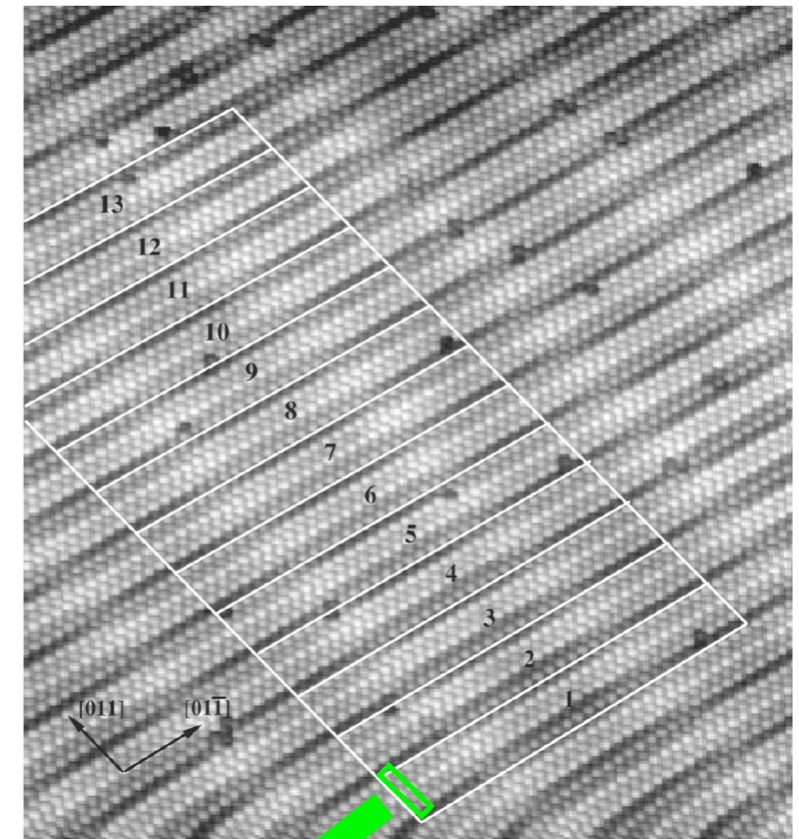
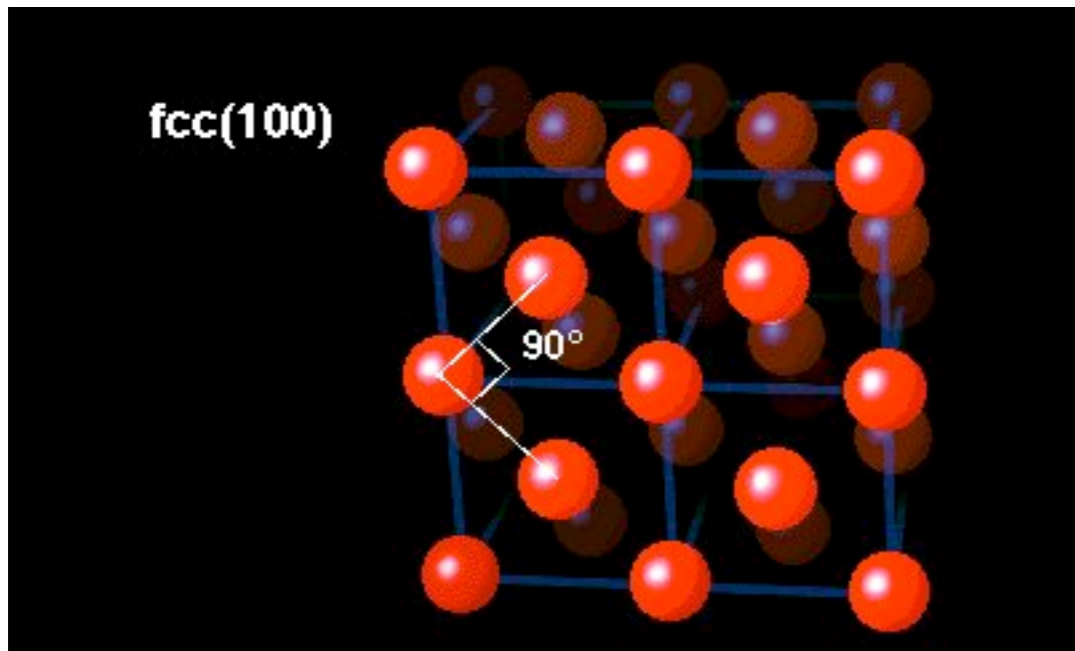
[1] Brown, Kose, King, Chem Rev. **98**, 797 (1998).

# Large-scale surface reconstructions: Au, Pt(100)

(100)-(1x1)



("hex")



Reconstruction energetics (stability!) challenges experiment and theory:

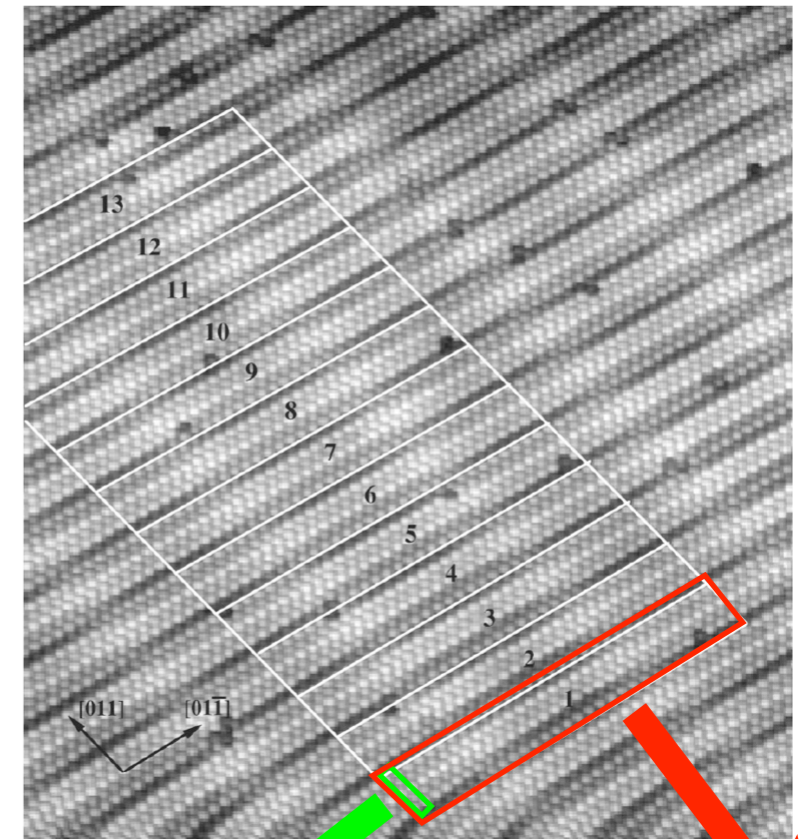
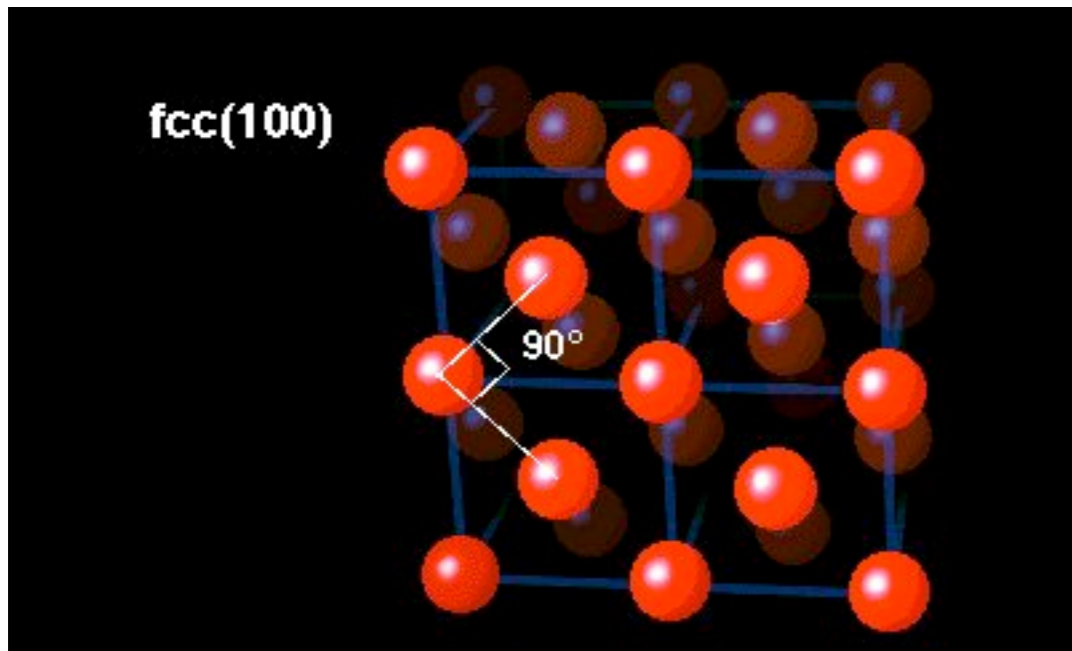
	Experiment	Theory (DFT), "5x1"
Au(100)	-0.02 eV/1x1 (but in solution [2])	-0.02-0.03 eV/1x1
Pt(100)	-0.12 eV/1x1 (vacuum, CO titration [1])	-0.05-0.07 eV/1x1

[1] Brown, Kose, King, Chem Rev. **98**, 797 (1998). [2] Santos, Schmickler, Chem. Phys. Lett. 400, **26** (2004).

# Large-scale surface reconstructions: Au, Pt(100)

(100)-(1x1)

(“hex”)



Reconstruction energetics (stability!) challenges experiment and theory:

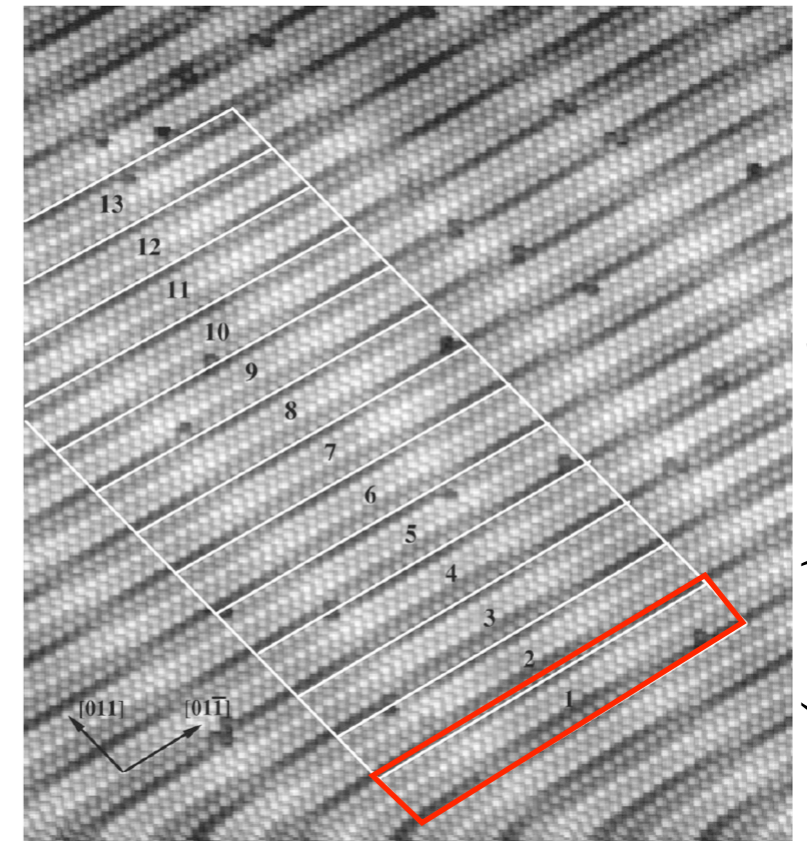
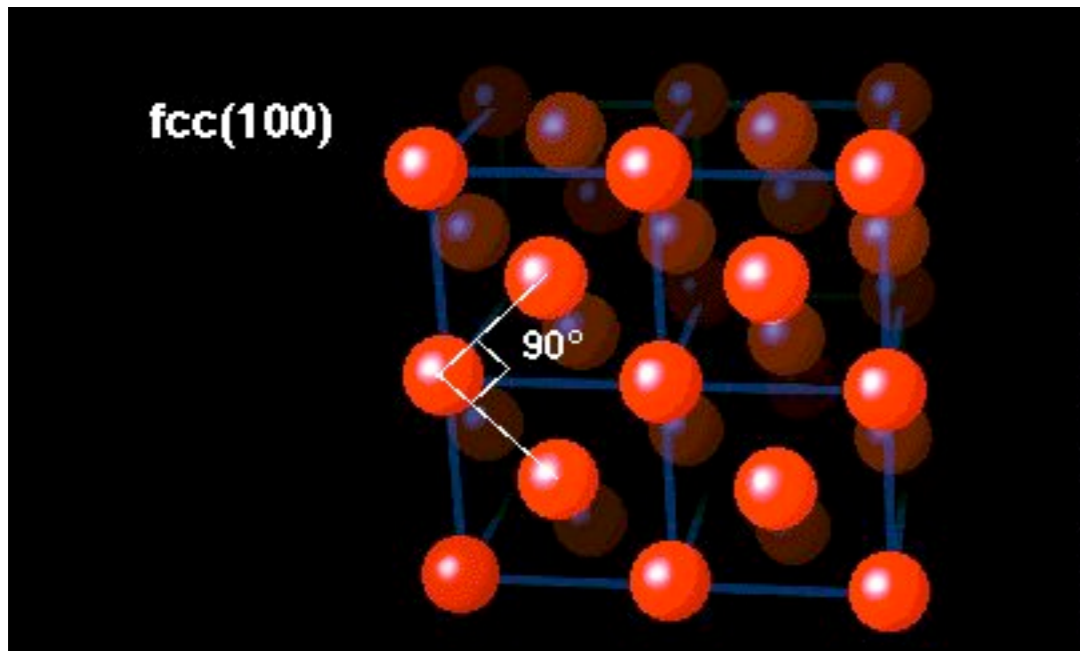
	Experiment	Theory (DFT), “5x1”	Theory (DFT), “5xN”
Au(100)	-0.02 eV/1x1 (but in solution [2])	-0.02-0.03 eV/1x1	??
Pt(100)	-0.12 eV/1x1 (vacuum, CO titration [1])	-0.05-0.07 eV/1x1	??

# Large-scale surface reconstructions: Au, Pt(100)

(100)-(1x1)



("hex")



Pt(100), Ritz et al, PRB 56, 10518 (1997).

Reconstruction energetics (stability!) challenges experiment and theory:

	Experiment	Theory (DFT), "1x5"	Theory (DFT), "Nx5"
Au(100)	-0.02 eV/1x1 <i>(but in solution[2])</i>	-0.02-0.03 eV/1x1	-0.07-0.08 eV/1x1
Pt(100)	-0.12 eV/1x1 <i>(vacuum, CO titration[1])</i>	-0.05-0.07 eV/1x1	-0.10-0.11 eV/1x1

**up to 1046 atom slab, tight!**

[1] Brown, Kose, King, Chem Rev. **98**, 797 (1998). [2] Santos, Schmickler, Chem. Phys. Lett. 400, **26** (2004).

# FHI-aims - what is it good for?

- ... when you need reliable, affordable all-electron numbers
- no problem comparing molecules, periodic systems (DFT-LDA/GGA)
- seamlessly from light to heavy elements
- *Beyond* DFT-LDA/GGA for cluster-type geometries (Hartree-Fock, hybrids, MP2, RPA, GW)
- Good scaling towards large systems (1,000s of atoms), parallel computers (10,000s of cores)

X. Ren,  
Fri 11:30h

## Many ongoing efforts:

*Periodic “beyond DFT” · Much work around molecular dynamics, vibrations, phonons · Spin-orbit & core level spectroscopy · Transport frameworks · ...*

*V. Blum, R. Gehrke, F. Hanke, P. Havu, V. Havu, X. Ren, K. Reuter and M. Scheffler, “Ab Initio Molecular Simulations with Numeric Atom-Centered Orbitals”, *Computer Physics Communications* **180**, 2175-2196 (2009)*

<http://www.fhi-berlin.mpg.de/aims/>

# People

Scalability



Matthias  
Scheffler



Ville Havu  
(FHI/Helsinki)



Rainer Johanni  
(Munich)

Periodic systems, relativity



Paula Havu  
(FHI/Helsinki)

... FHI-aims - support from **many** more:

Karsten Reuter, Patrick Rinke, Xinguo Ren, Ralf Gehrke, Felix Hanke, Mariana Rossi, Alex Tkatchenko, Jürgen Wieferink, Luca Ghiringhelli, Mina Yoon, Christian Carbogno, Norbert Nemec, Jörg Meyer, Andreas Dolfen, Stefan Gutzeit, Andrea Sanfilippo, Sucismita Chutia, Matti Ropo, Fabio Caruso, Matthias Gramzow, Viktor Atalla, Oliver Hofmann, Werner Jürgens, Sergey Levchenko, ...

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# People



Matthias  
Scheffler



Ville Havu  
(FHI/Helsinki)

Scalability



Rainer Johanni  
(Munich)

Periodic systems, relativity



Paula Havu  
(FHI/Helsinki)

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