

HANDS-ON TUTORIAL WORKSHOP, JULY 19TH 2011

THERMOSTATS AND THERMAL TRANSPORT IN SOLIDS

Christian Carbogno



FRITZ-HABER-INSTITUT
DER MAX-PLANCK-GESELLSCHAFT,
BERLIN - GERMANY

MAX-PLANCK-GESELLSCHAFT

HANDS-ON TUTORIAL WORKSHOP, JULY 19TH 2011

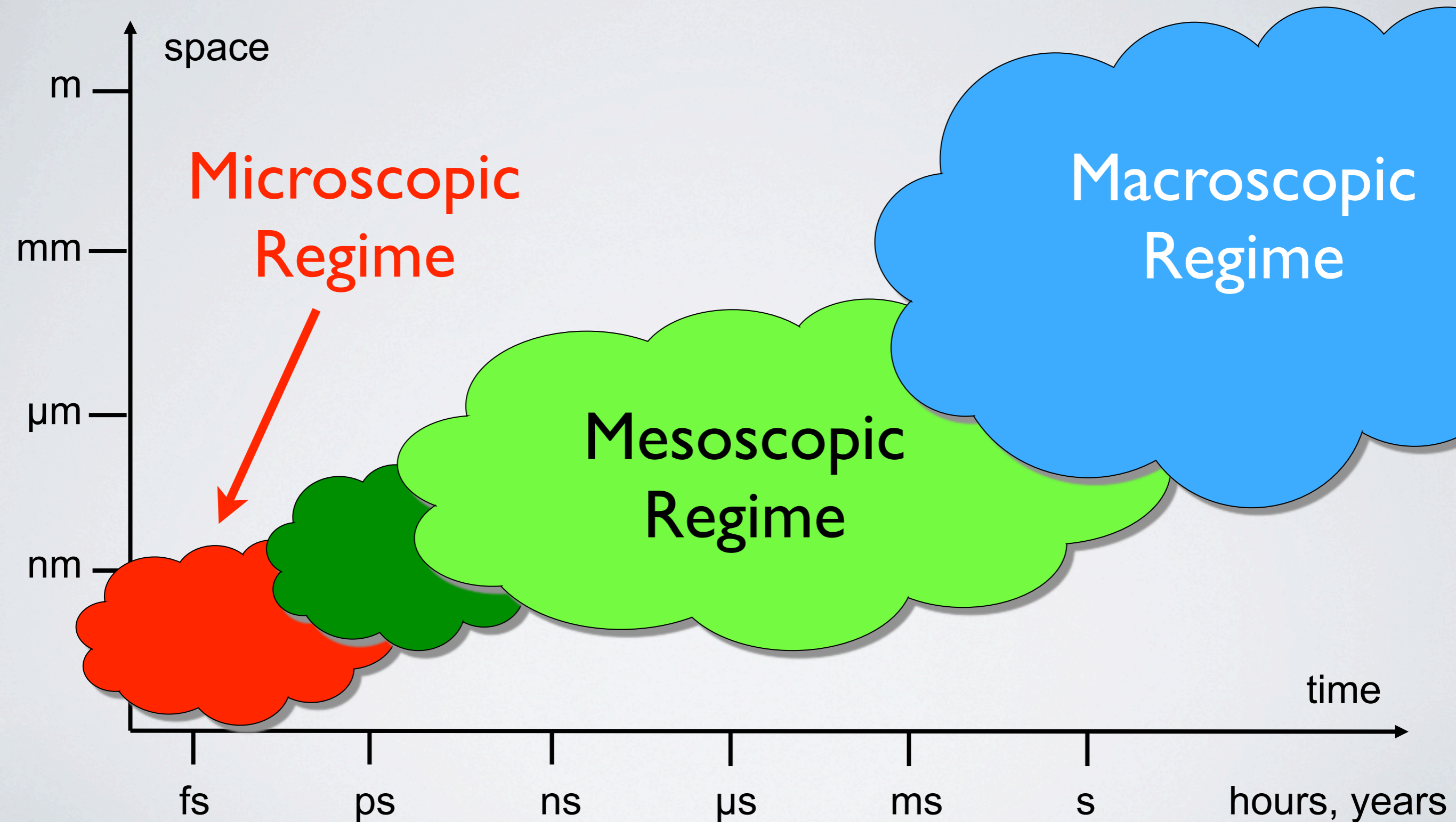
THERMOSTATS AND THERMAL TRANSPORT IN SOLIDS

Christian Carbogno

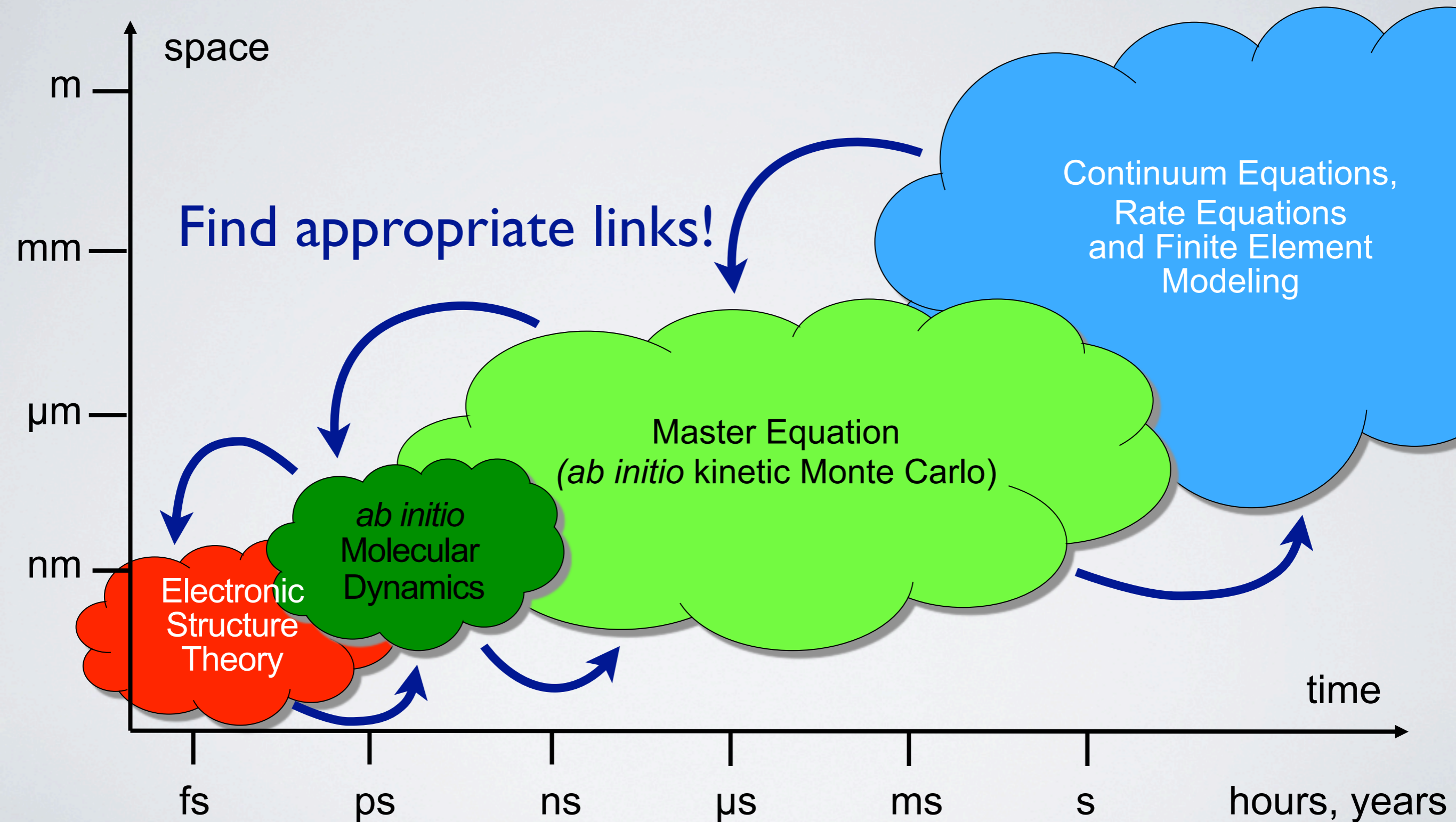
MATERIALS DEPARTMENT,
UNIVERSITY OF CALIFORNIA
SANTA BARBARA



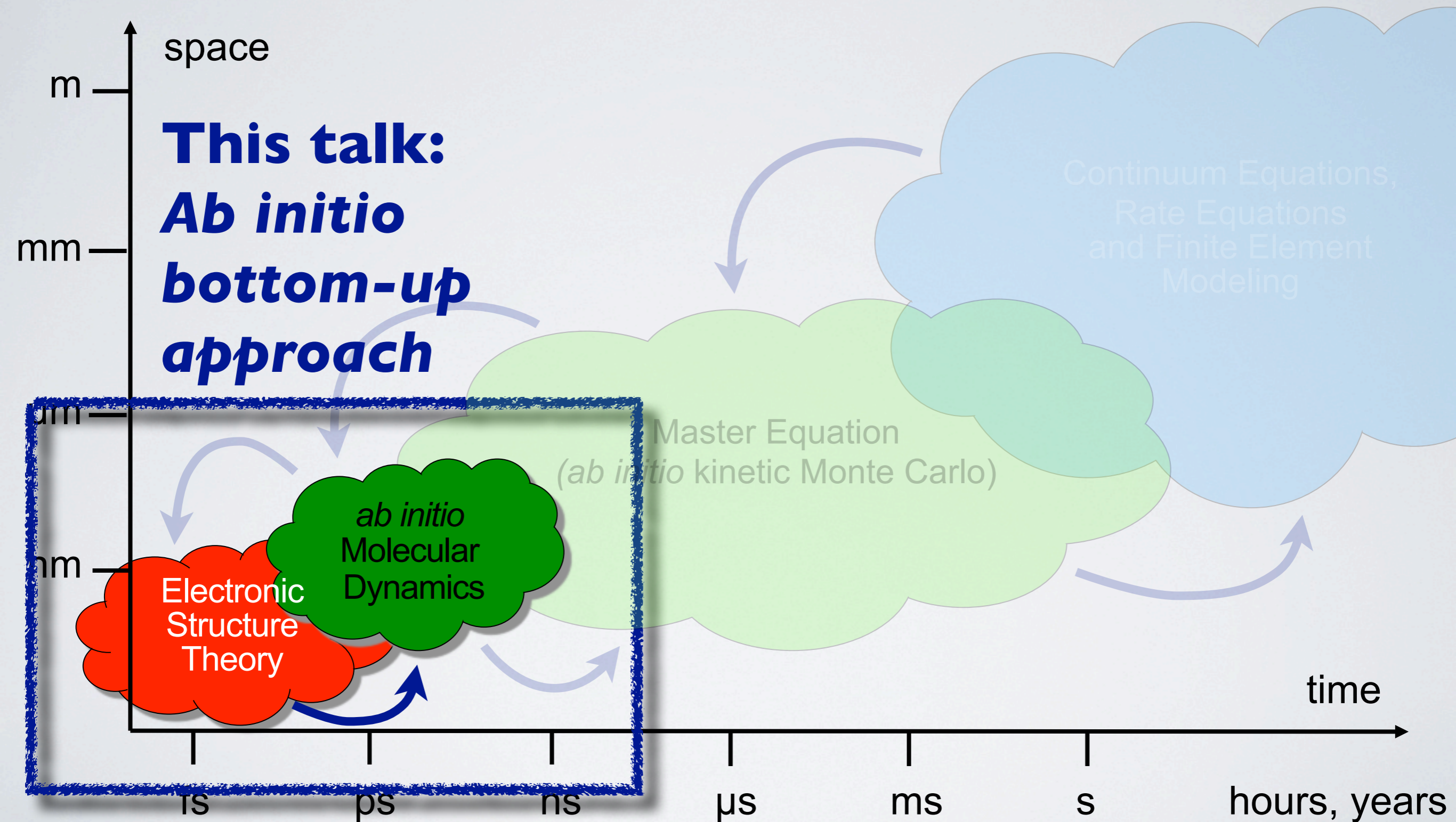
MULTI-SCALE MODELING



MULTI-SCALE MODELING

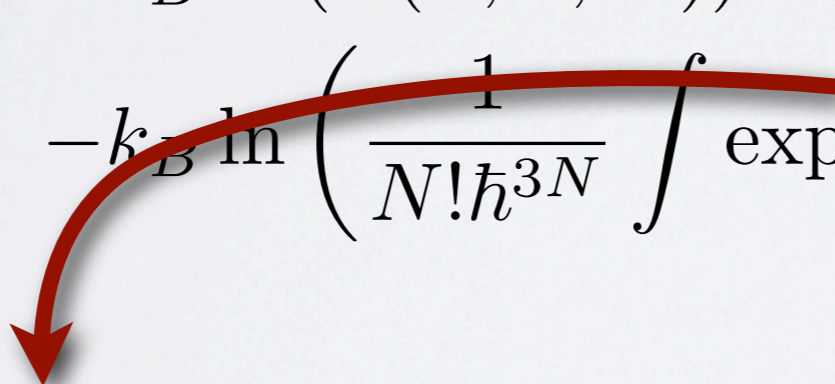


MULTI-SCALE MODELING



THERMODYNAMIC EQUILIBRIUM IN A NUTSHELL

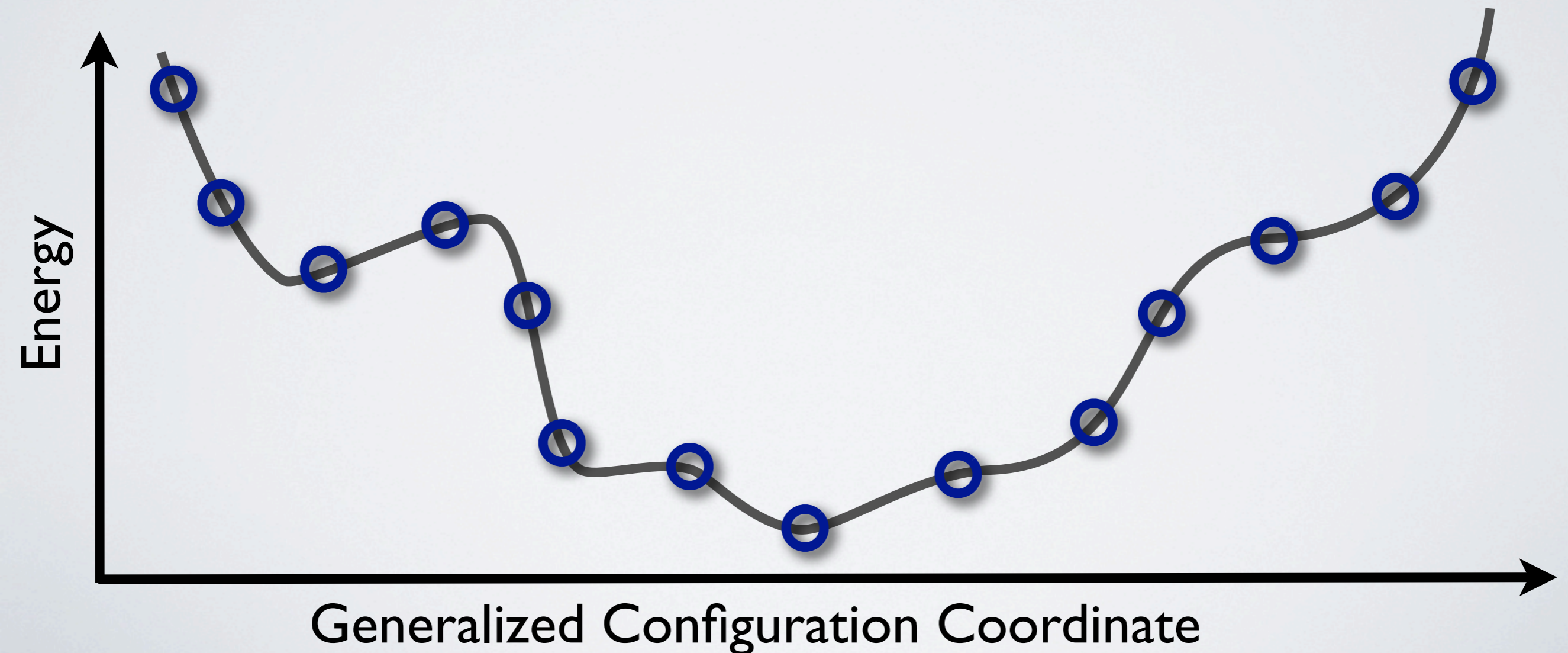
A classical system with **N atoms**, a **Volume V** and a **Temperature T** is described by its **canonical partition function $Z(T,V,N)$** viz. its **Helmholtz Free Energy $F(T,V,N)$** .

$$\begin{aligned} F(T, V, N) &= -k_B \ln (Z(T, V, N)) \\ &= -k_B \ln \left(\frac{1}{N! \hbar^{3N}} \int \exp \left(-\frac{\mathcal{H}(\{\mathbf{x}_i\}, \{\mathbf{p}_i\})}{k_B T} \right) \{d^3 \mathbf{x}_i\} \{d^3 \mathbf{p}_i\} \right) \end{aligned}$$


Calculating the energy of **all possible configurations $\{\mathbf{x}_i\}$** is **numerically unfeasible** even for very small systems.

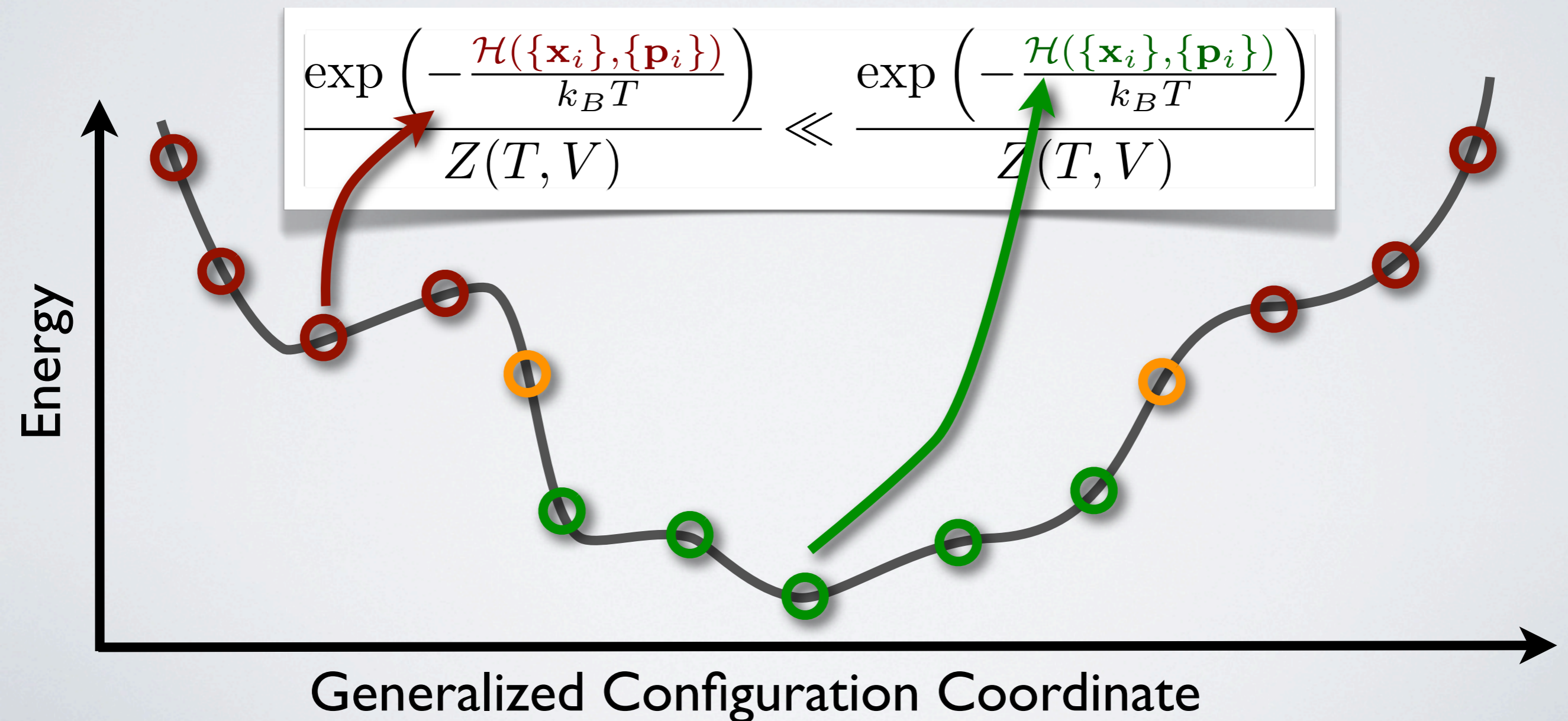
THERMODYNAMIC AVERAGE

$$\langle X \rangle_{T,V} = \frac{1}{Z(T,V)} \int X \exp \left(-\frac{\mathcal{H}(\{\mathbf{x}_i\}, \{\mathbf{p}_i\})}{k_B T} \right) \{d^3 \mathbf{x}_i\} \{d^3 \mathbf{p}_i\}$$



THERMODYNAMIC AVERAGE

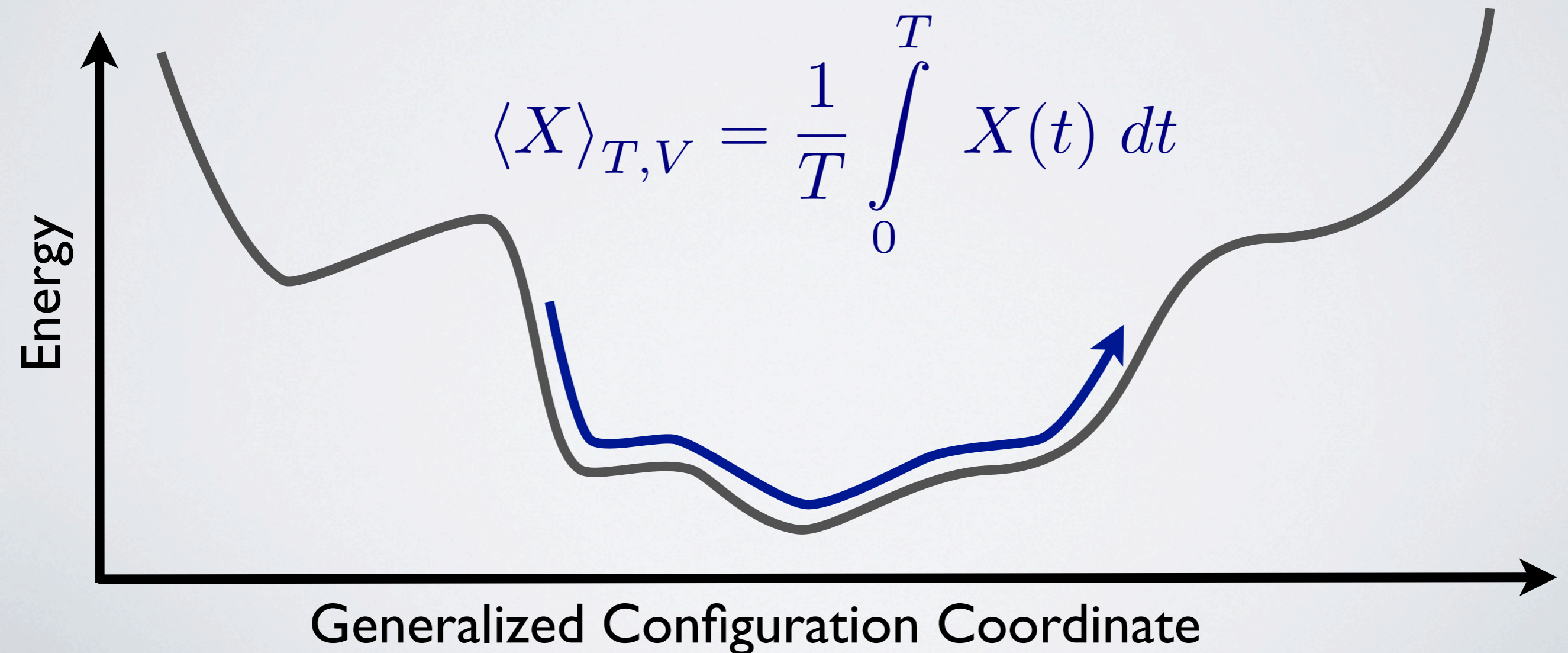
$$\langle X \rangle_{T,V} = \frac{1}{Z(T,V)} \int X \exp \left(-\frac{\mathcal{H}(\{\mathbf{x}_i\}, \{\mathbf{p}_i\})}{k_B T} \right) \{d^3 \mathbf{x}_i\} \{d^3 \mathbf{p}_i\}$$



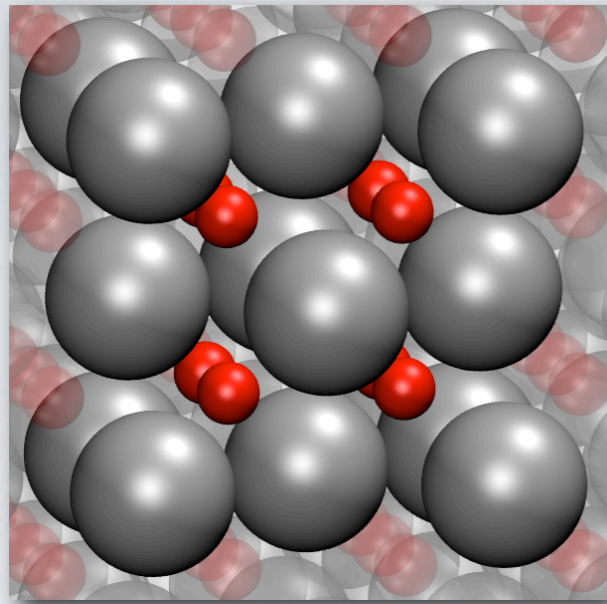
ERGODIC HYPOTHESIS

All accessible micro-states are **equiprobable**
over a long period of time:

The Time Average is equal to the Ensemble Average!

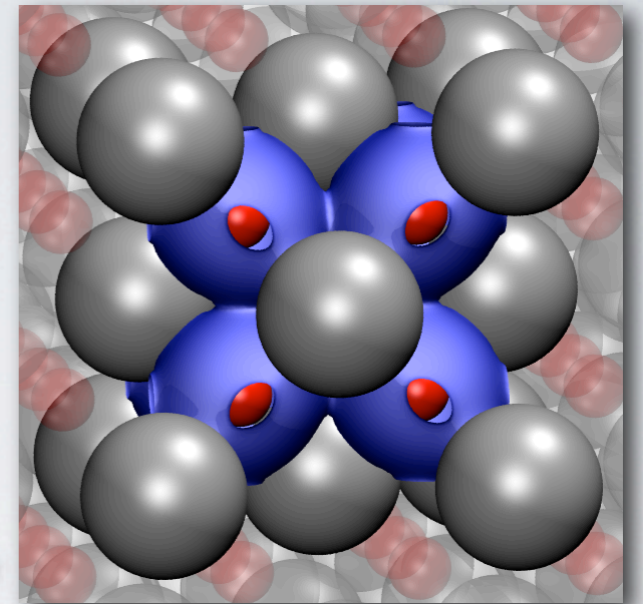


AB INITIO MOLECULAR DYNAMICS



Input:
Geometry, Species

**Electronic Structure
Theory Code**



Output:
total energy & forces

Update geometry

Iterative Approach: Explore the Dynamics of the Atoms!

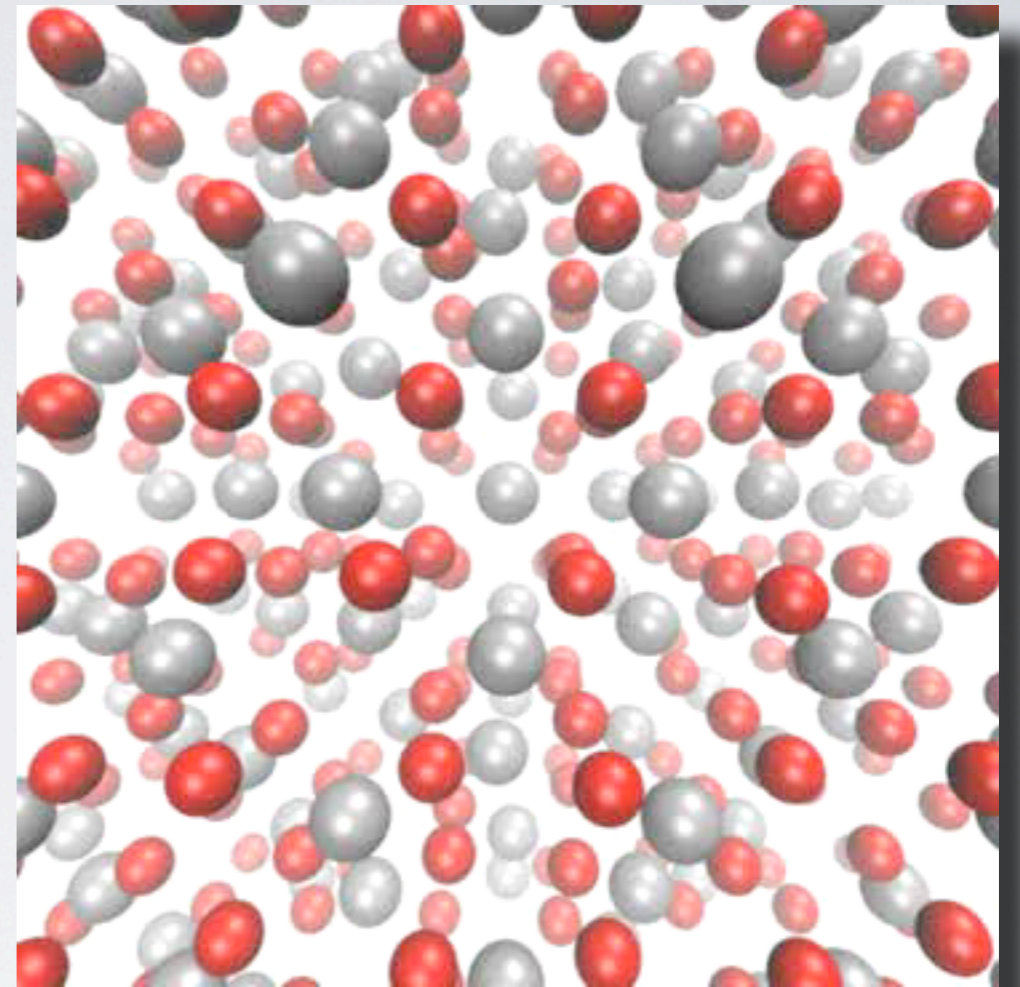
AB INITIO MOLECULAR DYNAMICS

Numerical Integration
of the **equations of motion**

L.Verlet, *Phys. Rev.* **159**, 98 (1967).

$$M_I \ddot{\mathbf{R}}_I(t) = \mathbf{F}_I(\mathbf{R}_1(t), \dots, \mathbf{R}_N(t))$$

Initial conditions have to
be specified!



The **Verlet Algorithm** conserves the number of
particles **N**, the volume **V**, and the energy **E**.

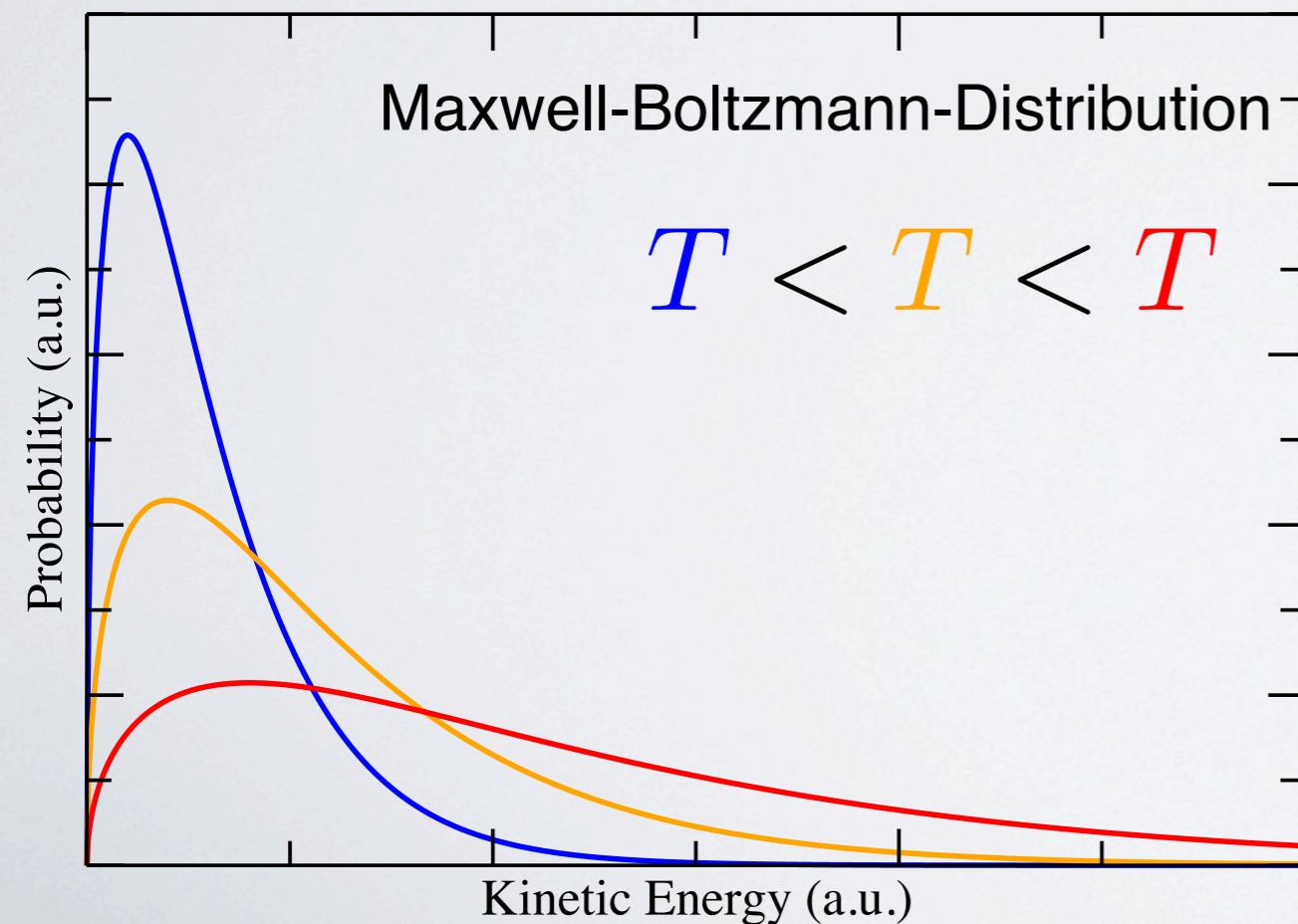
⇒ **Micro-canonical Ensemble**

THE CANONICAL ENSEMBLE



Conserved quantities:

- Number of particles **N**
- Volume **V**
- Average Temperature **T**



kinetic Energy



$$T = \langle T(t) \rangle = \left\langle \frac{2 E_{kin}}{3 k_B N_{dof}} \right\rangle$$
$$\sigma_T^2 = \frac{2 \langle T(t) \rangle^2}{3 N_{dof}}$$

deg. of freedom

A green arrow points from the text "# deg. of freedom" up to the N_{dof} term in the second equation. Another green arrow points from the text "# deg. of freedom" left to the N_{dof} term in the first equation.

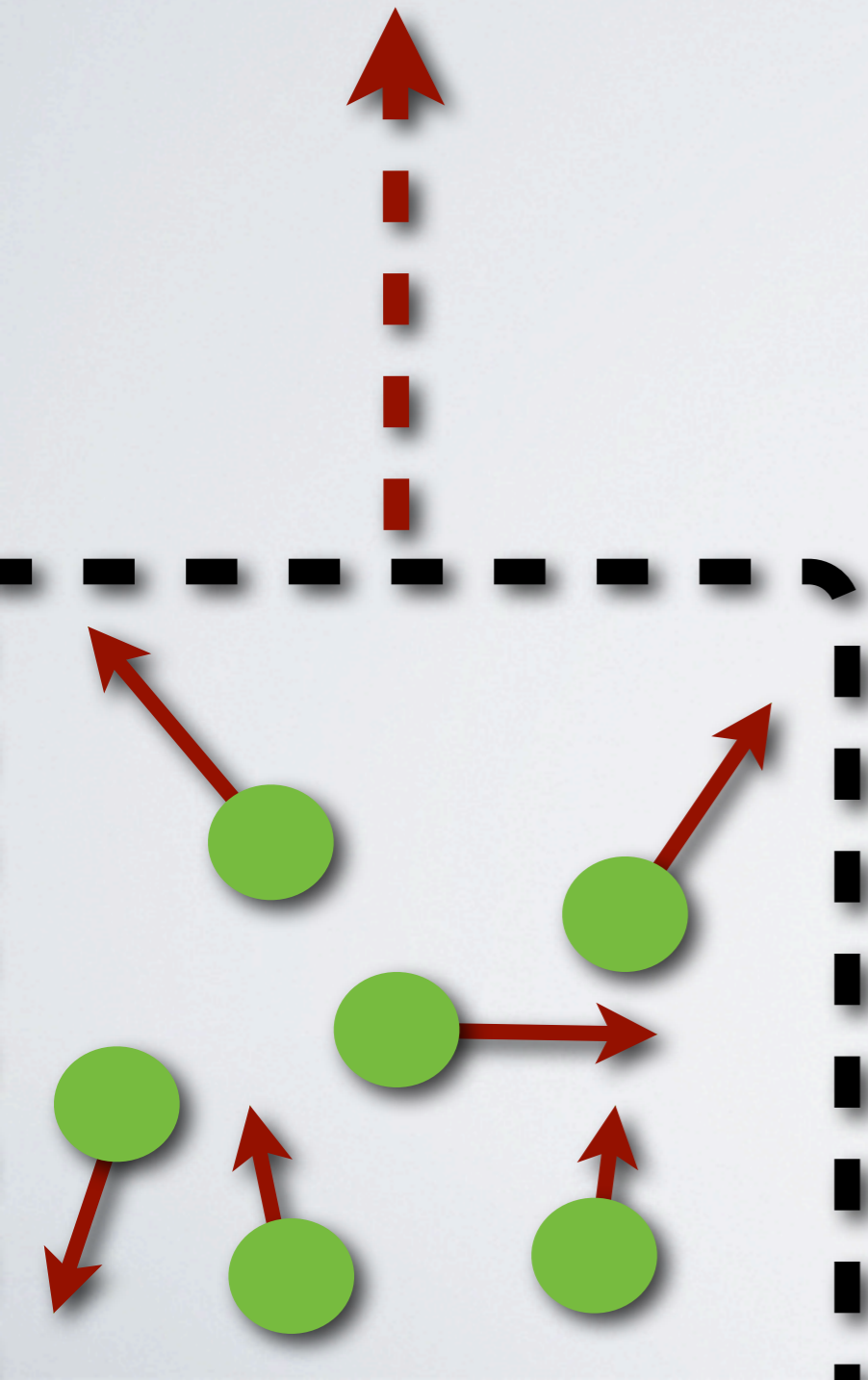
I. Thermostats for the Canonical Ensemble:

A Historical Overview

BERENDSEN THERMOSTAT

H. J. C. Berendsen, et al. *J. Chem. Phys.* **81** 3684 (1984).

I. Calculate **instantaneous temperature $T(t_0)$** of the system

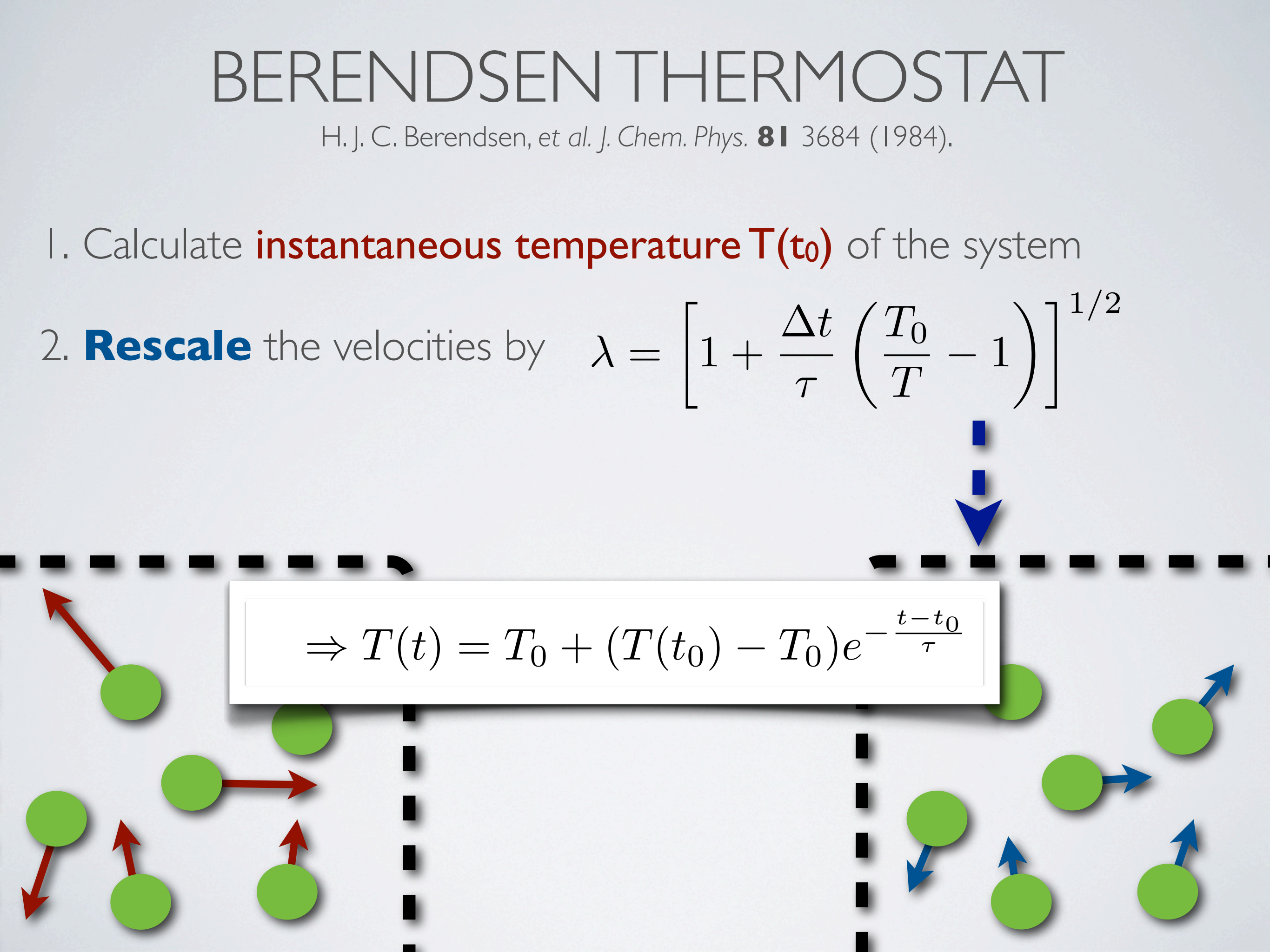


BERENDSEN THERMOSTAT

H. J. C. Berendsen, et al. *J. Chem. Phys.* **81** 3684 (1984).

1. Calculate **instantaneous temperature $T(t_0)$** of the system

2. **Rescale** the velocities by $\lambda = \left[1 + \frac{\Delta t}{\tau} \left(\frac{T_0}{T} - 1 \right) \right]^{1/2}$


$$\Rightarrow T(t) = T_0 + (T(t_0) - T_0) e^{-\frac{t-t_0}{\tau}}$$

BERENDSEN THERMOSTAT

H. J. C. Berendsen, et al. *J. Chem. Phys.* **81** 3684 (1984).

Pros & Cons:

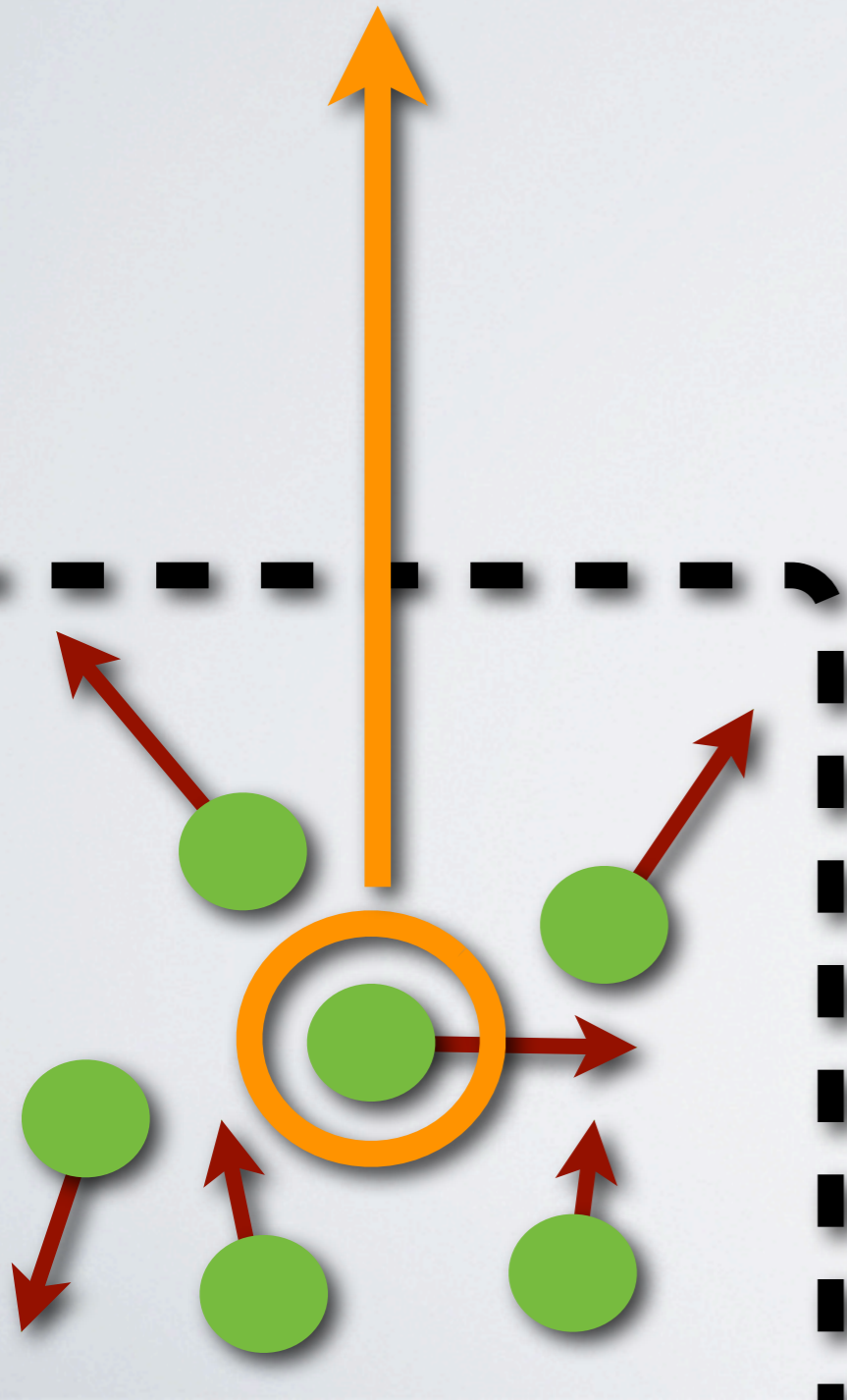
- ❑ **Warning:** Suppresses thermal fluctuations
- ❑ **Warning:** Does not sample the canonical ensemble
- ❑ **Uncertainty:** Sensitive on parameter τ

⇒ **Historically important!**

ANDERSEN THERMOSTAT

H. C. Andersen, *J. Chem. Phys.* **72**, 2384 (1980).

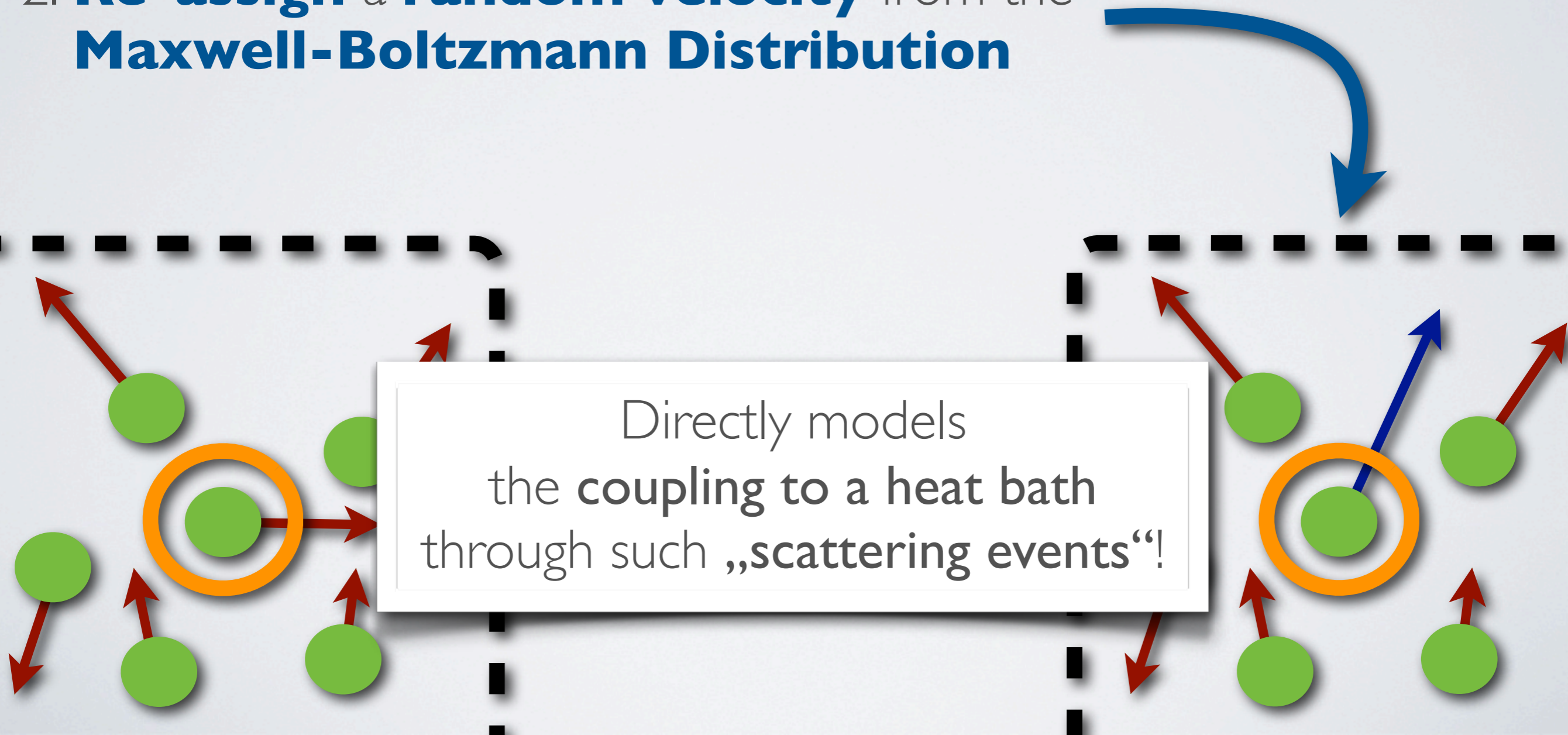
I. Choose a **random atom each v^{th} time step**



ANDERSEN THERMOSTAT

H. C. Andersen, *J. Chem. Phys.* **72**, 2384 (1980).

1. Choose a **random atom each v^{th} time step**
2. **Re-assign** a **random velocity** from the **Maxwell-Boltzmann Distribution**



ANDERSEN THERMOSTAT

H. C. Andersen, *J. Chem. Phys.* **72**, 2384 (1980).

Pros & Cons:

- Accurate:** Canonical ensemble in principle sampled
- Uncertainty:** Sensitive on parameter ν
- Warning:** Trajectories (Velocities) become discontinuous.

⇒ **Historically important!**

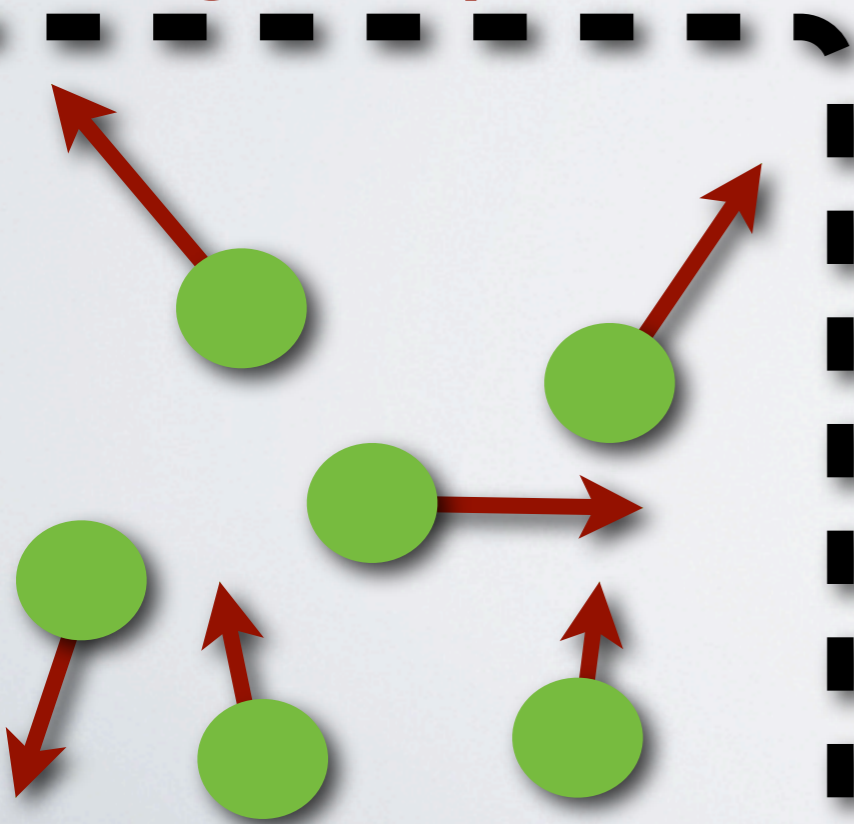
LANGEVIN'S STOCHASTIC DYNAMICS

S. A. Adelman and J. D. Doll, *J. Chem. Phys.* **64**, 2375 (1976).

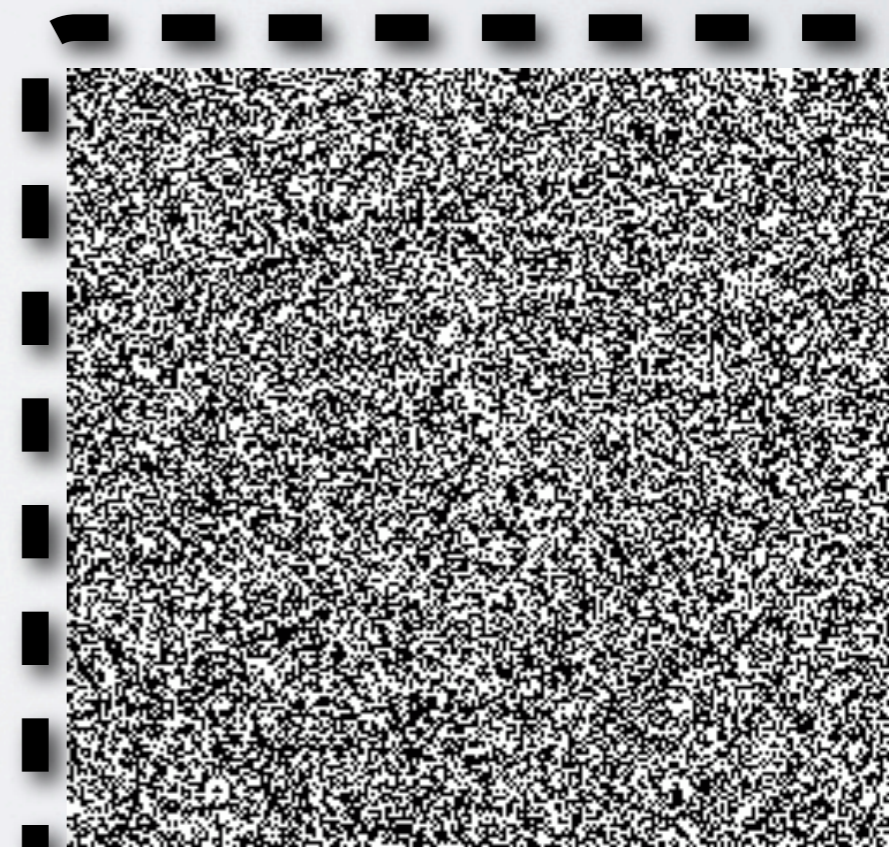
Augmented Equations of Motion:

$$m_i \ddot{\mathbf{R}}_i = \mathbf{F}_i - \gamma_i \dot{\mathbf{R}}_i + \Phi_i(t)$$

Original system



Friction &
White Noise



LANGEVIN'S STOCHASTIC DYNAMICS

S. A. Adelman and J. D. Doll, *J. Chem. Phys.* **64**, 2375 (1976).

Augmented Equations of Motion:

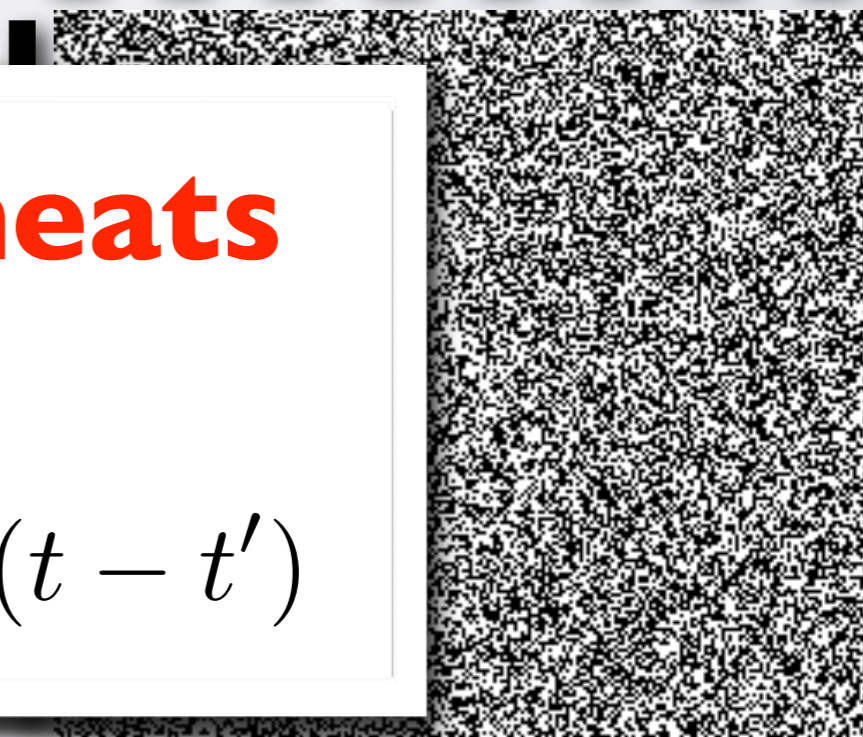
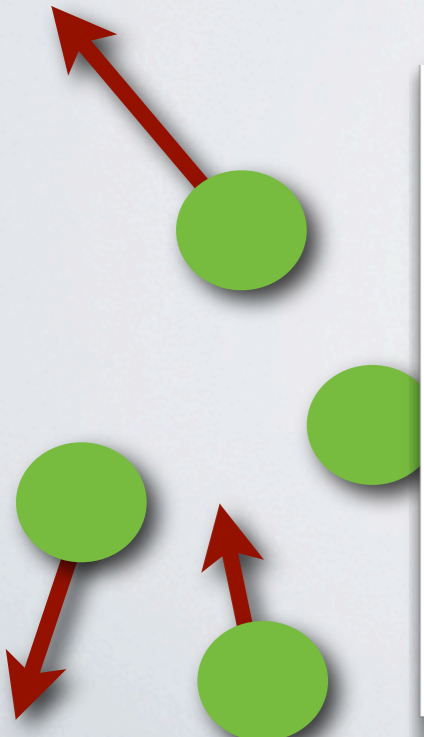
$$m_i \ddot{\mathbf{R}}_i = \mathbf{F}_i - \gamma_i \dot{\mathbf{R}}_i + \Phi_i(t)$$

Original system

Friction &
White Noise

Friction **cools**, Noise **heats**
the system:

$$\langle \Phi_i(t) \cdot \Phi_i(t') \rangle = 6k_B T \gamma_i \delta(t - t')$$



LANGEVIN'S STOCHASTIC DYNAMICS

S. A. Adelman and J. D. Doll, *J. Chem. Phys.* **64**, 2375 (1976).

Pros & Cons:

- Accurate:** Canonical ensemble in principle sampled
- Accurate:** Dynamic properties assessed accurately
- Uncertainty:** Sensitive on parameter(s) γ_i

⇒ **Accurate,**

but there is no continuity of momentum!

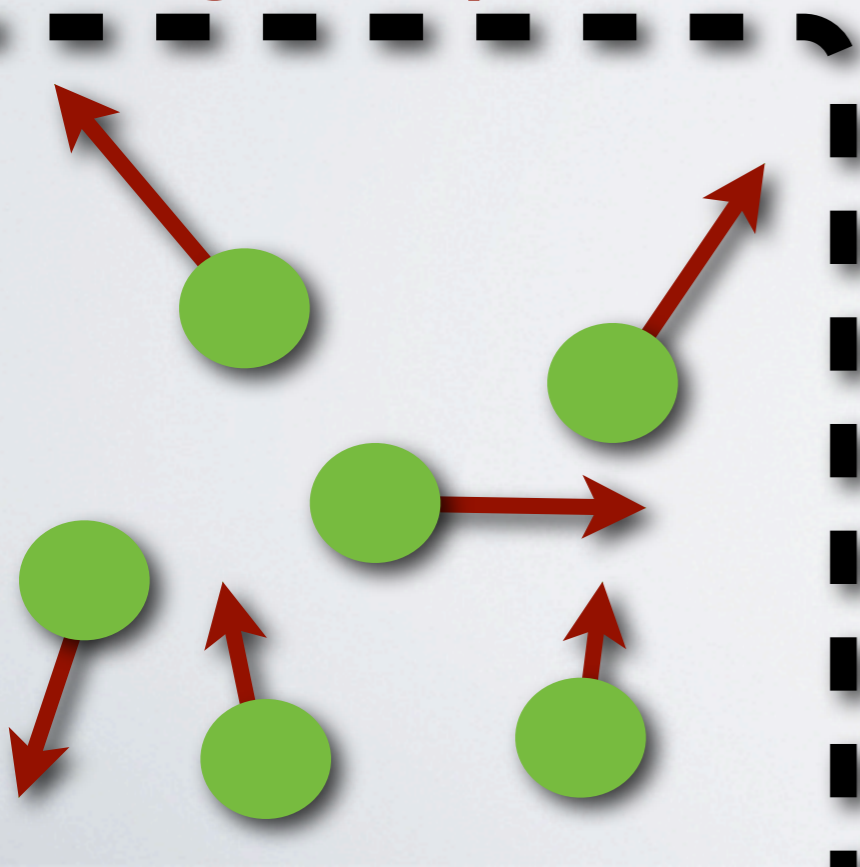
NOSÉ-HOOVER THERMOSTAT

S. Nosé, *J. Chem. Phys.* **81**, 511 (1984) & W. G. Hoover, *Phys. Rev. A* **31**, 1695 (1985).

Augmented Hamiltonian:

$$\varepsilon = \sum_i \frac{\mathbf{p}_i^2}{2m_i} + U(\mathbf{r}^N) + \frac{1}{2} Q \dot{\eta} + 3Nk_B T \eta$$

Original system



Fictitious Oscillator



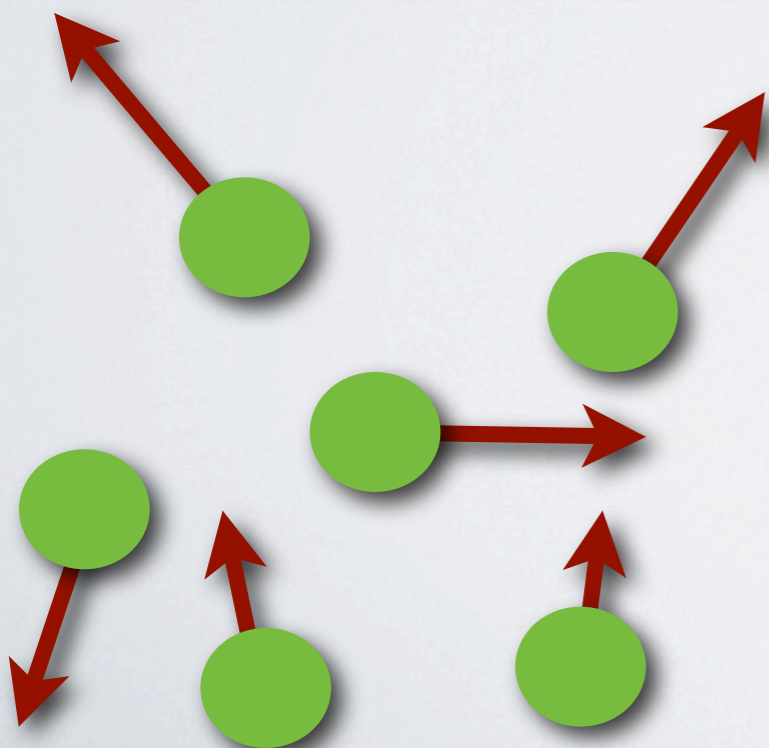
NOSÉ-HOOVER THERMOSTAT

S. Nosé, *J. Chem. Phys.* **81**, 511 (1984) & W. G. Hoover, *Phys. Rev. A* **31**, 1695 (1985).

Augmented Hamiltonian:

$$\varepsilon = \sum_i \frac{\mathbf{p}_i^2}{2m_i} + U(\mathbf{r}^N) + \frac{1}{2}Q\dot{\eta} + 3Nk_B T \eta$$

Original system



Fictitious Oscillator



Explicit coupling
to a heat bath!

$$\dot{\mathbf{p}}_i = \mathbf{F}_i - \dot{\eta}\mathbf{p}_i$$

NOSÉ-HOOVER THERMOSTAT

S. Nosé, *J. Chem. Phys.* **81**, 511 (1984) & W. G. Hoover, *Phys. Rev. A* **31**, 1695 (1985).

Pros & Cons:

- Accurate:** Canonical ensemble sampled
- Convenient:** Augmented Total Energy is conserved
- Warning:** Trajectories still „feel“ the harmonic oscillation.
- Sensitivity:** Q has to be chosen with care

⇒ **Accurate,**

but Q has to be chosen with care!

BUSSI-DONADIO-PARRINELLO THERMOSTAT

G. Bussi, D. Donadio, and M. Parrinello, *J. Chem. Phys.* **126**, 014101 (2007).

Combine concepts from **velocity rescaling (fast!)** with concepts from **stochastic thermostats (accurate!)**.

Target Temperature follows a Stochastic Diff. Eq.:

$$dT = \left(\bar{T} - T(t) \right) \frac{dt}{\tau} + 2 \sqrt{\frac{T(t)\bar{T}}{N_f}} \frac{dW(t)}{\sqrt{\tau}}$$

Berendsen's
thermostat

White
Noise

BUSSI-DONADIO-PARRINELLO THERMOSTAT

G. Bussi, D. Donadio, and M. Parrinello, *J. Chem. Phys.* **126**, 014101 (2007).

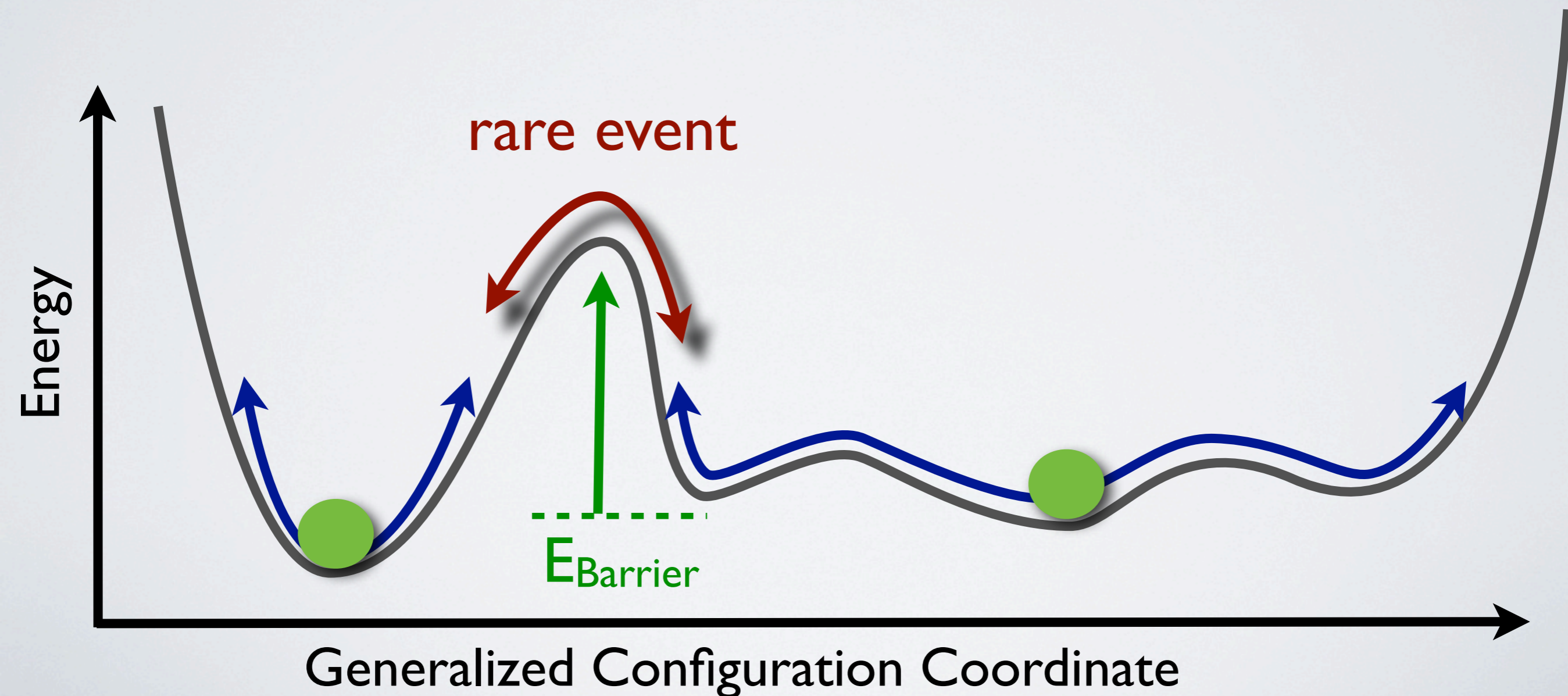
Pros & Cons:

- ☑ **Accurate:** Canonical ensemble sampled
- ☑ **Accurate:** Dynamic properties assessed accurately
- ☑ **Sensitivity:** Almost independent from parameter τ
- ☑ **Convenient:** Pseudo-Hamiltonian is conserved

⇒ **Accurate & very promising,
but also still topic of research!**

CAVEAT: RARE EVENTS

Simulating **processes** hindered by **high energetic barriers** can be **extremely expensive** with conventional MD.



CAVEAT: RARE EVENTS

Possible routes:

- **Simulated Annealing**

e.g., S. Kirkpatrick, C. D. Gelatt, and M. P. Vecchi, *Science* **220**, 671 (1983).

- **Accelerated Molecular Dynamics**

e.g., A. F. Voter, *Phys. Rev. Lett.* **78**, 3908 (1997).

- **Bond Boost**

e.g., R. A. Miron, K. A. Fichthorn, *Phys. Rev. Lett.* **93**, 128301 (2004).

- **Transition Path Sampling**

e.g., P. G. Bolhuis *et al.* *Annu. Rev. Phys. Chem.* **53**, 291 (2002).

- **Kinetic Monte Carlo**

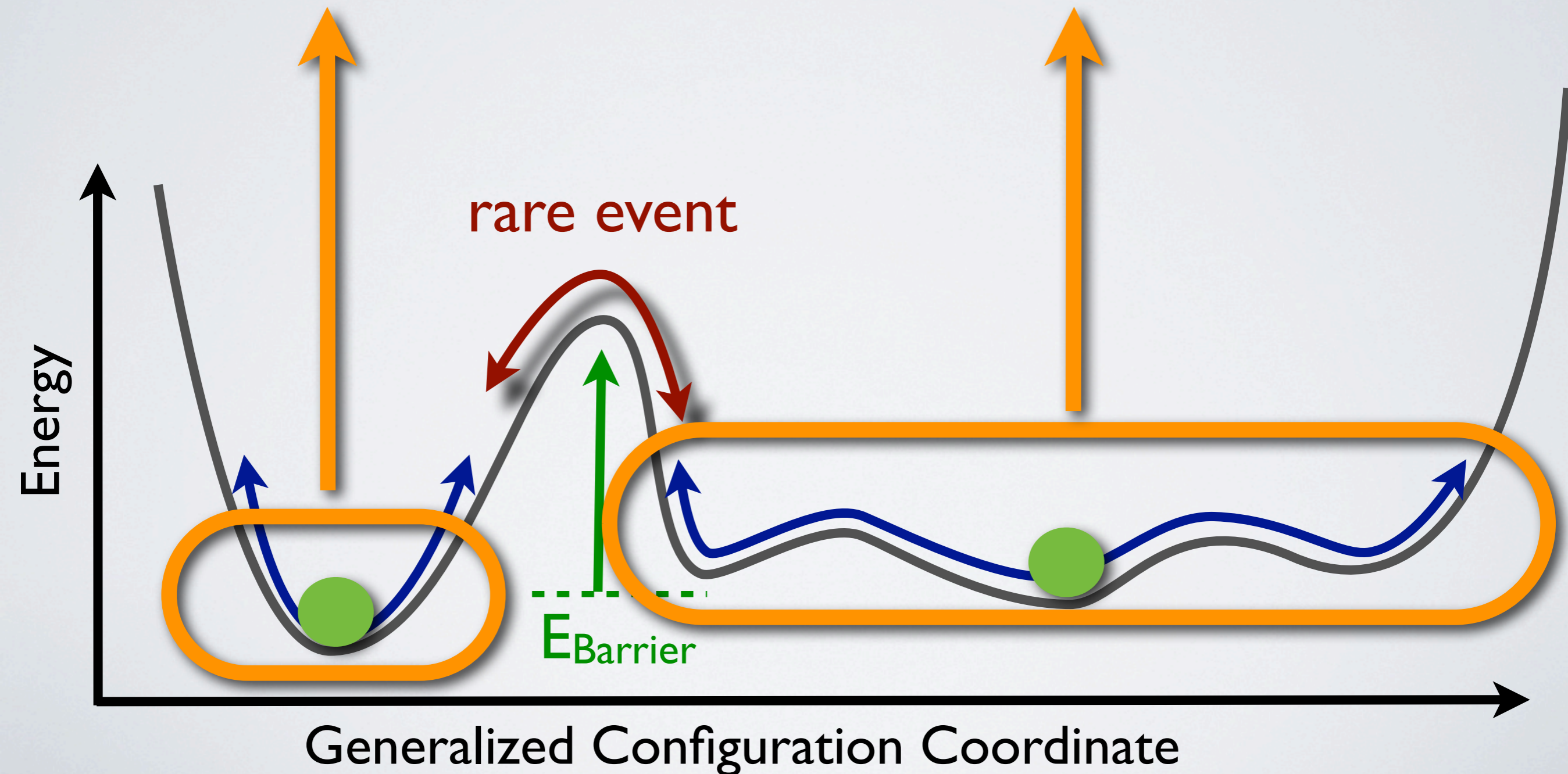
e.g., J. Rogal, K. Reuter, and M. Scheffler, *Phys. Rev. B* **77**, 155410 (2008).

⇒ **Talk on Thursday 21nd**

Generalized Configuration Coordinate

CAVEAT: RARE EVENTS

Assess **relative stability**
without simulating the **rare event** itself.

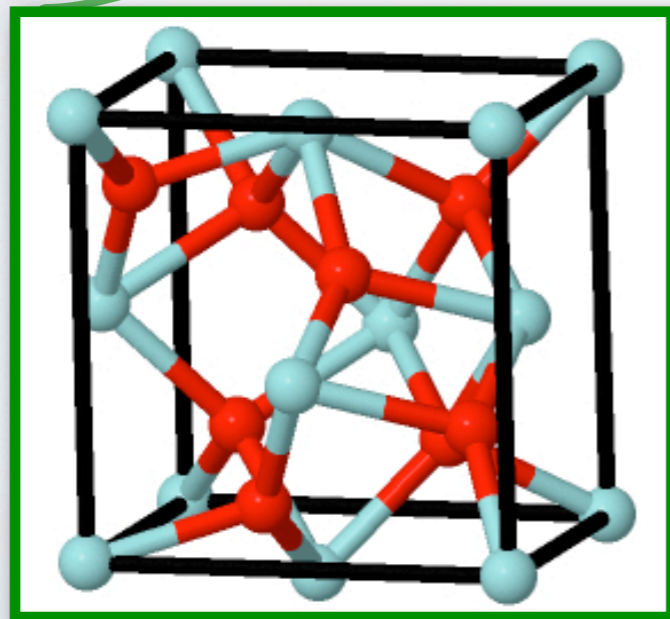
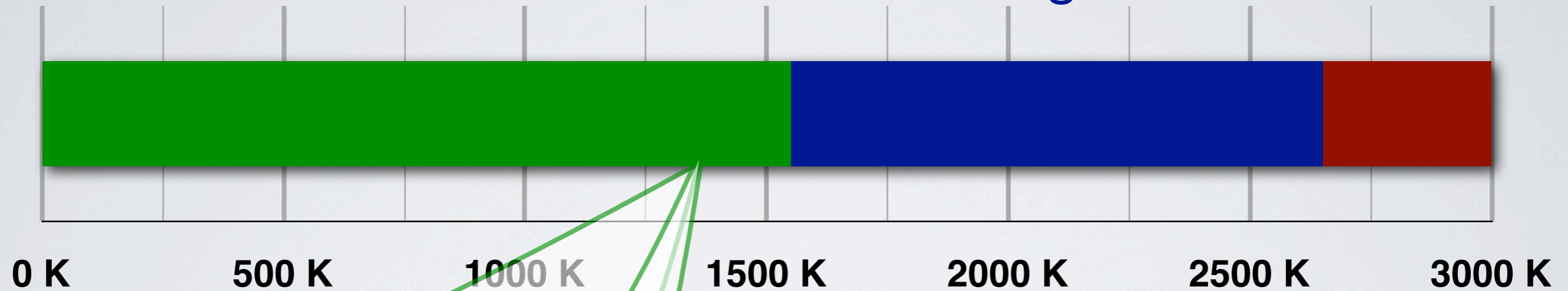


PHASE DIAGRAM OF ZrO_2

Monoclinic

Tetragonal

Cubic



$T < 1200^\circ\text{C}$

„Monoclinic“

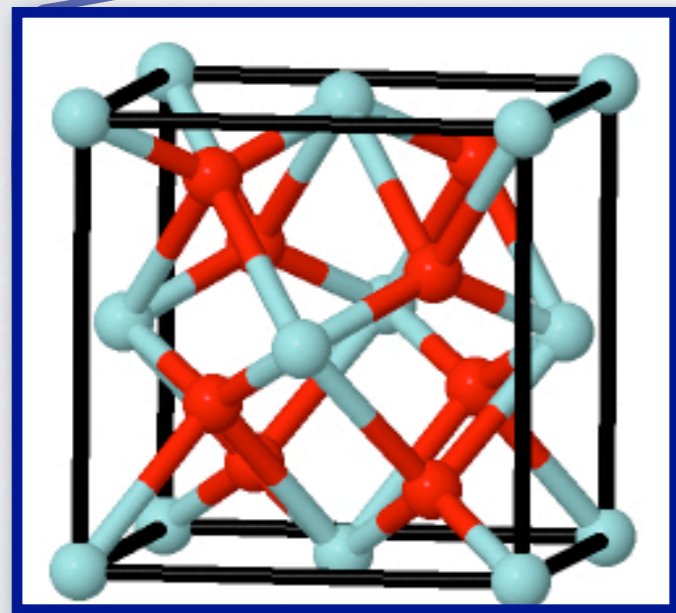
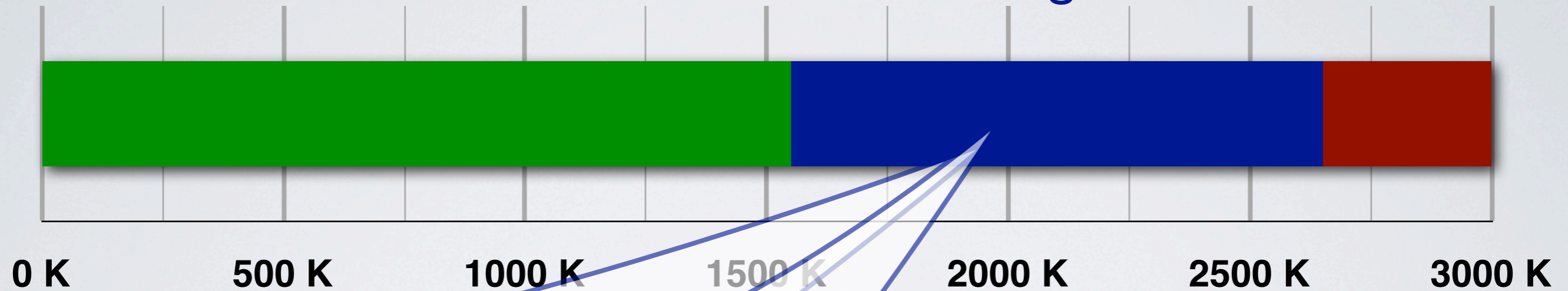
Baddeleyite Structure

PHASE DIAGRAM OF ZrO_2

Monoclinic

Tetragonal

Cubic



$1200^\circ\text{C} < T < 2400^\circ\text{C}$

„Tetragonal“

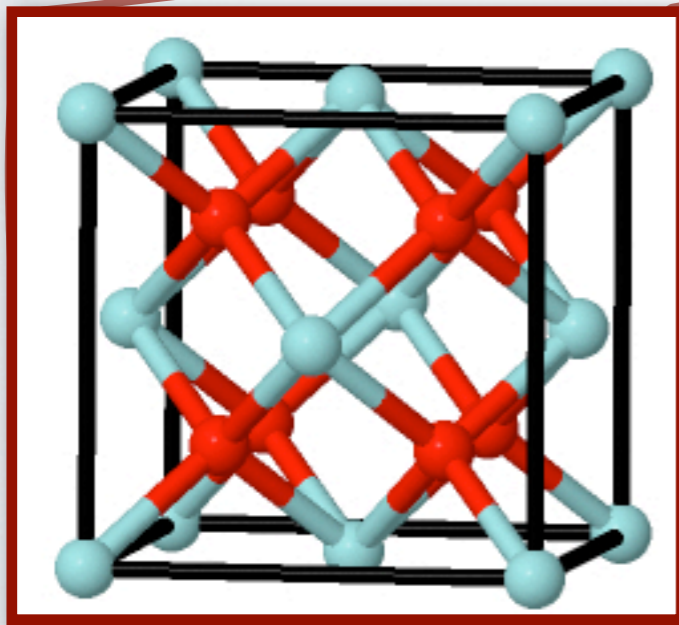
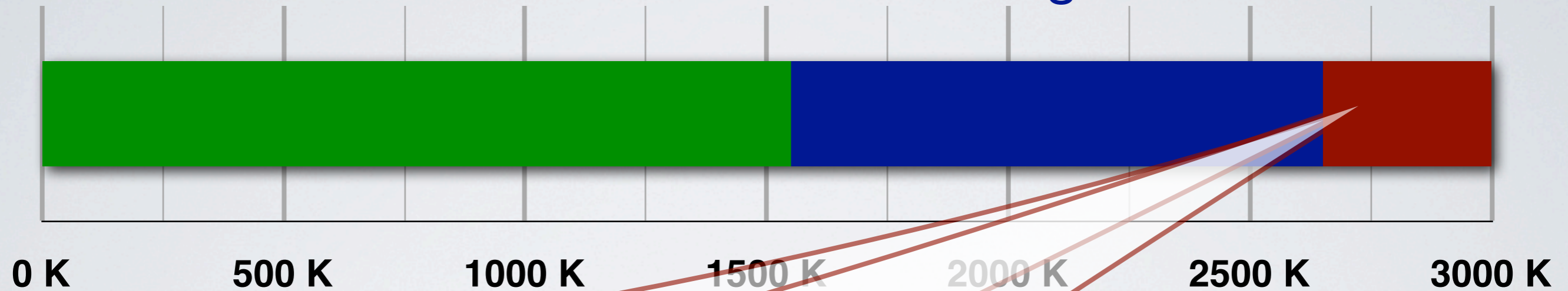
$P4_2/nmc$ Structure

PHASE DIAGRAM OF ZrO_2

Monoclinic

Tetragonal

Cubic



$T > 2400^\circ\text{C}$

„Cubic“

Fluorite Structure

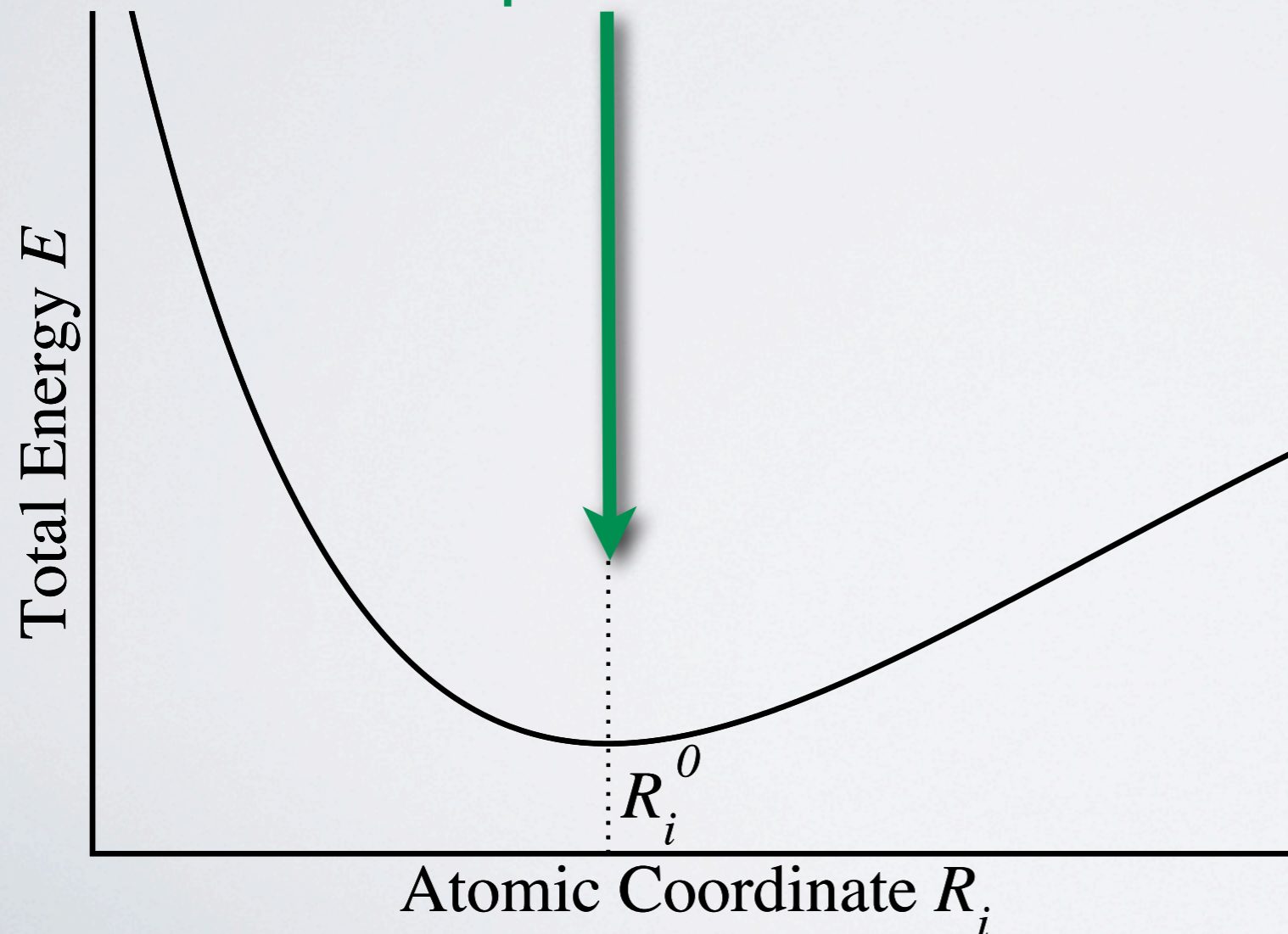
II. The Harmonic Crystal

THE INTERATOMIC INTERACTION

The total energy \mathbf{E} is a ***3N-dimensional surface***

$$E = V(\mathbf{R}_1, \mathbf{R}_2, \dots, \mathbf{R}_N)$$

Static Equilibrium Position

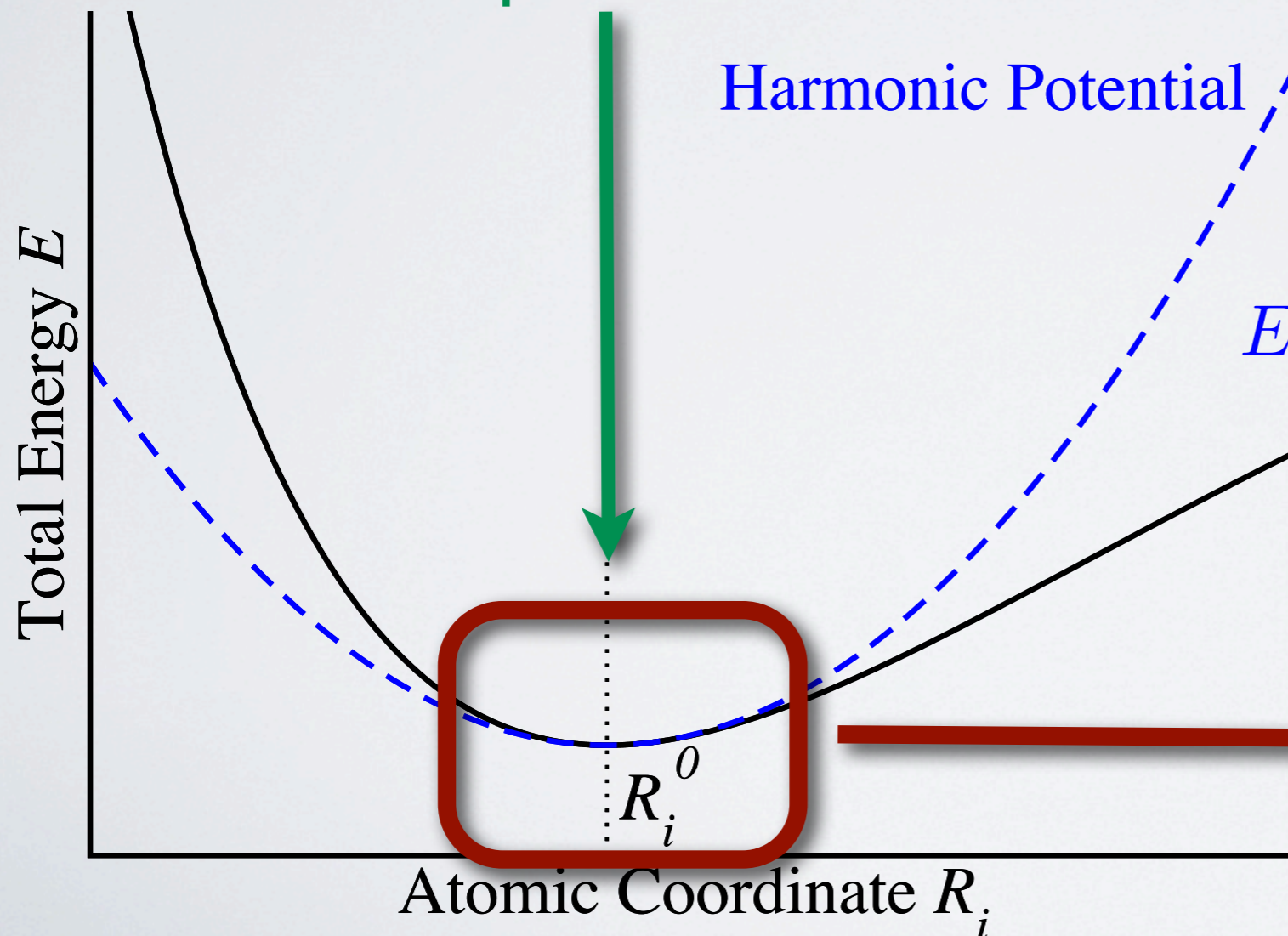


THE INTERATOMIC INTERACTION

The total energy \mathbf{E} is a **$3N$ -dimensional surface**

$$E = V(\mathbf{R}_1, \mathbf{R}_2, \dots, \mathbf{R}_N)$$

Static Equilibrium Position



Taylor expansion:

$$E \approx E(\mathbf{R}_0) + \frac{1}{2} \sum_{i,j} \Phi^{i,j} (\Delta \mathbf{R}_i)(\Delta \mathbf{R}_j)$$

Only valid for small elongations!

THE HARMONIC APPROXIMATION

$$E(\{\mathbf{R}_0 + \Delta\mathbf{R}\}) \approx \boxed{E(\{\mathbf{R}_0\})} + \cancel{\sum_i \left. \frac{\partial E}{\partial \mathbf{R}_i} \right|_{\mathbf{R}_0} \Delta\mathbf{R}_i} + \frac{1}{2} \sum_{i,j} \boxed{\left. \frac{\partial^2 E}{\partial \mathbf{R}_i \partial \mathbf{R}_j} \right|_{\mathbf{R}_0}} \Delta\mathbf{R}_i \Delta\mathbf{R}_j$$

Static Equilibrium Energy

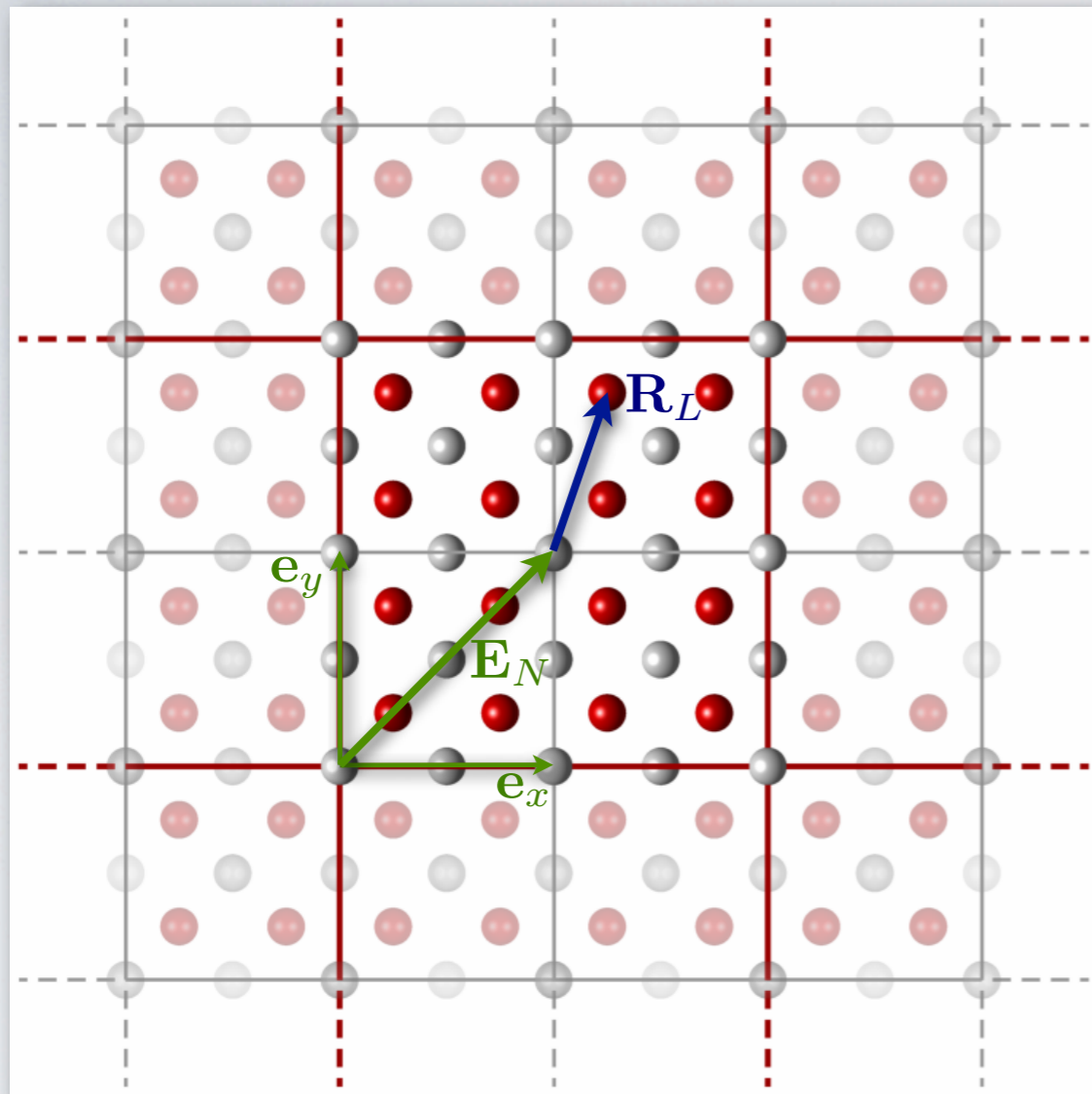
Forces vanish at \mathbf{R}_0

Hessian Φ_{ij}

Determine *harmonic force constants* Φ_{ij} :

- from **DFT-Perturbation Theory**
S. Baroni, P. Giannozzi, and A. Testa, *Phys. Rev. Lett.* **58**, 1861 (1987) &
S. Baroni, et al., *Rev. Mod. Phys.* **73**, 515 (2001).
- from **Finite Differences**
K. Kunc, and R. M. Martin, *Phys. Rev. Lett.* **48**, 406 (1982) &
K. Parlinski, Z. Q. Li, and Y. Kawazoe, *Phys. Rev. Lett.* **78**, 4063 (1997).

THE HARMONIC SOLID



Periodic Boundary Conditions
 \Rightarrow Reciprocal Space \mathbf{q}

$$D_{ij}(\mathbf{q}) = \sum_{\mathbf{E}_N} \frac{e^{i(\mathbf{q} \cdot \mathbf{E}_N)}}{\sqrt{M_i M_j}} \Phi_{ij}$$

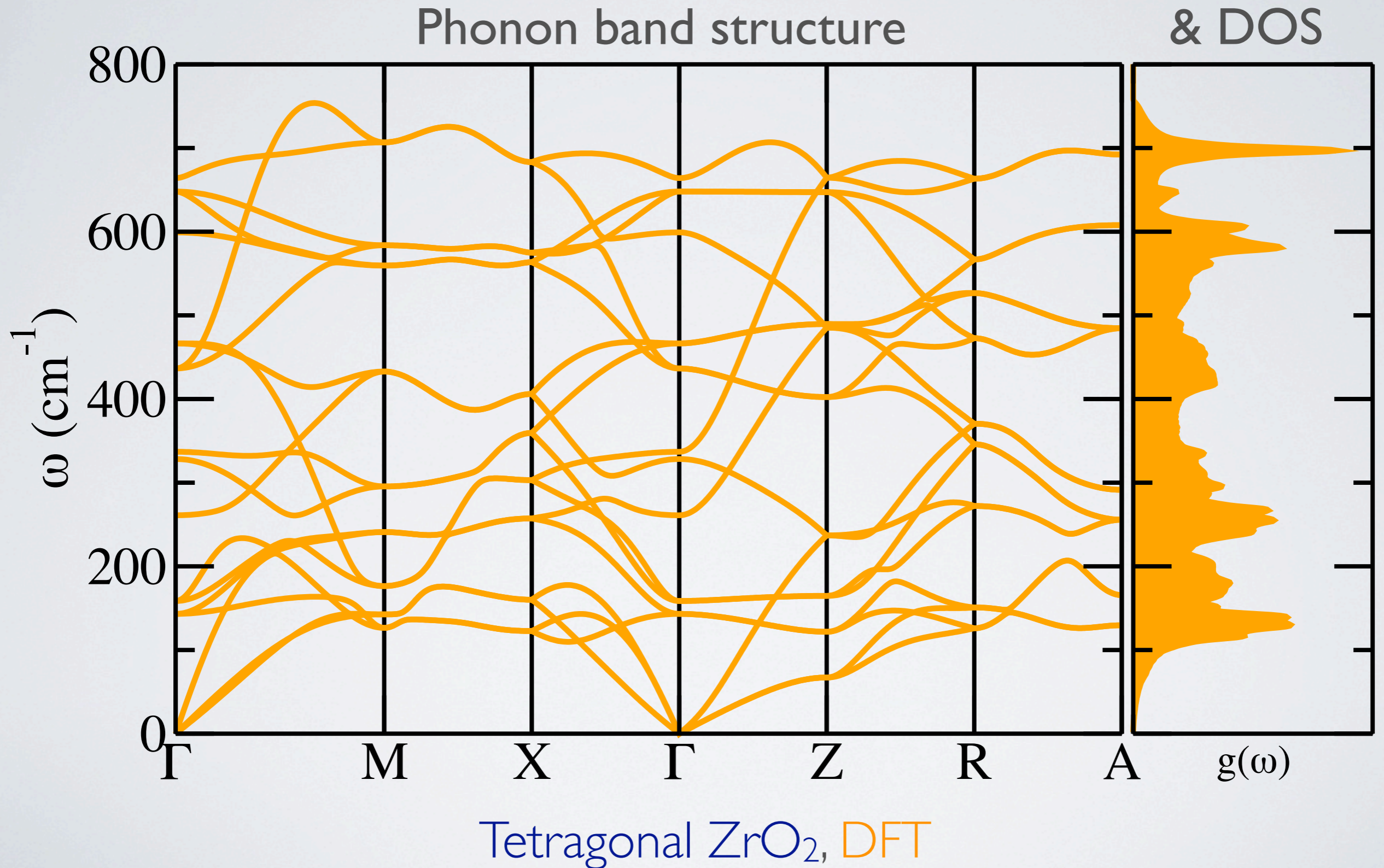
Eigenvalue problem:

$$\mathbf{D}(\mathbf{q}) [\nu(\mathbf{q})] = \omega^2(\mathbf{q}) [\nu(\mathbf{q})]$$

Real space: Superposition of *harmonic oscillations*

$$\mathbf{R} = R_0 + \sum_s A_s \frac{\cos(\phi_s + \omega_s t)}{\sqrt{M_i}} \cdot \nu_s$$

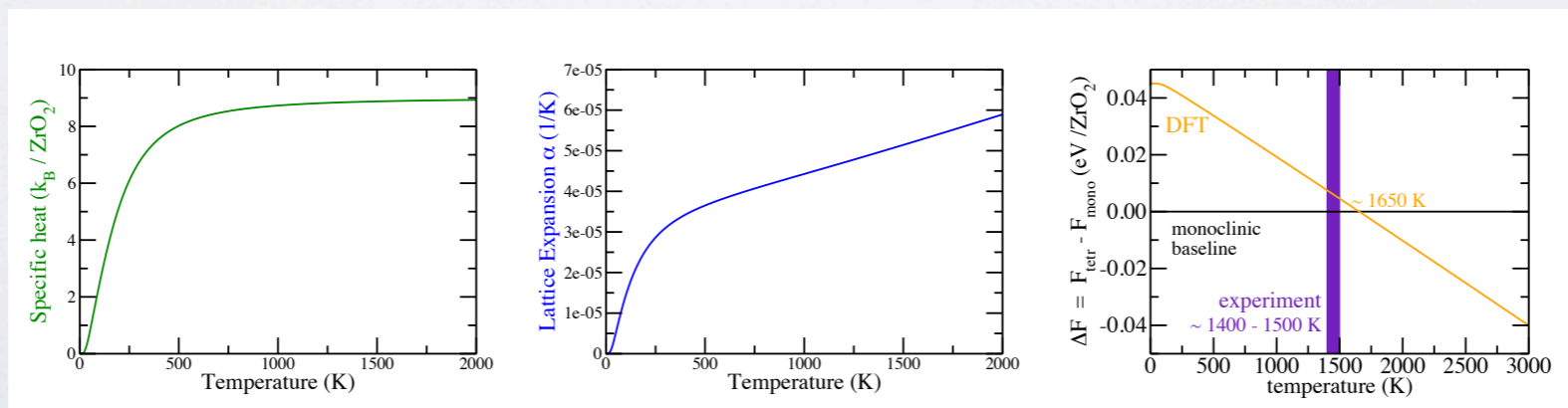
THE HARMONIC APPROXIMATION



THE HARMONIC FREE ENERGY

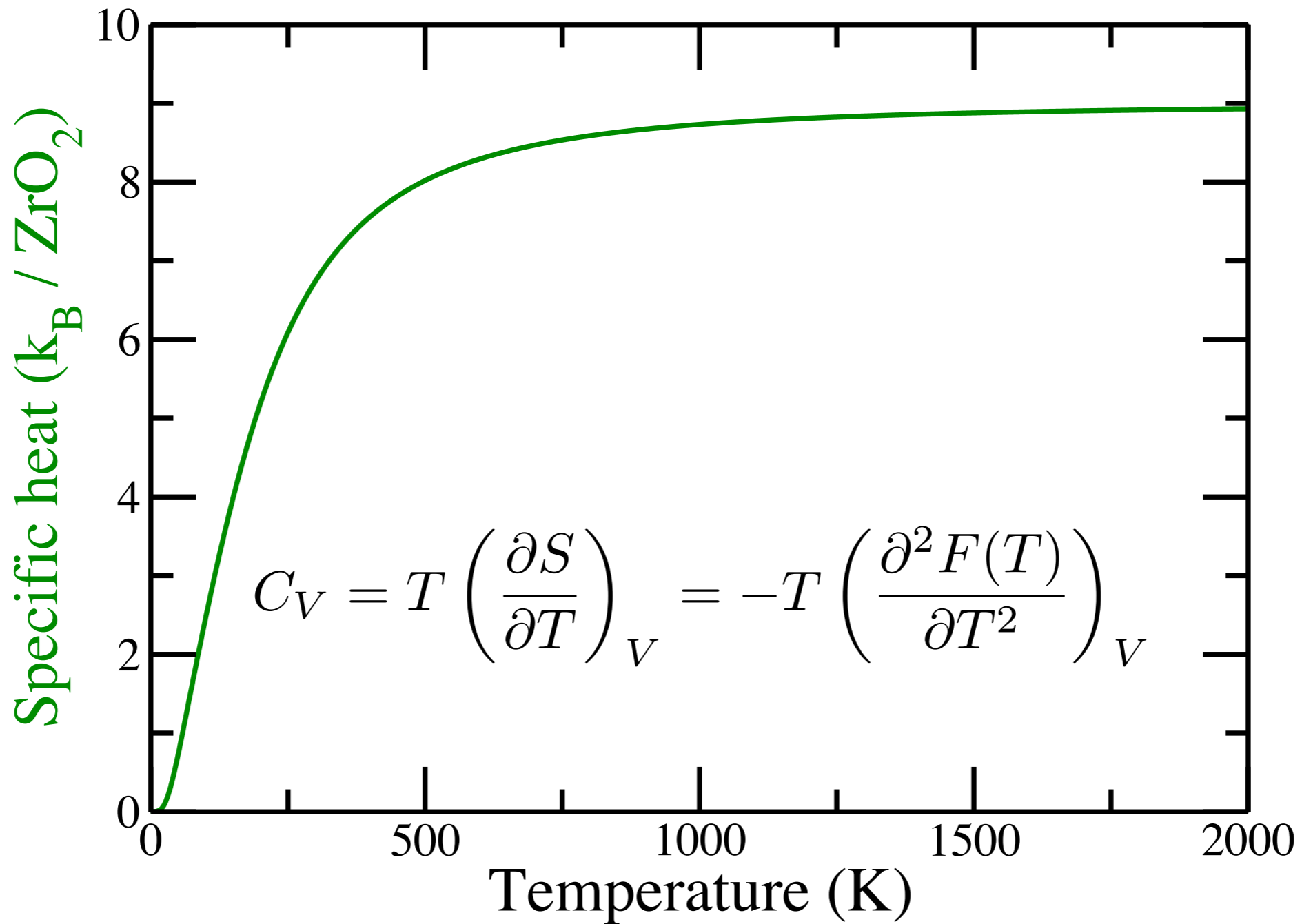
$$\begin{aligned}
 F^{ha}(T) &= E(\{\mathbf{R}_0\}) \xrightarrow{\text{Static Equilibrium Energy}} \\
 &+ \int d\omega g(\omega) \frac{\hbar\omega}{2} \xrightarrow{\text{Zero-point vibration}} \\
 &+ \int d\omega g(\omega) k_B T \ln \left(1 - e^{-\frac{\hbar\omega}{k_B T}} \right)
 \end{aligned}$$

Thermally induced vibrations



THE HARMONIC FREE ENERGY

F^{ha} (



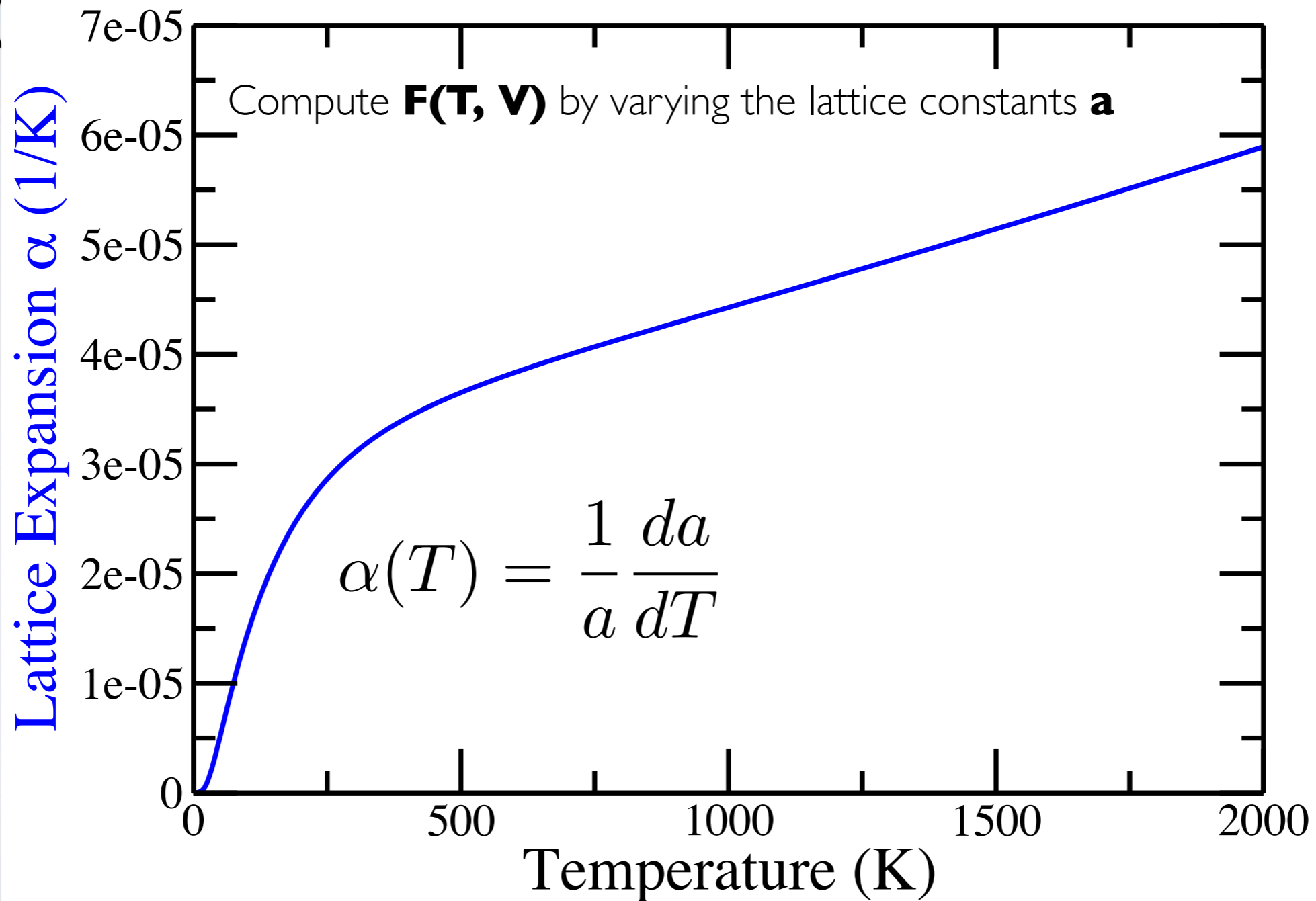
Energy

ation

THE HARMONIC FREE ENERGY

Quasi-harmonic approximation:

F^{ha}



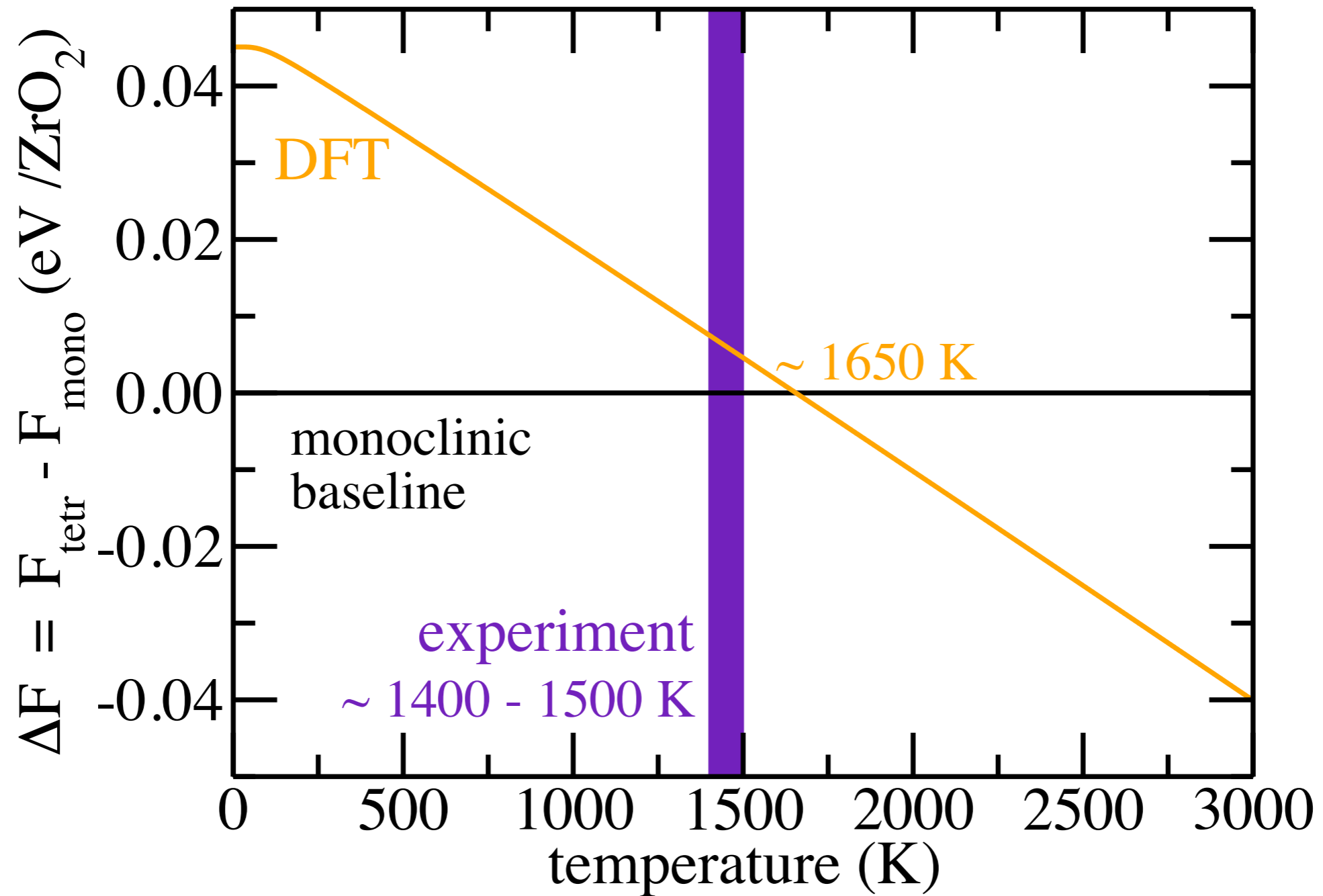
Energy

ation

THE HARMONIC FREE ENERGY

F^{ha}

Harmonic free energy difference:

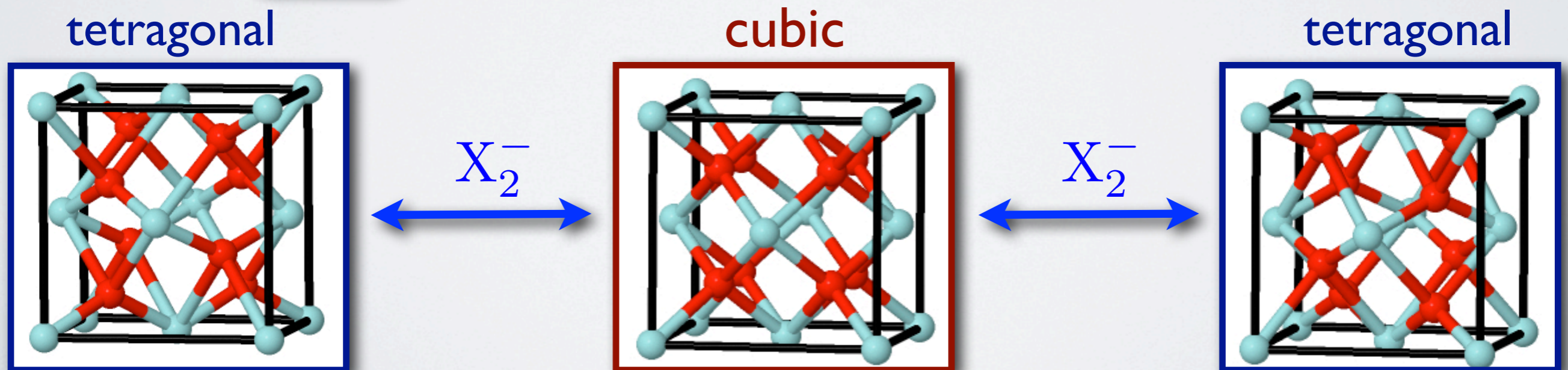
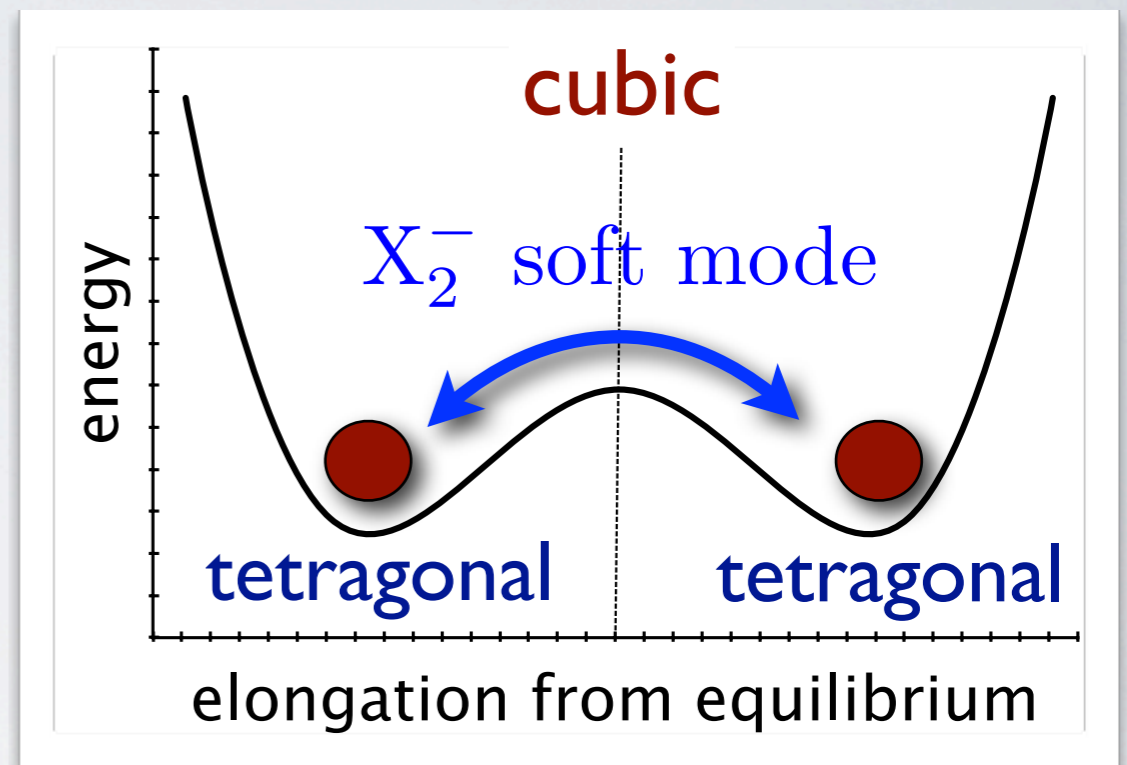
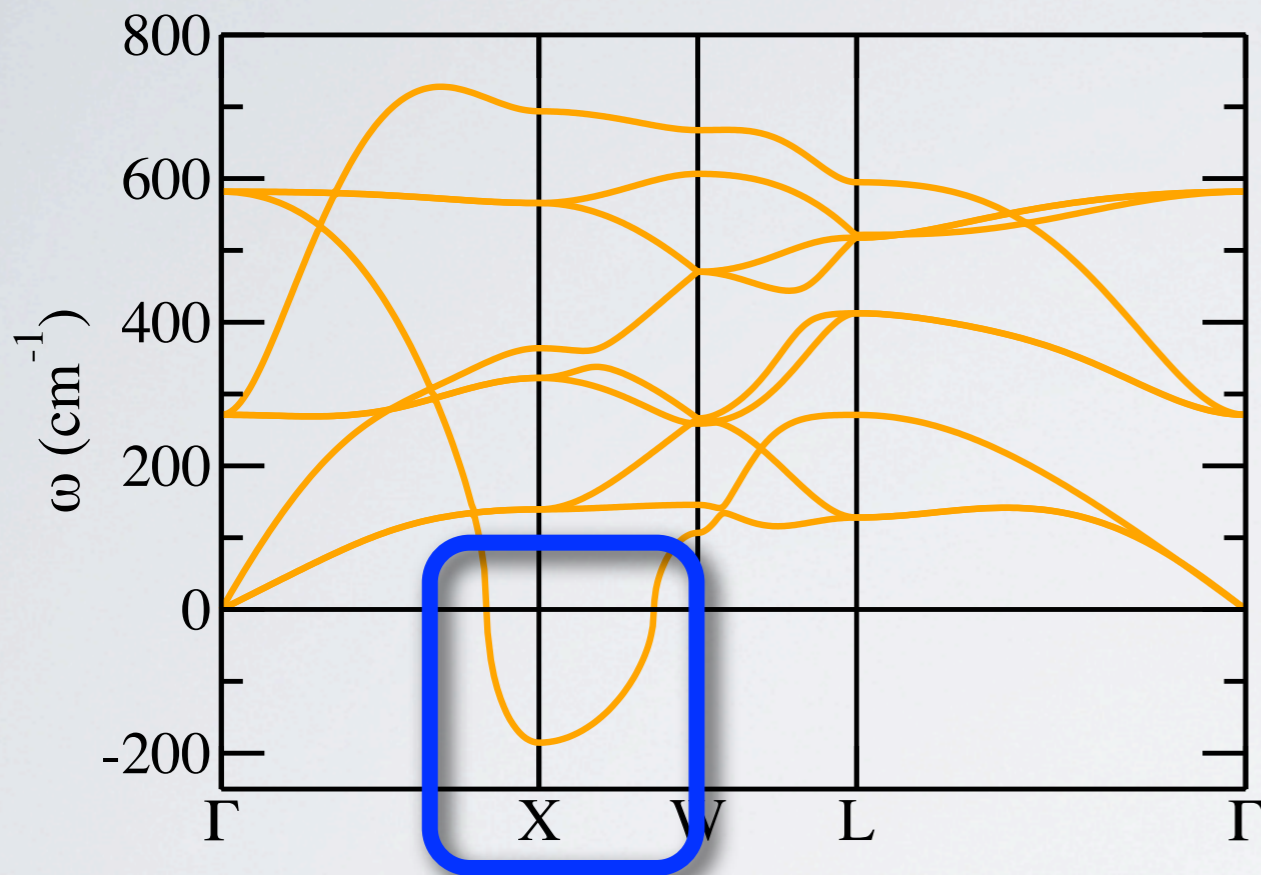


Energy

ation

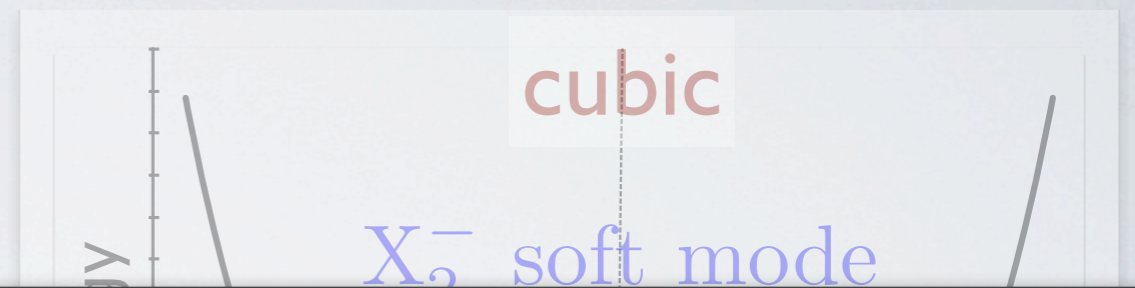
THE CUBIC ZrO_2 STRUCTURE

K. Parlinski, Z. Q. Li, and Y. Kawazoe, *Phys. Rev. Lett.* **78**, 4063 (1997).



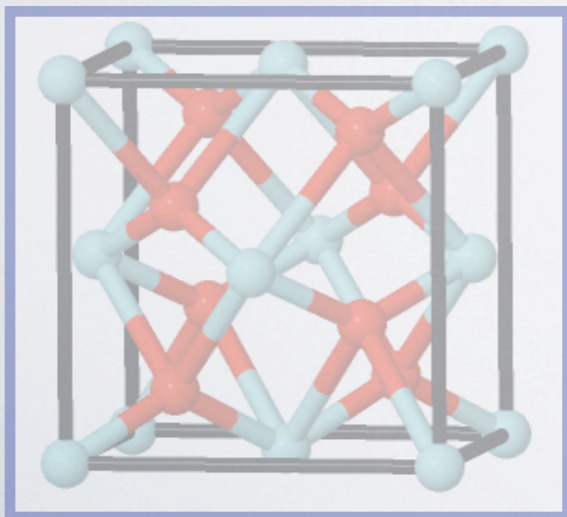
THE CUBIC ZrO_2 STRUCTURE

K. Parlinski, Z. Q. Li, and Y. Kawazoe, *Phys. Rev. Lett.* **78**, 4063 (1997).

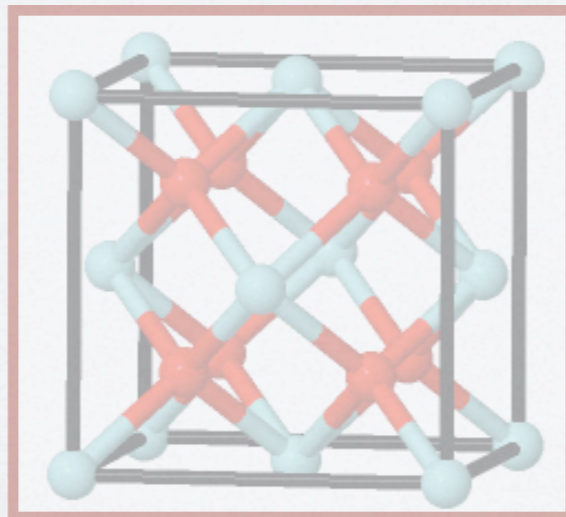


**THE HARMONIC APPROXIMATION
DOES NOT HOLD IN THE CASE
OF SOFT MODES!**

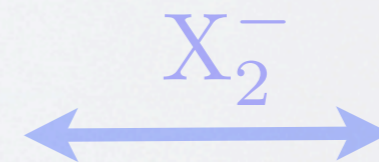
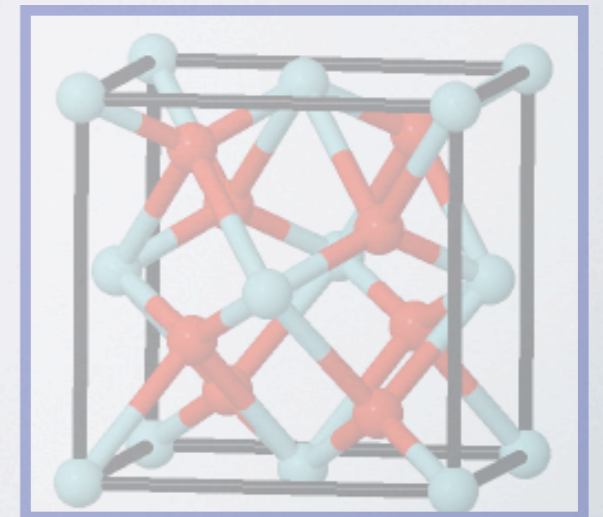
tetragonal



CUBIC



tetragonal



THERMODYNAMIC INTEGRATION

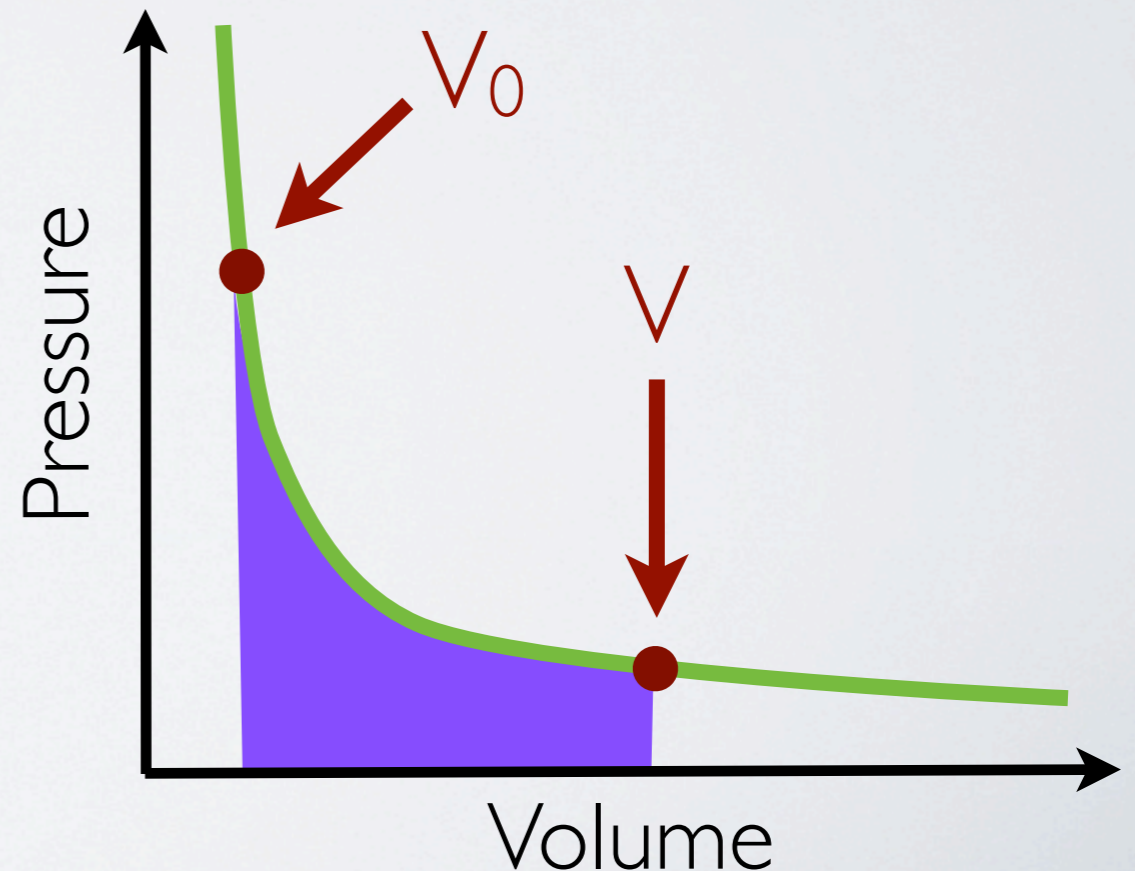
J. G. Kirkwood, *J. Chem. Phys.*, **3**, 300 (1935).

The absolute value of the **Thermodynamic Potentials** is **not measurable**, but their **derivatives** are, e.g., the pressure

$$p = - \left(\frac{\partial F(T, V)}{\partial V} \right)_T$$

$$F(V) - F(V_0) = - \int_{V_0}^V p dV$$

Free energy differences can be computed by **integration** along a **thermodynamic path**!



THERMODYNAMIC INTEGRATION

J. G. Kirkwood, *J. Chem. Phys.*, **3**, 300 (1935).

Free energy **differences** can be computed by integration along a λ -parametrized path that connects two **distinct** systems.

e.g., M. Watanabe and W. P. Reinhardt, *Phys. Rev. Lett.* **65**, 3301 (1990) &
O. Sugino and R. Car, *Phys. Rev. Lett.* **74**, 1823 (1995).

$$F_{\text{anh}}(T, V) = F_{\text{DFT}}(T, V) - F_{\text{har}}(T, V) = \int_0^1 d\lambda \left\langle \frac{\partial U_{\text{hyb}}(\lambda)}{\partial \lambda} \right\rangle_{\text{hyb}}$$

THERMODYNAMIC INTEGRATION

J. G. Kirkwood, *J. Chem. Phys.*, **3**, 300 (1935).

Free energy **differences** can be computed by integration along a λ -parametrized path that connects two **distinct** systems.

e.g., M. Watanabe and W. P. Reinhardt, *Phys. Rev. Lett.* **65**, 3301 (1990) & O. Sugino and R. Car, *Phys. Rev. Lett.* **74**, 1823 (1995).

„Complete“ free energy

$$F_{\text{anh}}(T, V) = F_{\text{DFT}}(T, V) - F_{\text{har}}(T, V) = \int_0^1 d\lambda \left\langle \frac{\partial U_{\text{hyb}}(\lambda)}{\partial \lambda} \right\rangle_{\text{hyb}}$$

Harmonic reference
free energy

THERMODYNAMIC INTEGRATION

J. G. Kirkwood, *J. Chem. Phys.*, **3**, 300 (1935).

Free energy **differences** can be computed by integration along a λ -parametrized path that connects two **distinct** systems.

e.g., M. Watanabe and W. P. Reinhardt, *Phys. Rev. Lett.* **65**, 3301 (1990) & O. Sugino and R. Car, *Phys. Rev. Lett.* **74**, 1823 (1995).

$$F_{\text{anh}}(T, V) = F_{\text{DFT}}(T, V) - F_{\text{har}}(T, V) = \int_0^1 d\lambda \left\langle \frac{\partial U_{\text{hyb}}(\lambda)}{\partial \lambda} \right\rangle_{\text{hyb}}$$

Thermodynamic expectation value for the „hybrid“ system

$$U_{\text{hyb}}(\lambda) = \lambda \boxed{U_{\text{DFT}}} + (1 - \lambda) \boxed{U_{\text{har}}}$$

ab initio potential harmonic potential

THERMODYNAMIC INTEGRATION

J. G. Kirkwood, *J. Chem. Phys.*, **3**, 300 (1935).

Molecular Dynamics for the hybrid system:

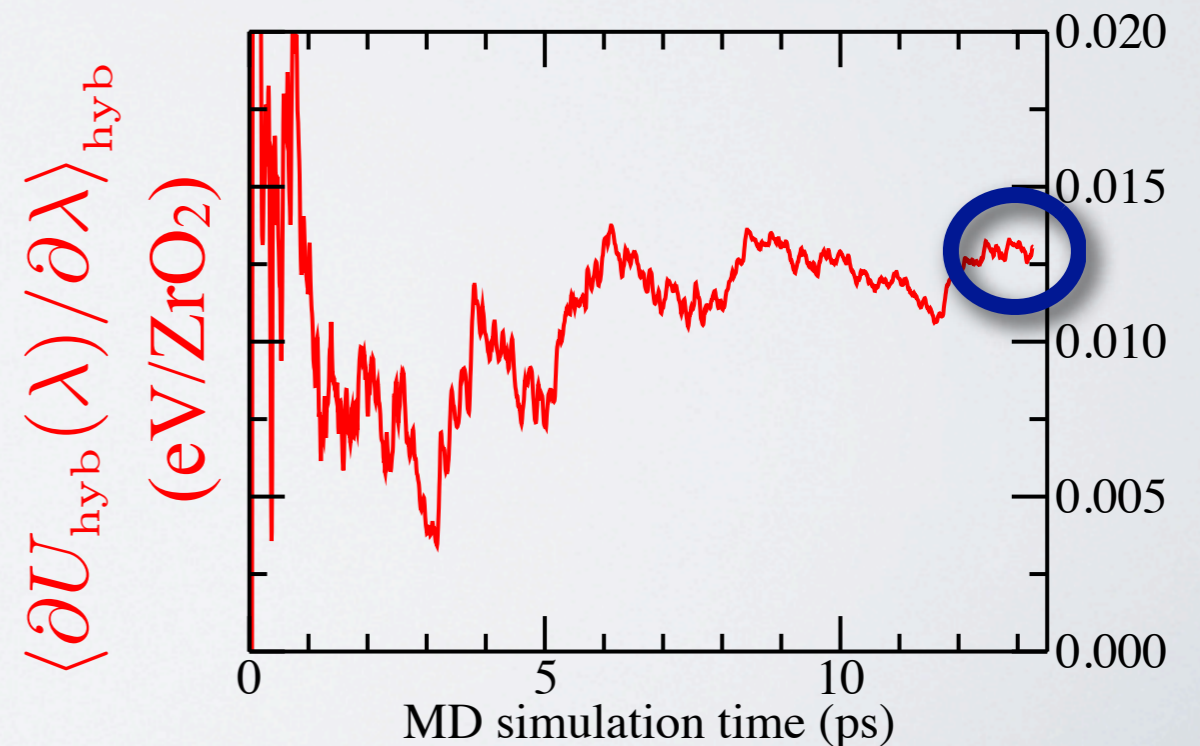
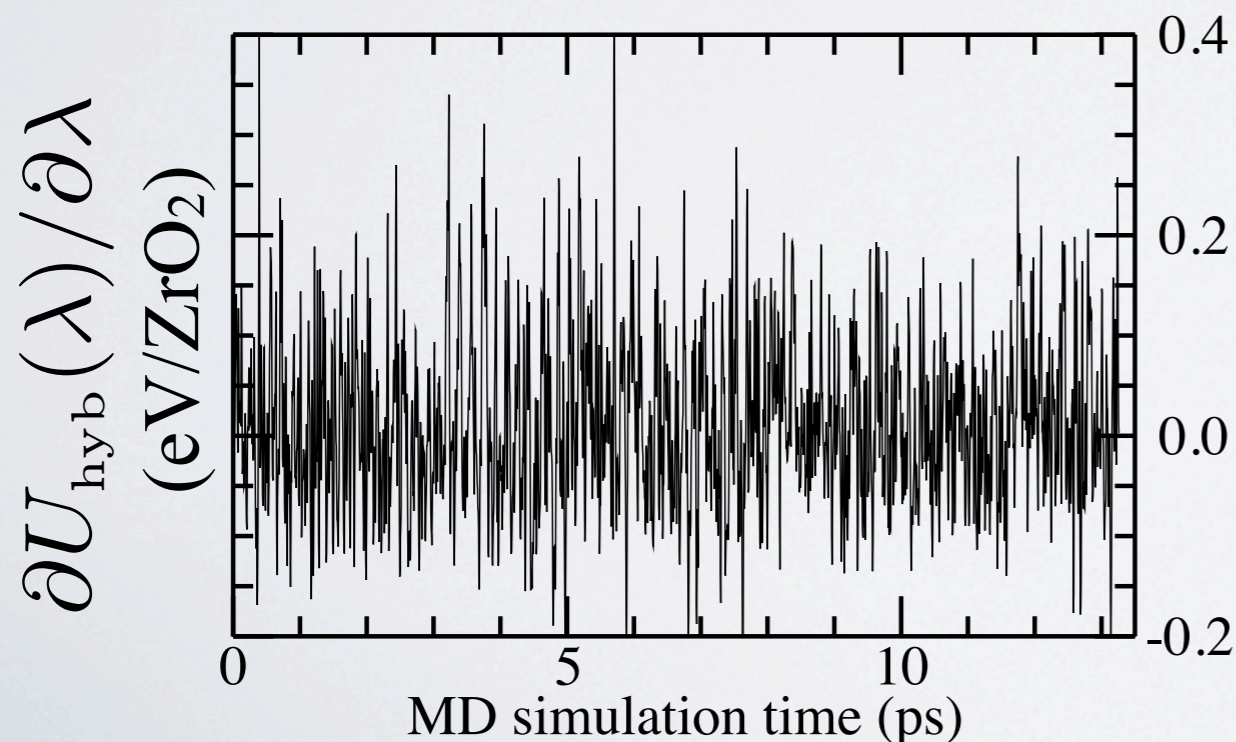
B. Grabowski *et al.*, *Phys. Rev. B* **79**, 134106 (2009).

$$U_{\text{hyb}}(\lambda) = \lambda \cdot U_{\text{DFT}} + (1 - \lambda) \cdot U_{\text{har}}$$

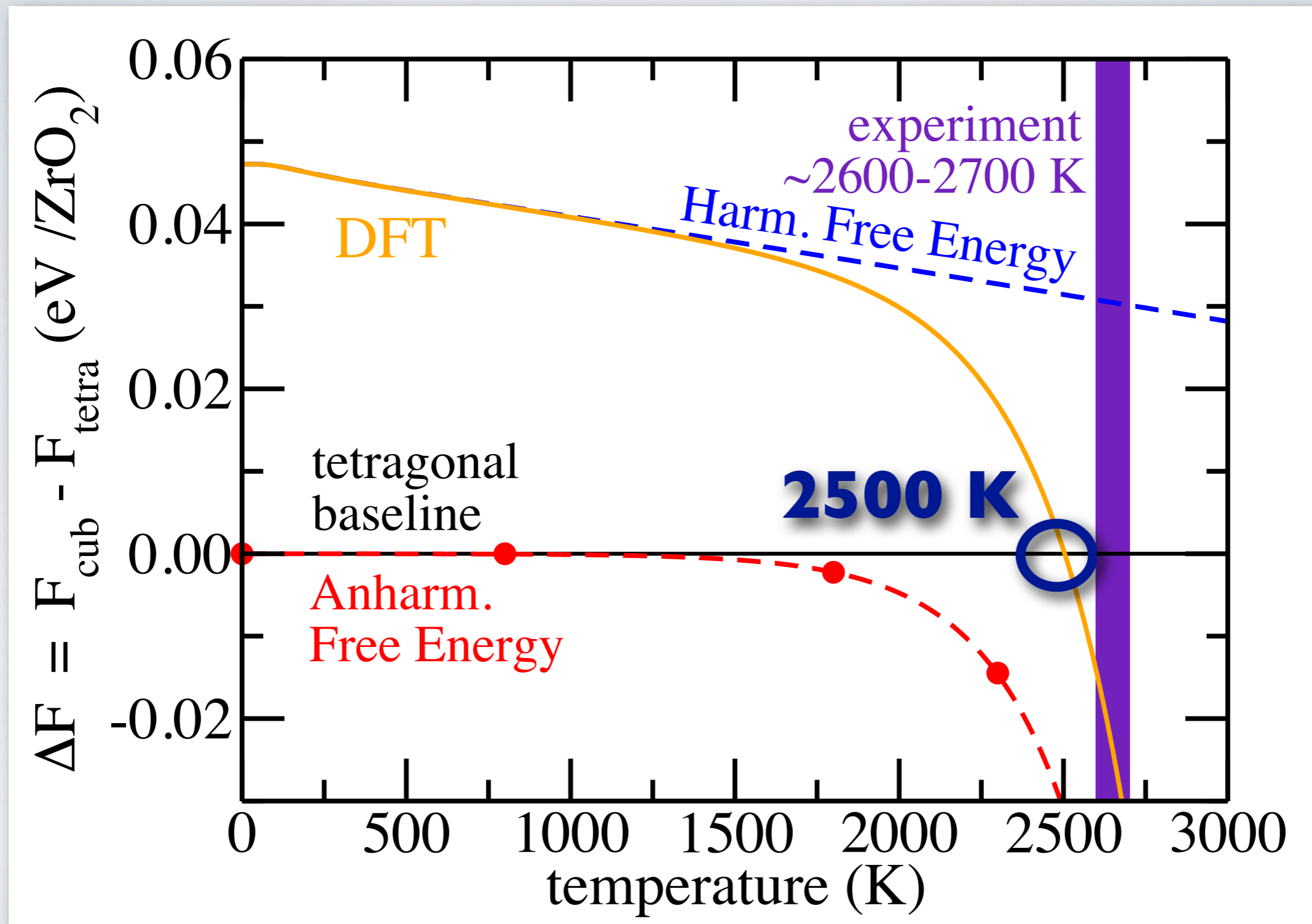
ab initio
potential

harmonic
potential

LDA, $\lambda = 0.5$, $T = 2800$ K



CUBIC PHASE STABILITY

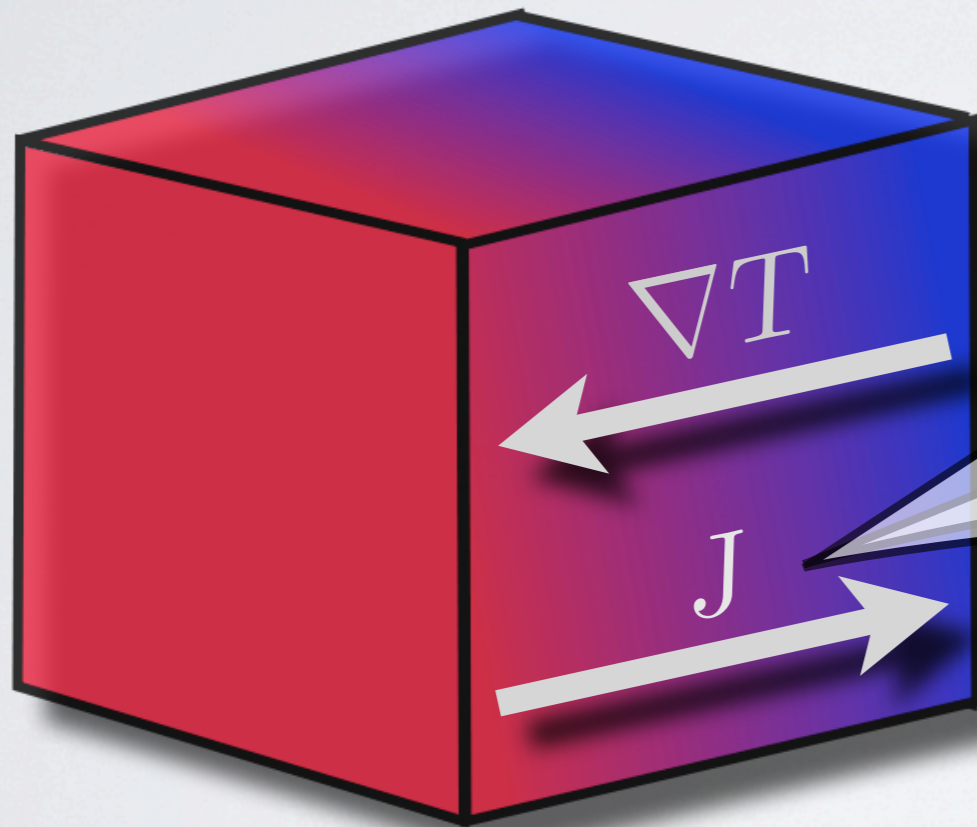


Noticeable Anharmonic Effects for $T > 1500$ K !

III. Non-Equilibrium Thermodynamics

THERMAL CONDUCTIVITY

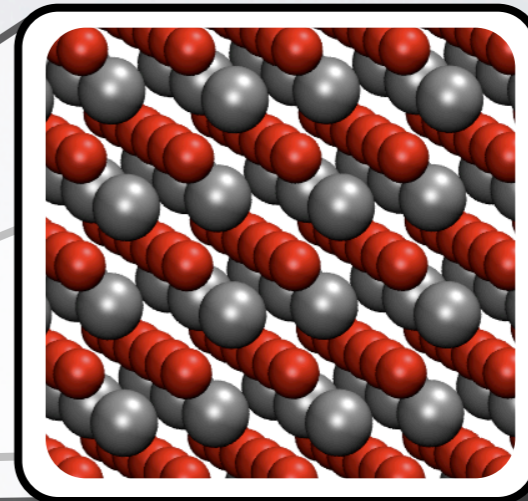
**Macroscopic
Effect:**



Fourier's Law:

$$\mathbf{J} = -\kappa \nabla T$$

**Microscopic
Nature:**

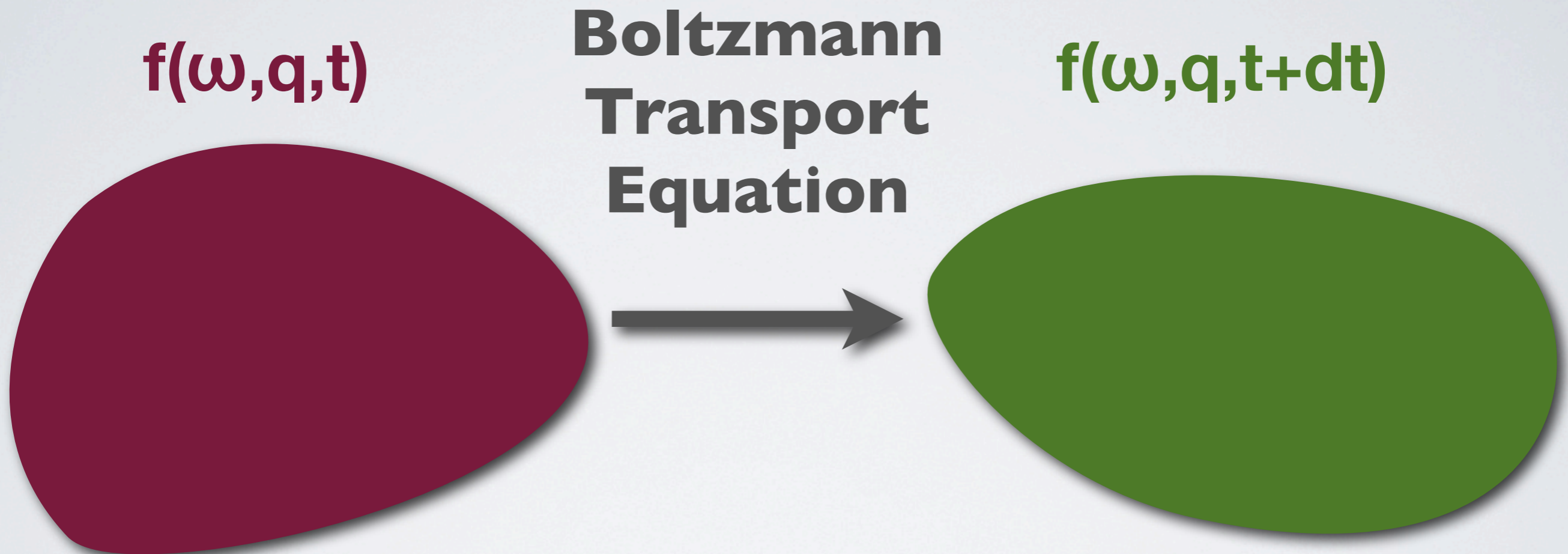


Can we calculate and understand the **thermal conductivity** from first principles?

(A) BOLTZMANN TRANSPORT EQUATION

R. Peierls, *Ann. Phys.* **395**, 1055 (1929).

D.A. Broido *et al.*, *Appl. Phys. Lett.* **91**, 231922 (2007).



Boltzmann-Peierls-Transport-Equation describes the evolution of the **phonon** phase space distribution $f(\omega, q, t)$.

(A) BOLTZMANN TRANSPORT EQUATION

R. Peierls, *Ann. Phys.* **395**, 1055 (1929).

D.A. Broido et al., *Appl. Phys. Lett.* **91**, 231922 (2007).

Single-mode relaxation time approximation

$$\kappa \sim \sum_s c_s^2 \omega_s^2 n_s (n_s + 1) \tau_s$$

Group velocity

Frequency

Equilibrium population

phonon lifetime

Harmonic phonon theory



Phonon Lifetimes from First Principles

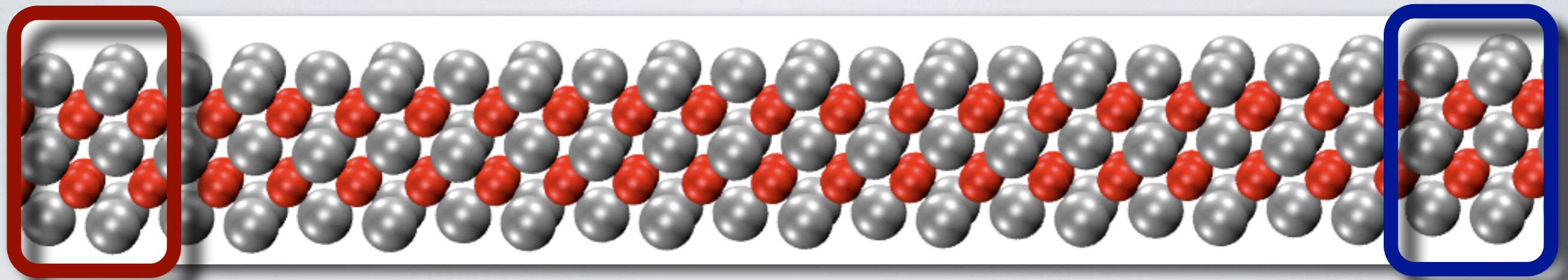
- from **Density Functional Perturbation Theory**
J. Garg *et al.*, *Phys. Rev. Lett.* **106**, 045901 (2011).
- from **fitting the forces** in *ab initio MD*
K. Esfarjani, and H.T. Stokes, *Phys. Rev. B* **77**, 144112 (2008).
- from **fitting the phonon line width** determined via *ab initio MD*
N. De Koker, *Phys. Rev. Lett.* **103**, 125902 (2009).

All these approaches give very **accurate** results for **good thermal conductors** at **low** temperatures.

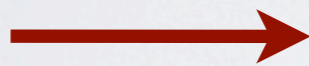
Results are **questionable** at high levels of **anharmonicity!**

(B) NON-EQUILIBRIUM MD

S. Stackhouse, L. Stixrude, and B. B. Karki, *Phys. Rev. Lett.* **104**, 208501 (2010).



**heat
source**



- Temperature gradient ∇T
- Stationary heat flux \mathbf{J}



**heat
sink**



Thermal conductivity can be calculated
by applying Fourier's Law.

$$\mathbf{J} = -\kappa \nabla T$$

PROS & CONS

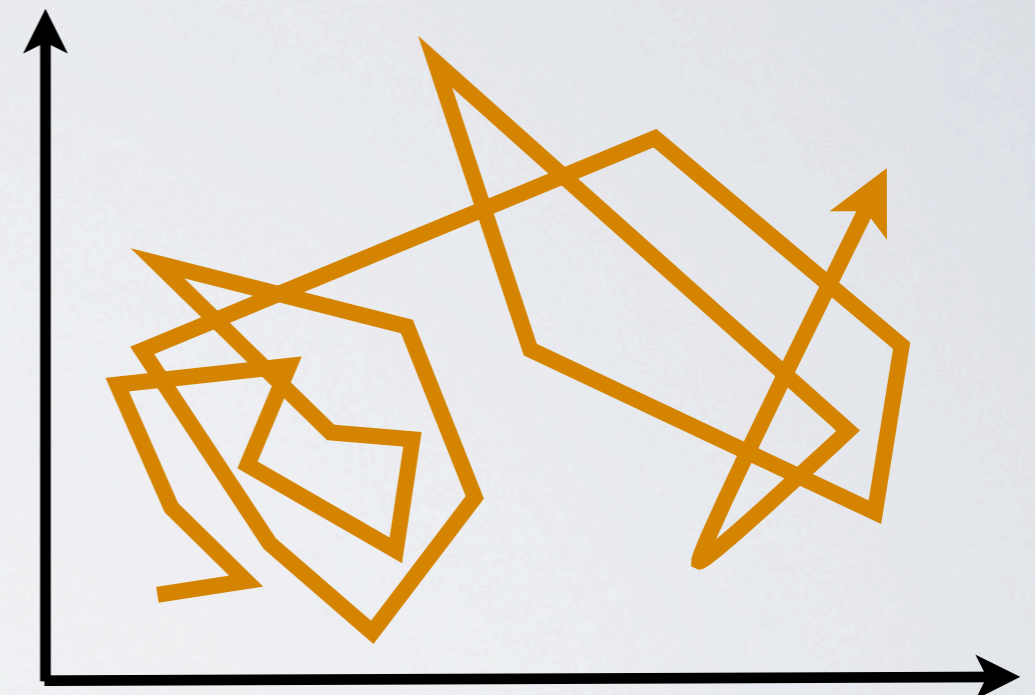
- Relatively easy implementation:**
Use standard thermostats for heat source & sink
- Modeling of the steady state**
Long simulation time needed for good statistics
- Heat source & sink create artifacts**
Large simulation cells needed
- Huge temperature gradient**
*Possible undesired *non-linear* effects*

FLUCTUATION-DISSIPATION THEOREM

Brownian Motion:

A. Einstein, *Ann. Phys.* **322**, 549 (1905).

The erratic motion of the particles is closely related to frictional force under perturbation.



Random walk in 2D

The **fluctuations of the forces** in thermodynamic **equilibrium** is related to the **generalized resistance** in **non-equilibrium** for linear dissipative systems.

H. B. Callen, and T.A. Welton, *Phys. Rev.* **83**, 34 (1951).

(C) GREEN-KUBO METHOD

R. Kubo, M. Yokota, and S. Nakajima, *J. Phys. Soc. Japan* **12**, 1203 (1957).

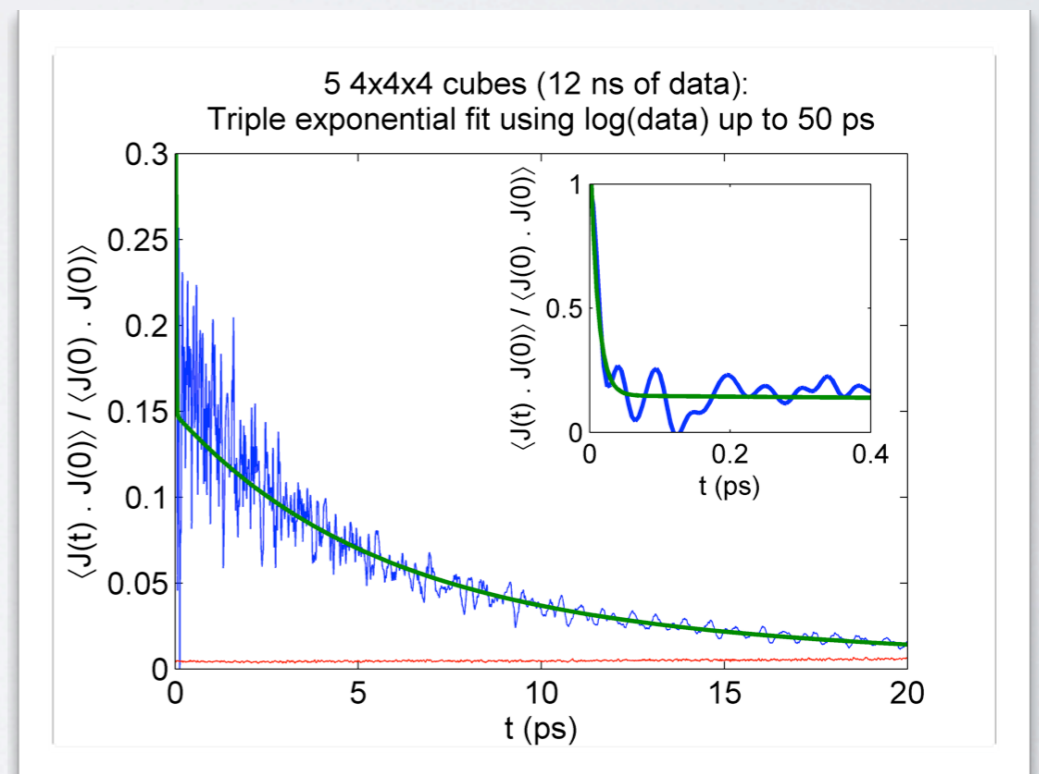
Simulations of the **thermodynamic equilibrium**



Information about **non-equilibrium processes**

$$\kappa \sim \int_0^{\infty} d\tau \langle \mathbf{J}(0) \mathbf{J}(\tau) \rangle_{eq}$$

The **thermal conductivity** is related to the **autocorrelation function** of the **heat flux**



PROS & CONS

- Standard MD method
- No heat source & sink needed
- No temperature gradient needed
- Long simulation time

Looks promising, but...

THE HEAT FLUX

R. J. Hardy, *Phys. Rev.* **132**, 168 (1963).

$$\mathbf{J}(t) = \frac{d}{dt} \left(\sum_i \mathbf{r}_i(t) \varepsilon_i(t) \right)$$

\mathbf{r}_i	\dots	Position of atom i
ε_i	\dots	Energy of atom i

Energic contributions ε_i of the **single atoms** required!

A **partitioning** of the **total energy** is ill-defined, cumbersome and error-prone!

\Rightarrow Green-Kubo Method hitherto only used with classical potentials!

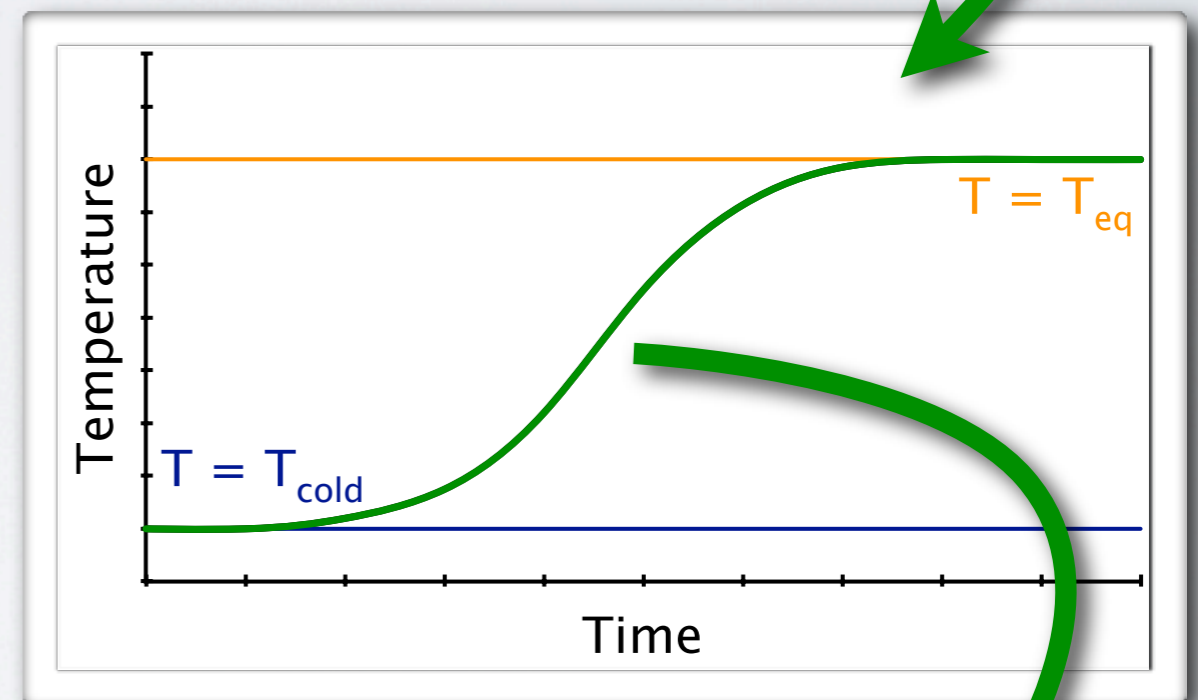
„LASER FLASH“ MEASUREMENTS

W. J. Parker et al., *J. Appl. Phys.* **32**, 1679 (1961).



Heat Diffusion Equation:

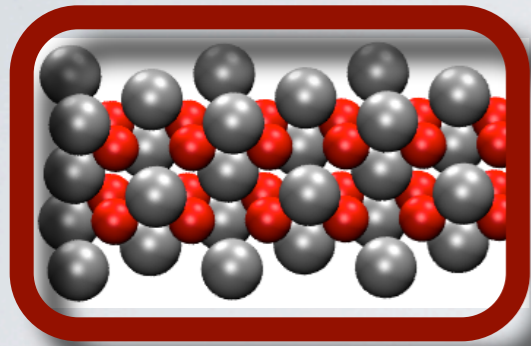
$$\frac{\partial T(x, t)}{\partial t} + \frac{\kappa}{\rho \cdot c_V} \frac{\partial^2 T(x, t)}{\partial x^2} = 0$$



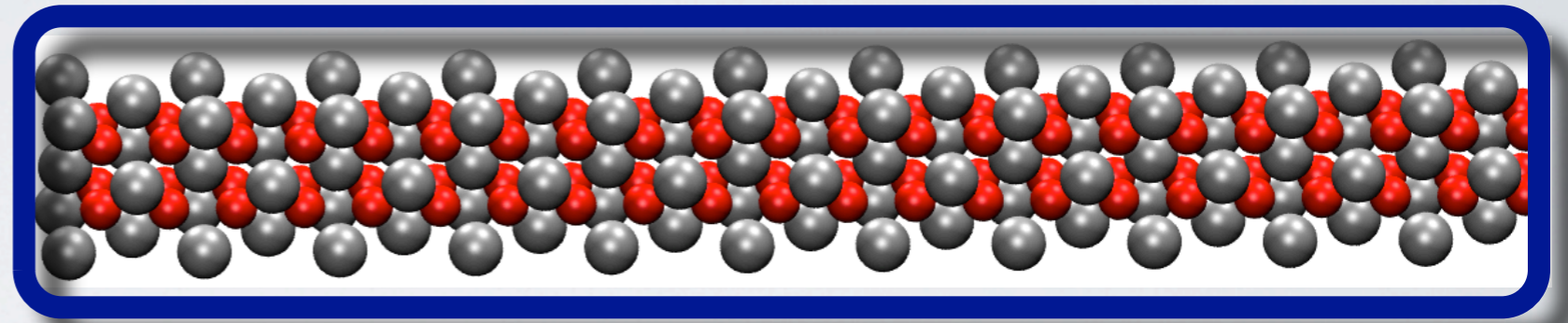
Extract the **thermal conductivity** by fitting $T(x, t)$

(D) LASER FLASH METHOD

T. M. Gibbons and S. K. Estreicher, *Phys. Rev. Lett.* **102**, 255502 (2009).



hot SC

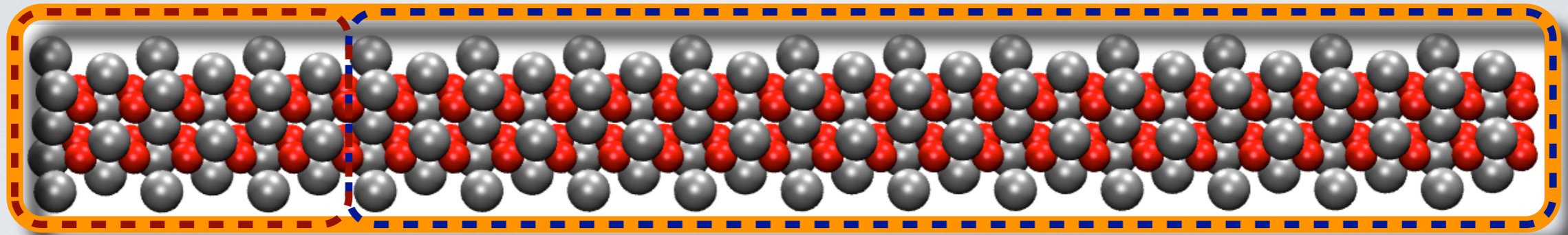


cold supercell

- (1) Prepare two supercells:
a **small hot** one and a **large cold** one.

(D) LASER FLASH METHOD

T. M. Gibbons and S. K. Estreicher, *Phys. Rev. Lett.* **102**, 255502 (2009).



Thermal Diffusion

- (1) Prepare two supercells:
a **small hot** one and a **large cold** one.
- (2) **Connect** the **two** supercells and
let the **heat diffuse**.

(I) SUPERCELL PREPARATION

S. K. Estreicher, and T. M. Gibbons, *Physica B* **404**, 4509 (2009).

In the **quasi-harmonic approximation**, the **positions** \mathbf{r}_i and the **velocities** \mathbf{v}_i are related to the **vibrational eigenfrequencies** ω_s and -vectors \mathbf{v}_s .

$$\begin{aligned} R_{0i} + \Delta \mathbf{R}_i &= + \sum_s A_s(T) \frac{\cos(\phi_s + \omega_s t)}{\sqrt{M_i}} \cdot \mathbf{v}_s \\ \mathbf{V}_i &= - \sum_s A_s(T) \frac{\sin(\phi_s + \omega_s t)}{\sqrt{M_i}} \cdot \omega_s \cdot \mathbf{v}_s \end{aligned}$$

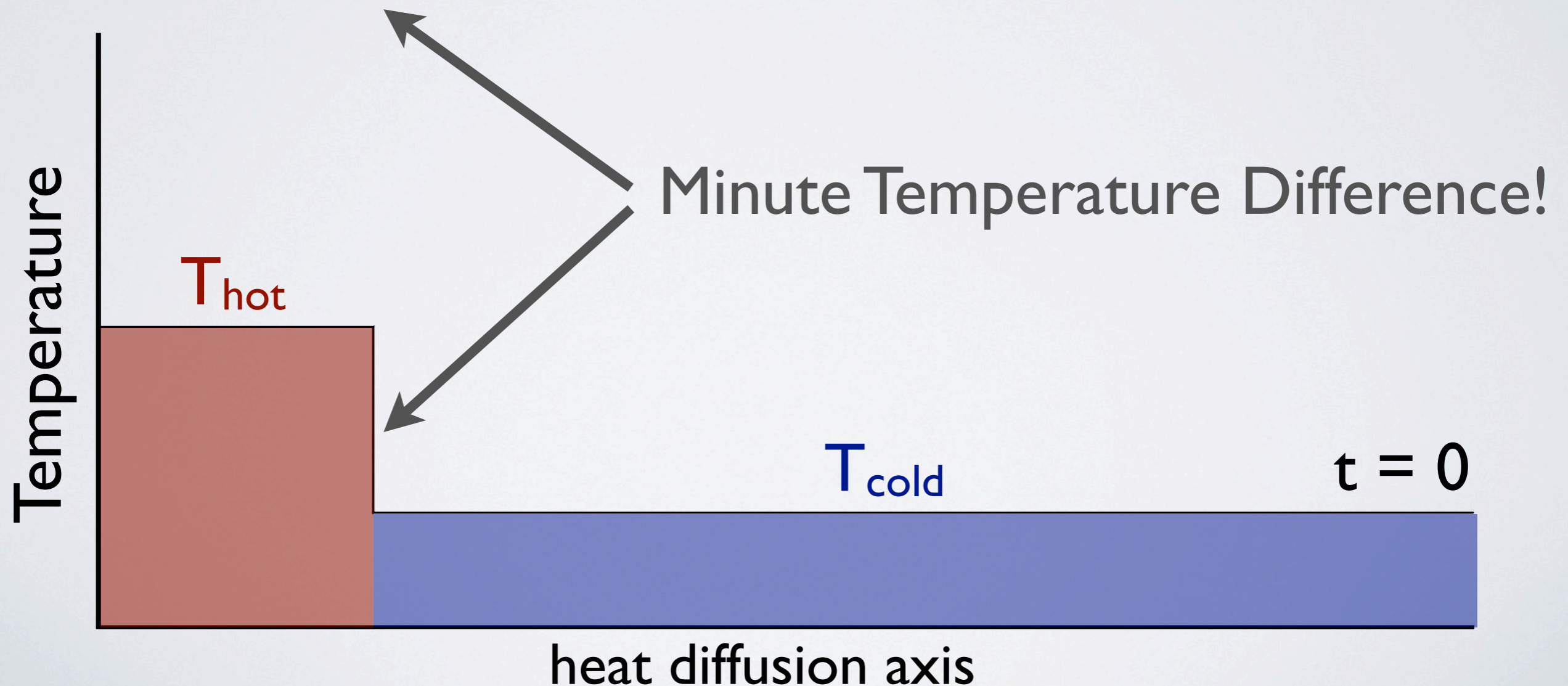
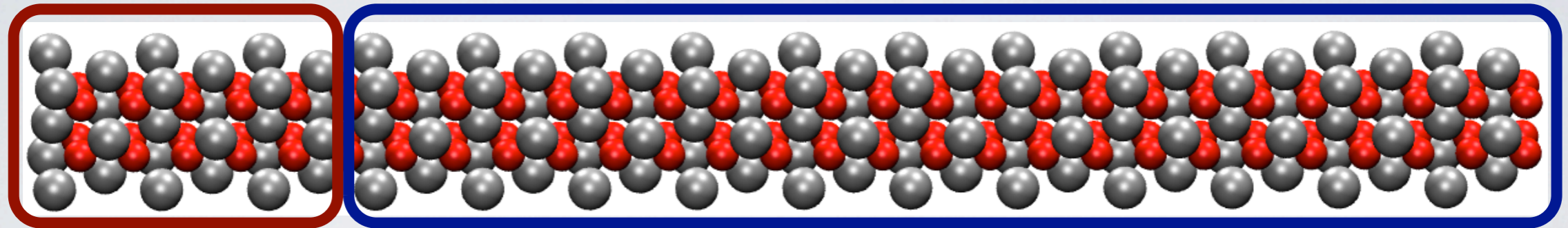
Maxwell-Boltzmann distributed amplitudes

random phase

harmonic approximation

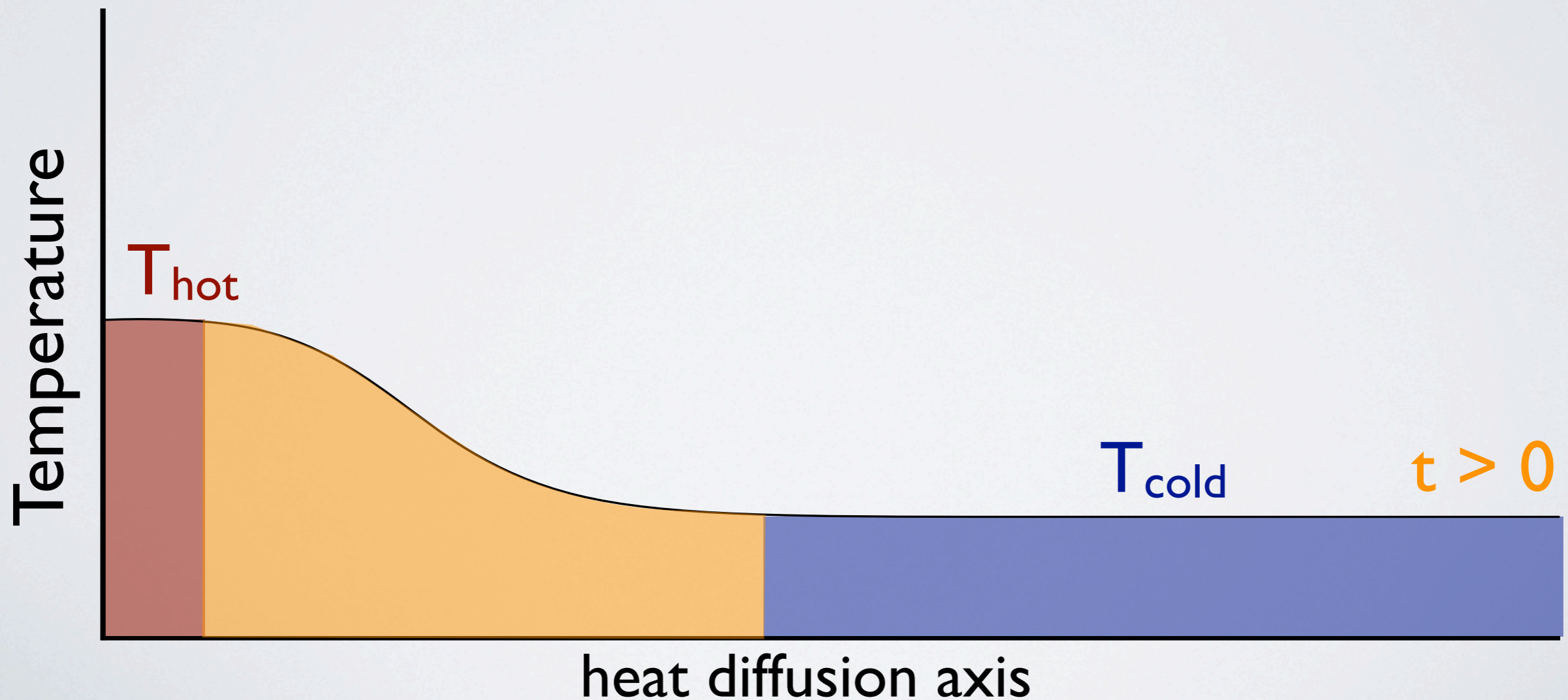
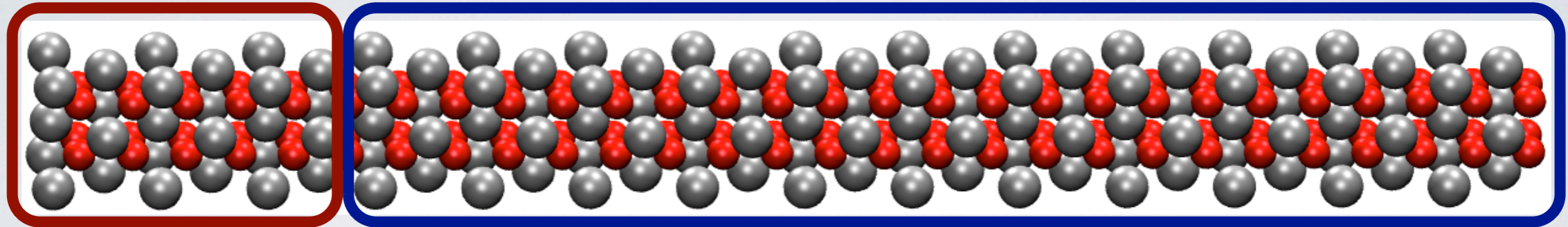
(I) SUPERCELL PREPARATION

S. K. Estreicher, and T. M. Gibbons, *Physica B* **404**, 4509 (2009).



(2) HEAT DIFFUSION

T. M. Gibbons and S. K. Estreicher, *Phys. Rev. Lett.* **102**, 255502 (2009).

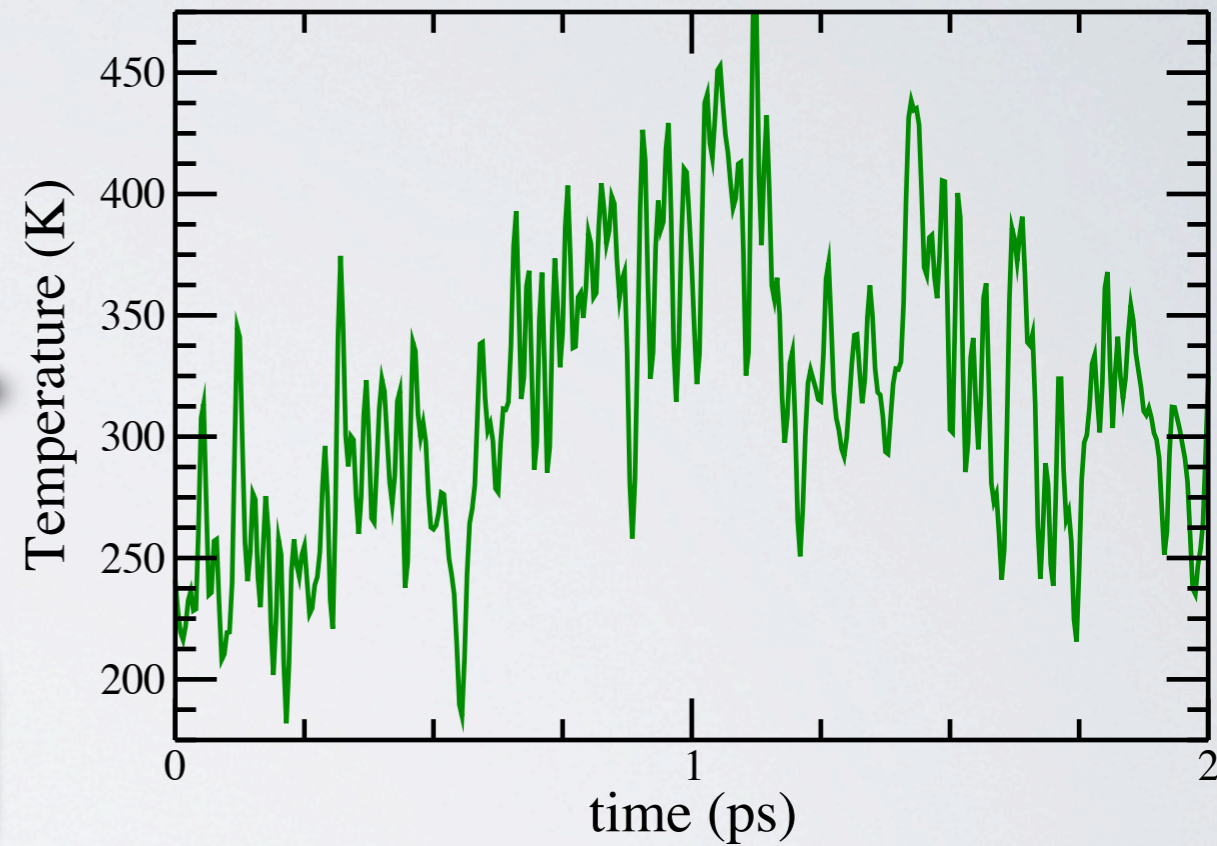
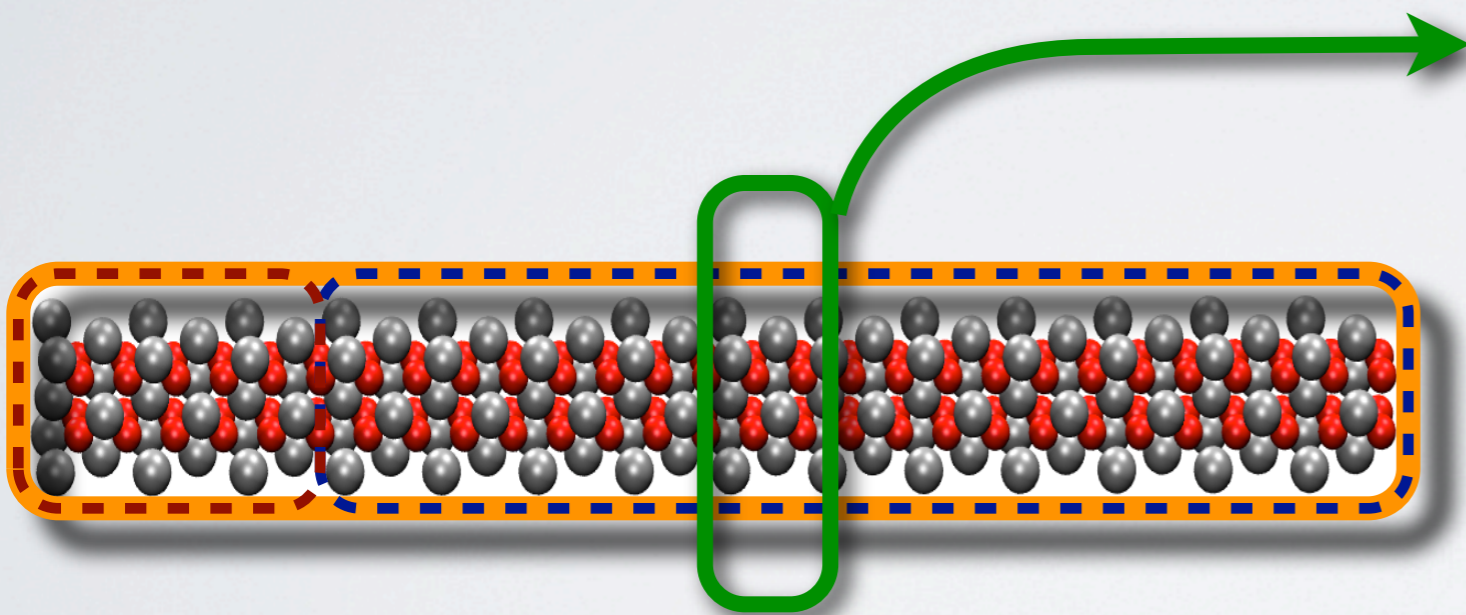


(2) HEAT DIFFUSION

T. M. Gibbons and S. K. Estreicher, *Phys. Rev. Lett.* **102**, 255502 (2009).

Monitor $T(x, t)$

during **thermal equilibration**

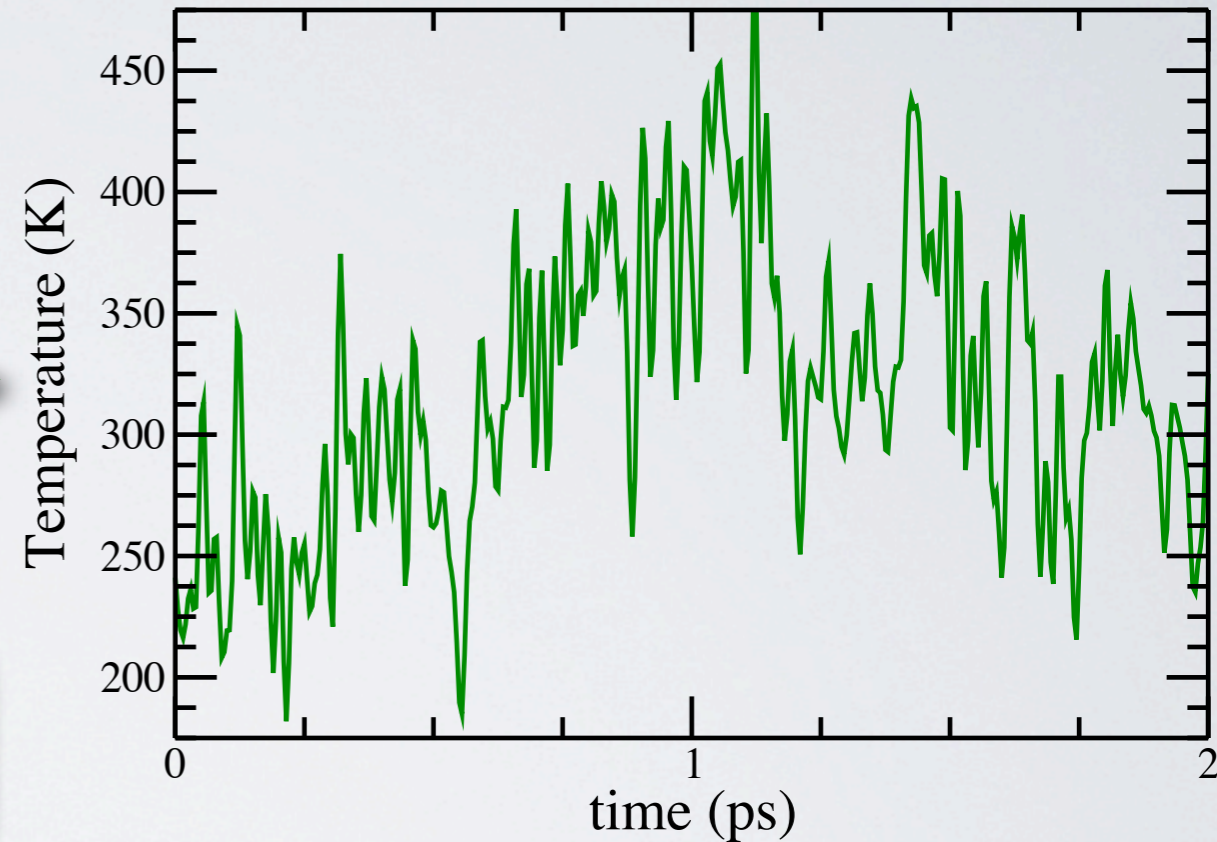
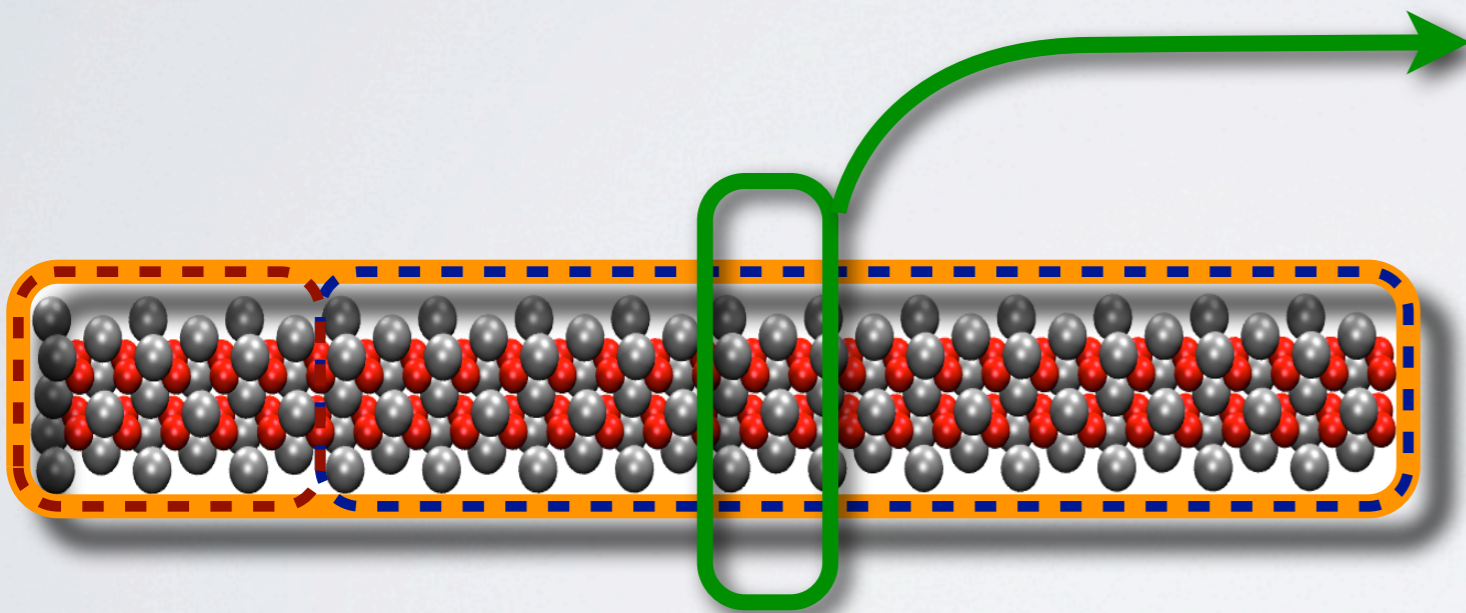


(2) HEAT DIFFUSION

T. M. Gibbons and S. K. Estreicher, *Phys. Rev. Lett.* **102**, 255502 (2009).

Monitor $T(x, t)$

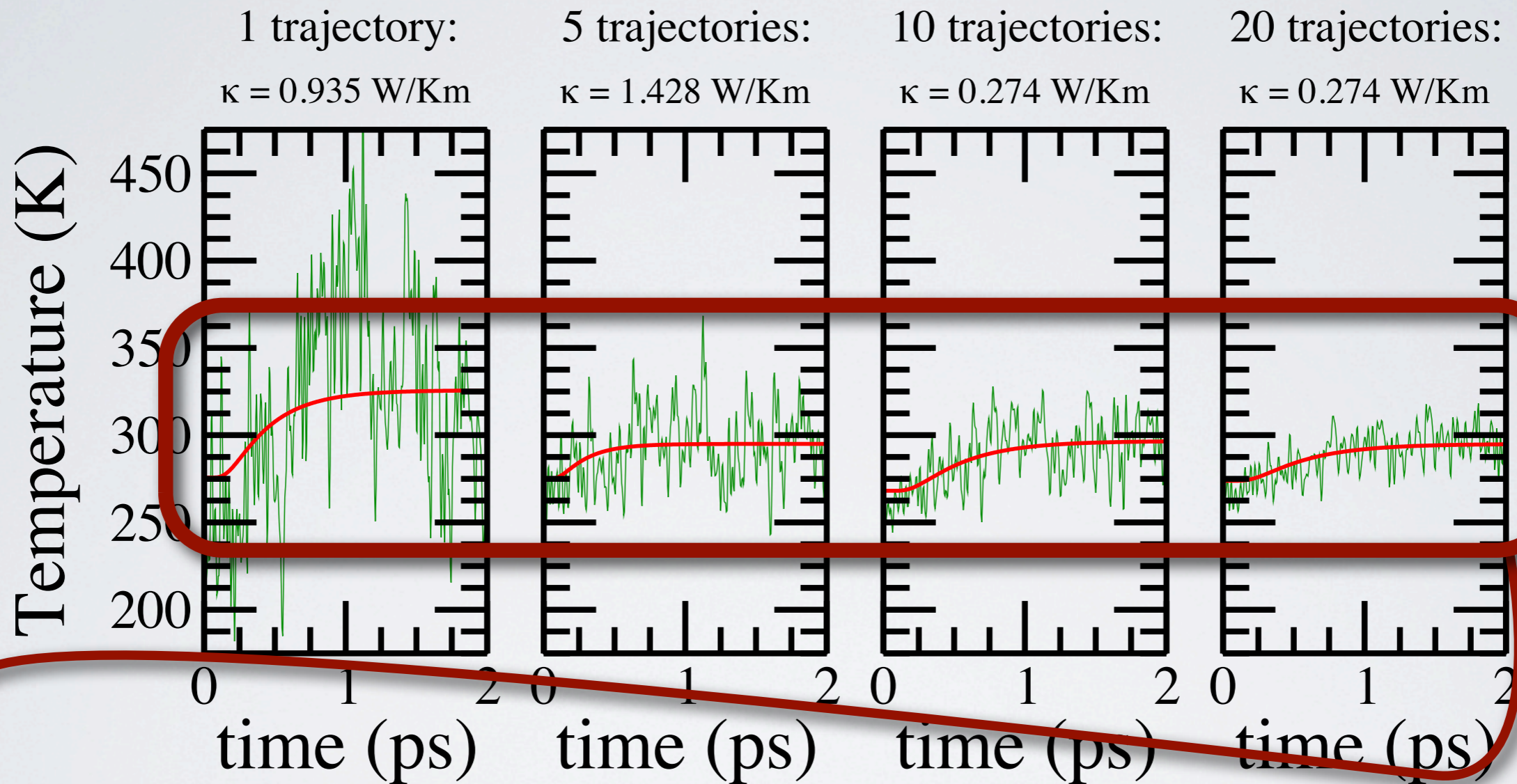
during **thermal equilibration**



Finite supercell size leads to large **temperature fluctuations.**

(2) HEAT DIFFUSION

T. M. Gibbons and S. K. Estreicher, *Phys. Rev. Lett.* **102**, 255502 (2009).



Fit to $T(x, t) = T_{cold} + (T_{final} - T_{cold}) \sum_n (-1)^n \exp\left(-\frac{n^2 \pi^2 \kappa t}{\rho C_V}\right)$

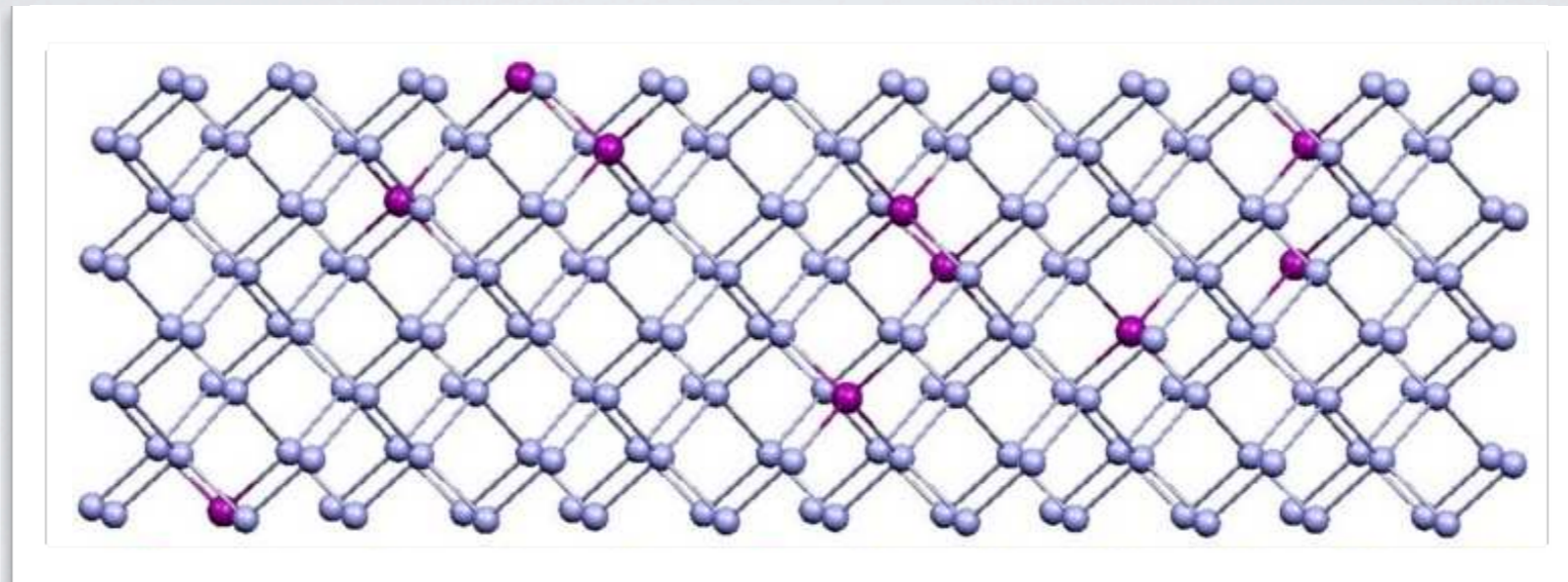
W. J. Parker et al., *J. Appl. Phys.* **32**, 1679 (1961).

PROS & CONS

- Standard MD method
- No heat source & sink needed
- Minute temperature gradients
- Only applicable at **low** temperatures

(3) APPLICATION TO IMPURITIES IN SI

T. M. Gibbons, By. Kang, S. K. Estreicher, and C. Carbogno, *Phys. Rev. B* (accepted).

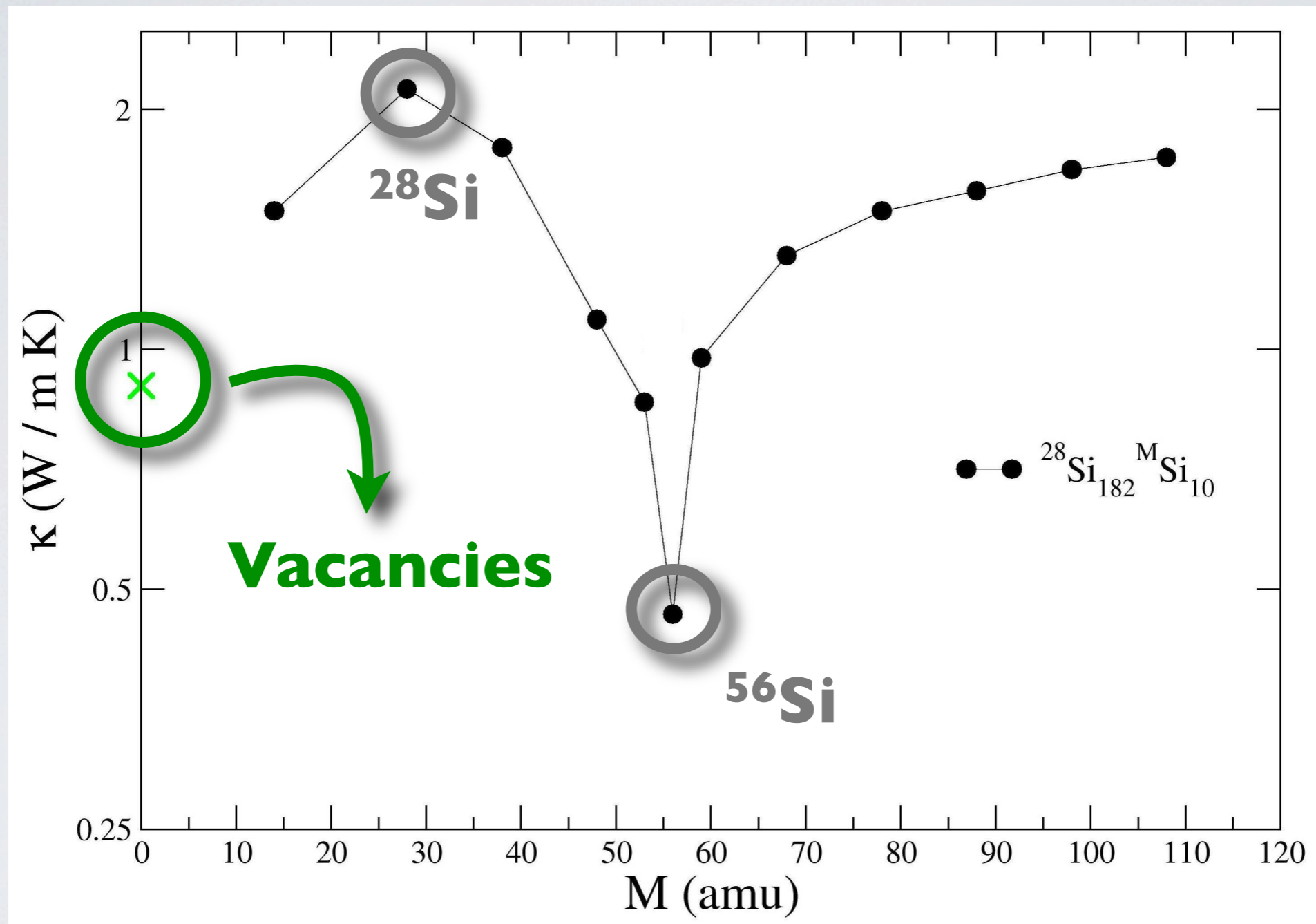


Si₁₉₂ supercell containing **~5.2% impurities**

How do the
properties of the impurities
affect the
thermal conductivity of the system?

(C) APPLICATION TO IMPURITIES IN SI

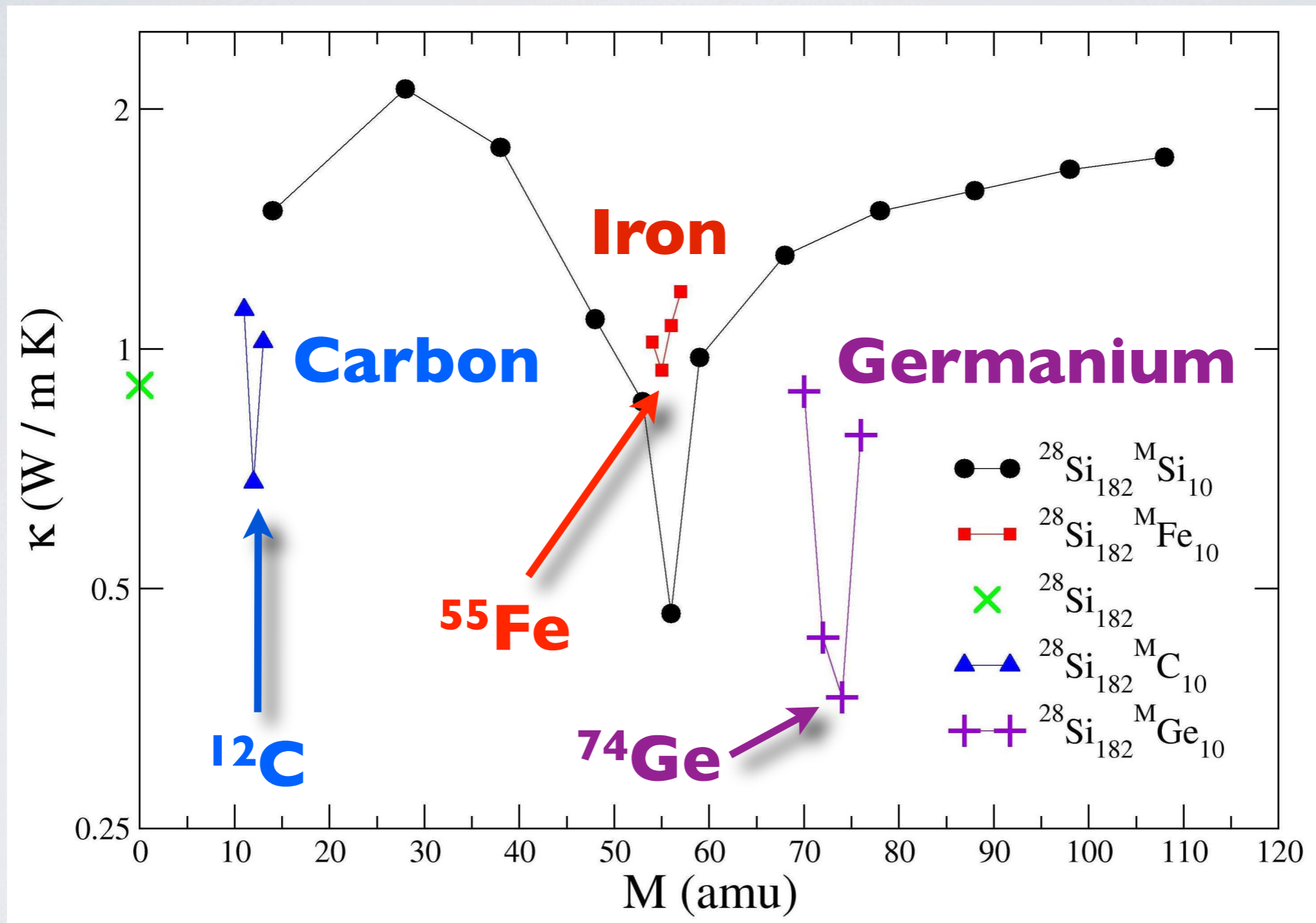
T. M. Gibbons, By. Kang, S. K. Estreicher, and C. Carbogno, *Phys. Rev, B* (accepted).



Thermal conductivity can be controlled via the impurities' mass!

(C) APPLICATION TO IMPURITIES IN SI

T. M. Gibbons, By. Kang, S. K. Estreicher, and C. Carbogno, *Phys. Rev. B* (accepted).



Not all impurities are created equal!

CONCLUSION AND SUMMARY

Molecular Dynamics:

Application in Thermodynamics, Thermostats, and Caveats

Harmonic Approximation:

Basic concepts, Application: Heat Capacities & Lattice Expansion

Thermodynamic Integration:

Complete(!) Anharmonic Free Energy from First Principles

Non-equilibrium dynamics:

Thermal Conductivity from First Principles