



Monday, July 18, 2011

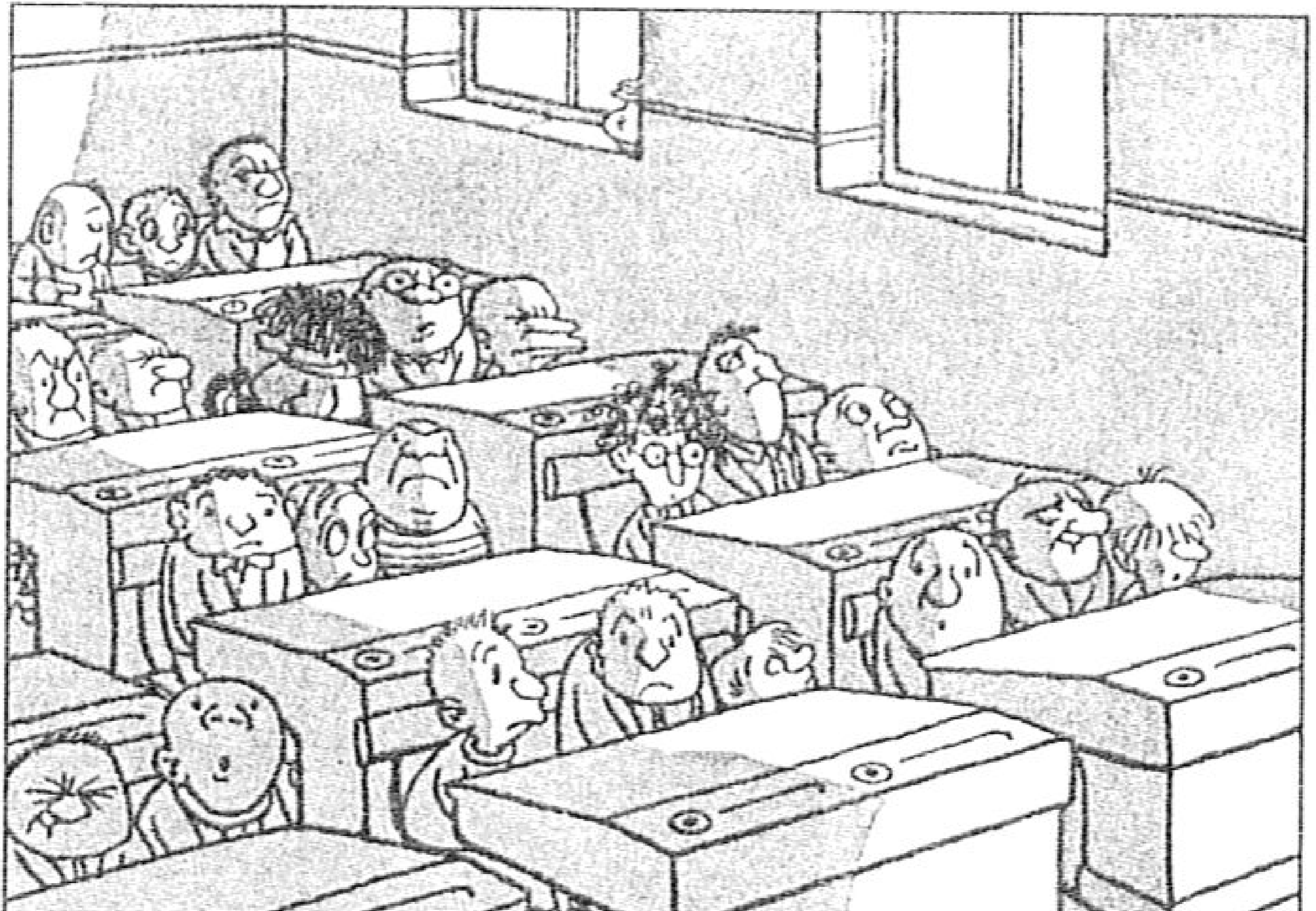
A Tutorial in Cluster Expansion and Multiscale Modeling

Gus L.W. Hart



A Tutorial

A Tutorial



A Tutorial



A Tutorial

Interrupt me,
please!



PLATINUM

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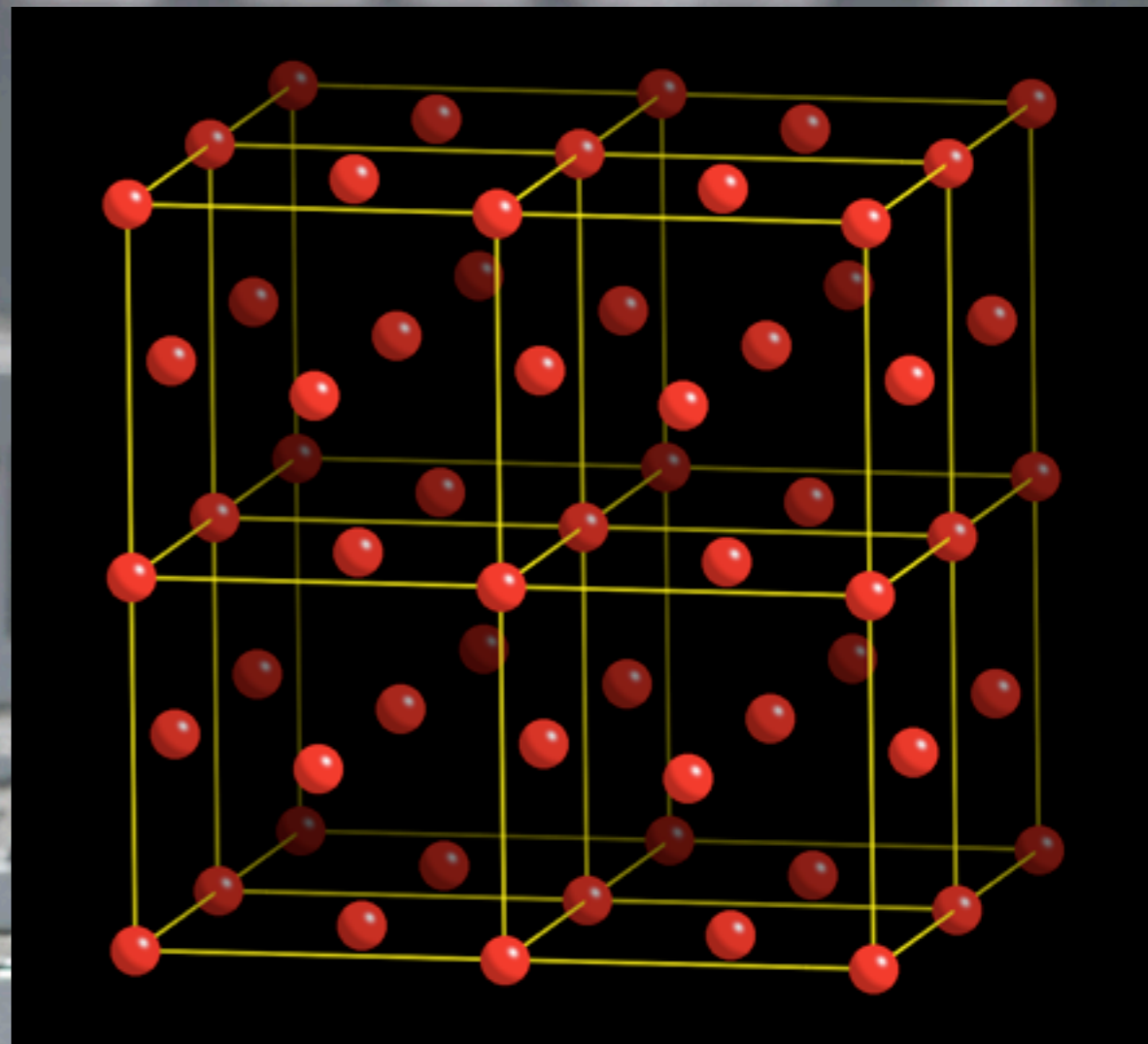
1 OUNCE TROY



PLAT

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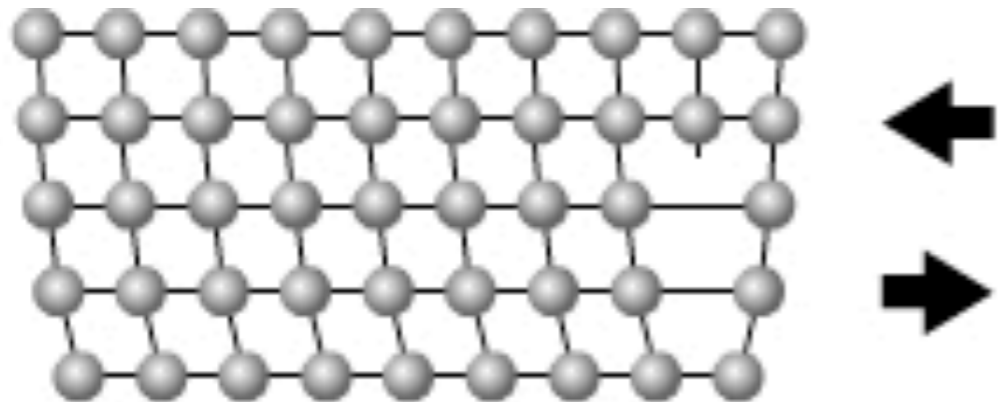
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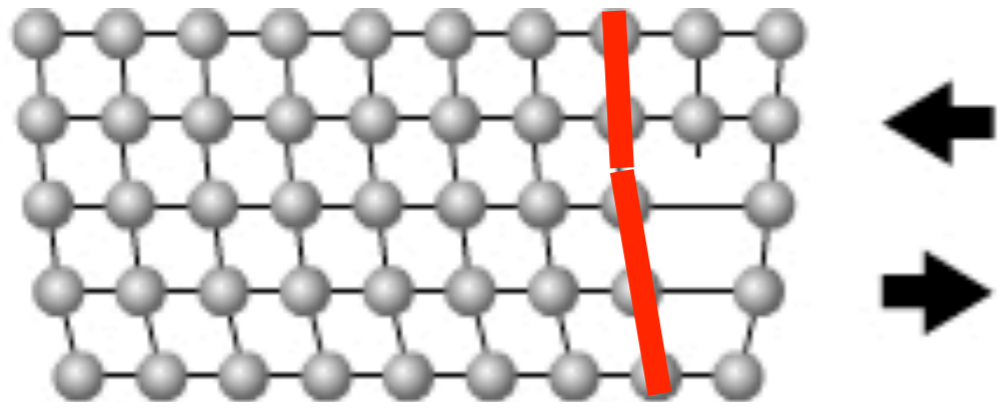


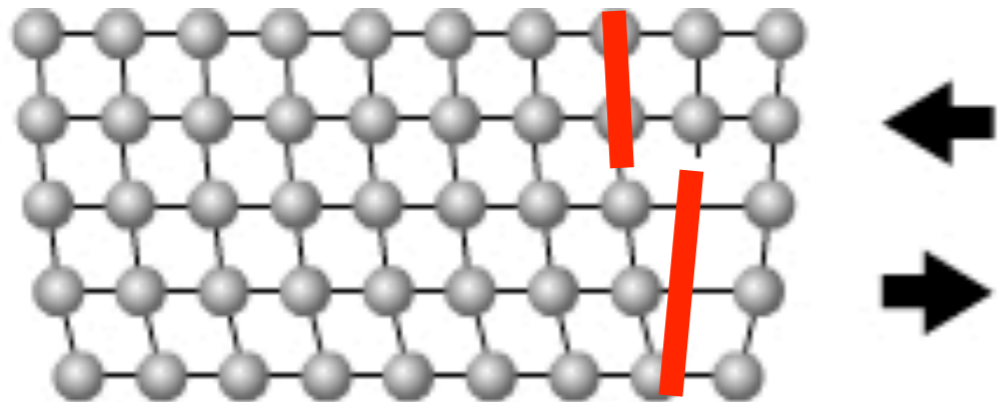


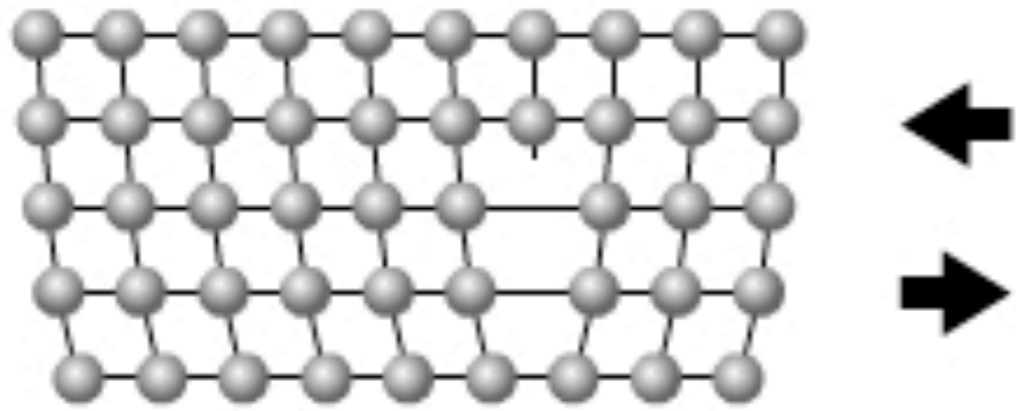
What makes a metal “soft”?

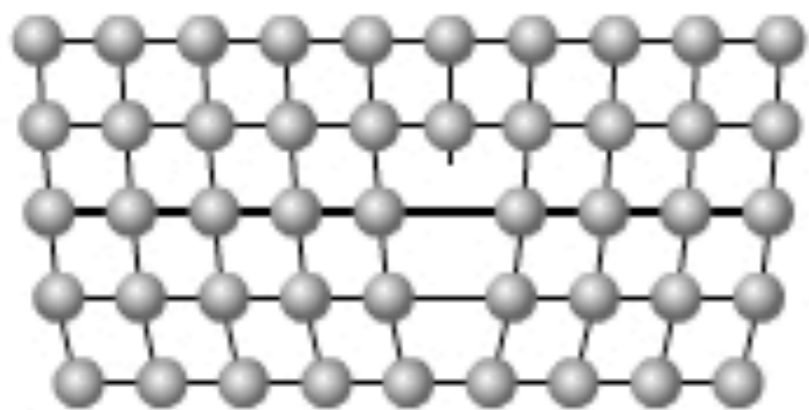


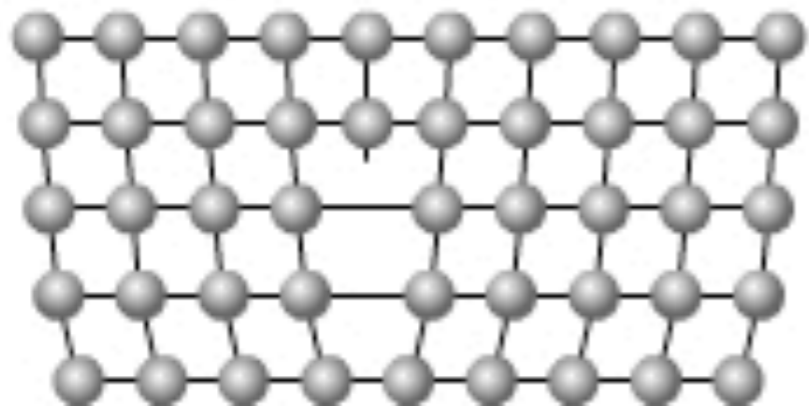


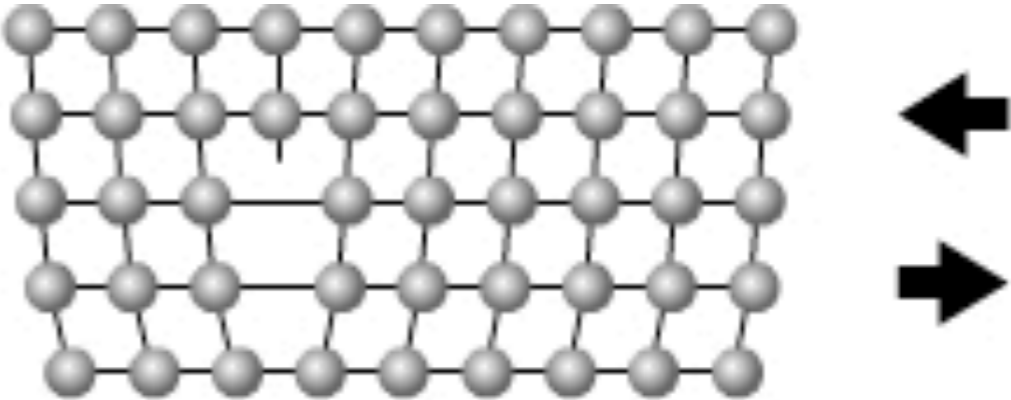


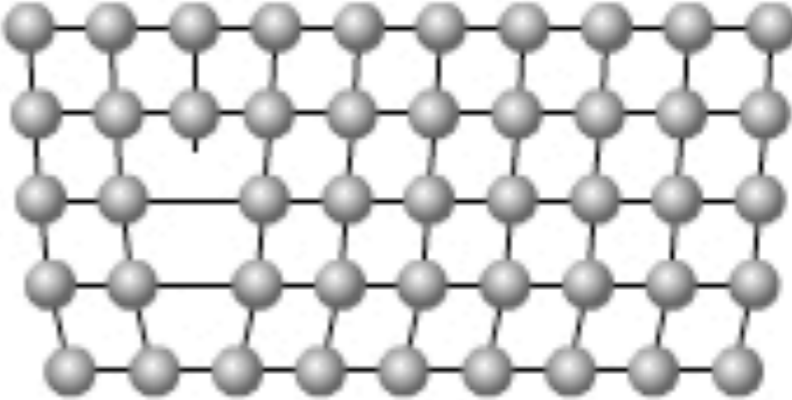


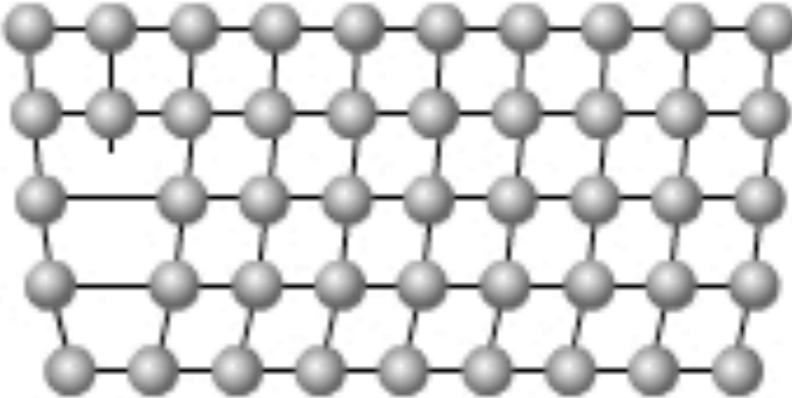


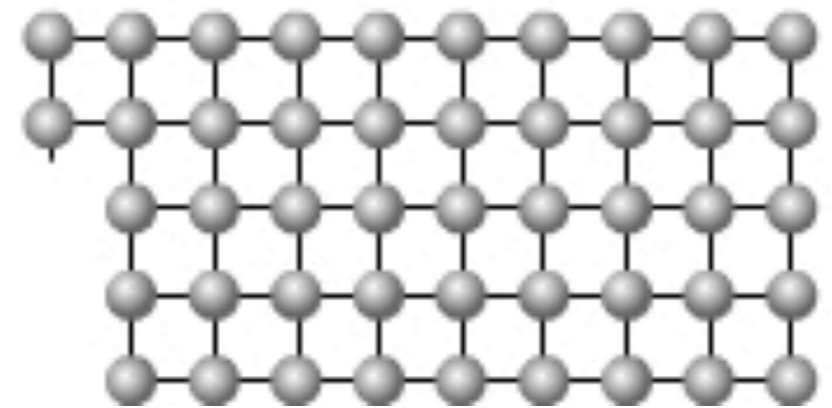




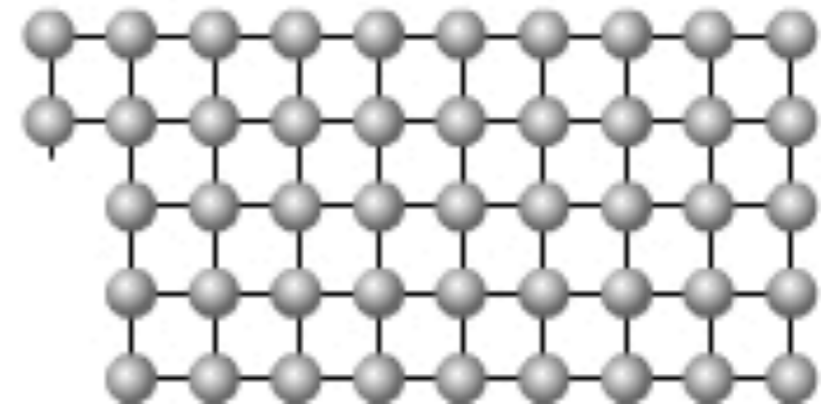




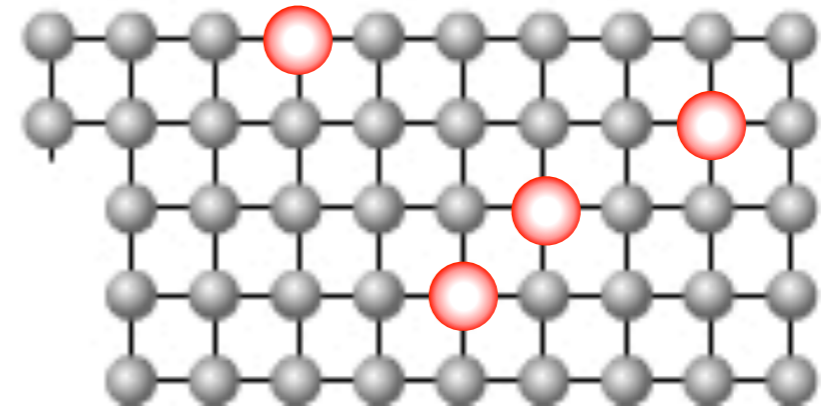




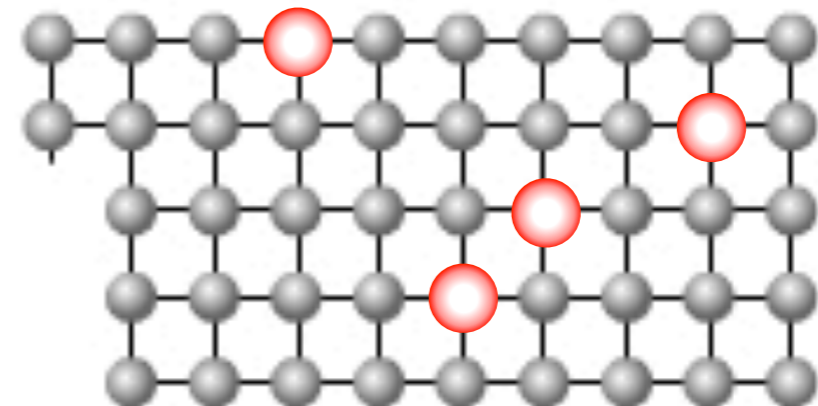
Dislocation motion leads to plastic deformation



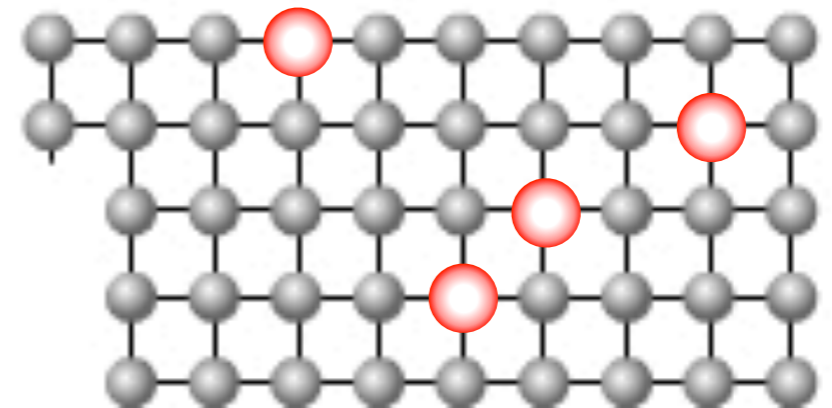
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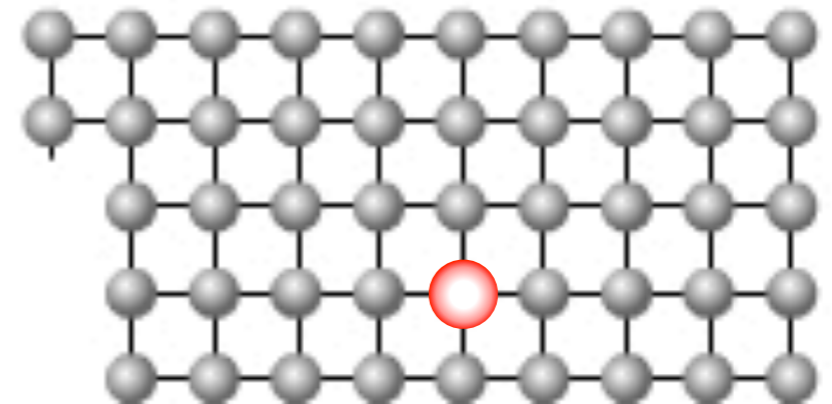
Dislocation motion leads to plastic deformation
Forming a solid solution inhibits dislocations



$\leq 5\%$

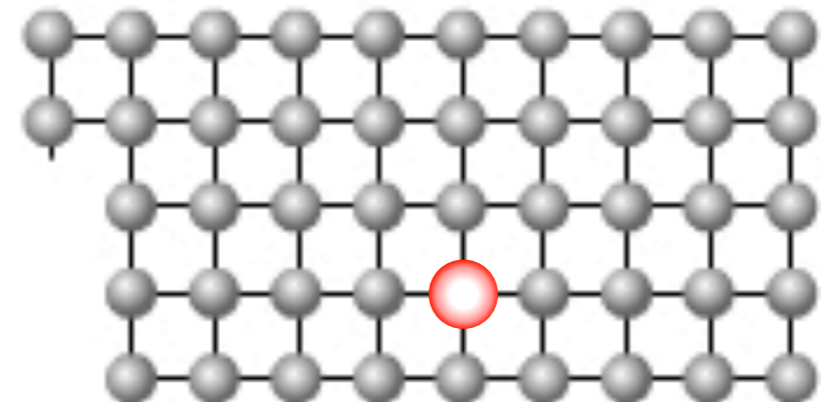


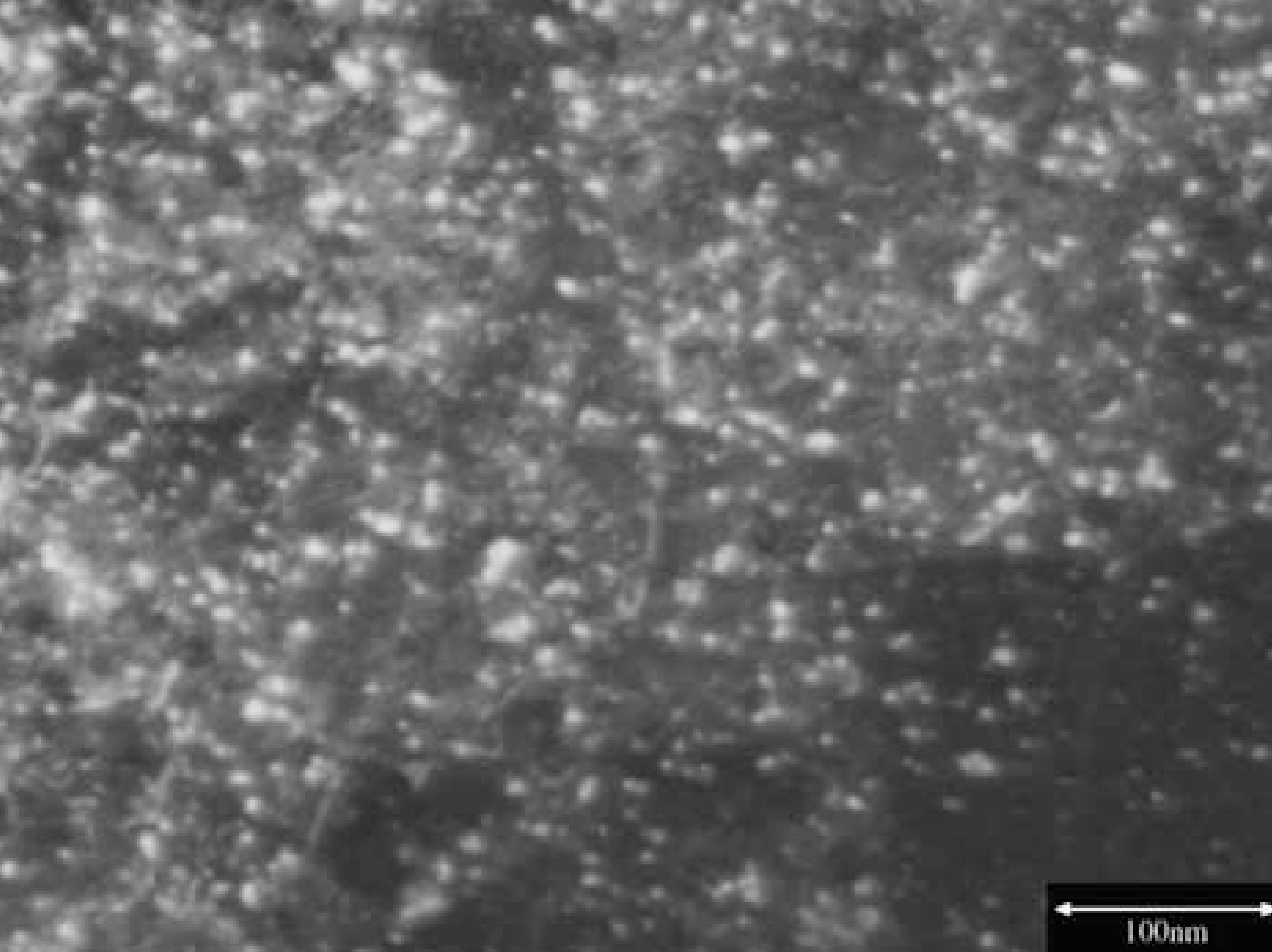
$\leq 5\%$



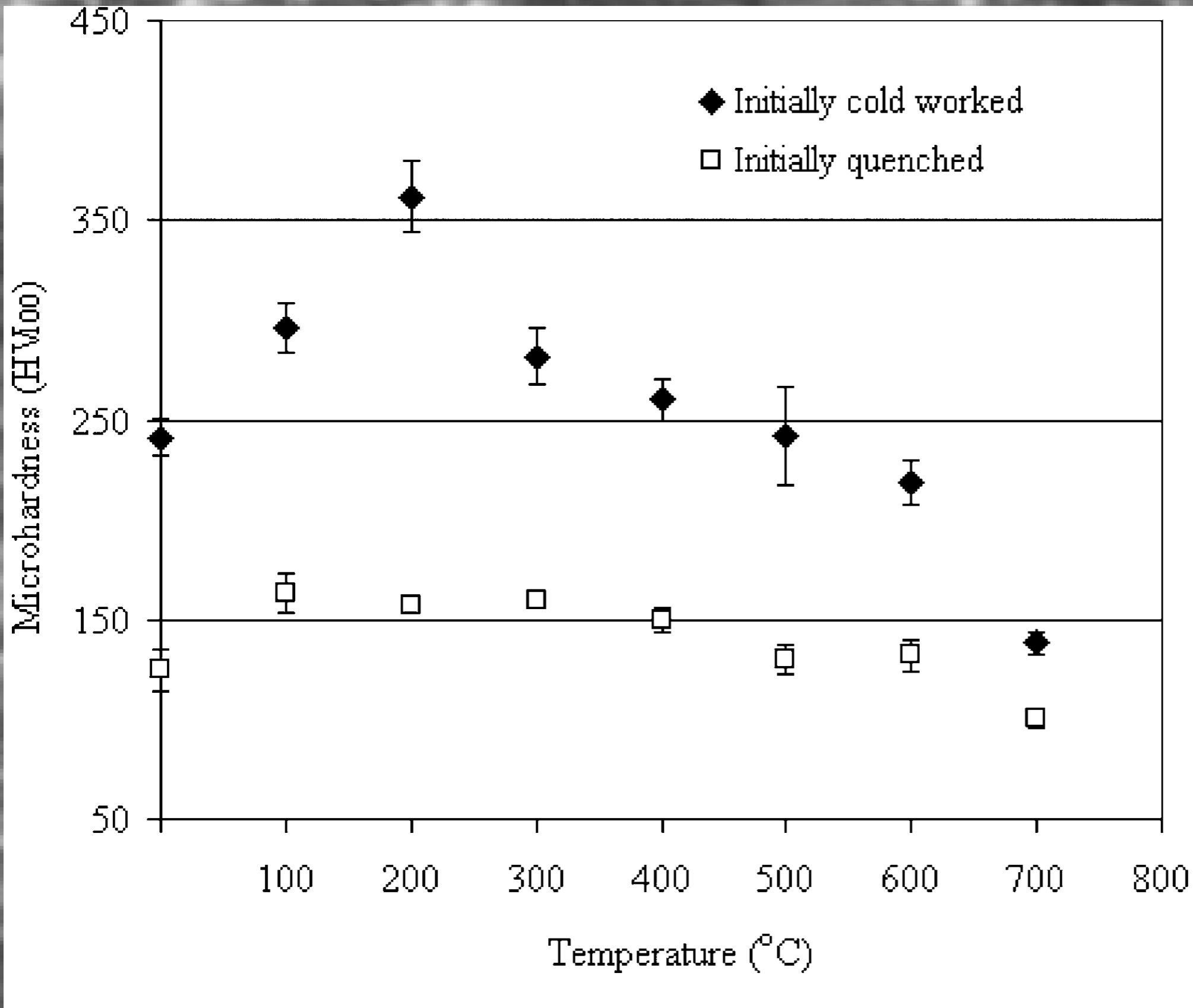
$\leq 5\%$

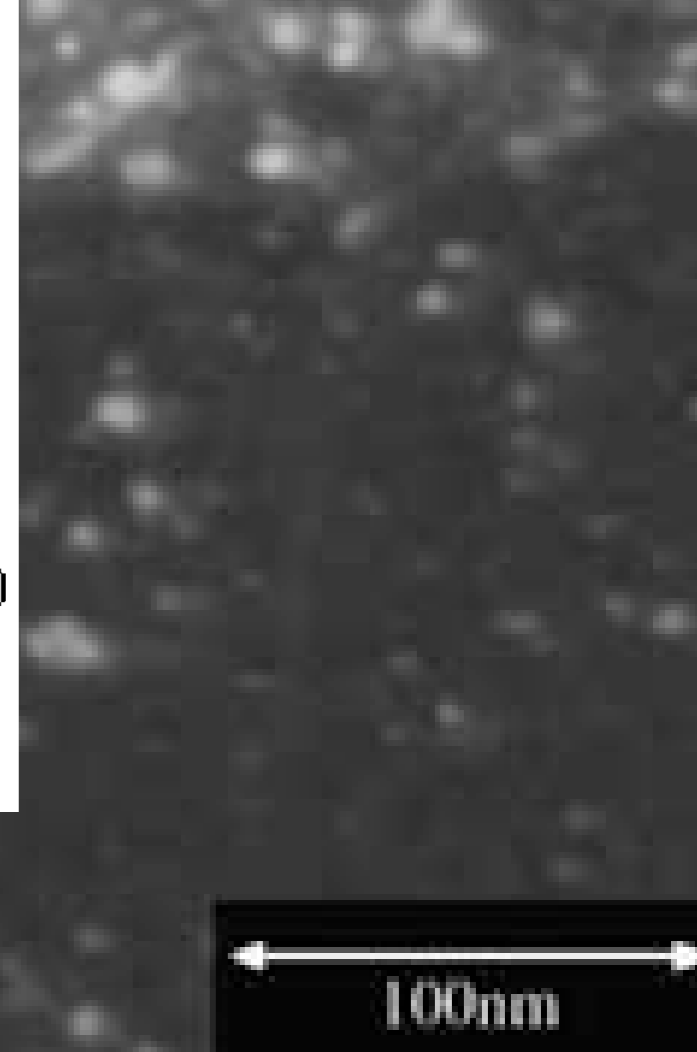
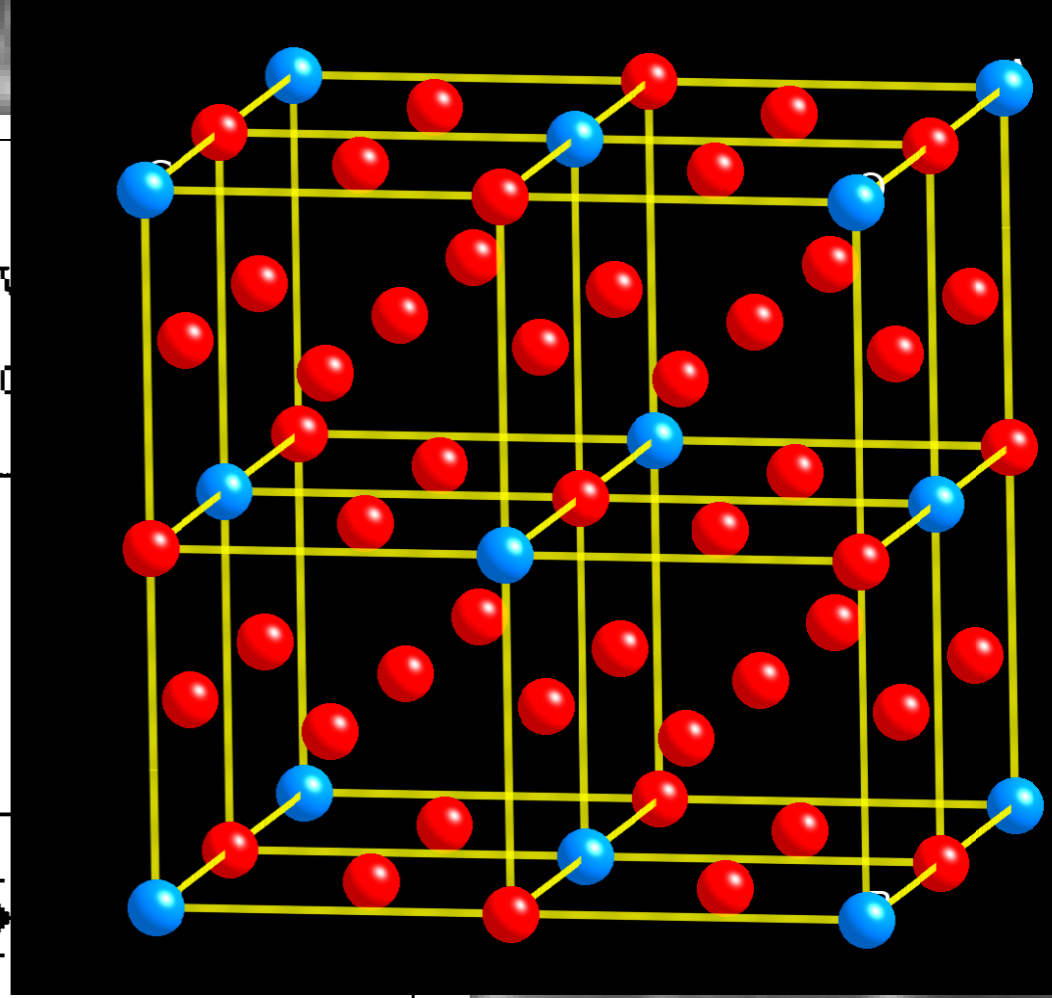
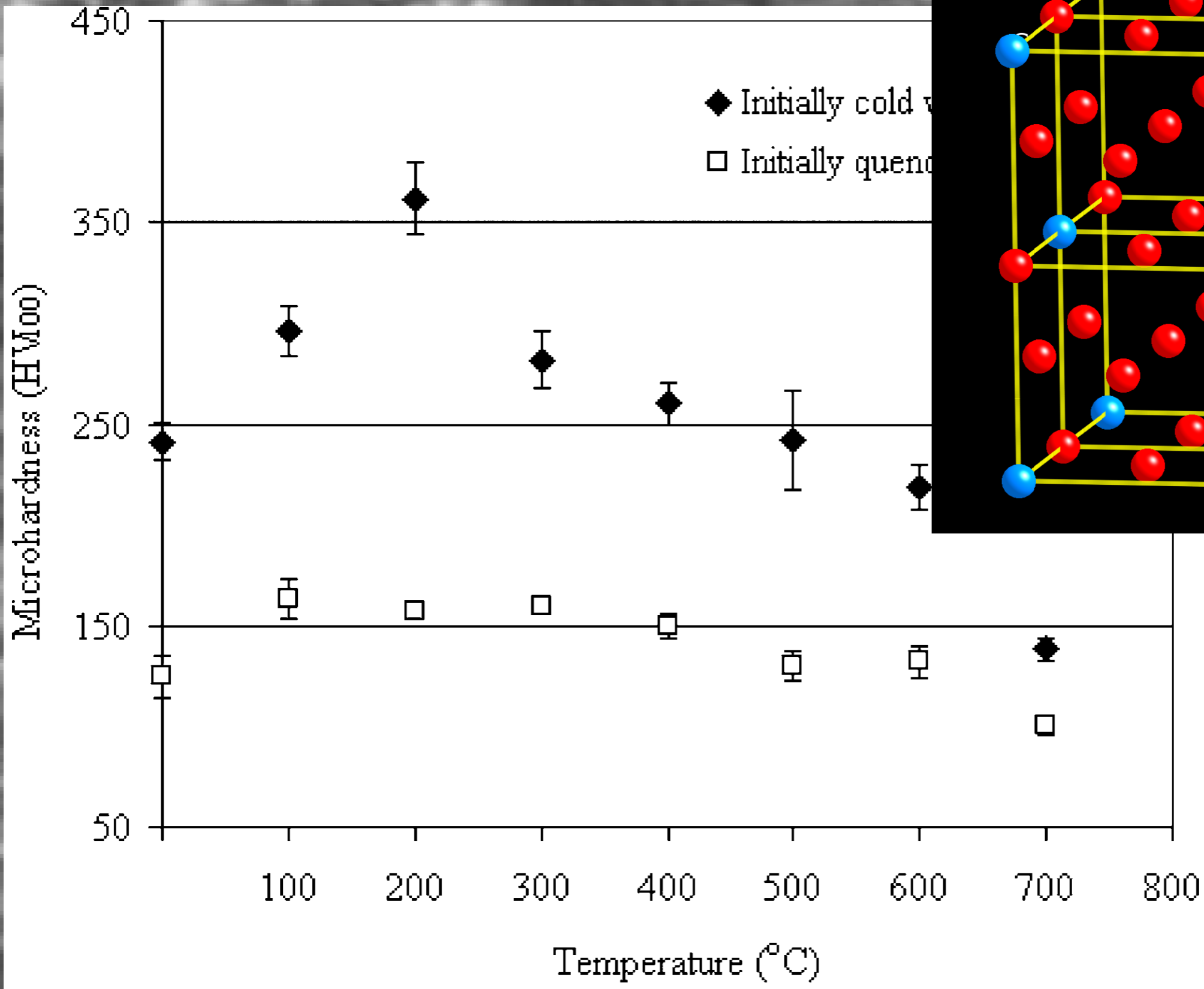
Solid solution hardening is ineffective jewelry alloys

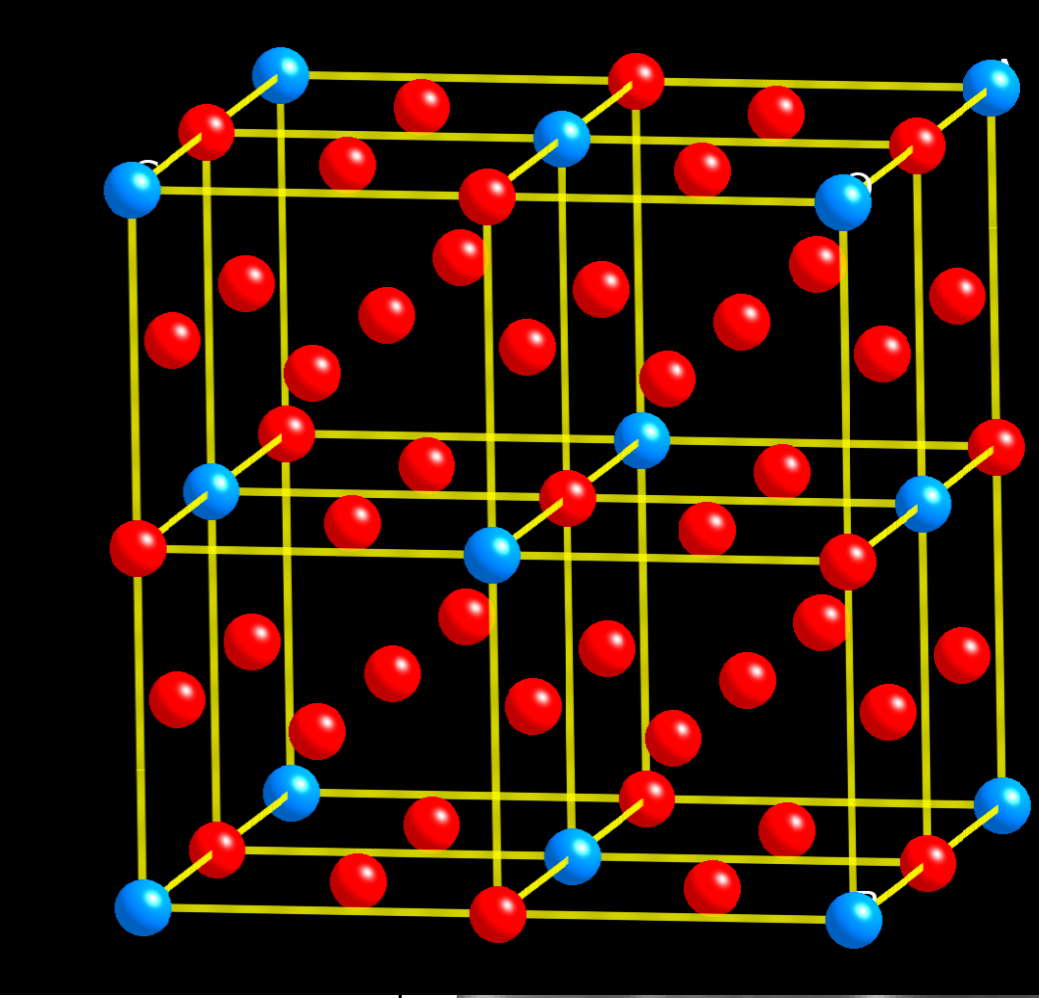
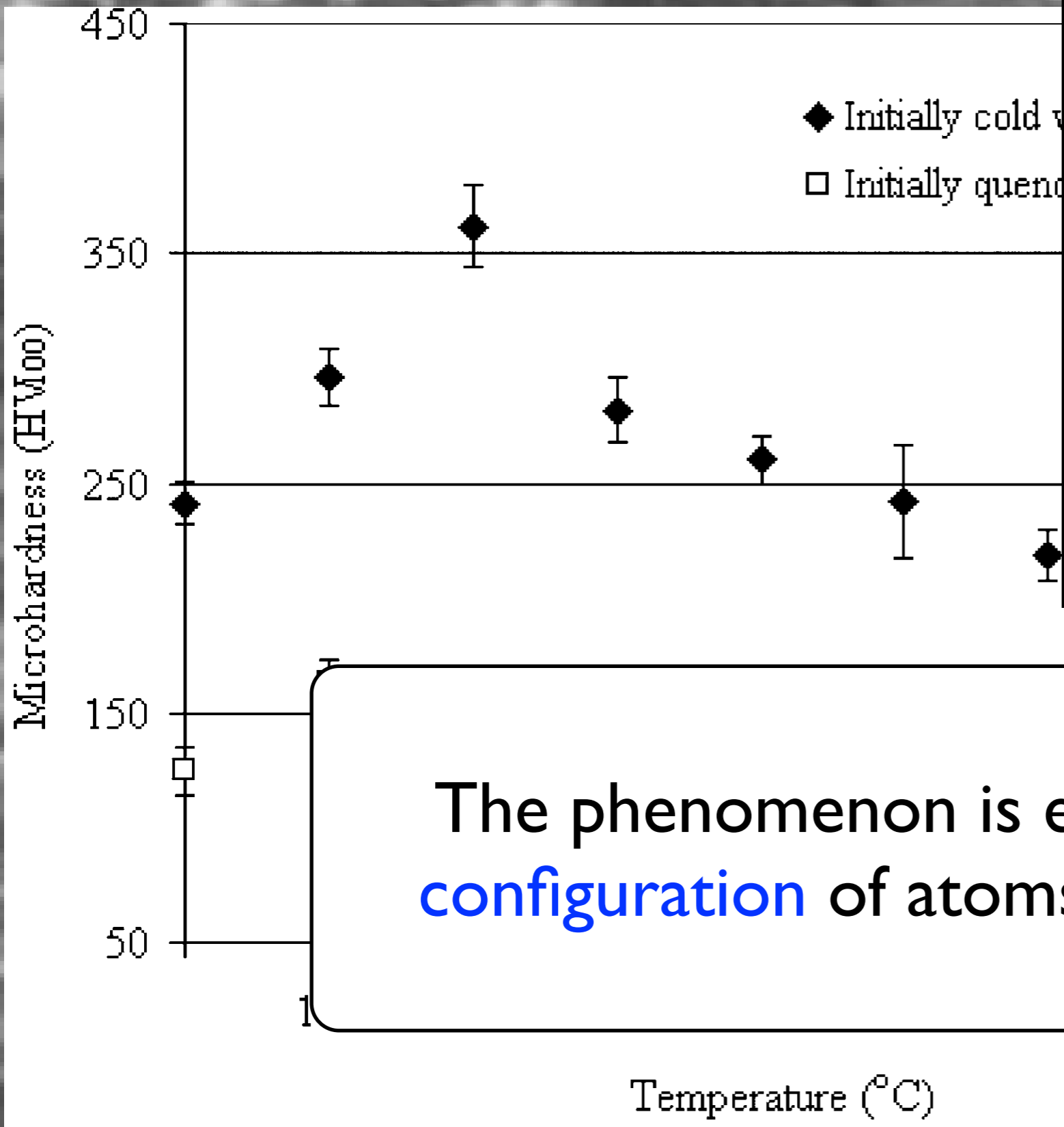




100nm

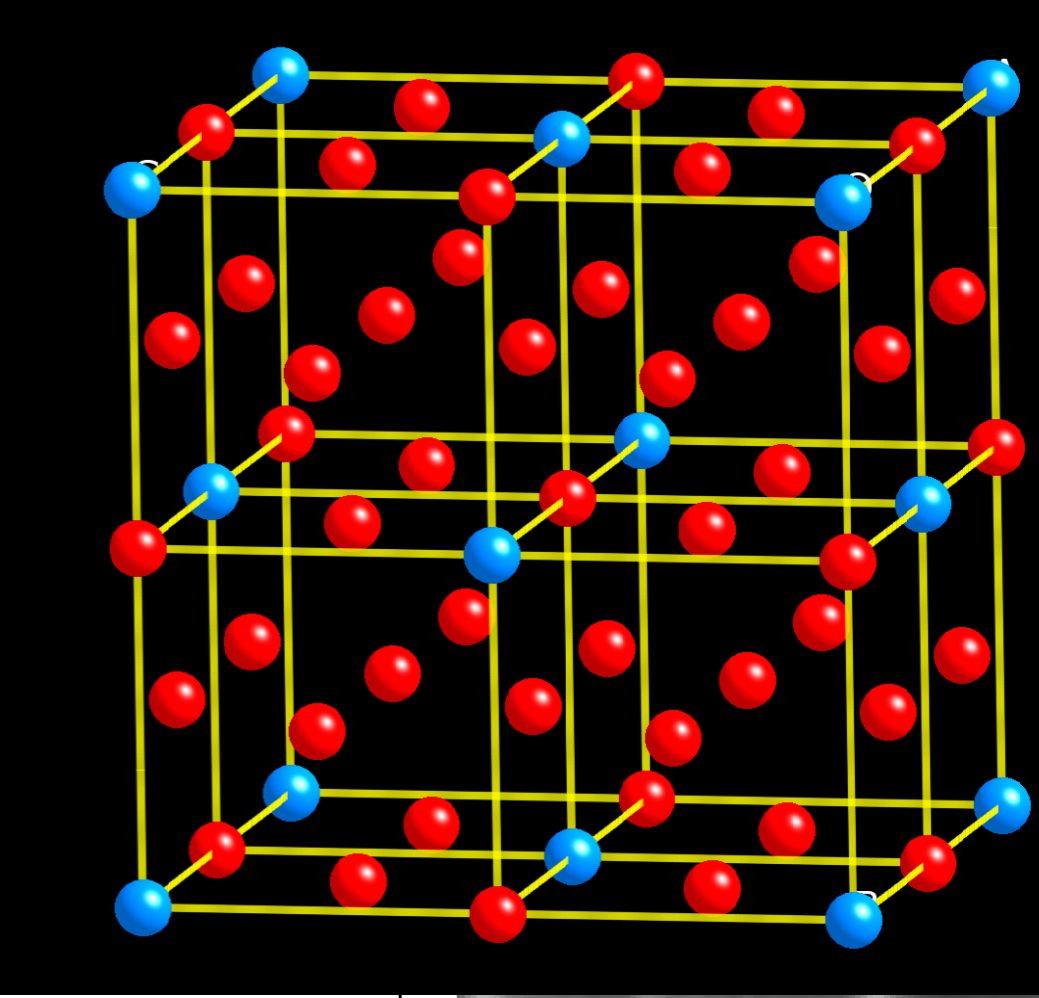
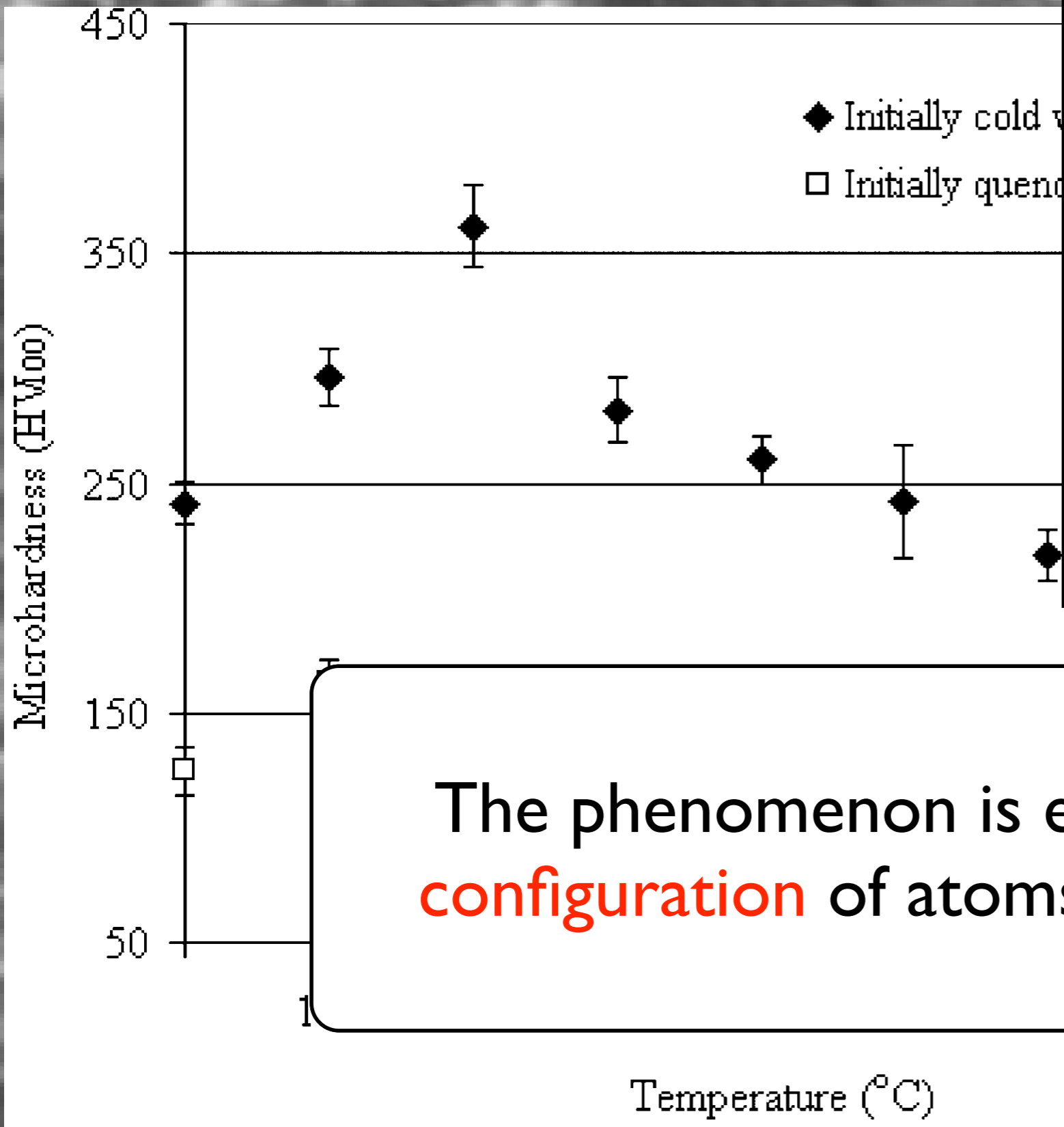






The phenomenon is entirely one of the **configuration** of atoms on a fixed lattice.





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Configurational problems

- Precipitate hardening (Pt-Cu, Al-Cu)
- New phases in metallic alloys (8:1)
- Vacancies in TiC, ScS, etc.
- Oxygen diffusion in fuel cell materials
- Hydrogen in storage materials
- Li in battery materials

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Can you think of other problems that are configurational in nature?

Other lattice problems?

Configurational problems

- Precipitate hardening (Pt-Cu, Al-Cu)

Interrupt me,
please!

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Other lattice problems?

If we had a fast
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I. Search for new phases (step through millions of candidate configurations)

If we had a fast lattice Hamiltonian...

1. Search for new phases (step through millions of candidate configurations)
2. Apply thermodynamic modeling (to identify phase transitions)

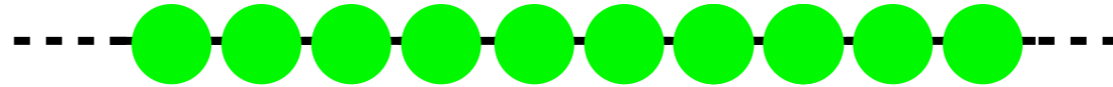
If we had a fast lattice Hamiltonian...

1. Search for new phases (step through millions of candidate configurations)
2. Apply thermodynamic modeling (to identify phase transitions)
3. Build a kinetic simulation (to model time evolution)

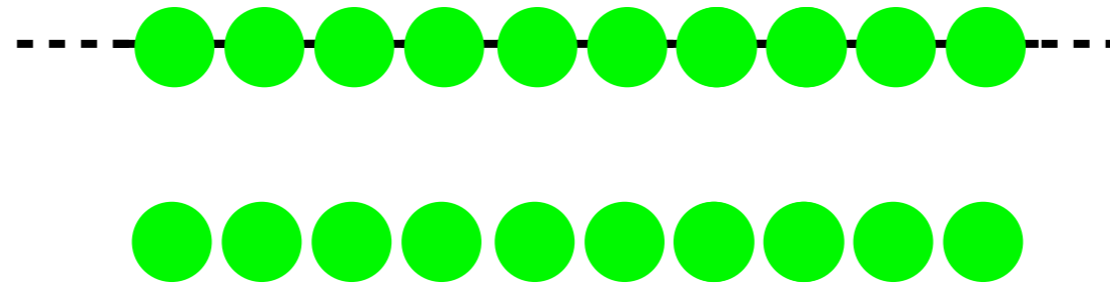
One-Dim. configurational problem



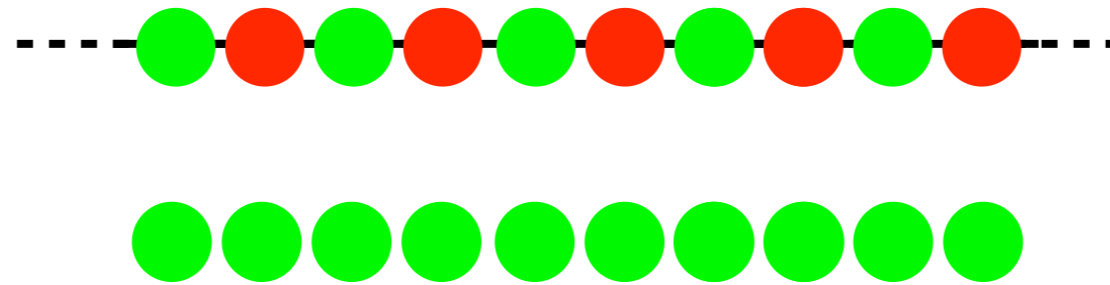
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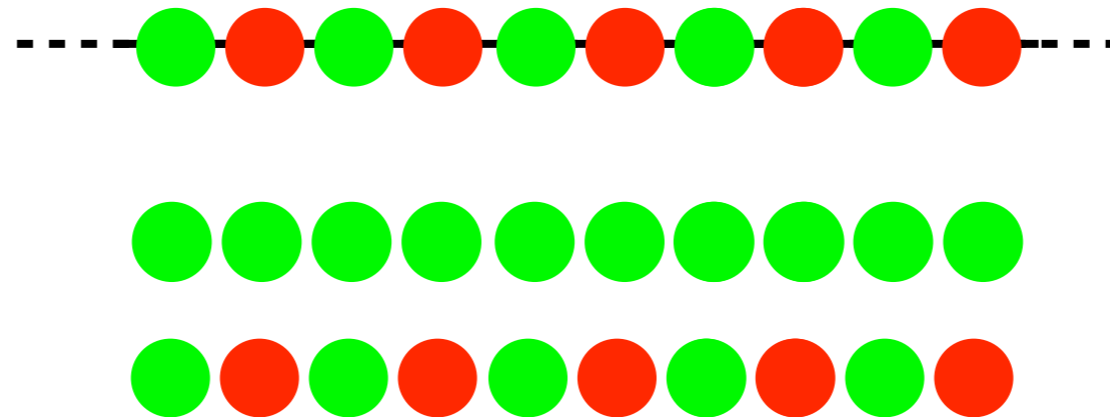
One-Dim. configurational problem



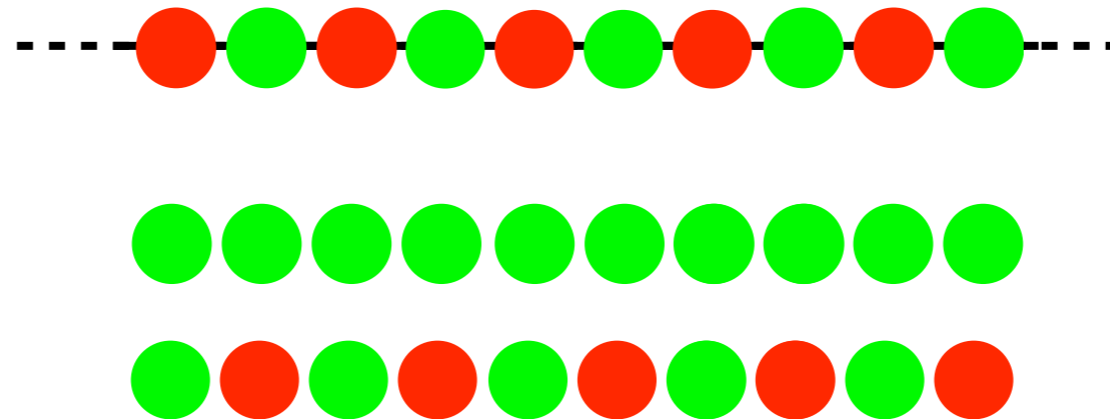
One-Dim. configurational problem



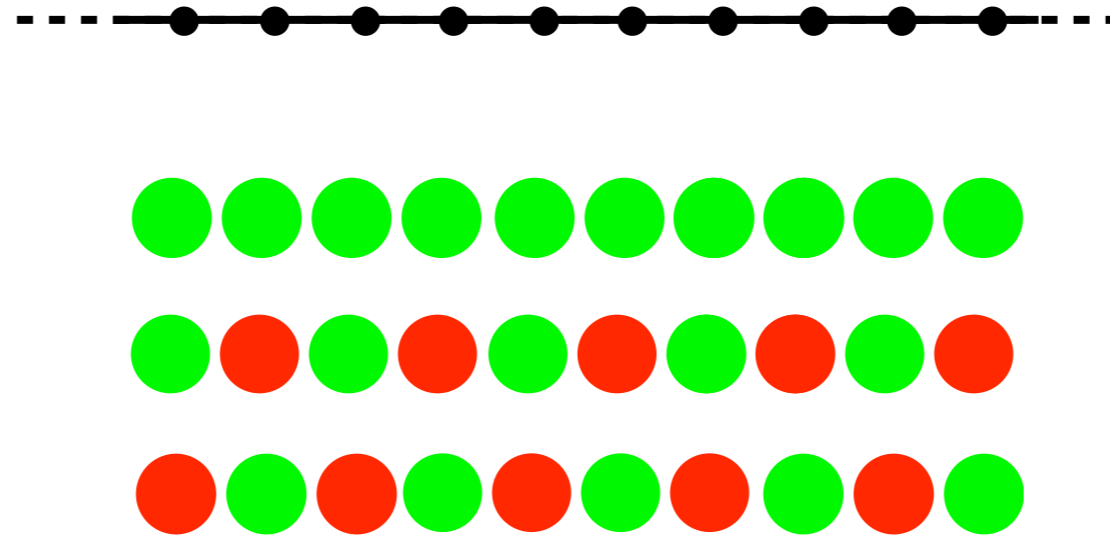
One-Dim. configurational problem



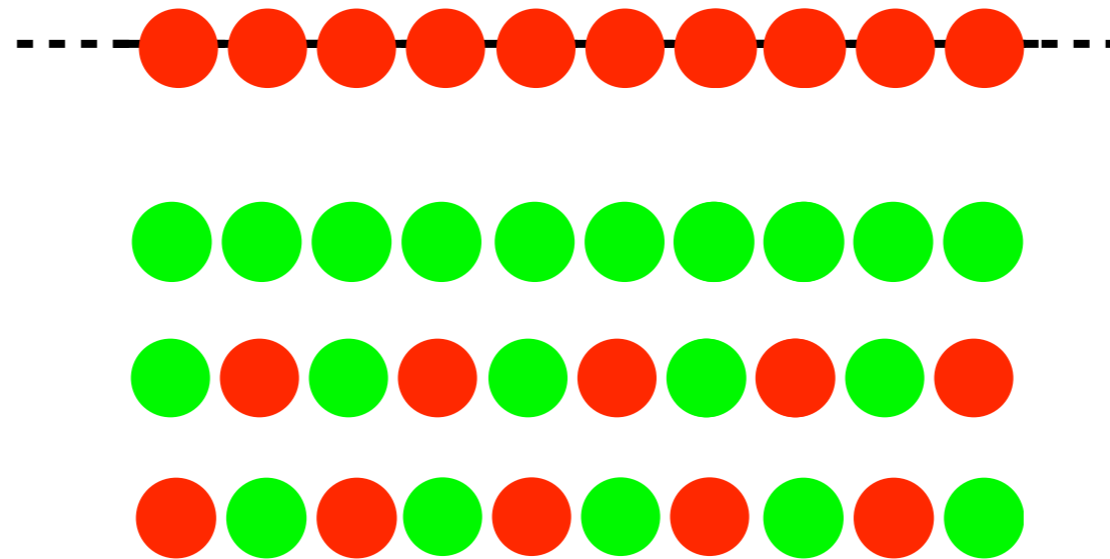
One-Dim. configurational problem



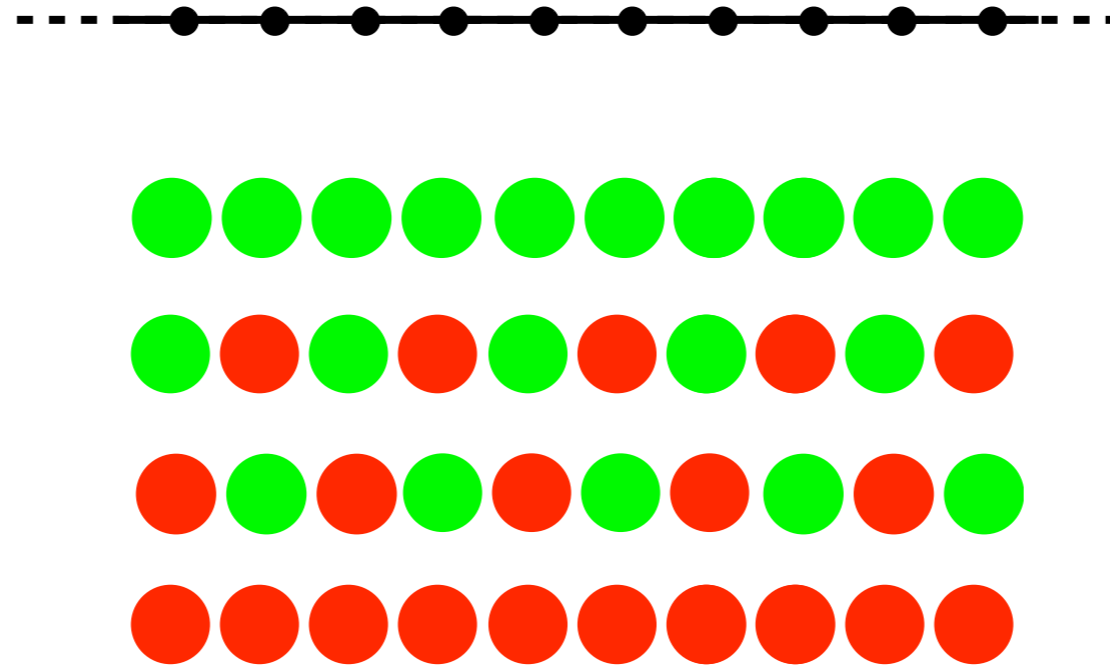
One-Dim. configurational problem



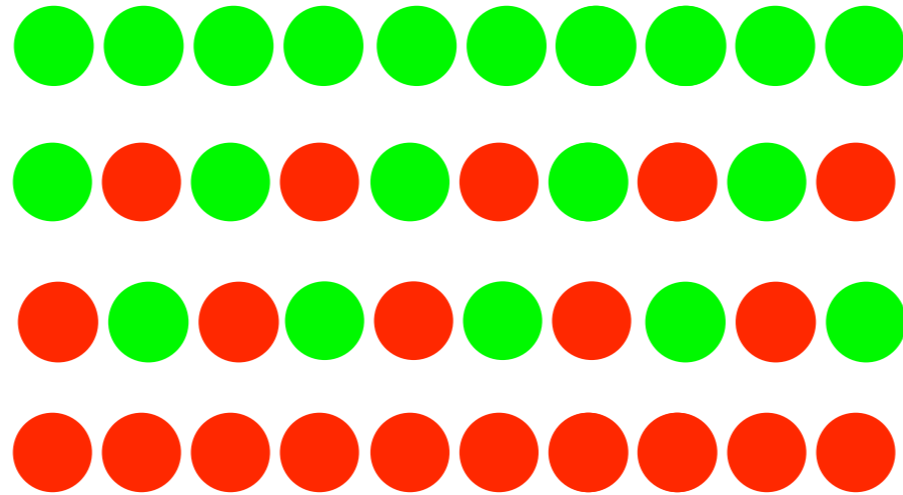
One-Dim. configurational problem



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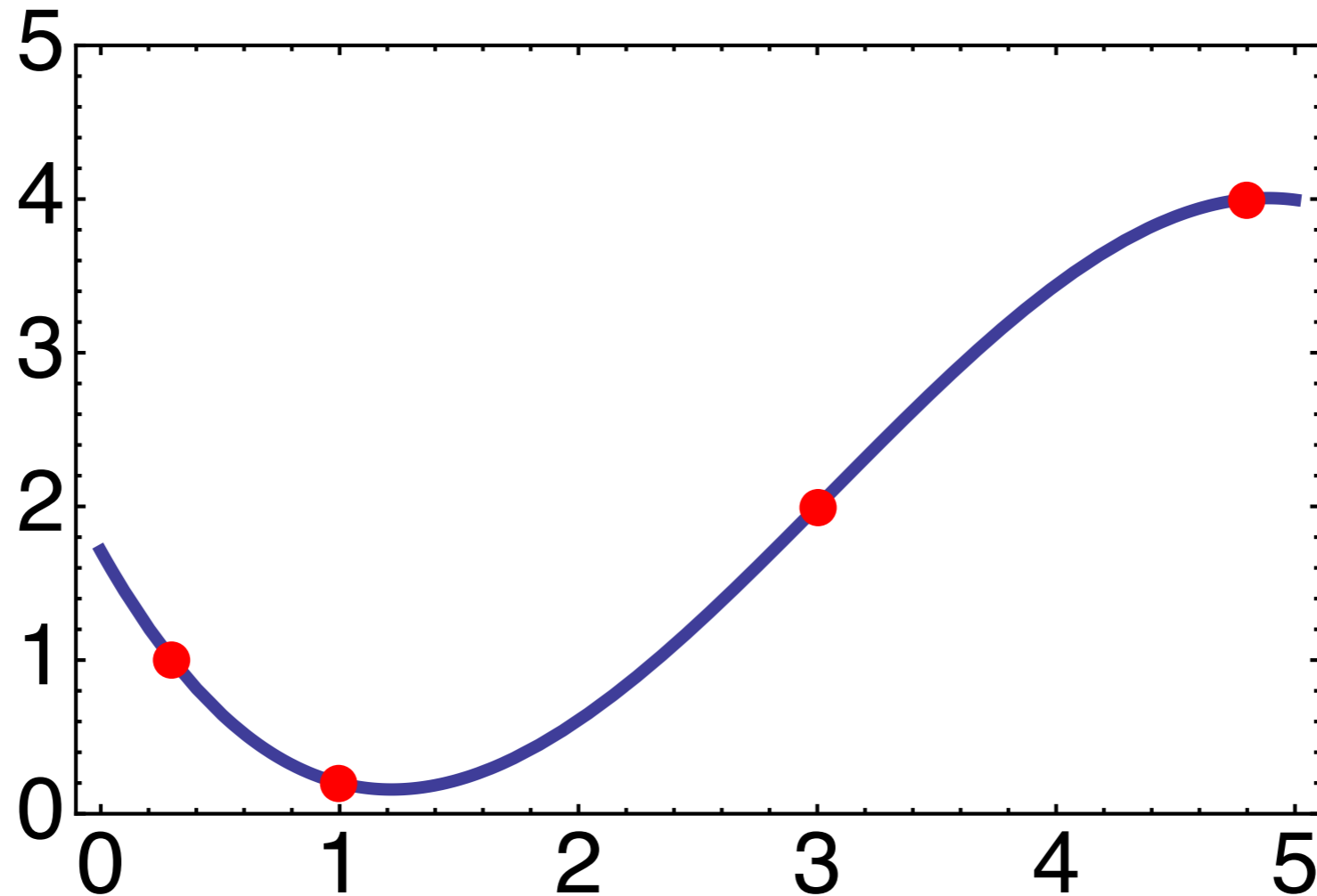
$$f(\text{●} \text{●}) = E_1$$

$$f(\text{●} \text{●}) = E_2$$

$$f(\text{●} \text{●}) = E_3$$

$$f(\text{●} \text{●}) = E_4$$

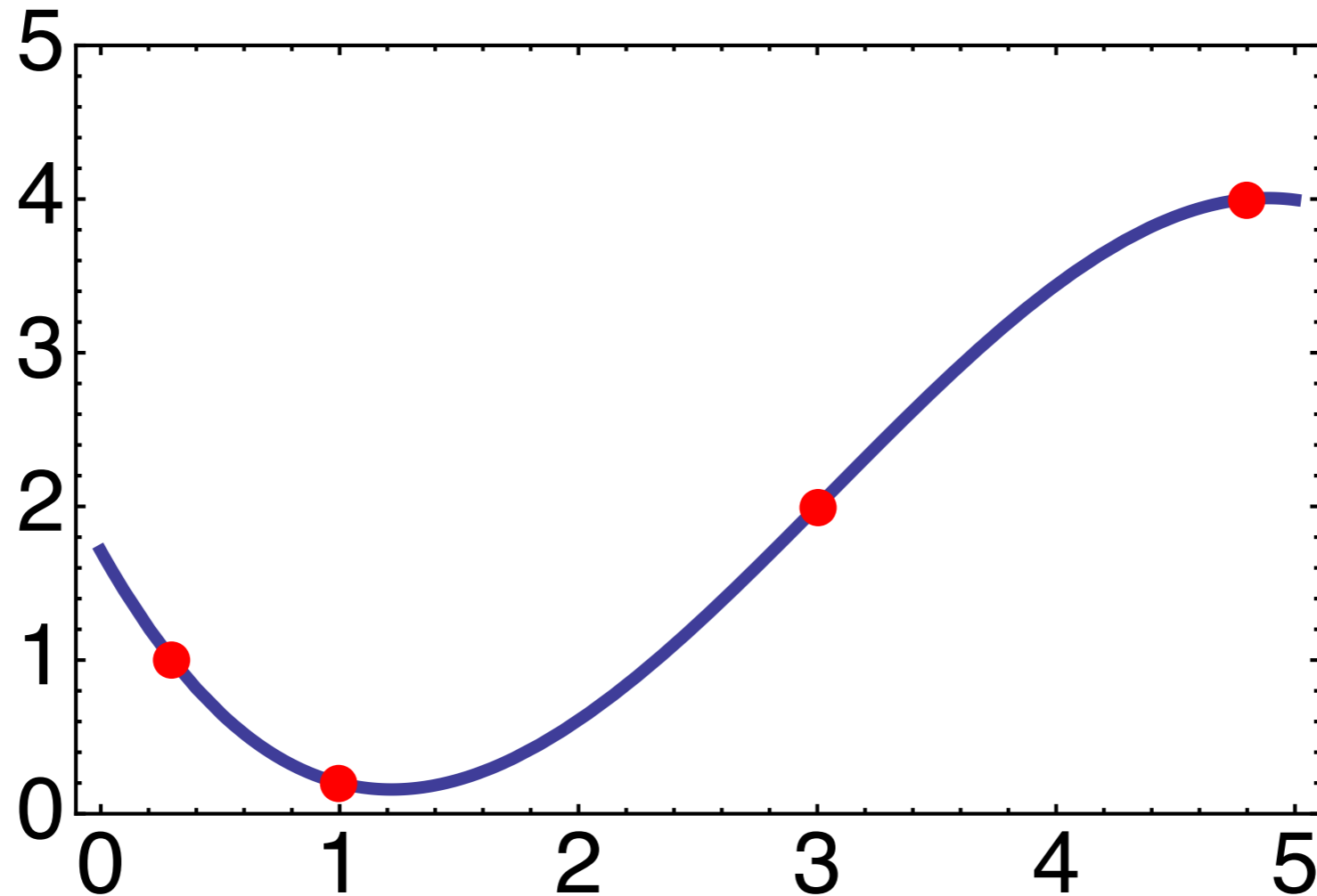
Expanding in a power series



$$f(x) = a_0 + a_1x + a_2x^2 + a_3x^3 + \dots$$

optimize $\{a_0, a_1, a_2, \dots\}$ to minimize error

Expanding in a power series

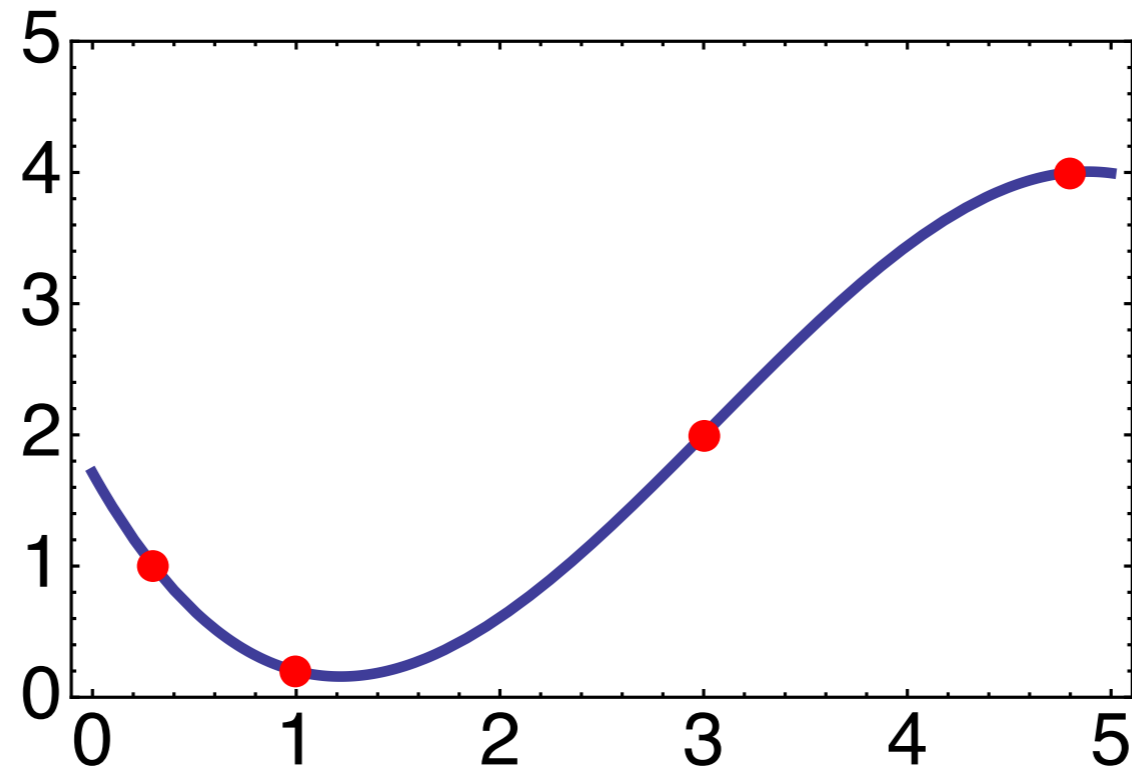


$$f(x) = a_0 + a_1x + a_2x^2 + a_3x^3 + \dots$$

optimize $\{a_0, a_1, a_2, \dots\}$ to minimize error

How do we find the coefficients?

Expanding in a power series



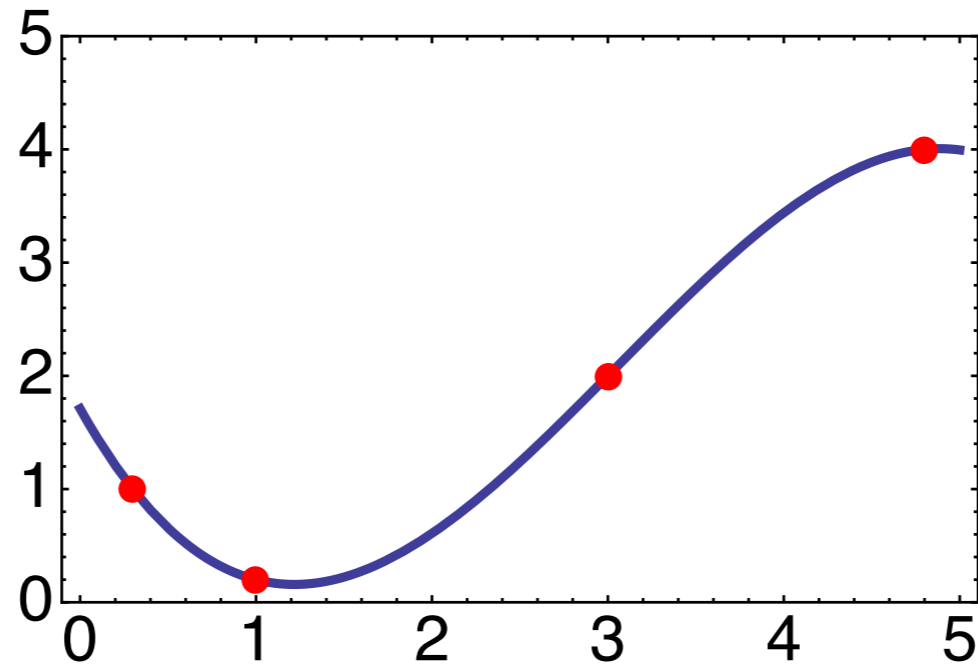
$$f(x_1) = a_0 + a_1 x_1 + a_2 x_1^2 + a_3 x_1^3$$

$$f(x_2) = a_0 + a_1 x_2 + a_2 x_2^2 + a_3 x_2^3$$

$$f(x_3) = a_0 + a_1 x_3 + a_2 x_3^2 + a_3 x_3^3$$

$$f(x_4) = a_0 + a_1 x_4 + a_2 x_4^2 + a_3 x_4^3$$

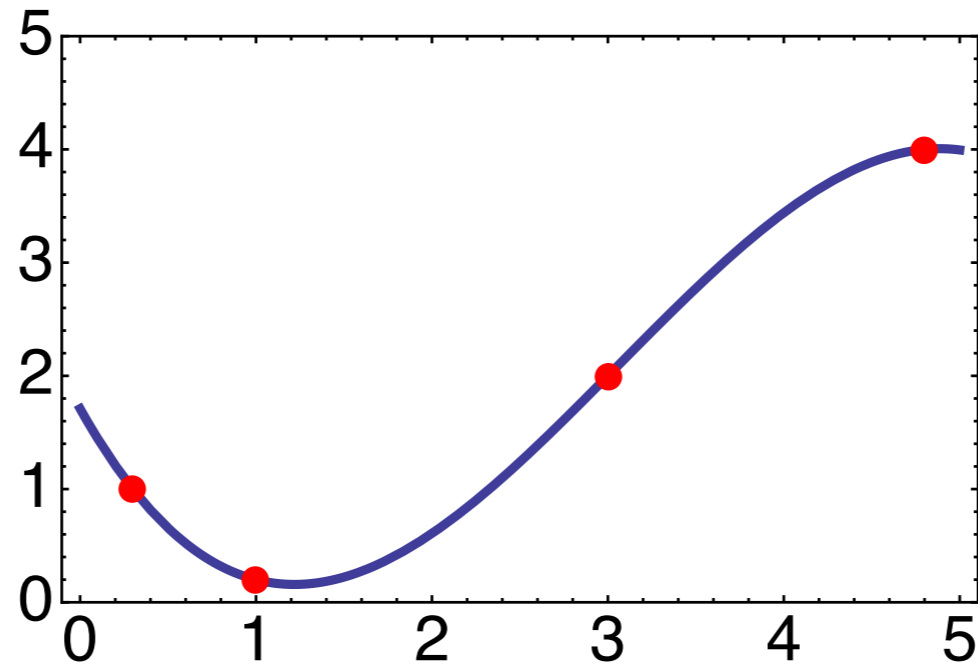
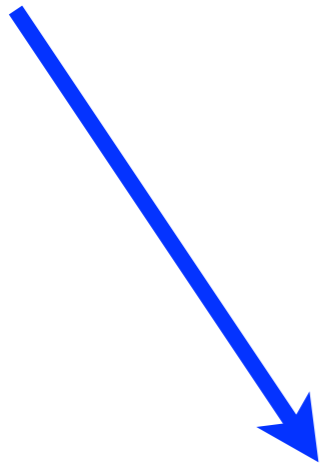
Expanding in a power series



$$\begin{pmatrix} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \end{pmatrix} = \begin{pmatrix} 1 & x_1 & x_1^2 & x_1^3 \\ 1 & x_2 & x_2^2 & x_2^3 \\ 1 & x_3 & x_3^2 & x_3^3 \\ 1 & x_4 & x_4^2 & x_4^3 \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix}$$

Expanding in a power series

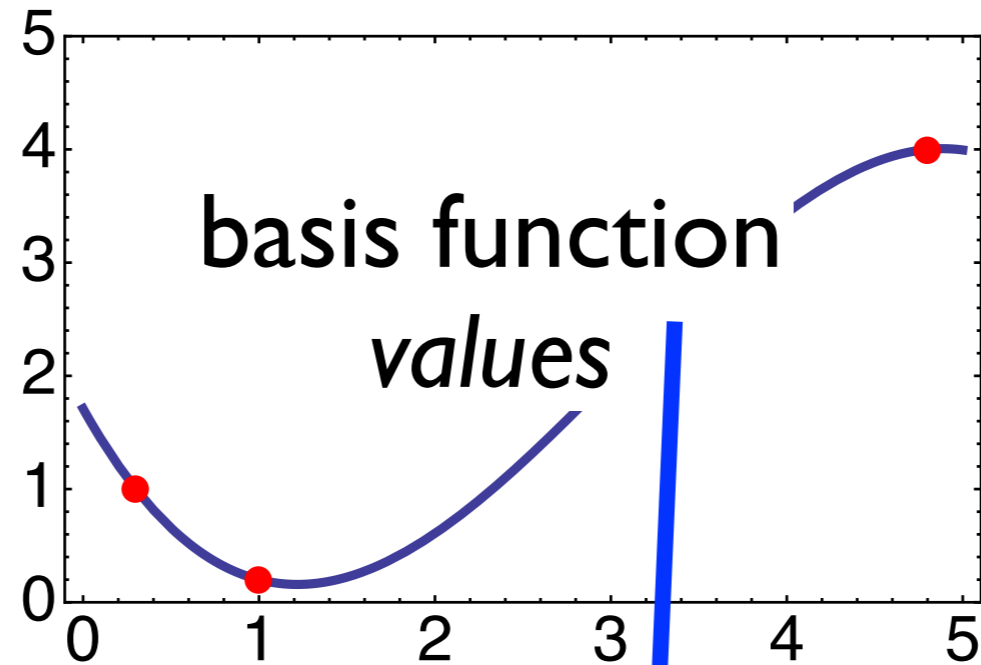
Data



$$\begin{pmatrix} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \end{pmatrix} = \begin{pmatrix} 1 & x_1 & x_1^2 & x_1^3 \\ 1 & x_2 & x_2^2 & x_2^3 \\ 1 & x_3 & x_3^2 & x_3^3 \\ 1 & x_4 & x_4^2 & x_4^3 \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix}$$

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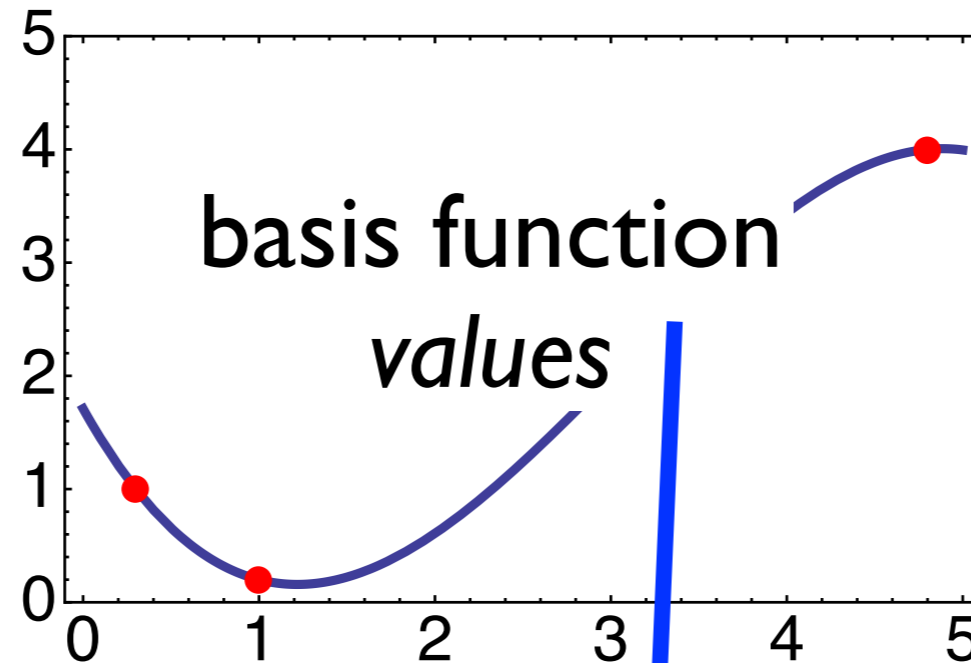
Data



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Expanding in a power series

Data



coefficients of the model

$$\begin{pmatrix} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \end{pmatrix} = \begin{pmatrix} 1 & x_1 & x_1^2 & x_1^3 \\ 1 & x_2 & x_2^2 & x_2^3 \\ 1 & x_3 & x_3^2 & x_3^3 \\ 1 & x_4 & x_4^2 & x_4^3 \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix}$$

Expanding configurational functions

$$f(x) = a_0 + a_1x + a_2x^2 + a_3x^3 + \dots$$

$$f(\text{O}\text{O}\text{O}\text{O}\text{O}) = \frac{J_0}{N} \sum_i^{\text{O}\text{O}\text{O}\text{O}} 1 + J_1 \sum_i^{\text{O}\text{O}\text{O}\text{O}} \text{O}_i + J_2 \sum_i^{\text{O}\text{O}\text{O}\text{O}} \text{O}_i \text{O}_{i+1} + J_3 \sum_i^{\text{O}\text{O}\text{O}\text{O}} \text{O}_i \text{O}_{i+1} \text{O}_{i+2} + \dots$$

$$f(\text{O}\text{O}\text{O}\text{O}\text{O}) = J_0 + J_1 \bar{\Pi}^{\circ} + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

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$$f(x) = a_0 + a_1x + a_2x^2 + a_3x^3 + \dots$$

$$f(\text{○○○○○}) = \frac{J_0}{N} \sum_i^{\text{○○○○}} 1 + J_1 \sum_i^{\text{○○○○}} \text{○}_i + J_2 \sum_i^{\text{○○○○}} \text{○}_i \text{○}_{i+1} + J_3 \sum_i^{\text{○○○○}} \text{○}_i \text{○}_{i+1} \text{○}_{i+2} + \dots$$

$$f(\text{○○○○○}) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\text{○○○○○}) = J_0 \text{○} + J_1 \text{●} + J_2 \text{●●} + J_3 \text{●●●} + \dots$$

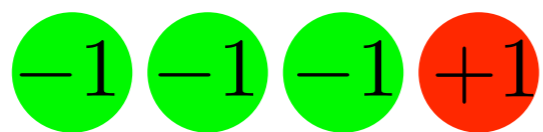
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$$f(\text{○○○○○}) = \frac{J_0}{N} \sum_i^{\text{○○○○}} 1 + J_1 \sum_i^{\text{○○○○}} \text{○}_i + J_2 \sum_i^{\text{○○○○}} \text{○}_i \text{○}_{i+1} + J_3 \sum_i^{\text{○○○○}} \text{○}_i \text{○}_{i+1} \text{○}_{i+2} + \dots$$

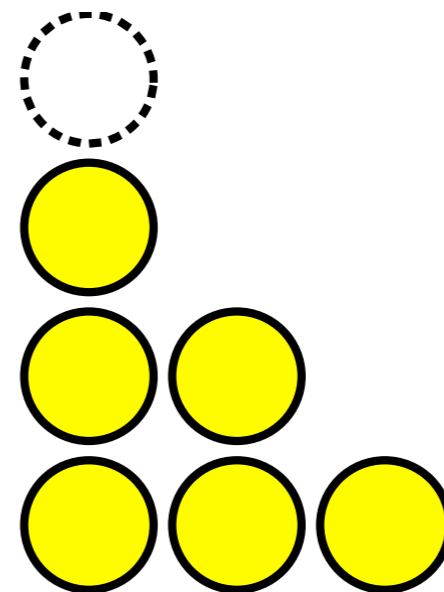
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These are the
 “effective cluster interactions”
 (unknown expansion coefficients)

$$\{J_0, J_1, J_2, J_3, \dots\}$$

These are the “clusters” or
 “figures” (basis functions)

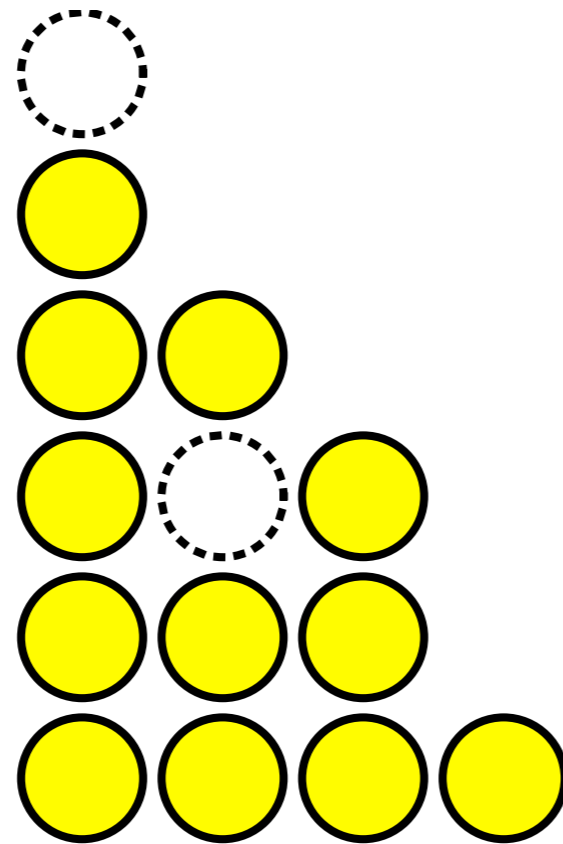


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

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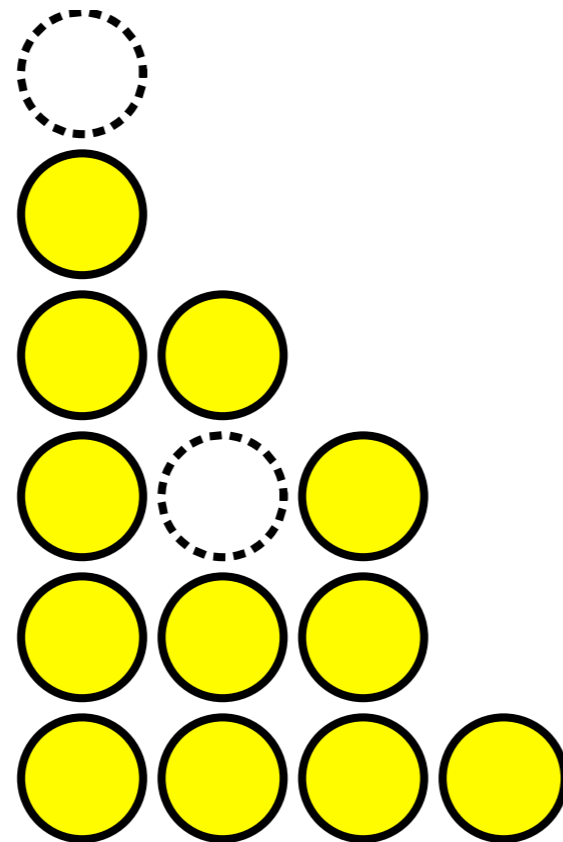
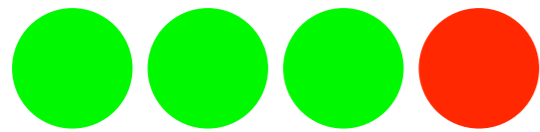


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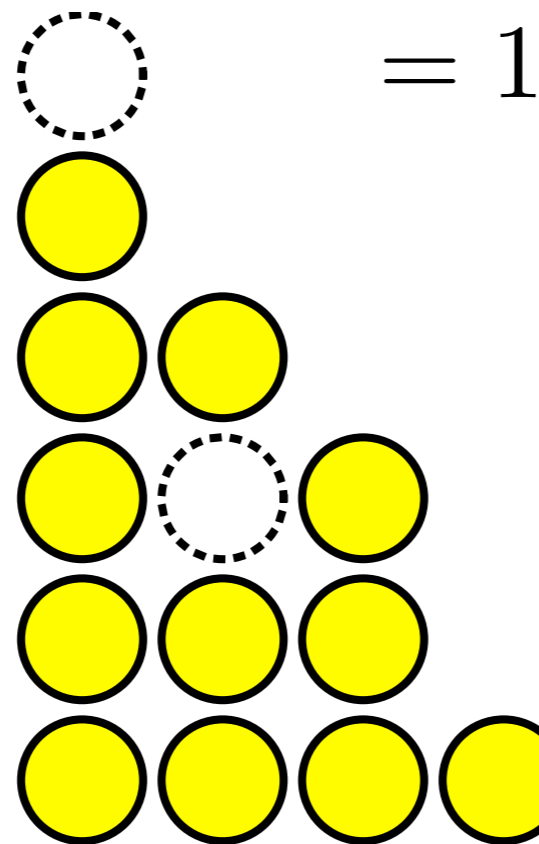
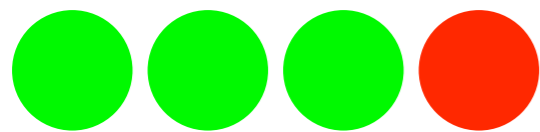


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$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed} + J_1 \text{yellow} + J_2 \text{yellow yellow} + J_3 \text{yellow yellow yellow} + \dots$$

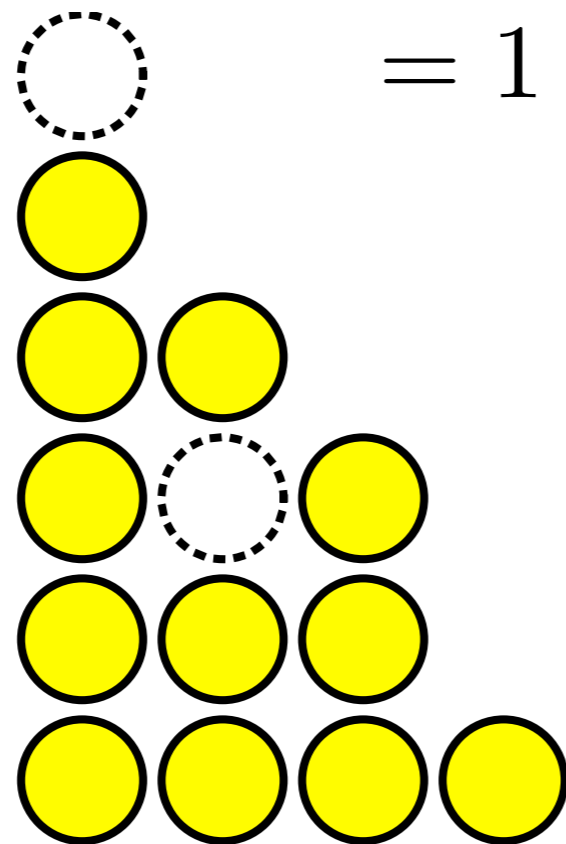
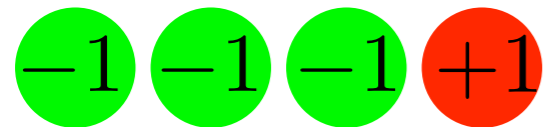


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed circle} + J_1 \text{yellow circle} + J_2 \text{yellow circle pair} + J_3 \text{yellow circle triplet} + \dots$$

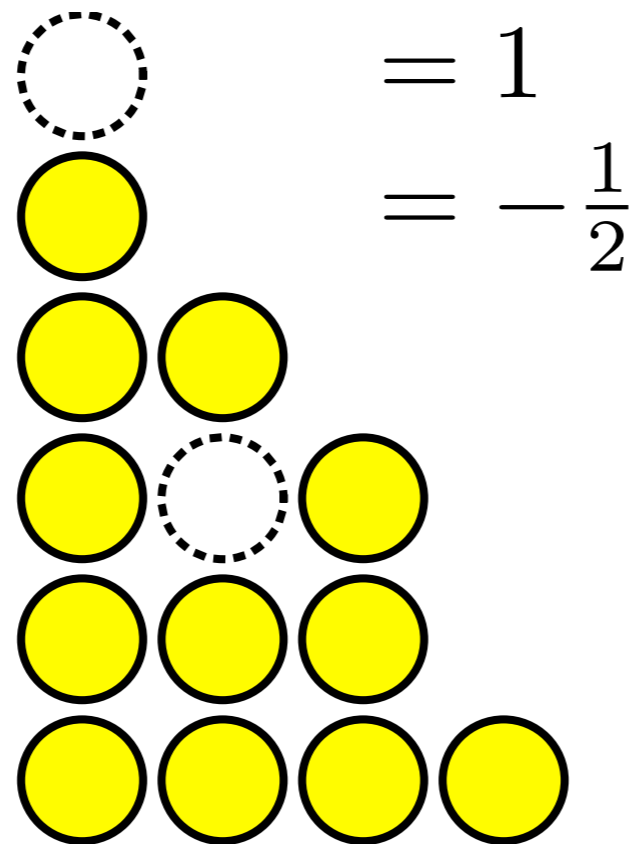
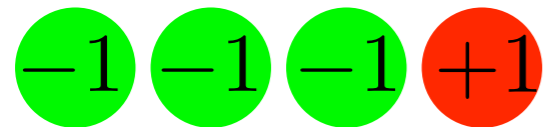


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed} + J_1 \text{yellow} + J_2 \text{yellow yellow} + J_3 \text{yellow yellow yellow} + \dots$$

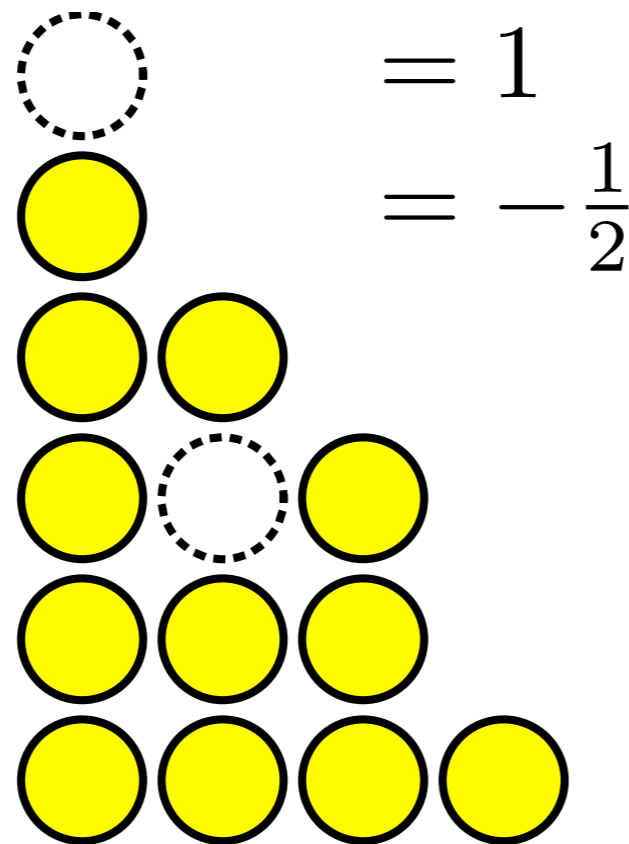


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{⊖} + J_1 \text{●} + J_2 \text{●●} + J_3 \text{●●●} + \dots$$

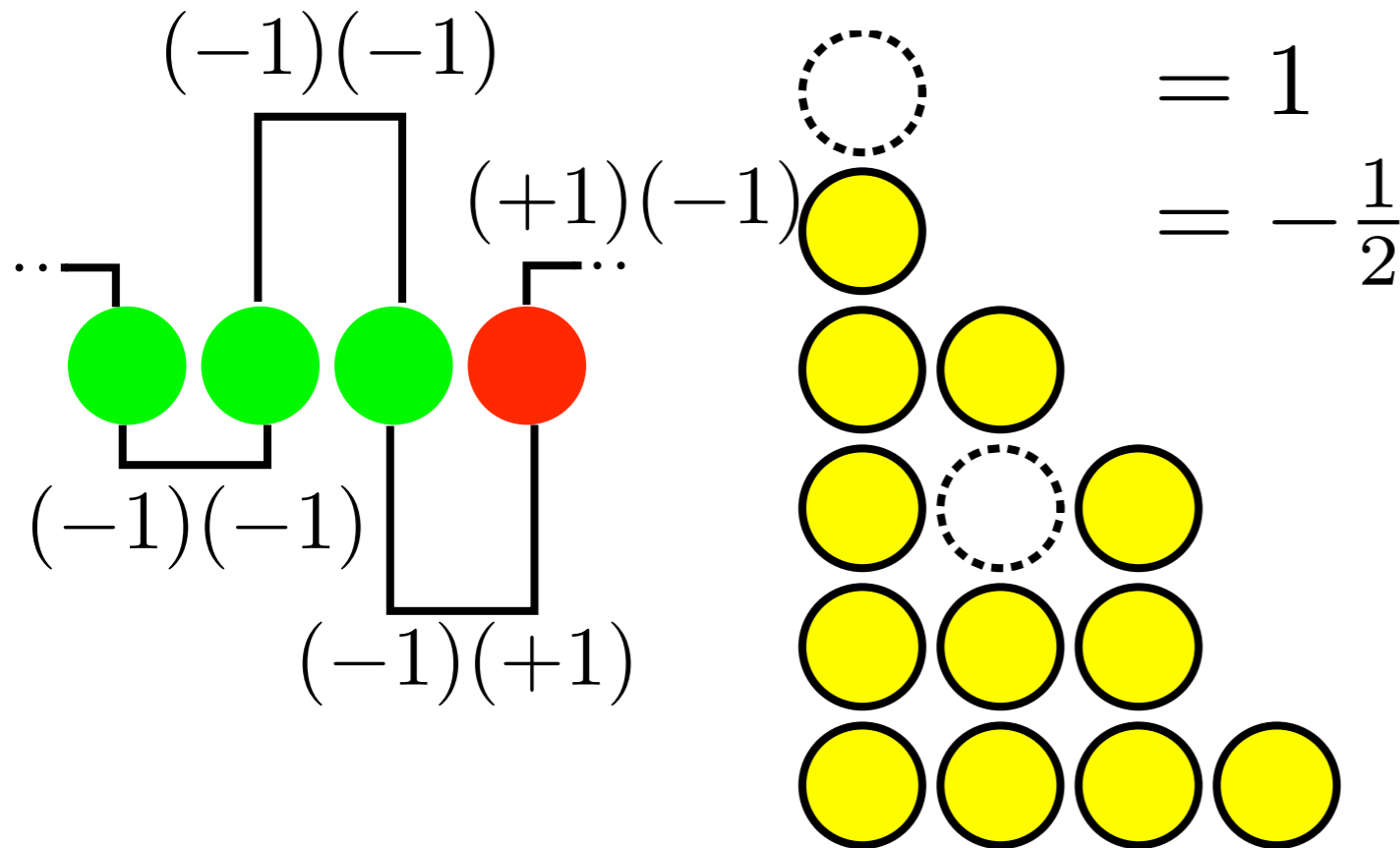


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed} + J_1 \text{yellow} + J_2 \text{yellow yellow} + J_3 \text{yellow yellow yellow} + \dots$$

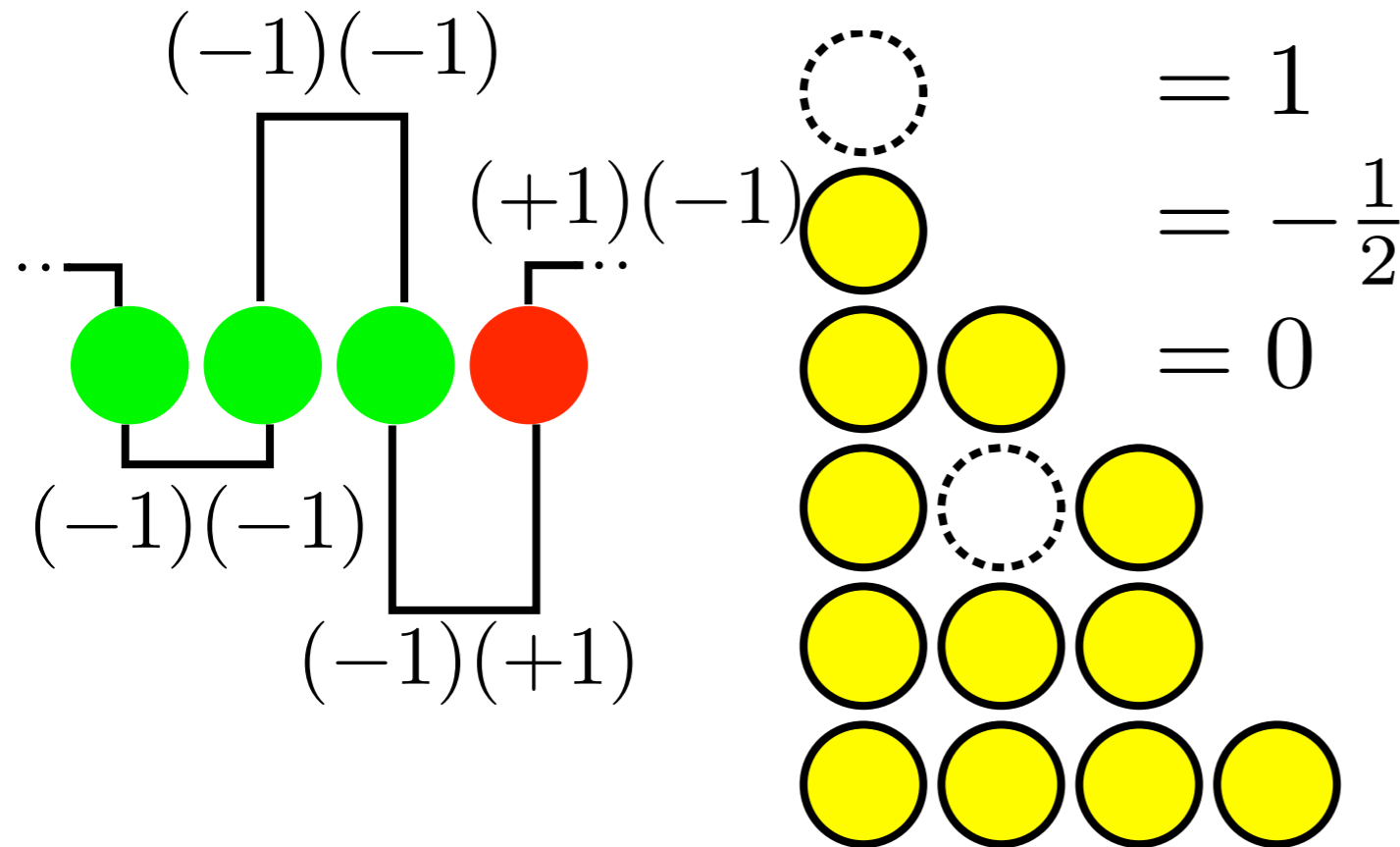


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed} + J_1 \text{yellow} + J_2 \text{yellow yellow} + J_3 \text{yellow yellow yellow} + \dots$$

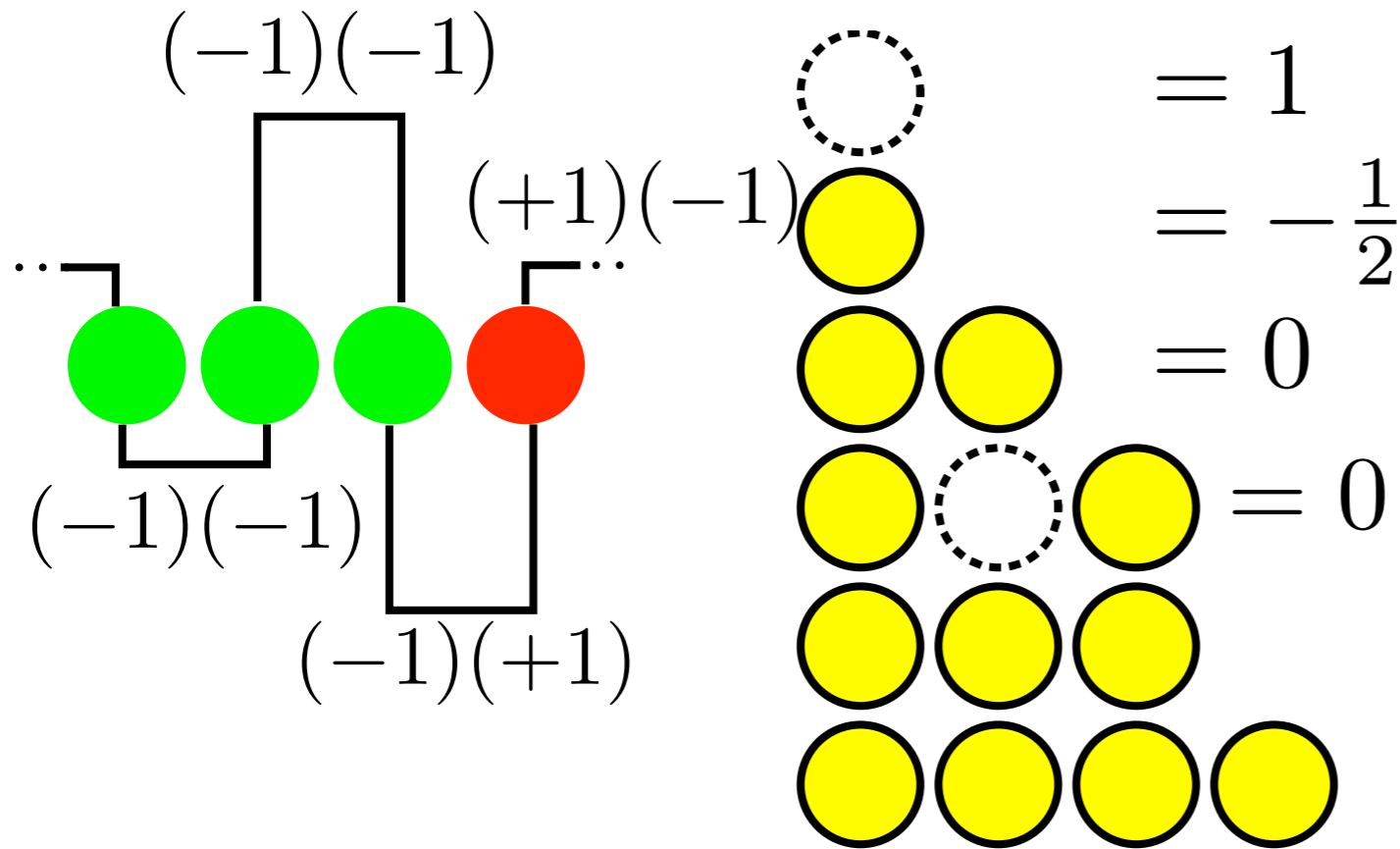


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed} + J_1 \text{yellow} + J_2 \text{yellow yellow} + J_3 \text{yellow yellow yellow} + \dots$$

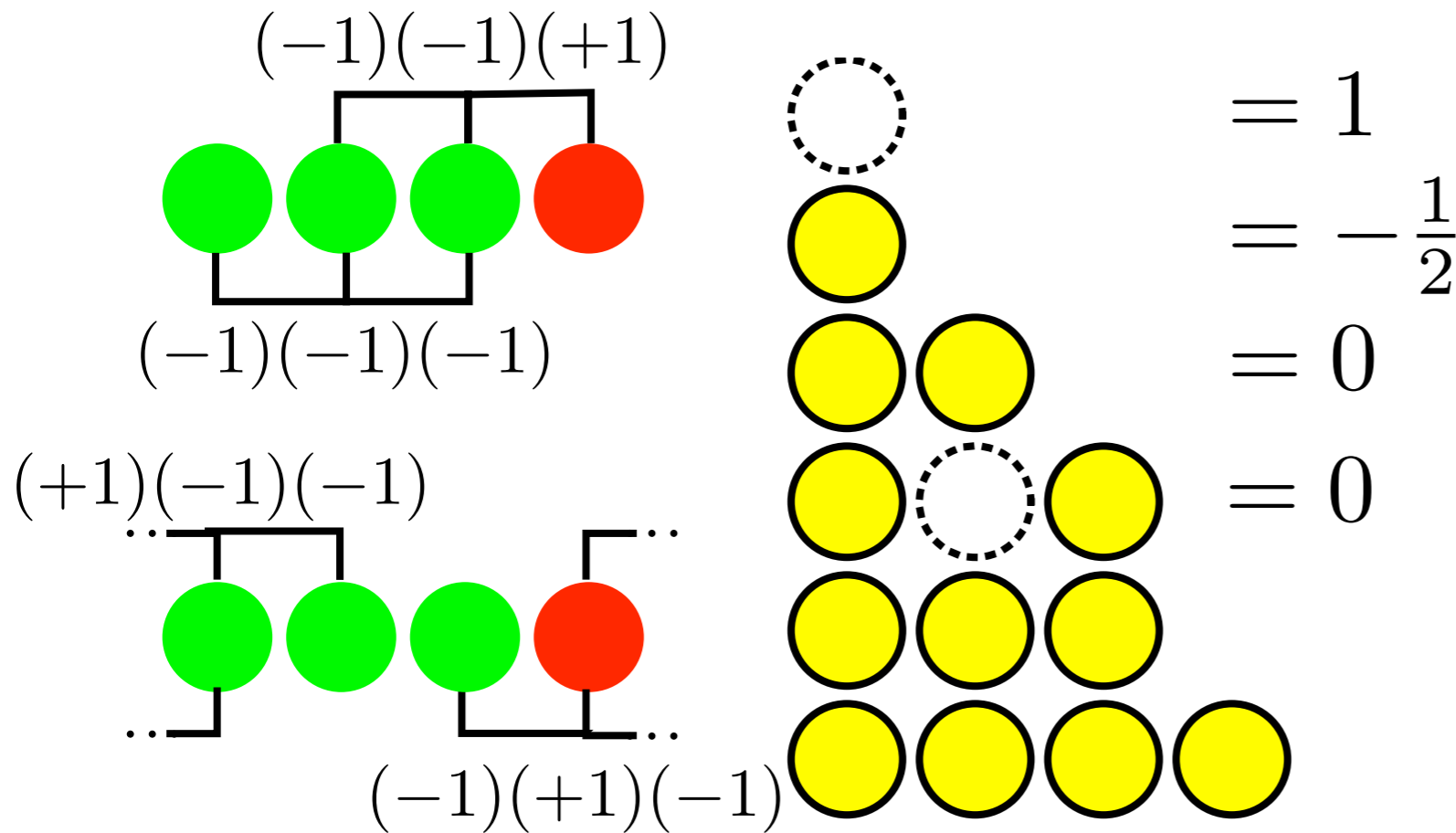


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed circle} + J_1 \text{yellow circle} + J_2 \text{two yellow circles} + J_3 \text{three yellow circles} + \dots$$

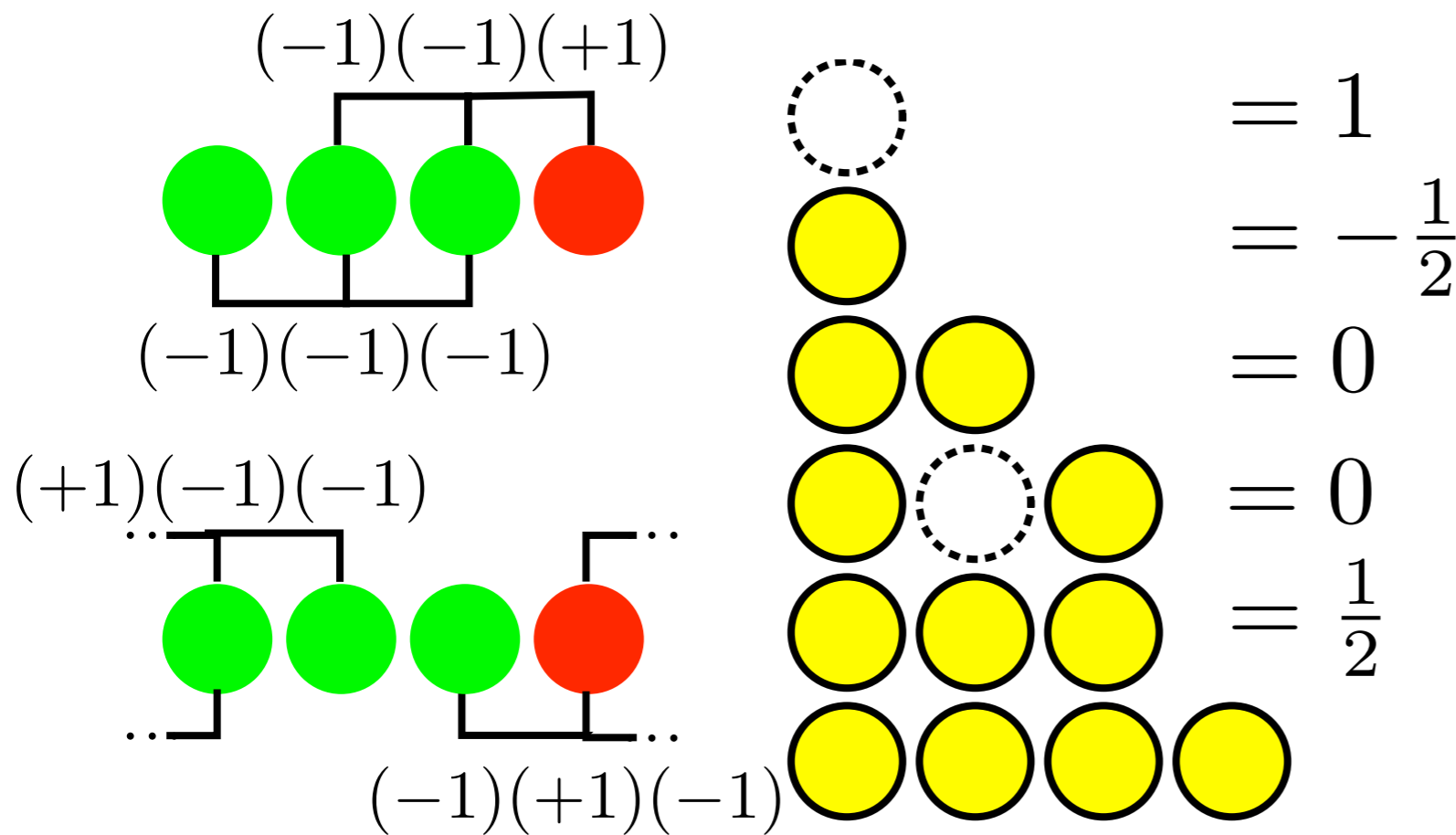


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{dashed circle} + J_1 \text{yellow circle} + J_2 \text{two yellow circles} + J_3 \text{three yellow circles} + \dots$$

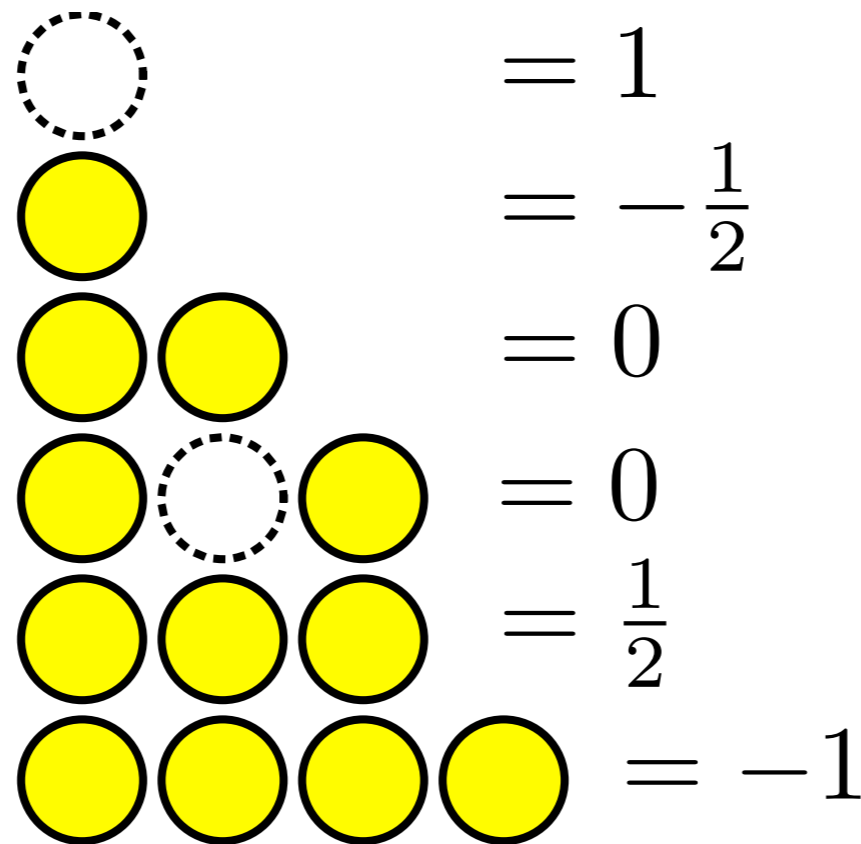


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{⊖} + J_1 \text{●} + J_2 \text{●●} + J_3 \text{●●●} + \dots$$

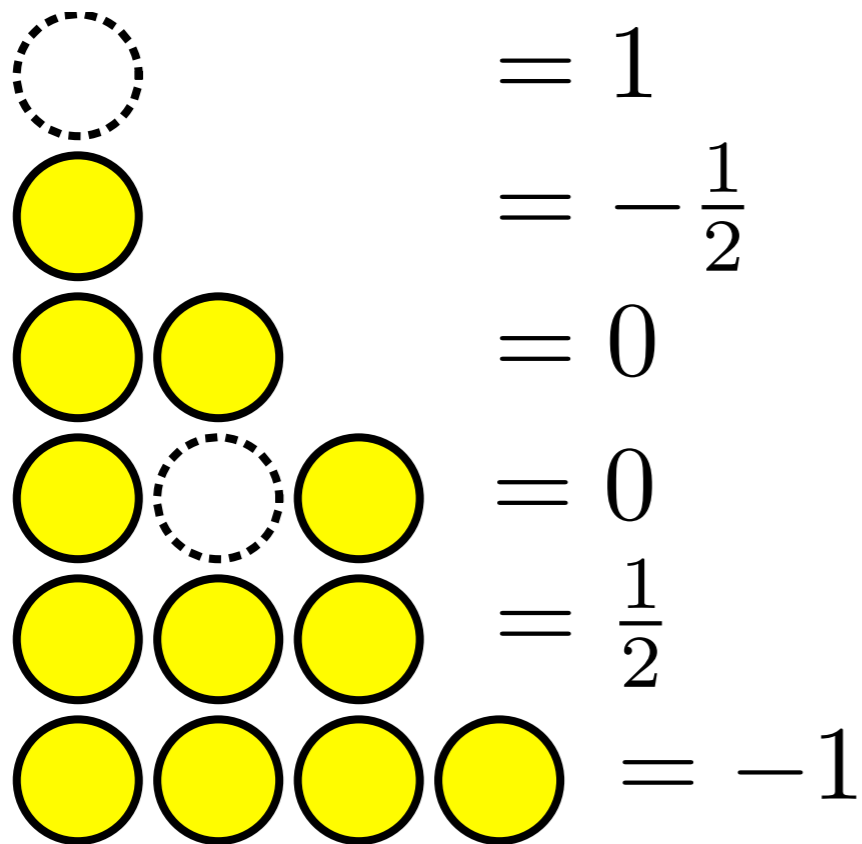


Cluster Expansion: Example

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = \frac{J_0}{N} \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} 1 + J_1 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i + J_2 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} + J_3 \sum_i^{\bigcirc\bigcirc\bigcirc\bigcirc} \bigcirc_i \bigcirc_{i+1} \bigcirc_{i+2} + \dots$$

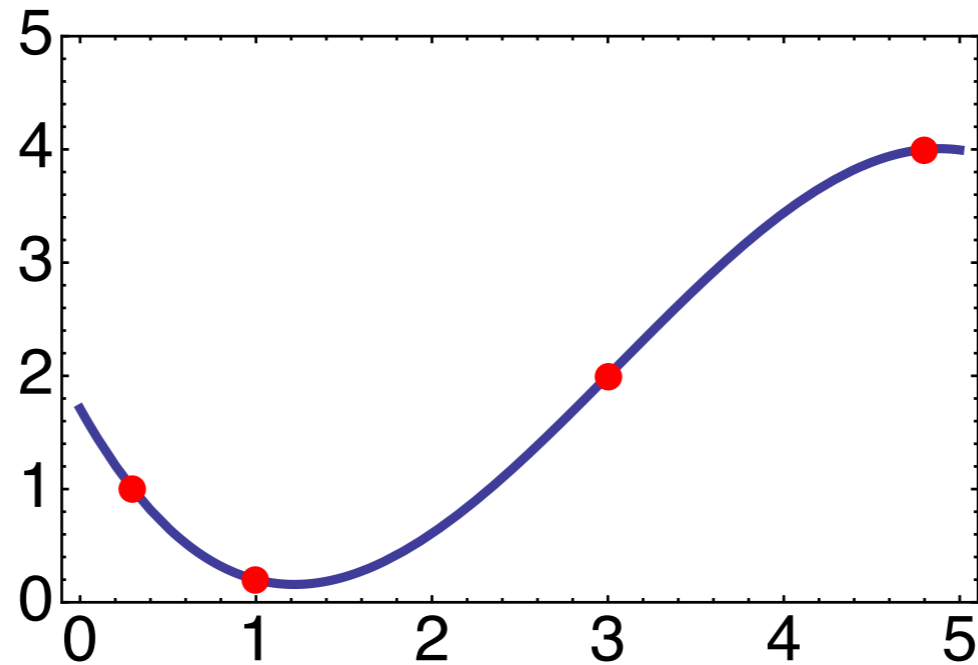
$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 + J_1 \bar{\Pi}^\circ + J_2 \bar{\Pi}^{\circ\circ} + J_3 \bar{\Pi}^{\circ\circ\circ} + \dots$$

$$f(\bigcirc\bigcirc\bigcirc\bigcirc) = J_0 \text{⊖} + J_1 \text{●} + J_2 \text{●●} + J_3 \text{●●●} + \dots$$



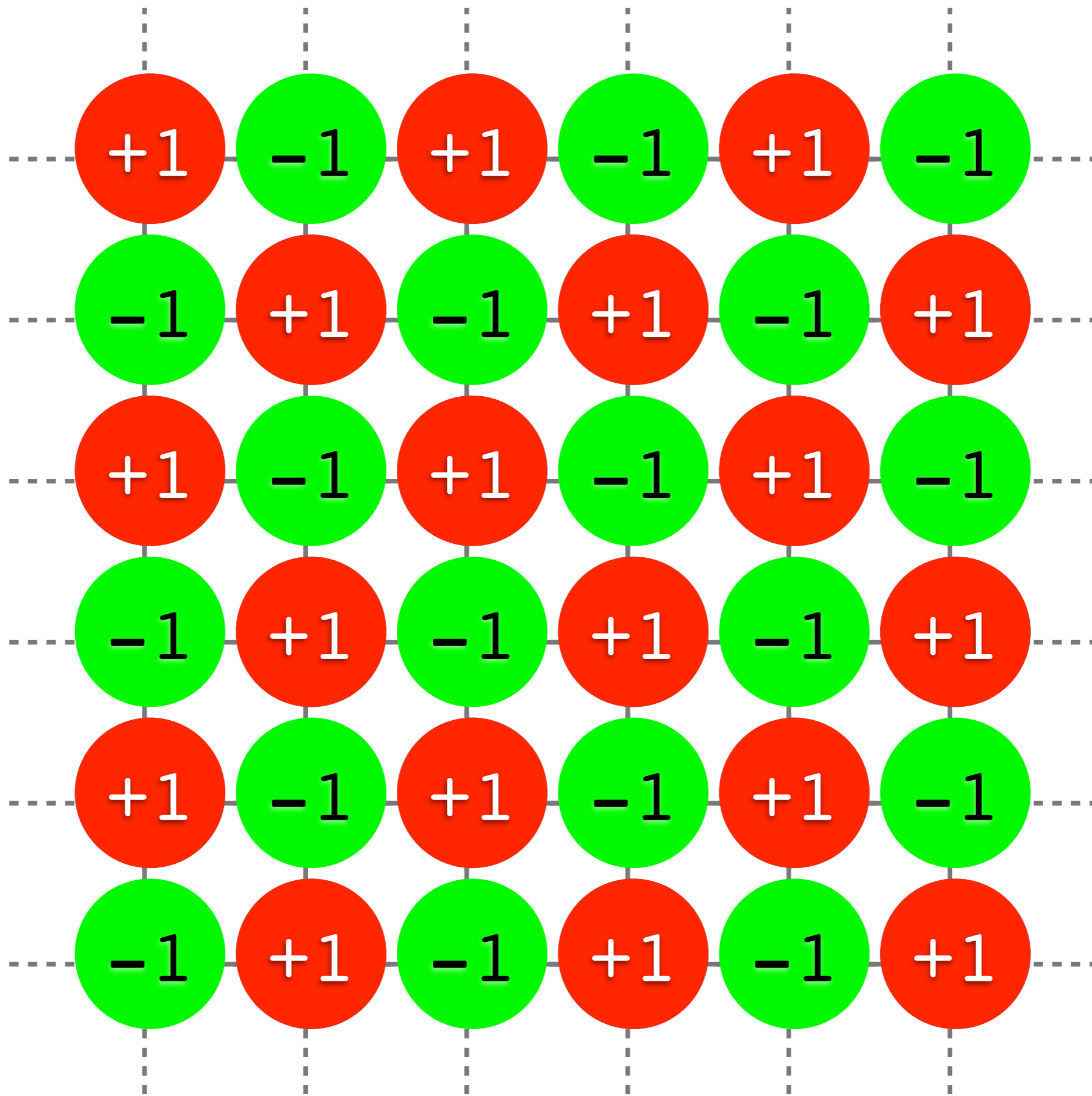
$$\left(1 \quad -\frac{1}{2} \quad 0 \quad 0 \quad \frac{1}{2} \quad -1 \right)$$

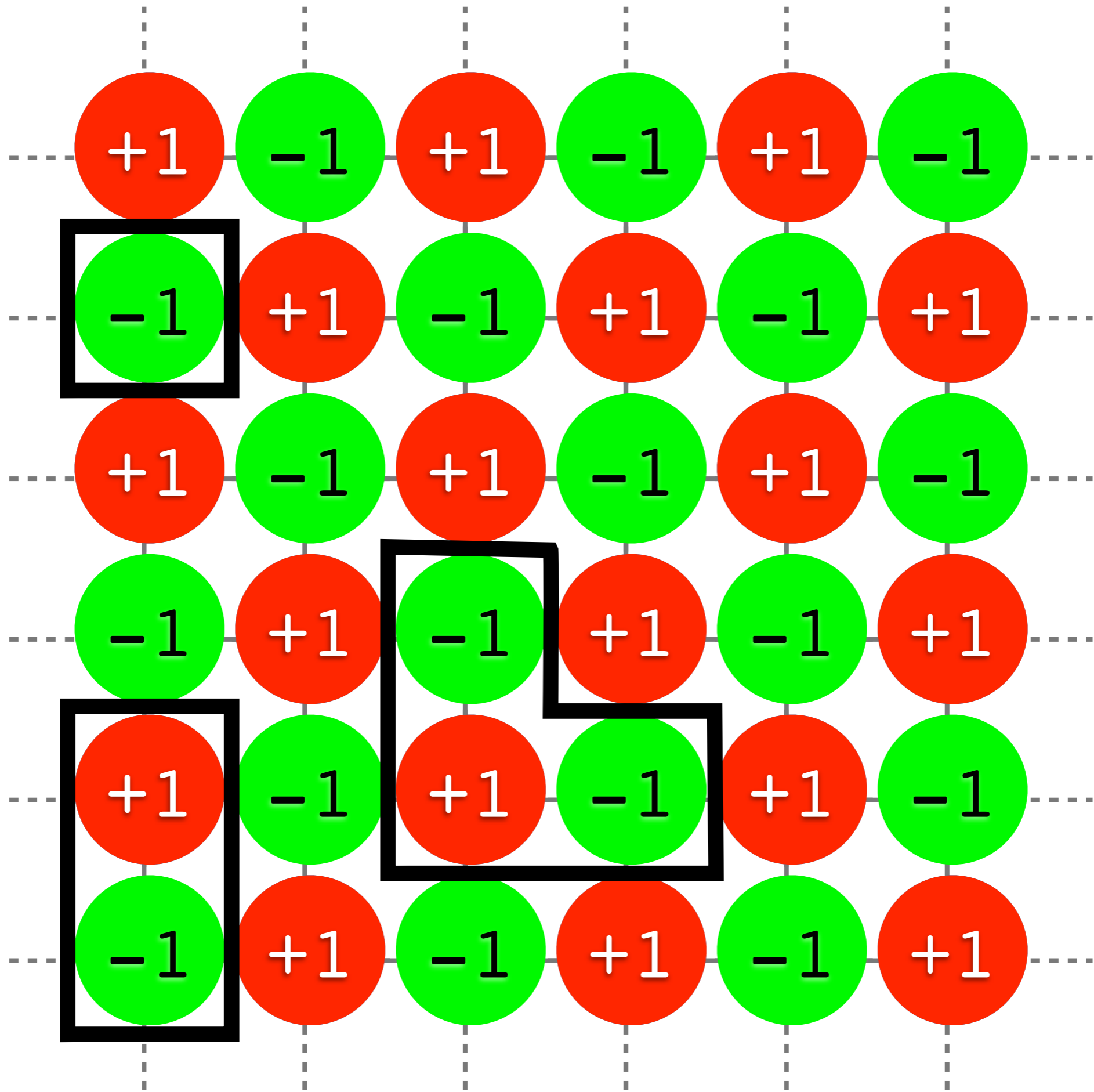
Expanding in a power series



$$\begin{pmatrix} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \end{pmatrix} = \begin{pmatrix} 1 & x_1 & x_1^2 & x_1^3 \\ 1 & x_2 & x_2^2 & x_2^3 \\ 1 & x_3 & x_3^2 & x_3^3 \\ 1 & x_4 & x_4^2 & x_4^3 \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{pmatrix}$$

$$\vec{\Pi} = \left(1 \quad -\frac{1}{2} \quad 0 \quad 0 \quad \frac{1}{2} \quad -1 \right)$$





In more than one dimension...

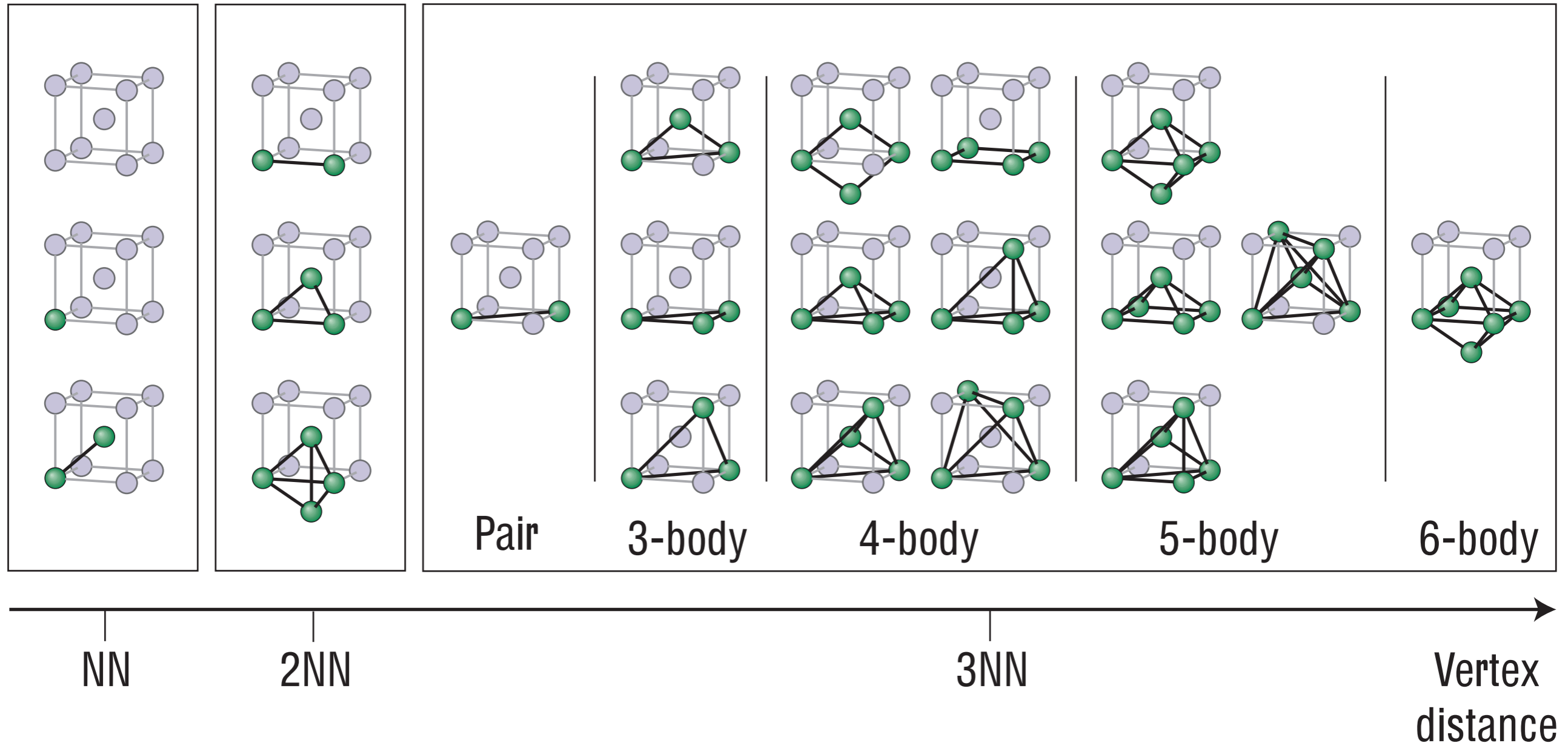
$$f(\text{○○○○}) = J_0 \text{⊙} + J_1 \text{●} + J_2 \text{●●} + J_3 \text{●●●} + \dots$$

$$f(\begin{array}{cccc} \text{○} & \text{○} & \text{○} & \text{○} \\ \text{○} & \text{○} & \text{○} & \text{○} \\ \text{○} & \text{○} & \text{○} & \text{○} \\ \text{○} & \text{○} & \text{○} & \text{○} \end{array}) = J_0 \text{⊙} + J_1 \text{●} + J_2^{(1)} \text{●●} + J_3^{(1)} \begin{array}{c} \text{●} \\ \text{●} \text{ ●} \end{array} + \dots$$

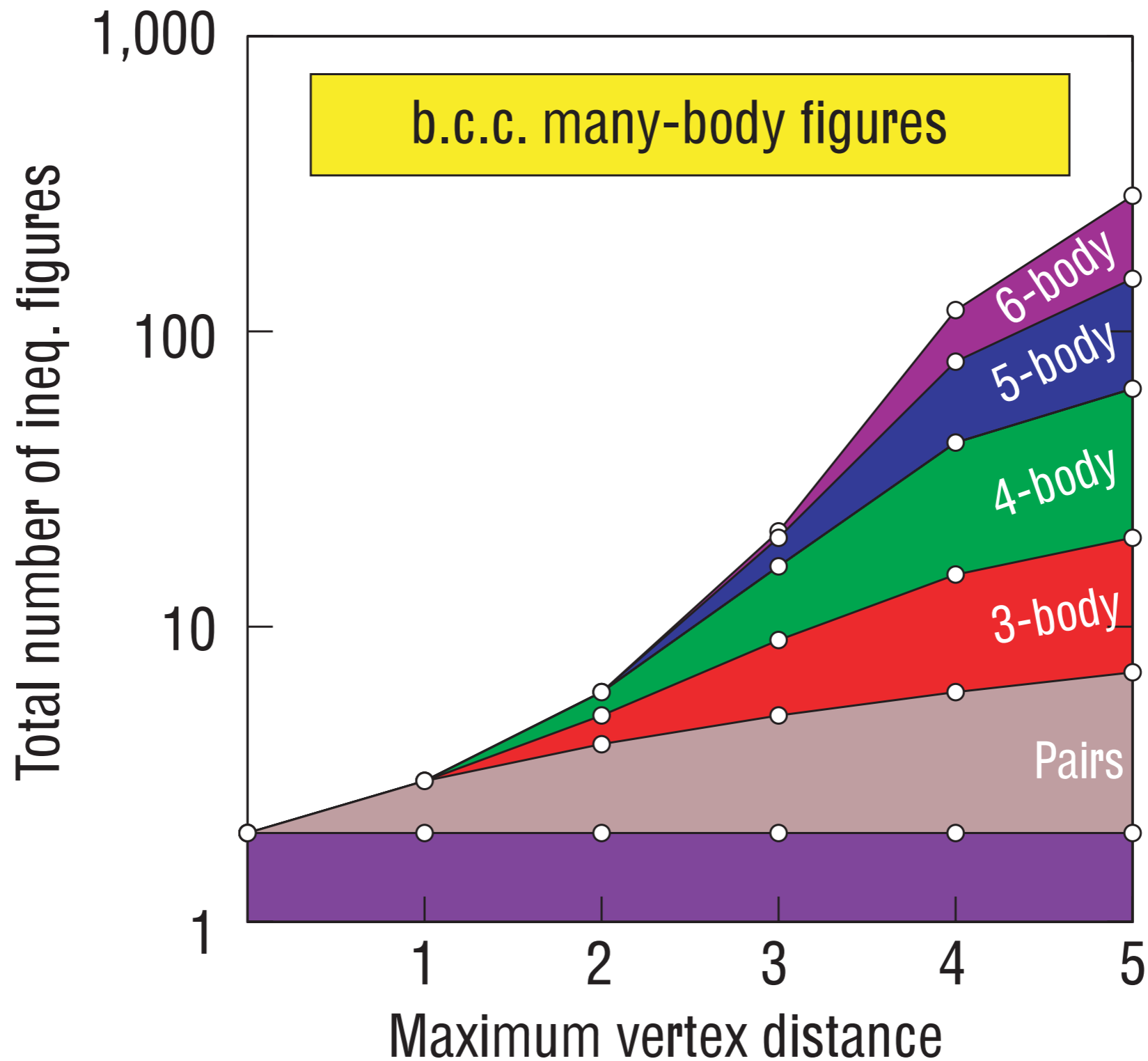
$$+ J_2^{(2)} \begin{array}{c} \text{●} \\ \text{●} \end{array} + J_3^{(2)} \text{●●●} + \dots$$

$$+ \vdots + \vdots + \dots$$

In three dimensions...



In three dimensions...



In more than one dimension...

$$\begin{aligned}
 f\left(\begin{array}{cccc} \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \end{array}\right) &= J_0 \text{ } \circ \text{ } + J_1 \text{ } \bullet \text{ } + J_2^{(1)} \text{ } \bullet \bullet \text{ } + J_3^{(1)} \text{ } \begin{array}{c} \bullet \\ \bullet \bullet \end{array} \text{ } + \dots \\
 &+ J_2^{(2)} \text{ } \begin{array}{c} \bullet \\ \bullet \end{array} \text{ } + J_3^{(2)} \text{ } \bullet \bullet \bullet \text{ } + \dots \\
 &+ \vdots \text{ } + \vdots \text{ } + \dots
 \end{aligned}$$

In more than one dimension...

$$\begin{aligned}
 f\left(\begin{array}{cccc} \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \end{array}\right) &= J_0 \text{ (dashed circle) } + J_1 \text{ (yellow circle) } + J_2^{(1)} \text{ (two yellow circles) } + J_3^{(1)} \text{ (three yellow circles) } + \dots \\
 &+ J_2^{(2)} \text{ (two yellow circles) } + J_3^{(2)} \text{ (three yellow circles) } + \dots \\
 &+ \vdots + \vdots + \dots
 \end{aligned}$$

How do we select the clusters that are relevant?

In more than one dimension...

$$\begin{aligned}
 f\left(\begin{array}{cccc} \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \end{array}\right) &= J_0 \begin{array}{c} \circ \\ \circ \\ \circ \\ \circ \end{array} + J_1 \begin{array}{c} \bullet \end{array} + J_2^{(1)} \begin{array}{cc} \bullet & \bullet \end{array} + J_3^{(1)} \begin{array}{ccc} \bullet & & \\ \bullet & & \\ & \bullet & \bullet \end{array} + \dots \\
 &+ J_2^{(2)} \begin{array}{cc} \bullet & \\ & \bullet \end{array} + J_3^{(2)} \begin{array}{ccc} \bullet & \bullet & \bullet \end{array} + \dots \\
 &+ \vdots + \vdots + \dots
 \end{aligned}$$

How do we select the clusters that are relevant?

$$\binom{400}{10} \approx 10^{19}$$

In more than one dimension...

$$\begin{aligned}
 f\left(\begin{array}{cccc} \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ \end{array}\right) &= J_0 \text{ (dashed circle)} + J_1 \text{ (yellow circle)} + J_2^{(1)} \text{ (two yellow circles)} + J_3^{(1)} \text{ (three yellow circles)} + \dots \\
 &+ J_2^{(2)} \text{ (two yellow circles)} + J_3^{(2)} \text{ (three yellow circles)} + \dots \\
 &+ \vdots + \vdots + \dots
 \end{aligned}$$

How do we select the clusters that are relevant?

$$\binom{400}{10} \approx 10^{19} \quad \text{Impractical to try all combinations...}$$

$$\begin{aligned}
E = & J_0 \text{ [cube with 1 purple atom]} + J_1 \text{ [cube with 1 green atom]} + J_2^{(1)} \text{ [cube with 2 green atoms]} + J_2^{(2)} \text{ [cube with 2 green atoms]} \\
& + J_2^{(3)} \text{ [cube with 3 green atoms]} + \dots + J_3^{(2)} \text{ [cube with 3 green atoms]} + J_3^{(3)} \text{ [cube with 3 green atoms]} + \dots \\
& + J_4^{(1)} \text{ [cube with 4 green atoms]} + J_4^{(2)} \text{ [cube with 4 green atoms]} + \dots + J_5^{(1)} \text{ [cube with 5 green atoms]} + \dots
\end{aligned}$$

$$\begin{aligned}
E = & J_0 \text{ [cube with 1 green atom]} + J_1 \text{ [cube with 2 green atoms]} + J_2^{(1)} \text{ [cube with 3 green atoms]} + J_2^{(2)} \text{ [cube with 3 green atoms, crossed out]} \\
& + J_2^{(3)} \text{ [cube with 4 green atoms]} + \dots + J_3^{(2)} \text{ [cube with 4 green atoms, crossed out]} + J_3^{(3)} \text{ [cube with 4 green atoms, crossed out]} + \dots \\
& + J_4^{(1)} \text{ [cube with 5 green atoms, crossed out]} + J_4^{(2)} \text{ [cube with 5 green atoms]} + \dots + J_5^{(1)} \text{ [cube with 6 green atoms]} + \dots
\end{aligned}$$

$$\begin{aligned}
E = & J_0 \text{ [cube with 1 green atom]} + J_1 \text{ [cube with 2 green atoms]} + J_2^{(1)} \text{ [cube with 3 green atoms]} + J_2^{(2)} \text{ [cube with 3 green atoms, crossed out]} \\
& + J_2^{(3)} \text{ [cube with 4 green atoms]} + \dots + J_3^{(2)} \text{ [cube with 4 green atoms, crossed out]} + J_3^{(3)} \text{ [cube with 4 green atoms, crossed out]} + \dots \\
& + J_4^{(1)} \text{ [cube with 5 green atoms, crossed out]} + J_4^{(2)} \text{ [cube with 5 green atoms]} + \dots + J_5^{(1)} \text{ [cube with 6 green atoms]} + \dots
\end{aligned}$$

1 1 1 0 1 0 0 1 0 0 1 1 0 0 0

Current population

01010001000110100	Fitness score 1
11001000100011010	Fitness score 2
00100011000101000	Fitness score n

Choose 2 parents

Parent 1
Parent 2

Mating

Mutation

Reduction

Child

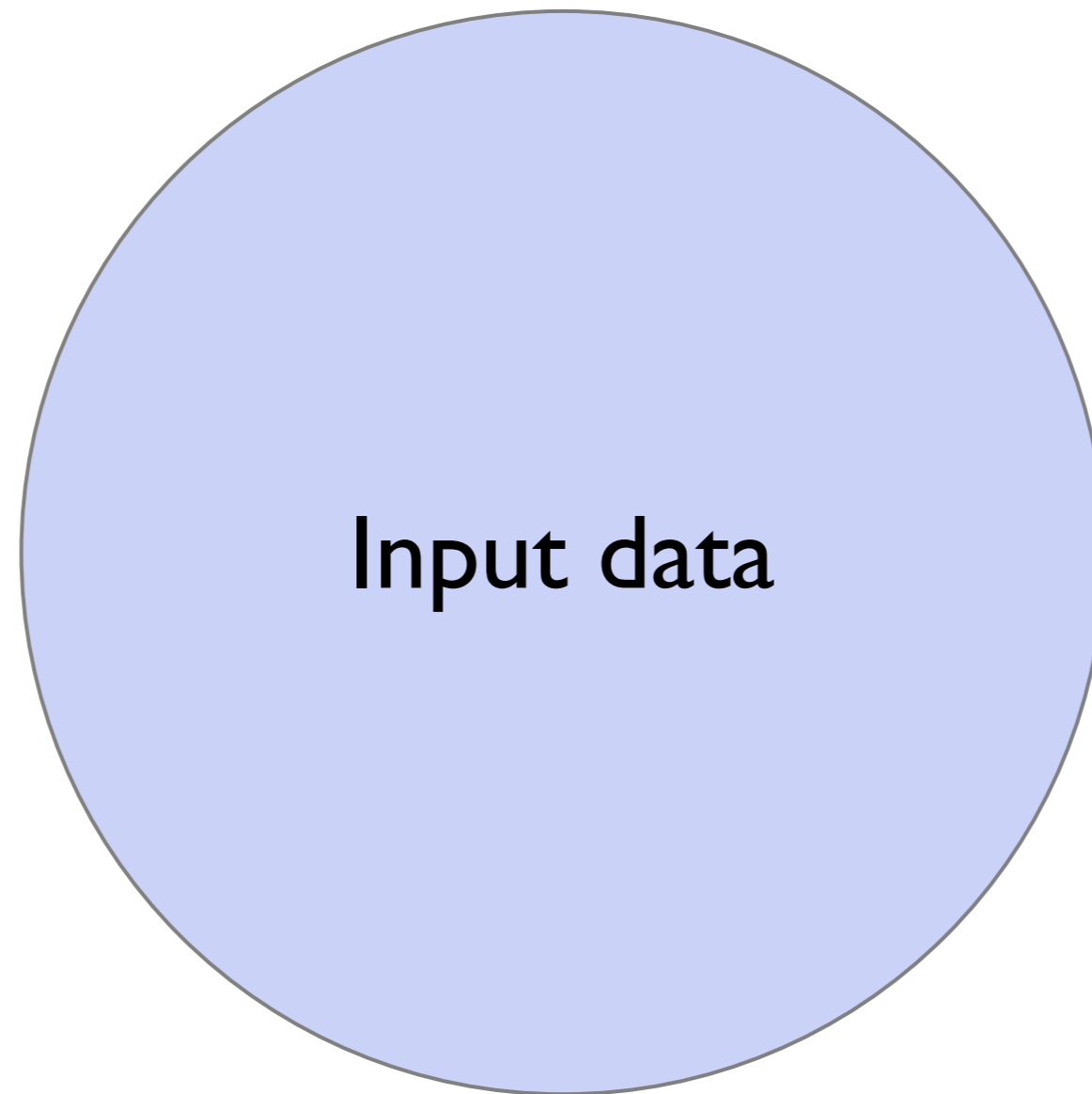
Accept child into population if fitness score is improved

Fitness Scores: Cross Validation (a.k.a. Rotation Estimation)

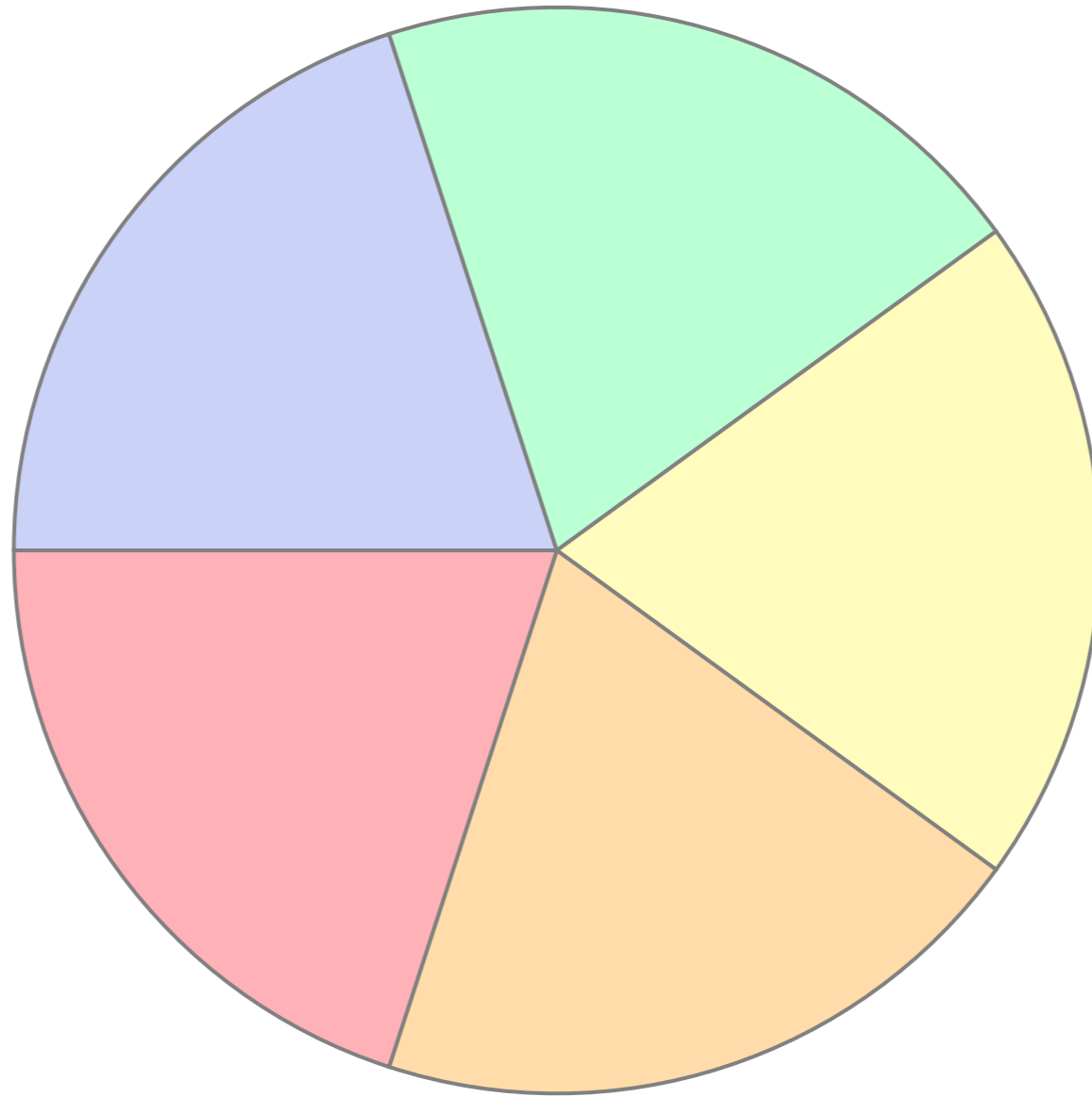
Fitness Scores: Cross Validation (a.k.a. Rotation Estimation)

Least Square Minimization is dangerous
because of overfitting

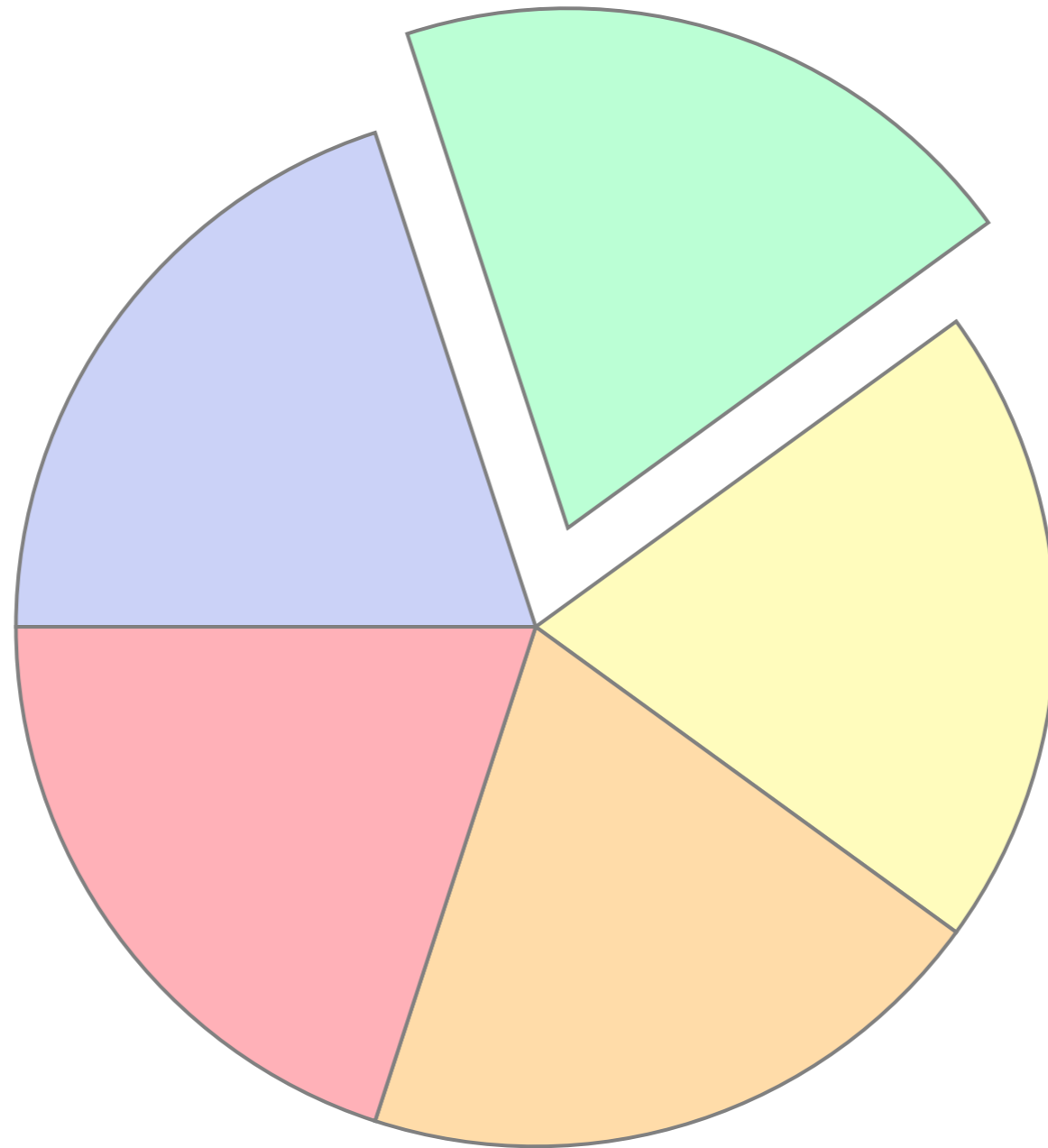
Fitness Scores: Cross Validation (a.k.a. Rotation Estimation)



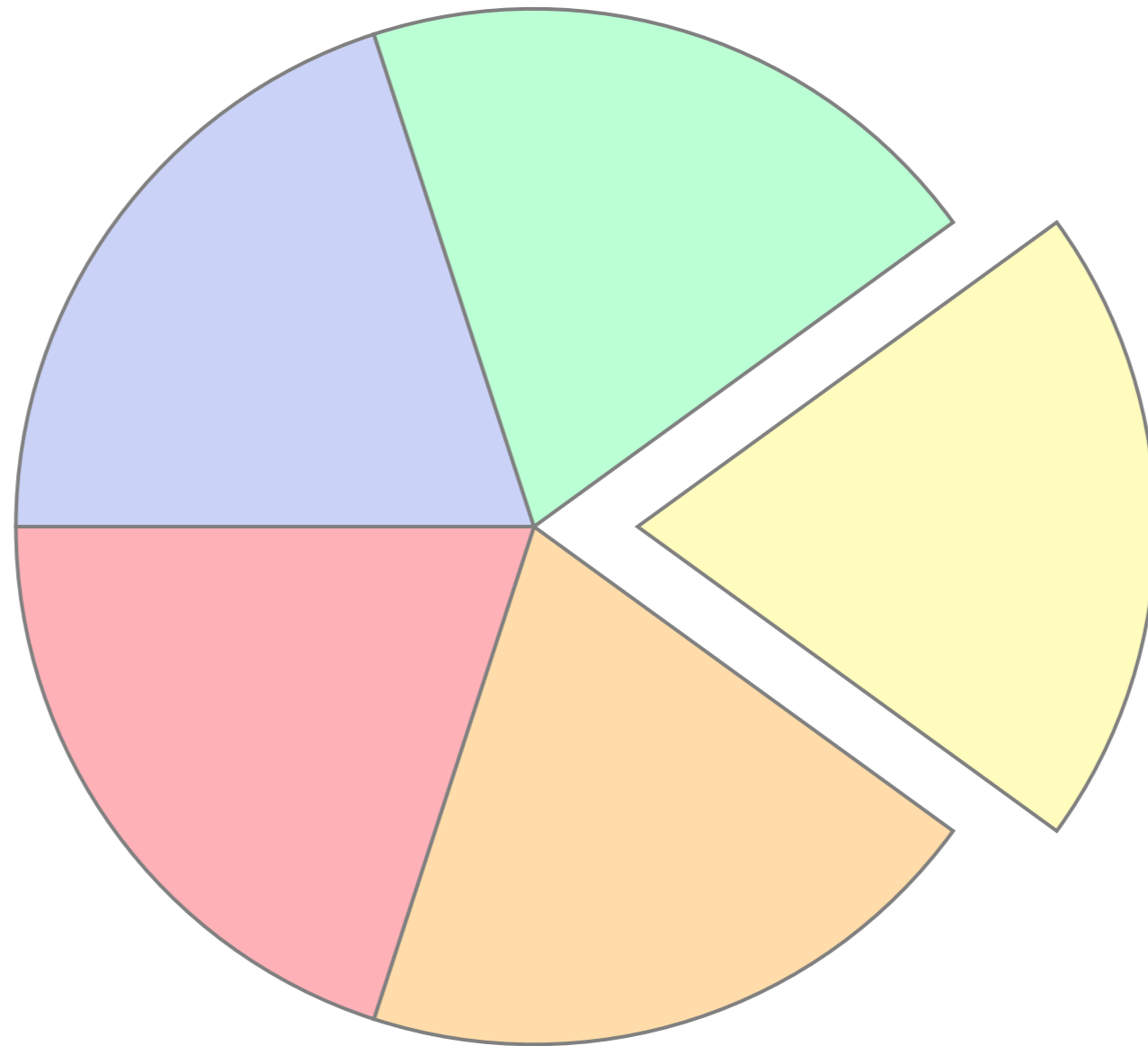
Fitness Scores: Cross Validation (a.k.a. Rotation Estimation)



Fitness Scores: Cross Validation (a.k.a. Rotation Estimation)



Fitness Scores: Cross Validation (a.k.a. Rotation Estimation)



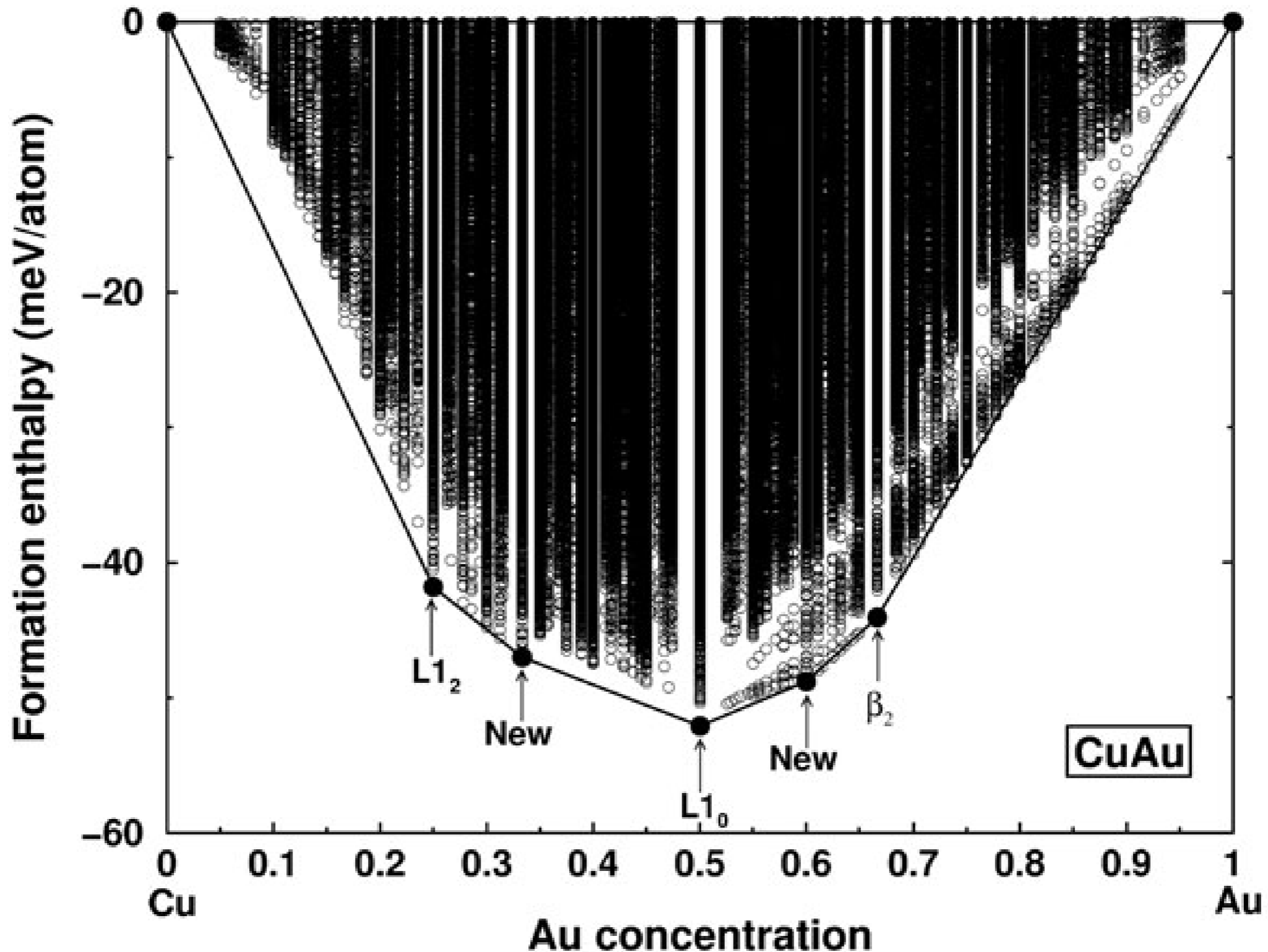
Make a model and *predict* data you already know

Once you have a good physical model, what can you do with it?

Once you have a good physical model, what can you do with it?

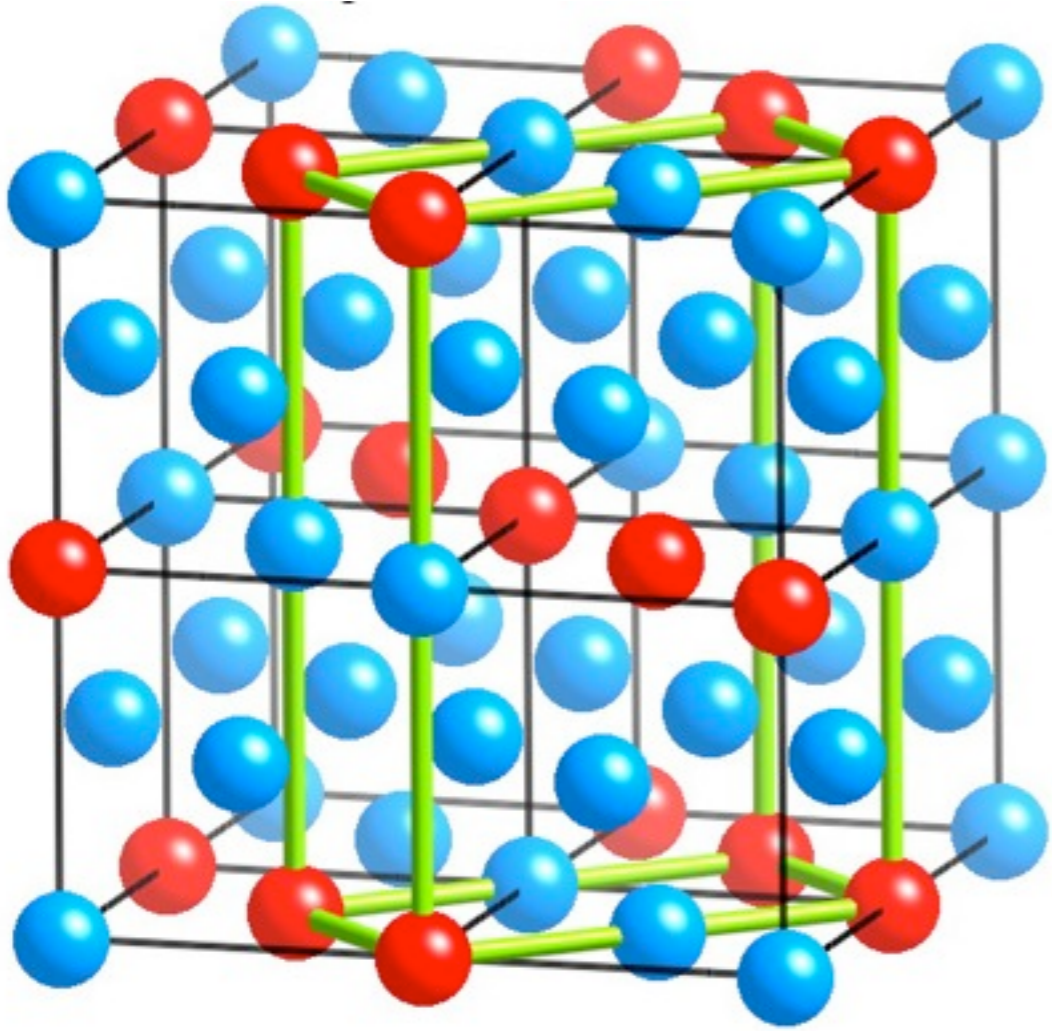
Calculate the energy of millions of configurations

Once you have a good physical model, what can you do with it?

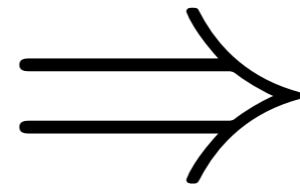
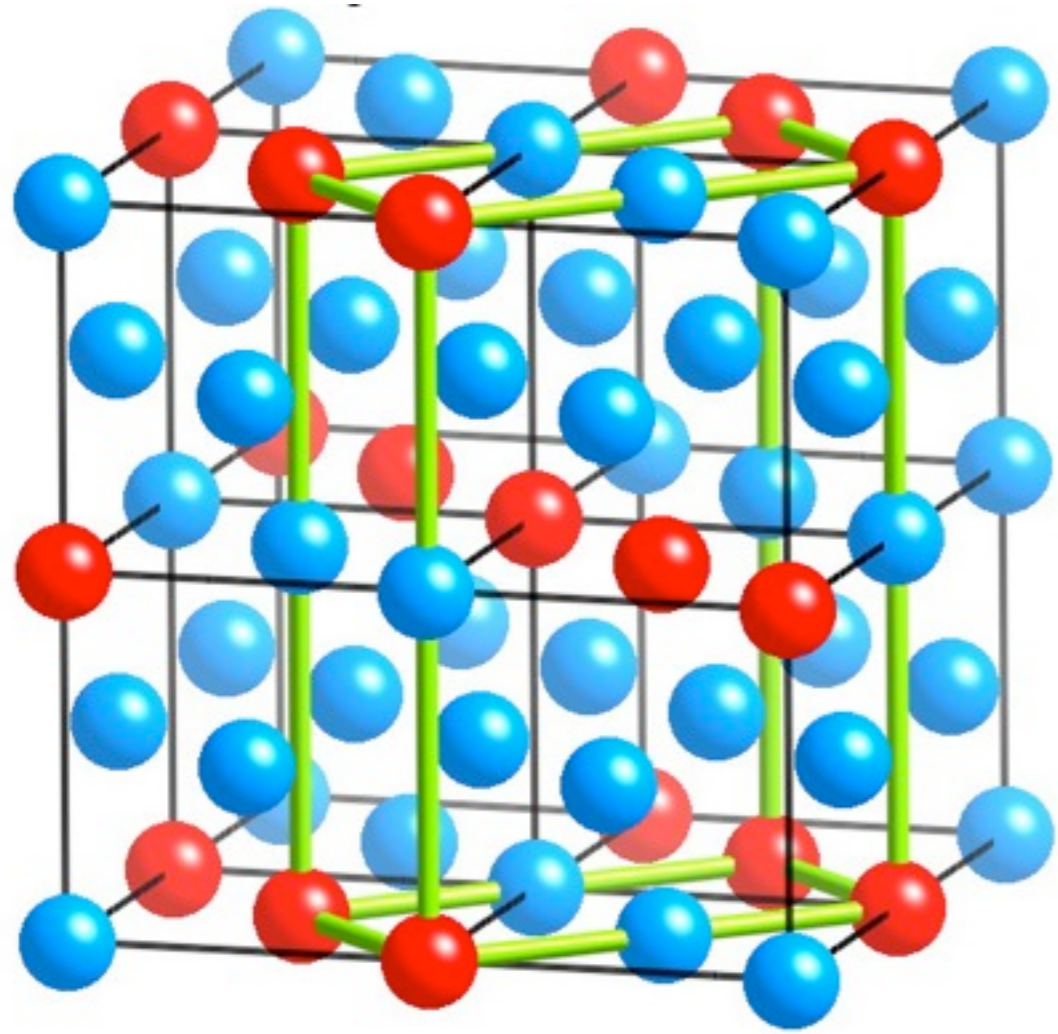


Where do you get a list of all possible configurations?

Enumerating derivative structures



Enumerating derivative structures

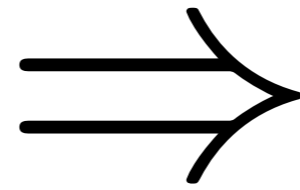
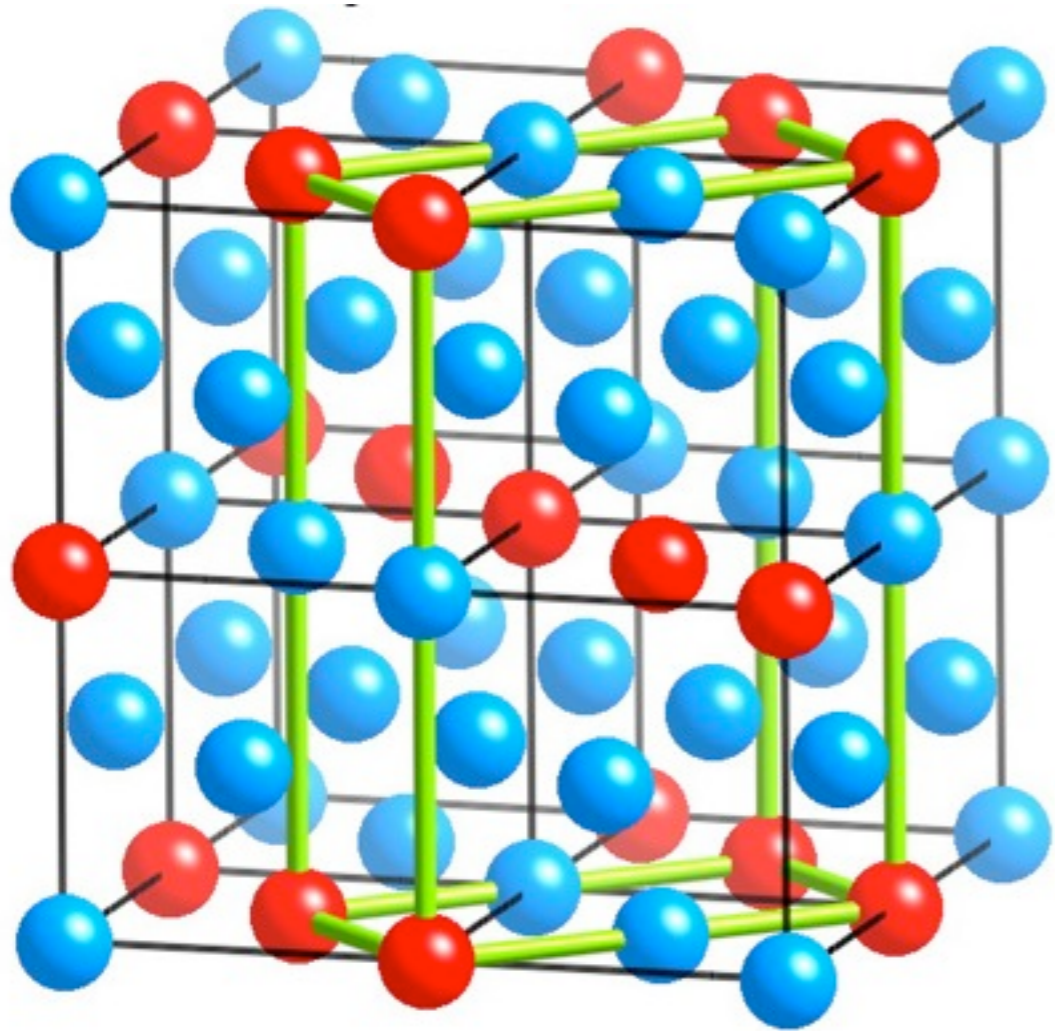


Hermite Normal Form

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix}$$

0001

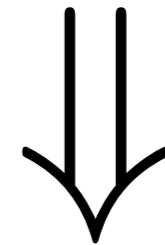
Enumerating derivative structures



Hermite Normal Form

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix}$$

0001

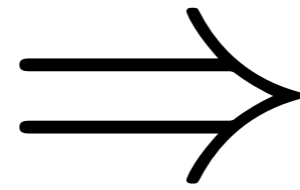
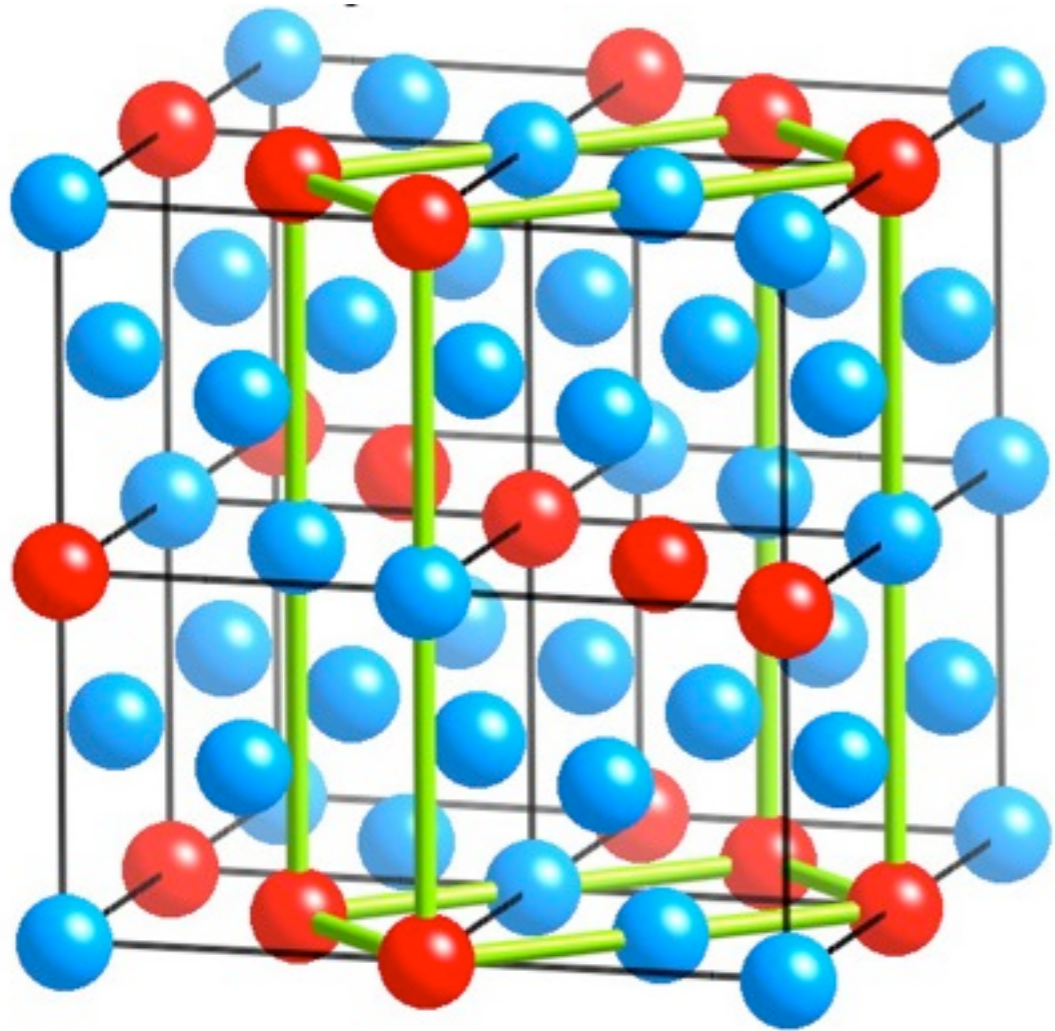


Smith Normal Form

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$



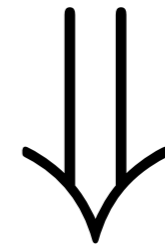
Enumerating derivative structures



Hermite Normal Form

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix}$$

0001



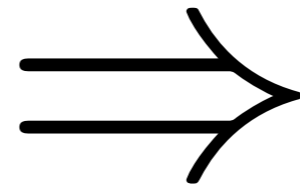
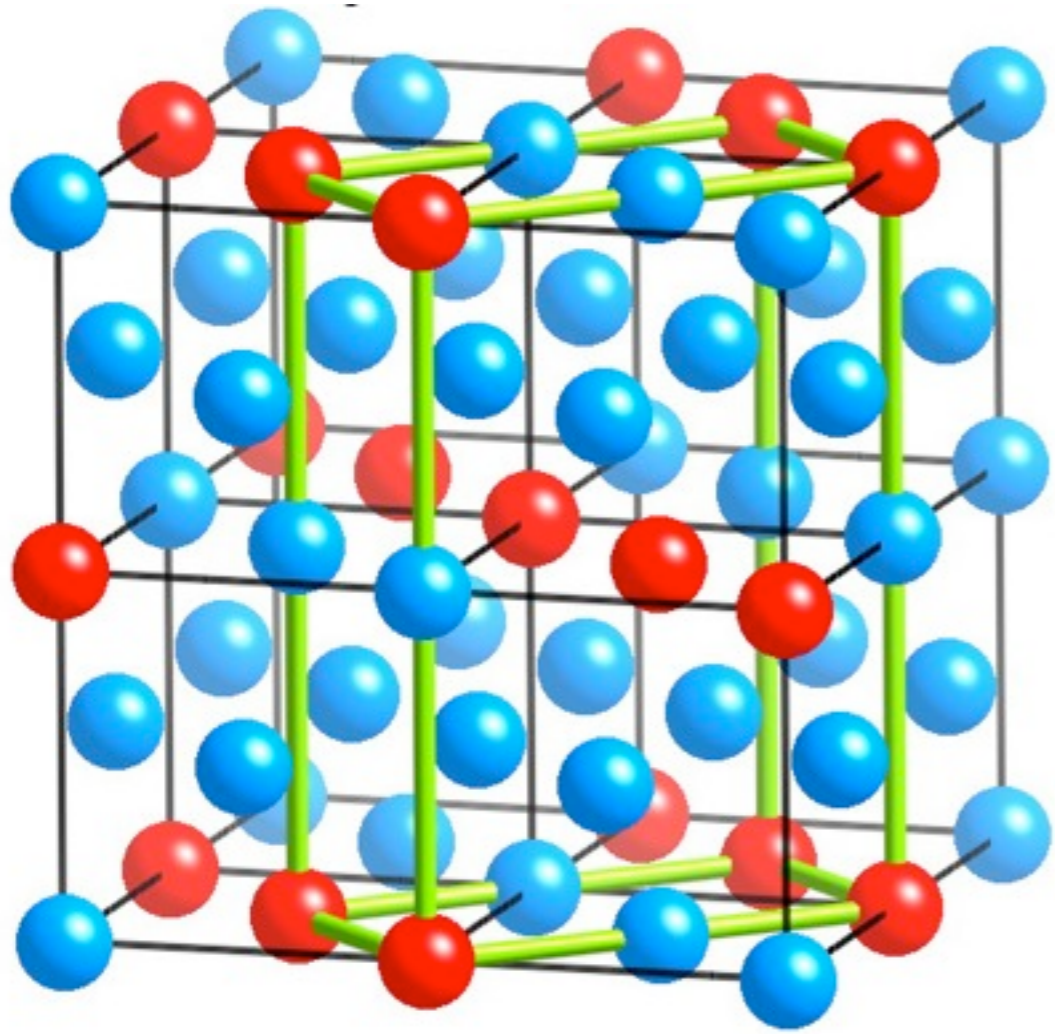
Smith Normal Form

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

$$\mathbb{G}' = \mathbb{L}\mathbb{A}^{-1}\mathbb{R}(\mathbb{L}\mathbb{A}^{-1})^{-1}\mathbb{G}$$



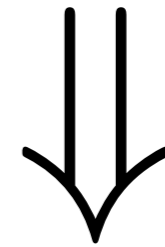
Enumerating derivative structures



Hermite Normal Form

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix}$$

0001



Smith Normal Form

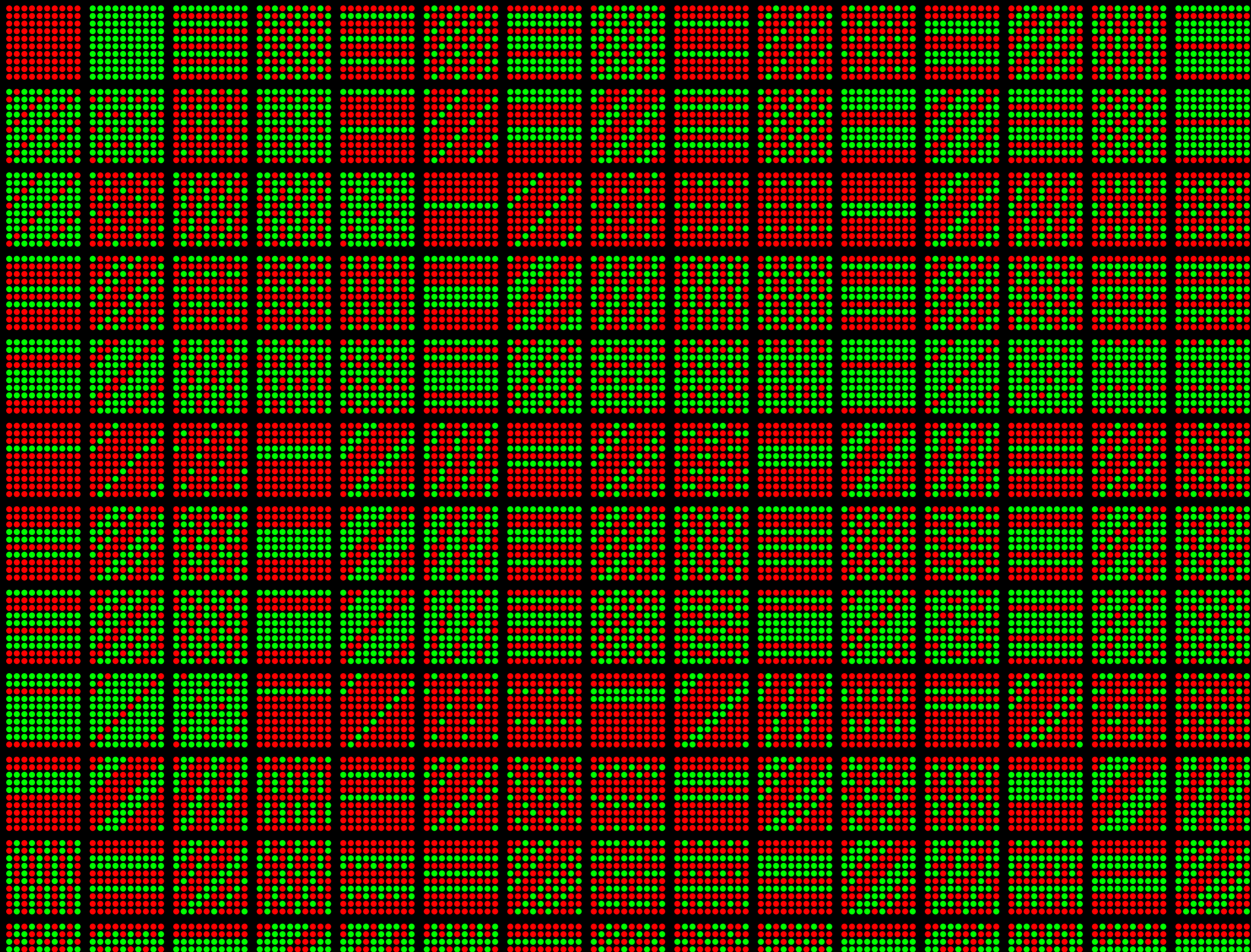
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$

$$\mathbb{G}' = \mathbb{L}\mathbb{A}^{-1}\mathbb{R}(\mathbb{L}\mathbb{A}^{-1})^{-1}\mathbb{G}$$

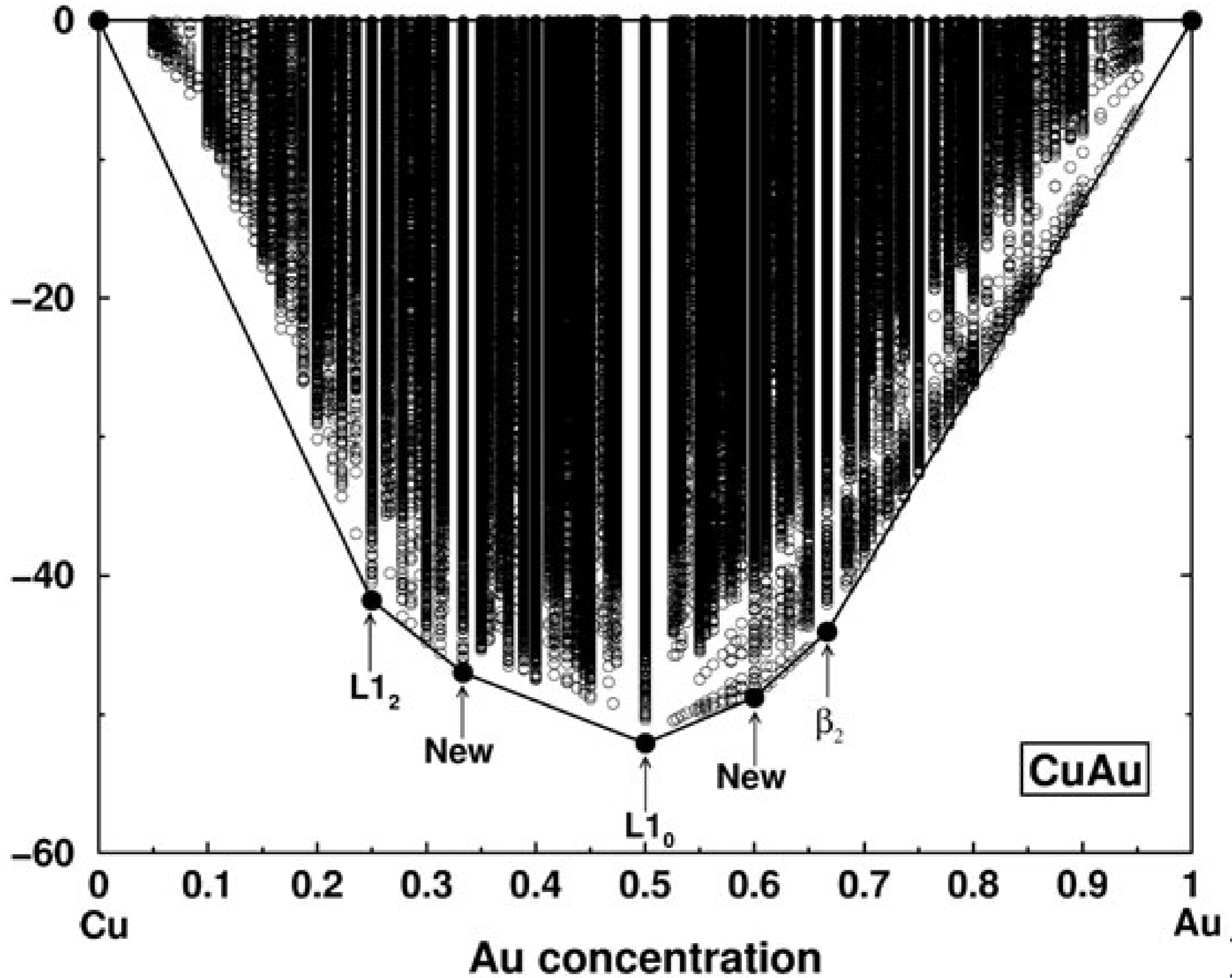
Gus L.W. Hart and Rodney W. Forcade, "Algorithm for enumerating derivative superstructures," *Phys. Rev. B* **77** 224115 (2008)

Gus L.W. Hart and Rodney W. Forcade, "Generating derivative structures from multilattices: Algorithm and application to hcp alloys," *Phys. Rev. B* **80** 014120 (2009)





Formation enthalpy (meV/atom)



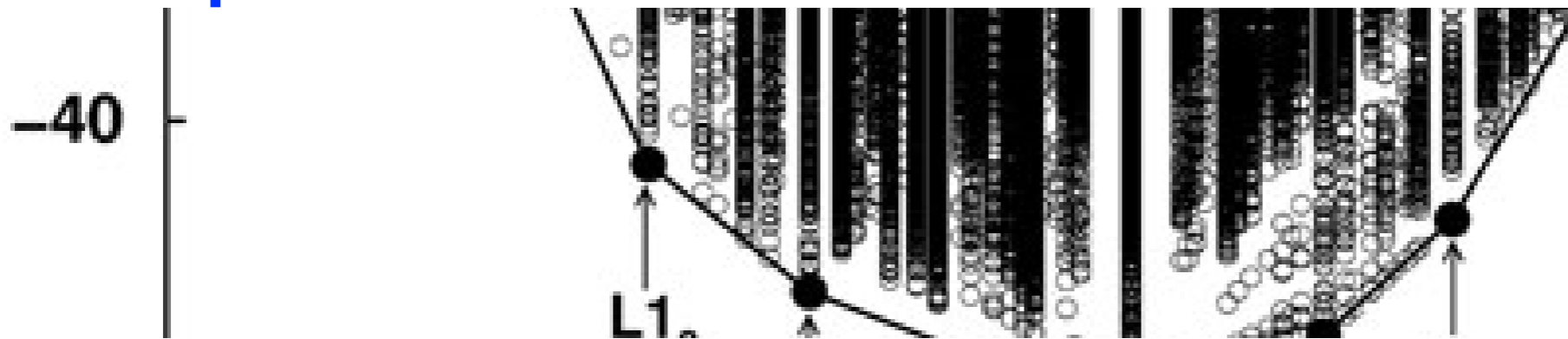
CuAu

Au concentration

Au

A ground state search

Tells us which configurations are lowest in energy, but doesn't tell us anything about how the materials behaves as a function of temperature...



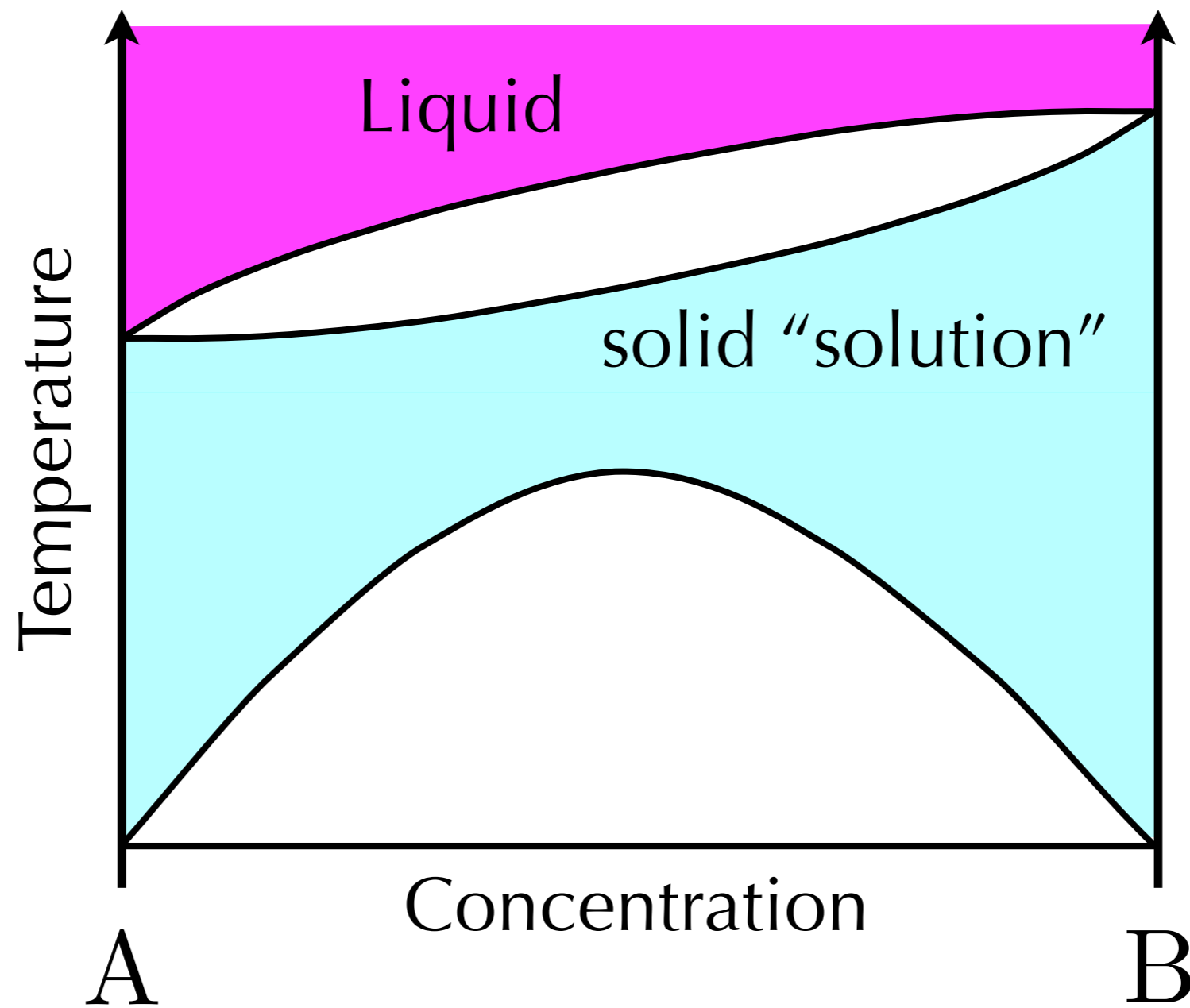
$$F = U - TS$$

Au concentration

1
Au

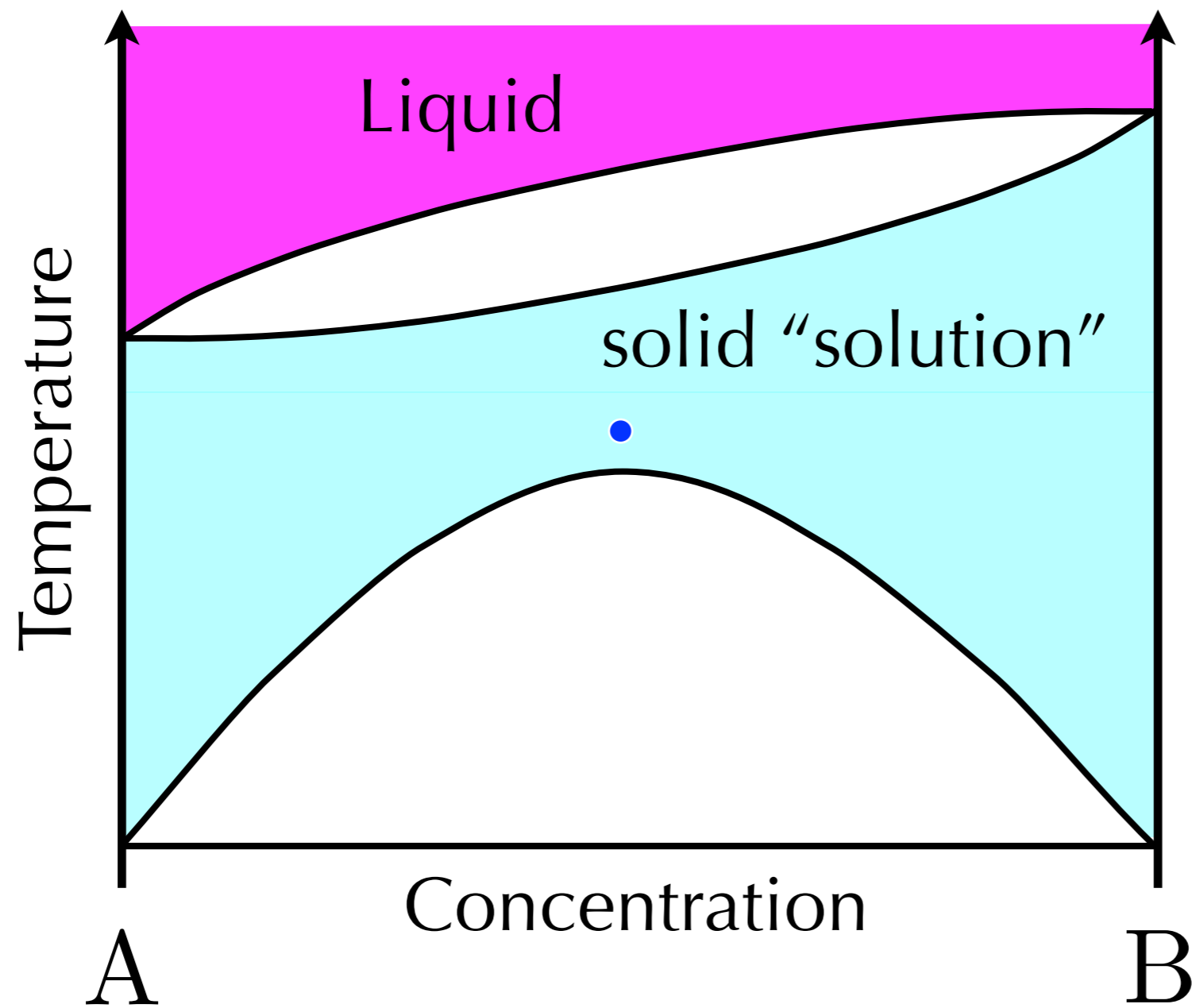
Formation enthalpy (meV/atom)

Alloy phase diagrams

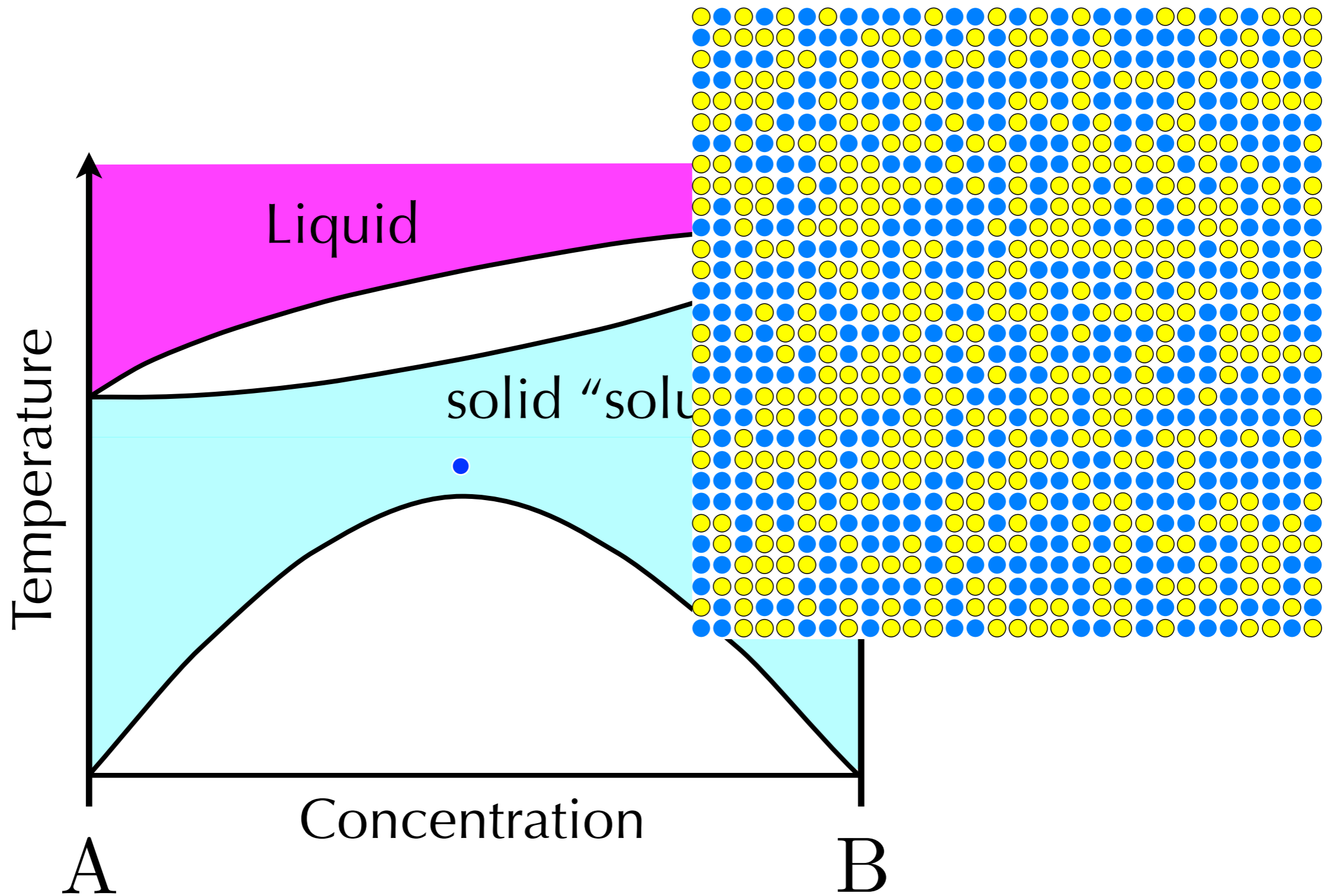


What happens as it cools?

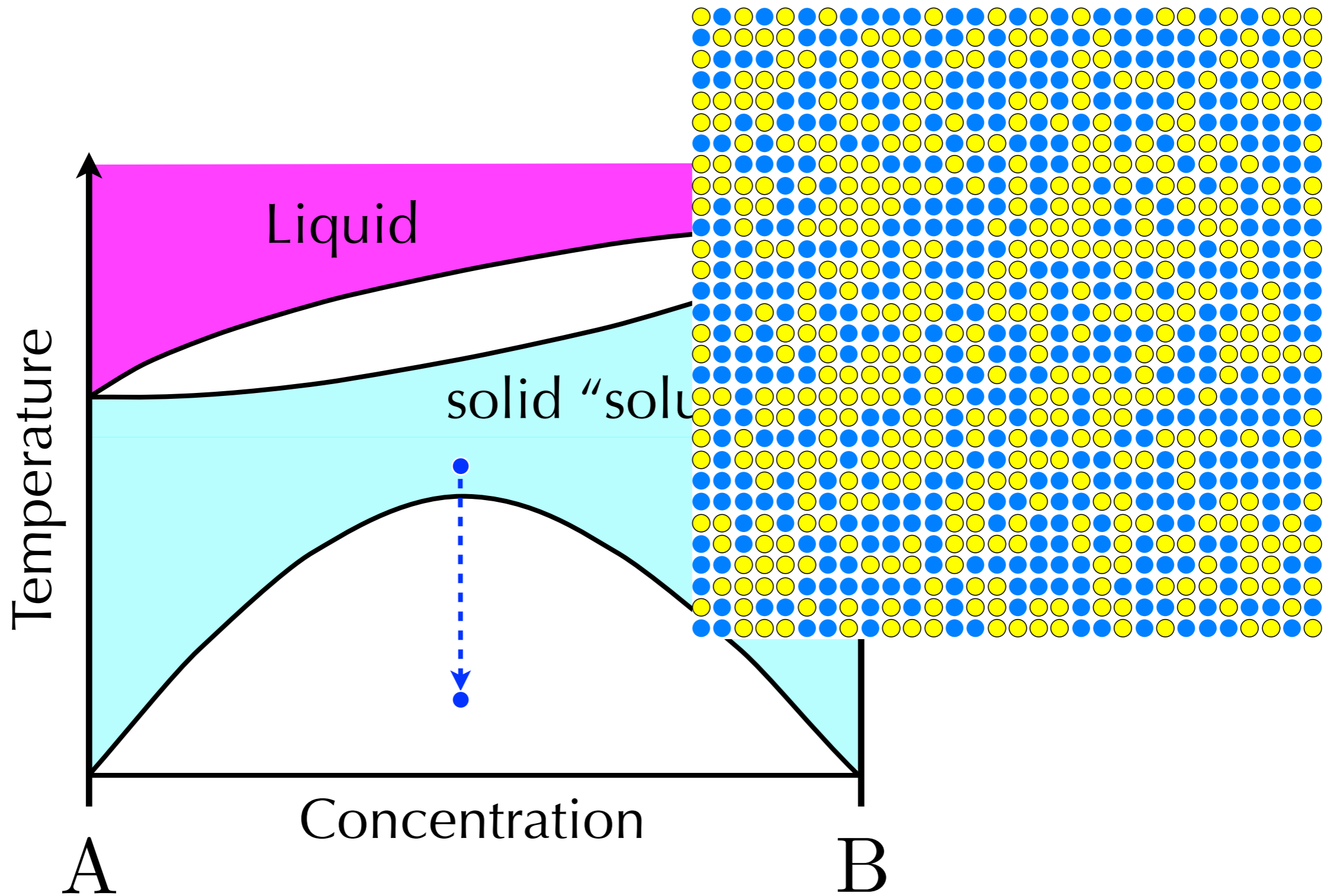
- Phase diagrams



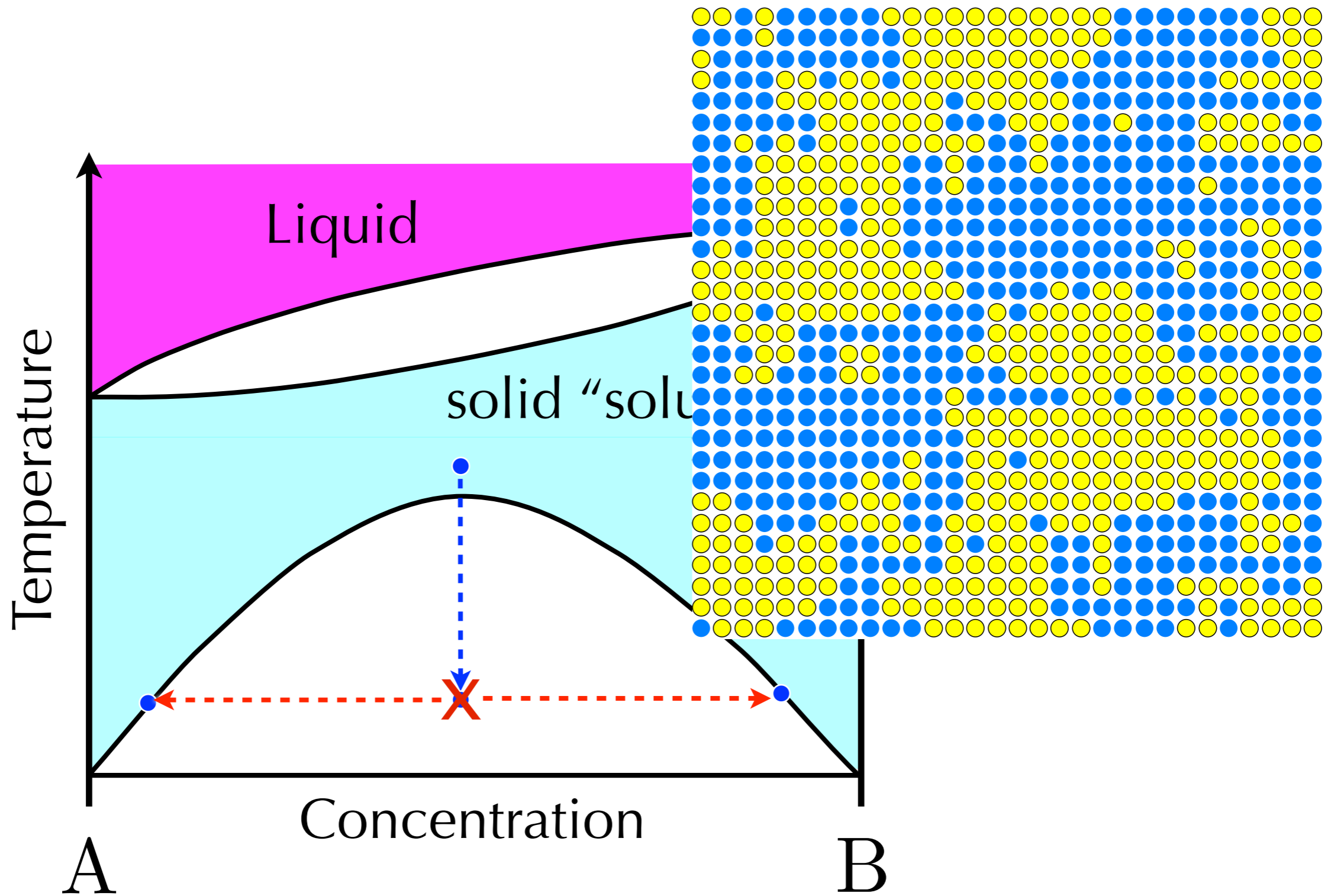
What happens as it cools?



What happens as it cools?



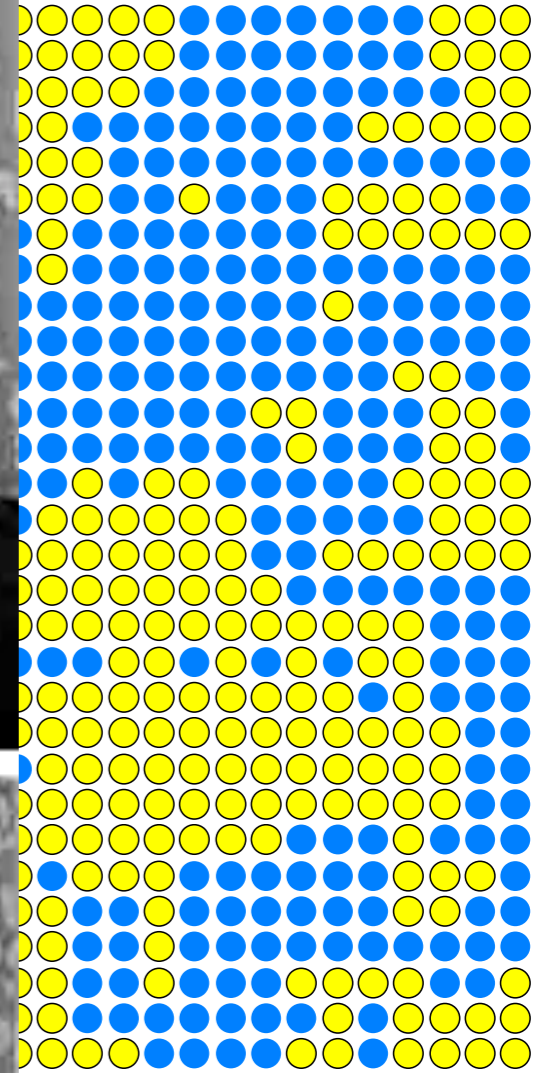
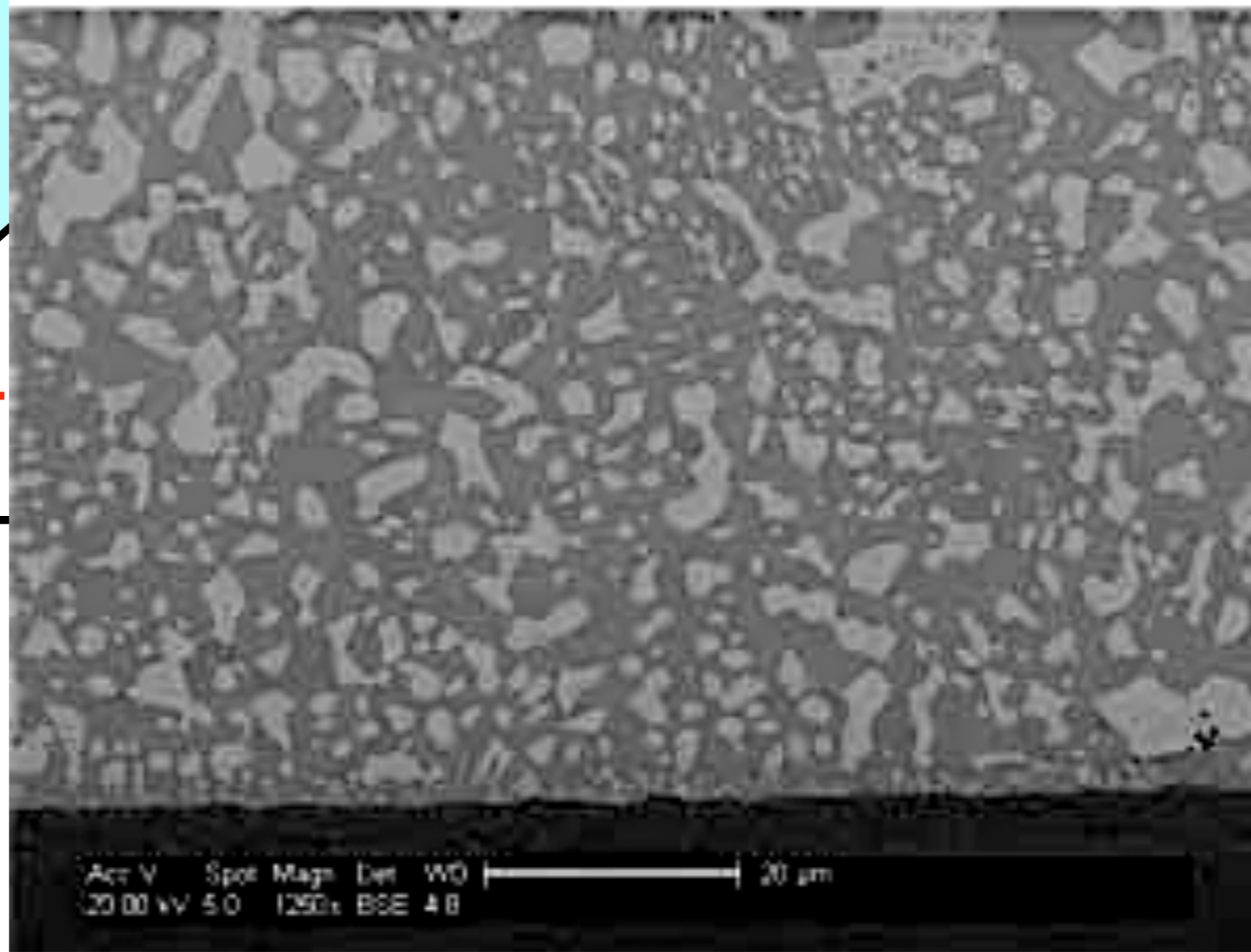
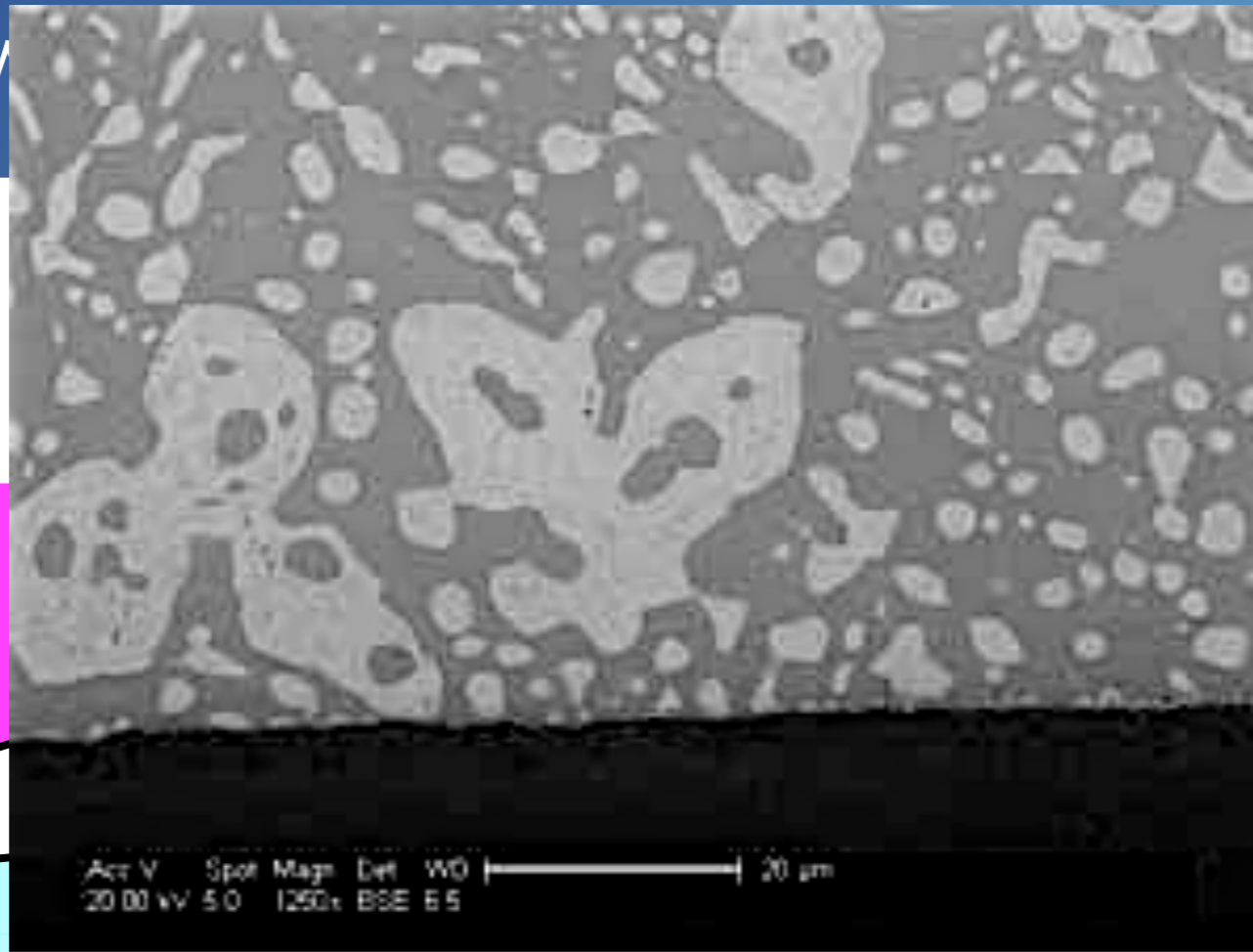
What happens as it cools?



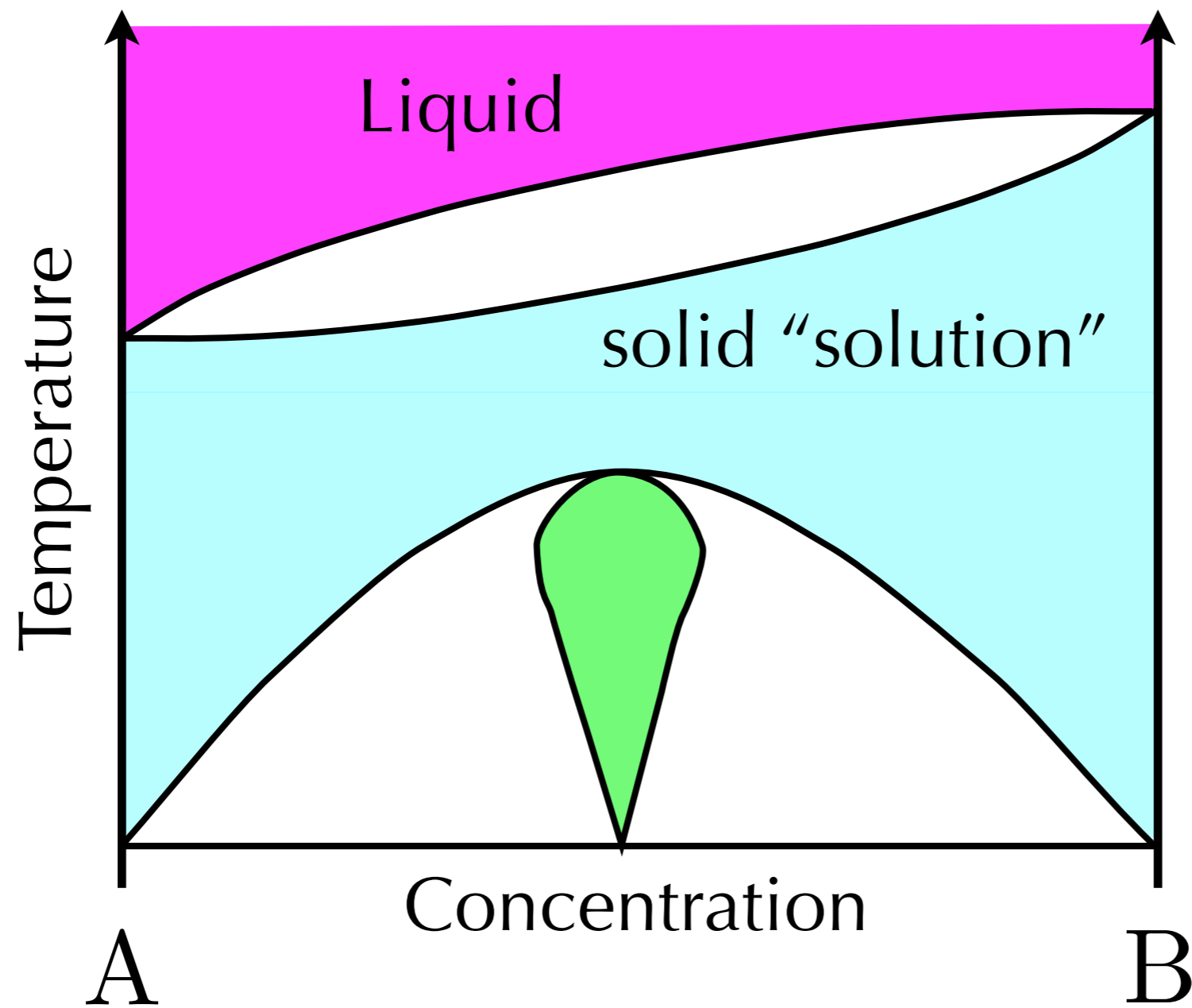
V

Temperature

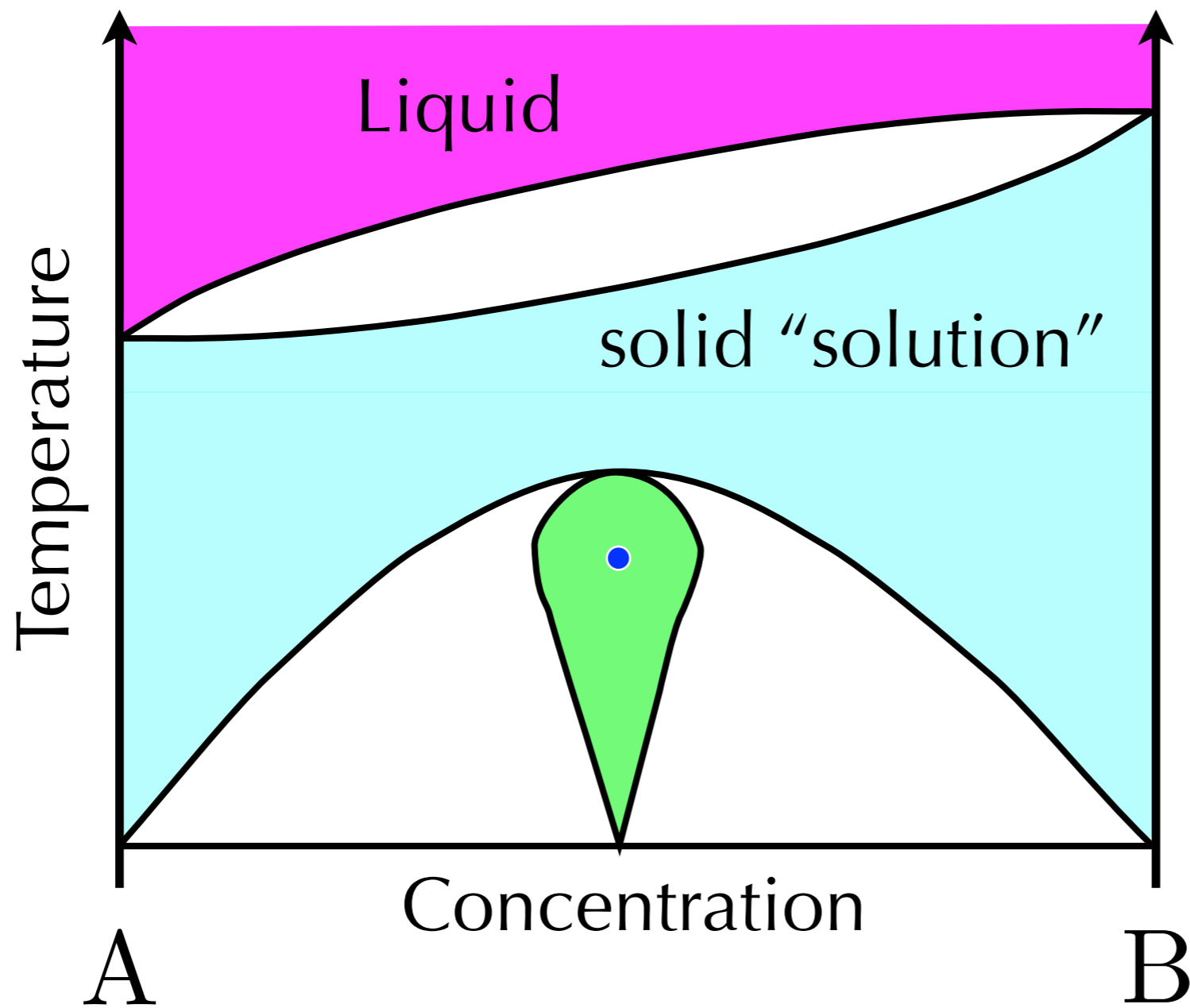
A



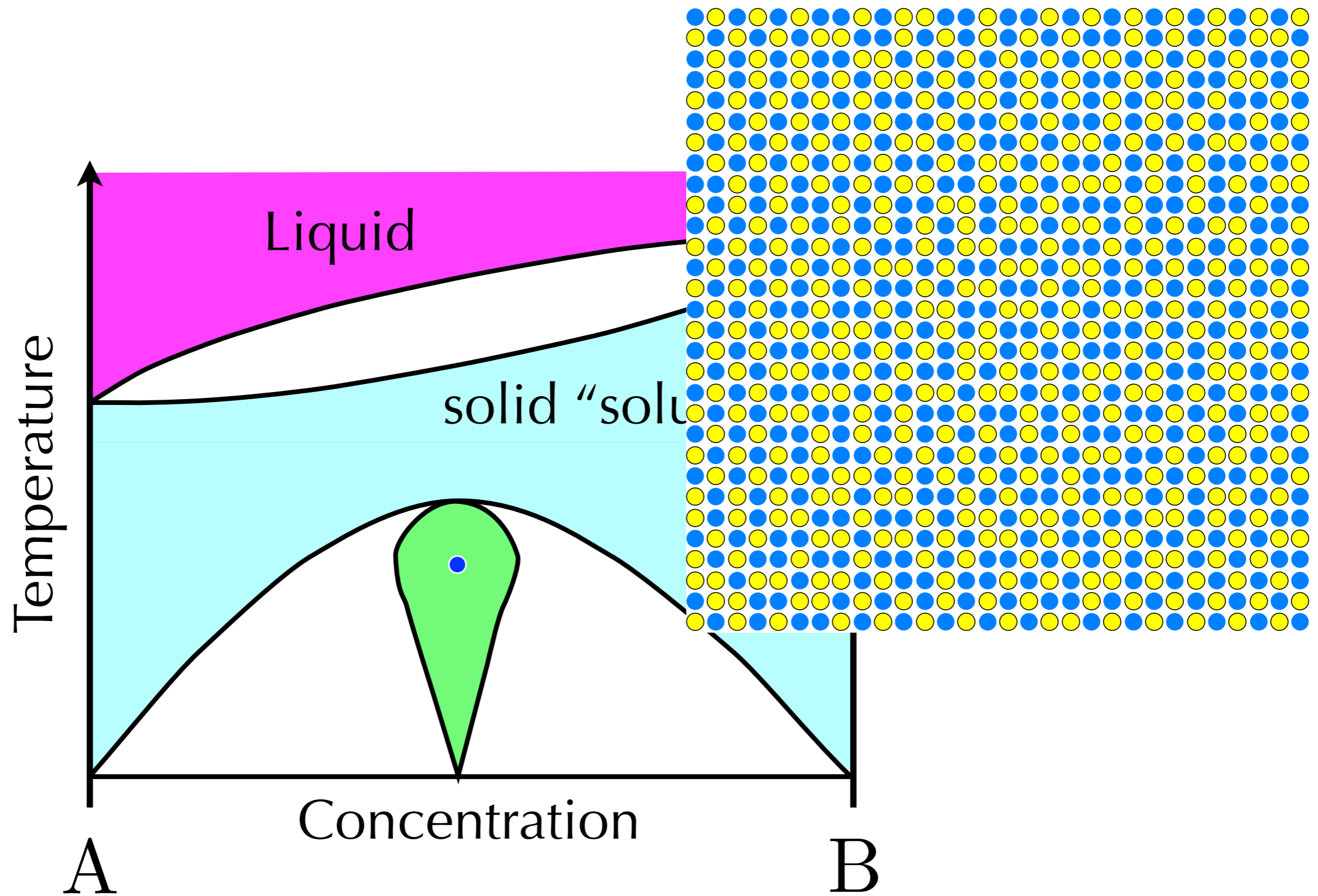
Alloy phase diagrams: Ordering

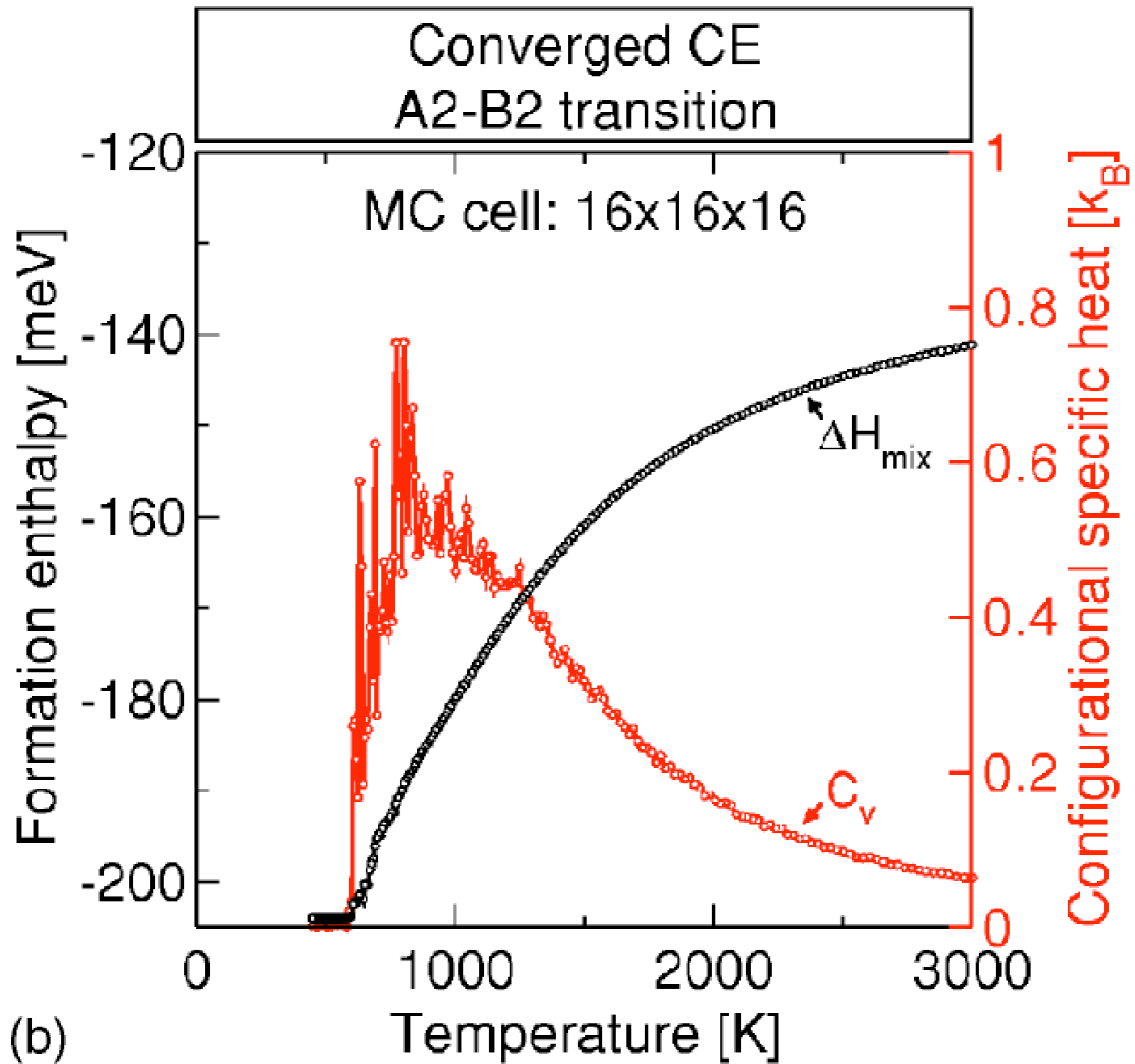


Alloy phase diagrams: Ordering



Alloy phase diagrams: Ordering





(b)

Recap: with a fast lattice
Hamiltonian we can...

Recap: with a fast lattice Hamiltonian we can...

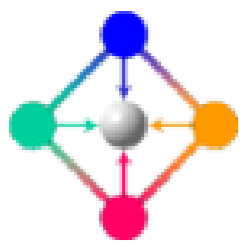
I. Search for new phases (try millions of trial configurations) **Ground State Search**

Recap: with a fast lattice Hamiltonian we can...

1. Search for new phases (try millions of trial configurations) **Ground State Search**
2. Apply thermodynamic modeling (to identify phase transitions) **Monte Carlo**

Recap: with a fast lattice Hamiltonian we can...

1. Search for new phases (try millions of trial configurations) **Ground State Search**
2. Apply thermodynamic modeling (to identify phase transitions) **Monte Carlo**
3. Build a kinetic simulation (to model time evolution) **Kinetic MC**



PAULING FILE

Inorganic Materials Database and Design System

Editor-in-chief:

P. Villars

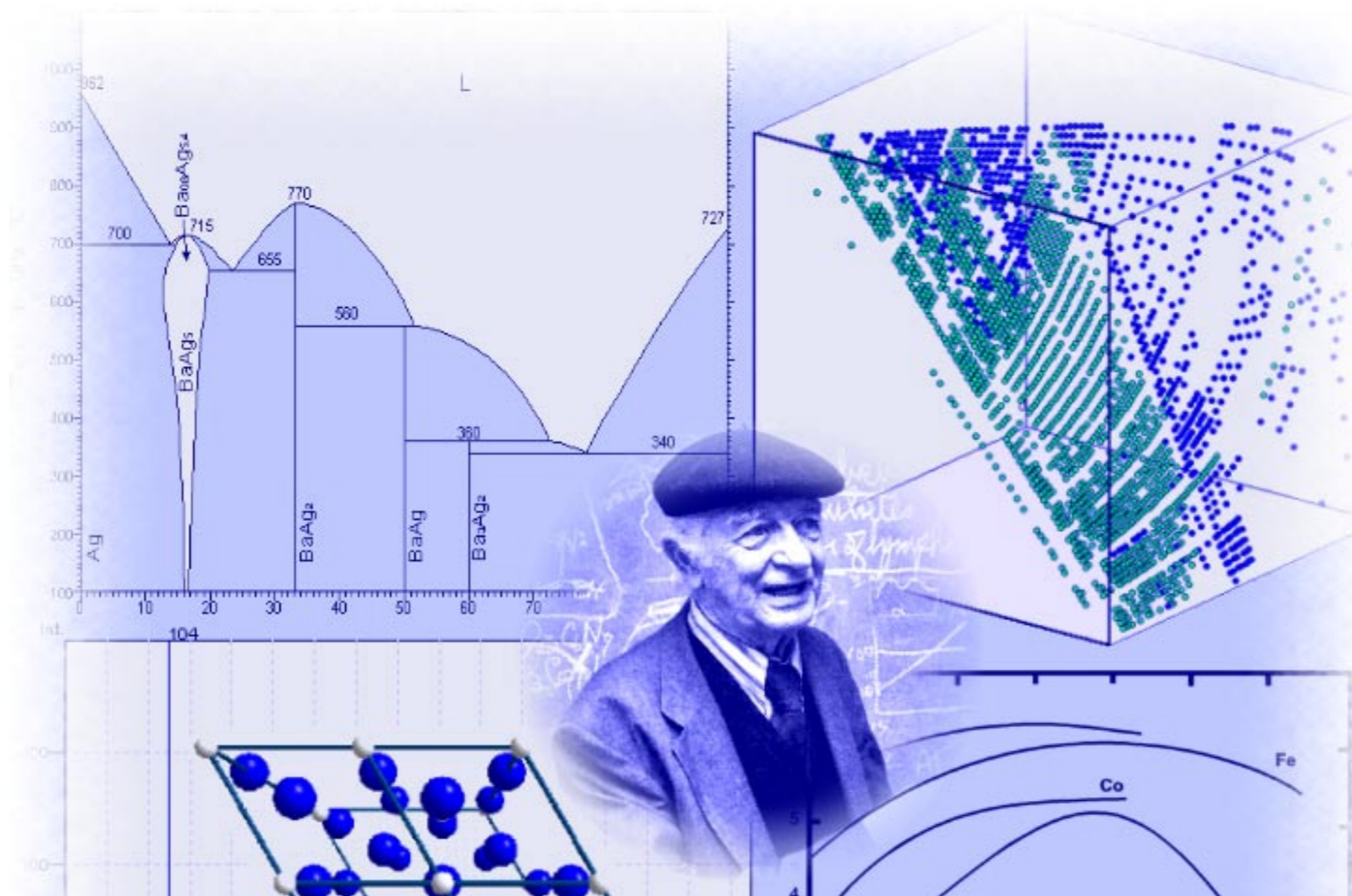
Section editors:

K. Cenzual, J.L.C. Daams, F. Hulliger, T.B. Massalski,
H. Okamoto, K. Osaki, A. Prince[†]

Project coordinator:

S. Iwata

Software development: Crystal Impact



AgMg, AlCo, AlHf, AlMg, AlPd, AlPt, AlSc, AuMg, BaHf, BaMg, BaPd, BaPt, BeHf, BeMg, BePd, BePt, BiHf, BiHg, Biln, Bilr, BHf, CaHf, CaMg, CaPd, CaPt, CdMg, CNi, CsPd, CuMg, GaHf, GaMg, GaNi, GaPd, GaPt, GeMg, GePd, GePt, Hfln, HfK, HfLi, HfMg, HfNa, HfPb, HfSn, HfSr, HfTl, HgMg, InMg, InPd, InPt, IrMg, KMg, KPd, KPt, LiMg, LiPd, LiPt, MgPd, MgPt, MgTc, MoMg, NaMg, NaPd, NaPt, NbMg, OsMg, PbMg, PbPd, PbPt, PdMg, PtMg, RbMg, RbPd, RbPt, ReMg, RhMg, RhTl, RuMg, ScMg, SiMg, SiPd, SiPt, SnMg, SnPd, SnPt, SrMg, SrPd, SrPt, TaMg, TiMg, VMg, WMg, YMg, ZnMg, ZrMg, , AgAu, AgCd, AgCo, AgCr, AgCu, AgFe, AgHf, AgHg, AgIr, AgLa, AgMn, AgMo, AgNb, AgNi, AgOs, AgPd, AgPt, AgRe, AgRh, AgRu, AgSc, AgTa, AgTc, AgTi, AgV, AgW, AgY, AgZn, AgZr, AuCd, AuCo, AuCr, AuCu, AuFe, AuHf, AuHg, AuIr, AuLa, AuMn, AuMo, AuNb, AuNi, AuOs, AuPd, AuPt, AuRe, AuRh, AuRu, AuSc, AuTa, AuTc, AuTi, AuV, AuW, AuY, AuZn, AuZr, CdCo, CdCr, CdCu, CdFe, CdHf, CdHg, Cdlr, CdLa, CdMn, CdMo, CdNb, CdNi, CdOs, CdPd, CdPt, CdRe, CdRh, CdRu, CdSc, CdTa, CdTc, CdTi, CdV, CdW, CdY, CdZn, CdZr, CoCr, CoCu, CoFe, CoHf, CoHg, Colr, CoLa, CoMn, CoMo, CoNb, CoNi, CoOs, CoPd, CoPt, CoRe, CoRh, CoRu, CoSc, CoTa, CoTc, CoTi, CoV, CoW, CoY, CoZn, CoZr, CrCu, CrFe, CrHf, CrHg, Crlr, CrLa, CrMn, CrMo, CrNb, CrNi, CrOs, CrPd, CrPt, CrRe, CrRh, CrRu, CrSc, CrTa, CrTc, CrTi, CrV, CrW, CrY, CrZn, CrZr, CuFe, CuHf, CuHg, Culr, CuLa, CuMn, CuMo, CuNb, CuNi, CuOs, CuPd, CuPt, CuRe, CuRh, CuRu, CuSc, CuTa, CuTc, CuTi, CuV, CuW, CuY, CuZn, CuZr, FeHf, FeHg, Felr, FeLa, FeMn, FeMo, FeNb, FeNi, FeOs, FePd, FePt, FeRe, FeRh, FeRu, FeSc, FeTa, FeTc, FeTi, FeV, FeW, FeY, FeZn, FeZr, HfHg, Hflr, HfLa, HfMn, HfMo, HfNb, HfNi, HfOs, HfPd, HfPt, HfRe, HfRh, HfRu, HfSc, HfTa, HfTc, HfTi, HfV, HfW, HfY, HfZn, HfZr, Hglr, HgLa, HgMn, HgMo, HgNb, HgNi, HgOs, HgPd, HgPt, HgRe, HgRh, HgRu, HgSc, HgTa, HgTc, HgTi, HgV, HgW, HgY, HgZn, HgZr, IrLa, IrMn, IrMo, IrNb, IrNi, IrOs, IrPd, IrPt, IrRe, IrRh, IrRu, IrSc, IrTa, IrTc, IrTi, IrV, IrW, IrY, IrZn, IrZr, LaMn, LaMo, LaNb, LaNi, LaOs, LaPd, LaPt, LaRe, LaRh, LaRu, LaSc, LaTa, LaTc, LaTi, LaV, LaW, LaY, LaZn, LaZr, MnMo, MnNb, MnNi, MnOs, MnPd, MnPt, MnRe, MnRh, MnRu, MnSc, MnTa, MnTc, MnTi, MnV, MnW, MnY, MnZn, MnZr, MoNb, MoNi, MoOs, MoPd, MoPt, MoRe, MoRh, MoRu, MoSc, MoTa, MoTc, MoTi, MoV, MoW, MoY, MoZn, MoZr, NbNi, NbOs, NbPd, NbPt, NbRe, NbRh, NbRu, NbSc, NbTa, NbTc, NbTi, NbV, NbW, NbY, NbZn, NbZr, NiOs, NiPd, NiPt, NiRe, NiRh, NiRu, NiSc, NiTa, NiTc, NiTi, NiV, NiW, NiY, NiZn, NiZr, OsPd, OsPt, OsRe, OsRh, OsRu, OsSc, OsTa, OsTc, OsTi, OsV, OsW, OsY, OsZn, OsZr, PdPt, PdRe, PdRh, PdRu, PdSc, PdTa, PdTc, PdTi, PdV, PdW, PdY, PdZn, PdZr, PtRe, PtRh, PtRu, PtSc, PtTa, PtTc, PtTi, PtV, PtW, PtY, PtZn, PtZr, ReRh, ReRu, ReSc, ReTa, ReTc, ReTi, ReV, ReW, ReY, ReZn, ReZr, RhRu, RhSc, RhTa, RhTc, RhTi, RhV, RhW, RhY, RhZn, RhZr, RuSc, RuTa, RuTc, RuTi, RuV, RuW, RuY, RuZn, RuZr, ScTa, ScTc, ScTi, ScV, ScW, ScY, ScZn, ScZr, TaTc, TaTi, TaV, TaW, TaY, TaZn, TaZr, TcTi, TcV, TcW, TcY, TcZn, TcZr, TiV, TiW, TiY, TiZn, TiZr, V, W, VY, VZn, VZr, W, WY, WZn, WZr, Y, YZn, YZr, Zn, ZnZr

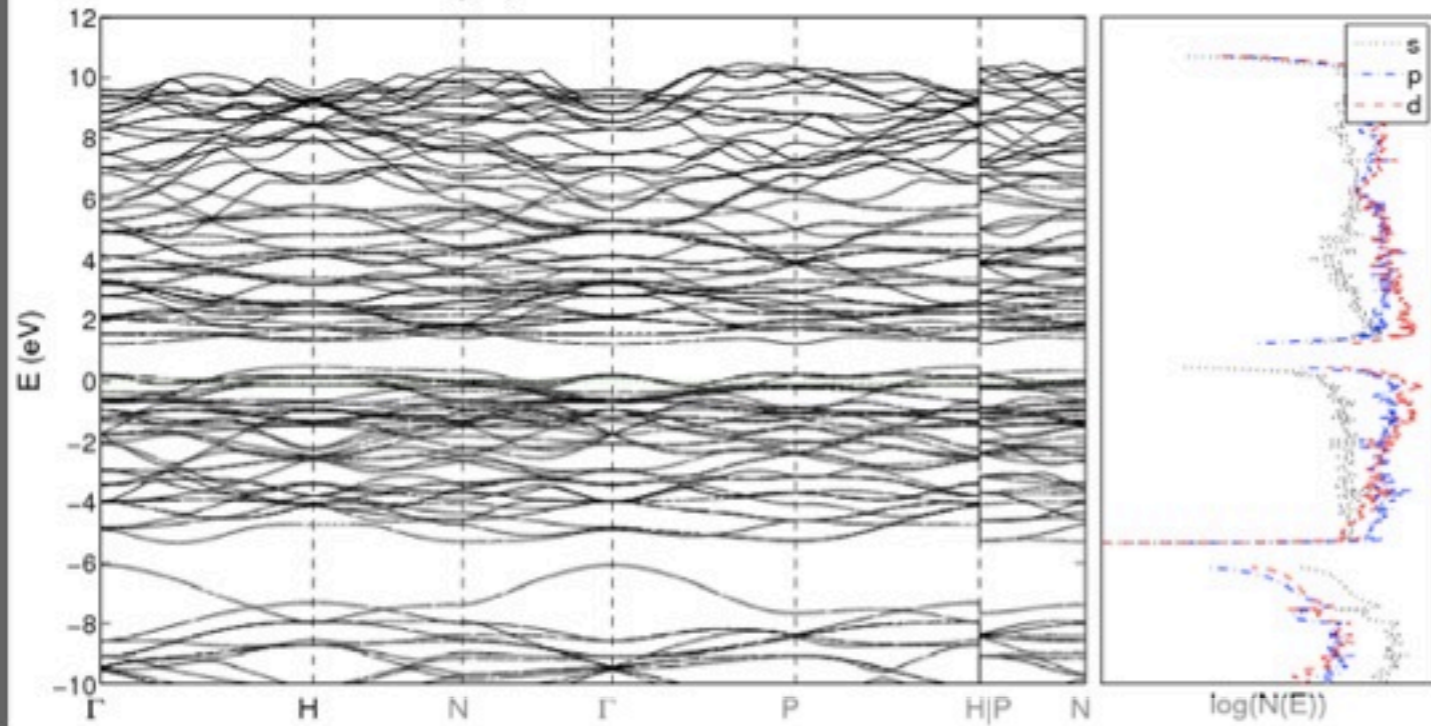


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700 binary x ~200 structures
~20 million cpu hours



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Index	▲ Name	ICSD Number	Bravais Lattice	Atom Number	Space Group Number	Pearson Symbol	Band Gap (eV)	Fit Band Gap (eV)	m_e (m ₀)	m_e^{\min} (m ₀)	m_h (m ₀)	m_h^{\min} (m ₀)	Mass ratio	Valence Band Width (eV)	Core Valence Gap (eV)	Density (g/cm ³)	Proto Name
1	Al ₁ Ca ₁ O ₅ Ta ₁	99001	MCL (Monoclinic)	32	14 (P121/n1)	mP32	2.68 (I)	4.53	6.66	0.41	2.85	0.96	2.33	6.05	9.11	5.45	AllCa1O5Ta1_ICSD_99001

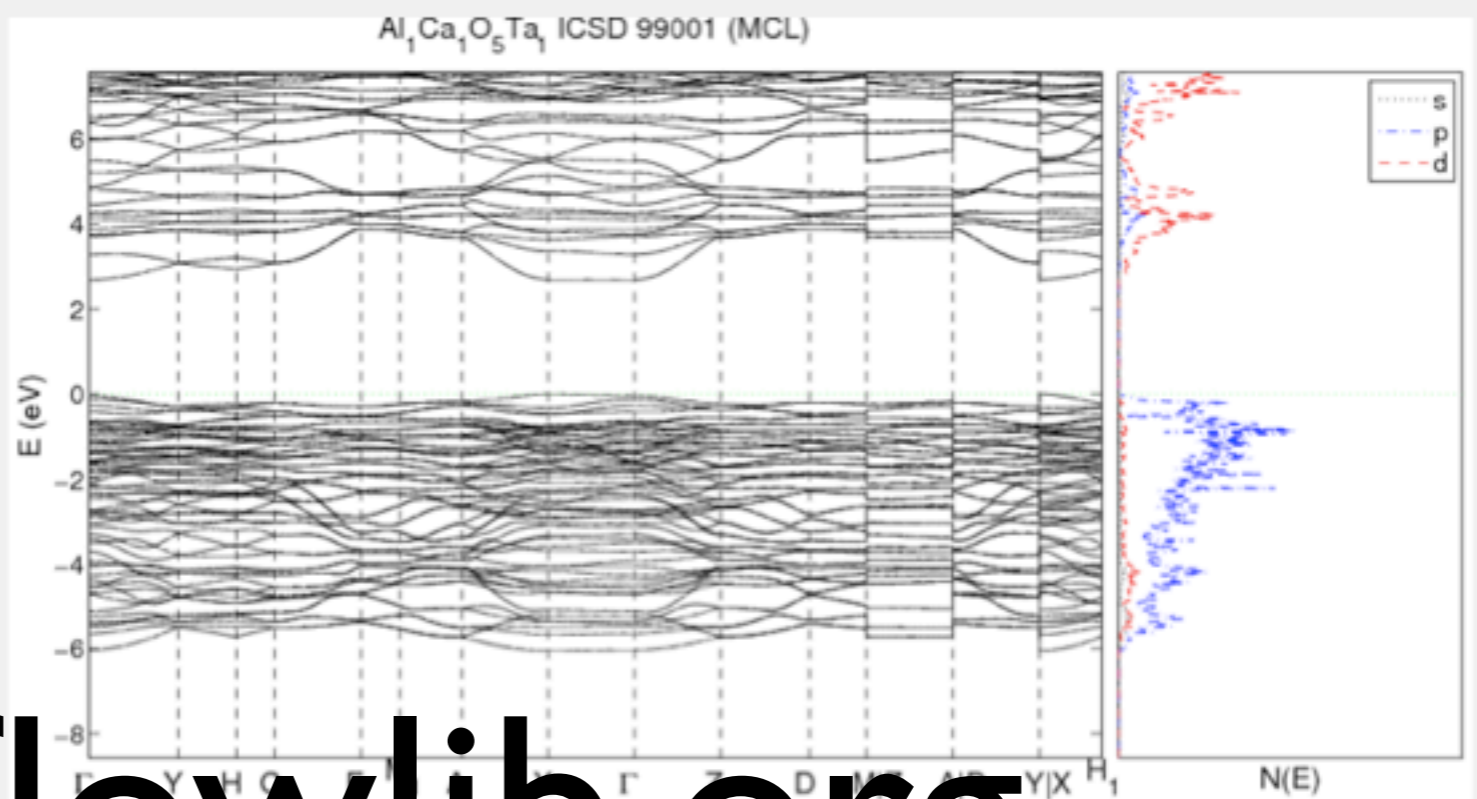
Lattices: $a = 7.40\text{\AA}$ $b = 7.97\text{\AA}$ $c = 7.71\text{\AA}$
 $\alpha = 68.69^\circ$ $\beta = 90.00^\circ$ $\gamma = 90.00^\circ$
Volume: 423.76\AA^3
Unit Cell Atom Number: 32
Space Group Number: 14
Pearson Symbol: mP32
Lattice Primitive: MCL
Lattice Variation: MCL
Crystal Family: Monoclinic
Crystal System: Monoclinic
Crystal Class: Monoclinic-prismatic

Point Group (Hermann Muggen): C_{2h}
Point Group (Schoenflies): C_{2h}
Point Group Orbifold: 2^*
Point Group Type: centrosymmetric
Point Group Order: 4
Point Group Structure: 2 X Cyclic

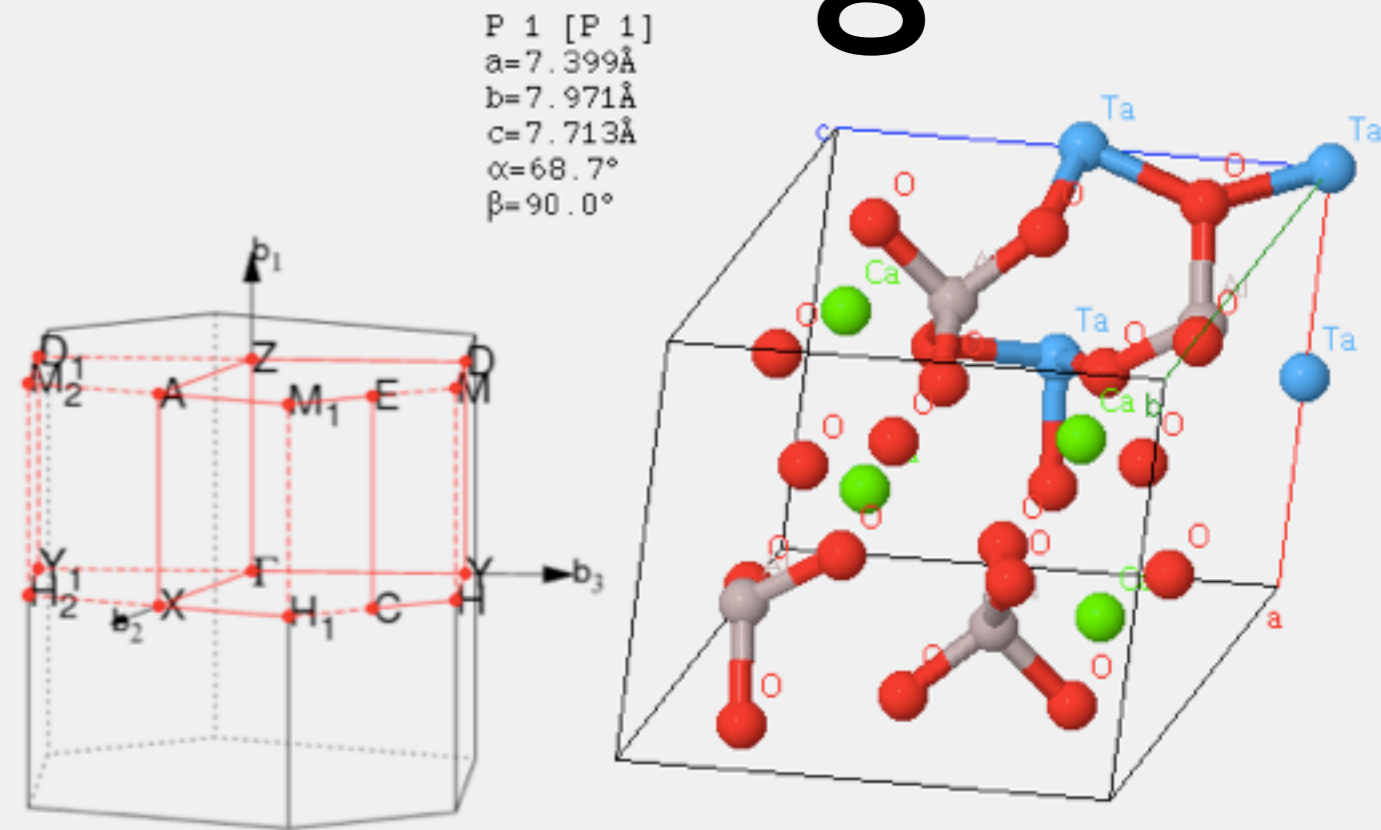
Superlattice Primitive unit cell: MCL
Superlattice Variation: MCL
Pearson Symbol Superlattice: monoclinic

RECIPROCAL SPACE LATTICES

Reciprocal Lattices: $a = 0.85\text{\AA}$ $b = 0.85\text{\AA}$ $c = 0.87\text{\AA}$
 $\alpha = 111.31^\circ$ $\beta = 90.00^\circ$ $\gamma = 90.00^\circ$
Volume: 0.59\AA^{-3}
Lattice Primitive: MCL
Lattice Variation: MCL



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MCL path: Γ -Y-H-C-E-M₁-A-X- Γ -Z-D-M|Z-A|D-Y|X-H₁