

Electron transport through molecular junctions *and FHI-aims*

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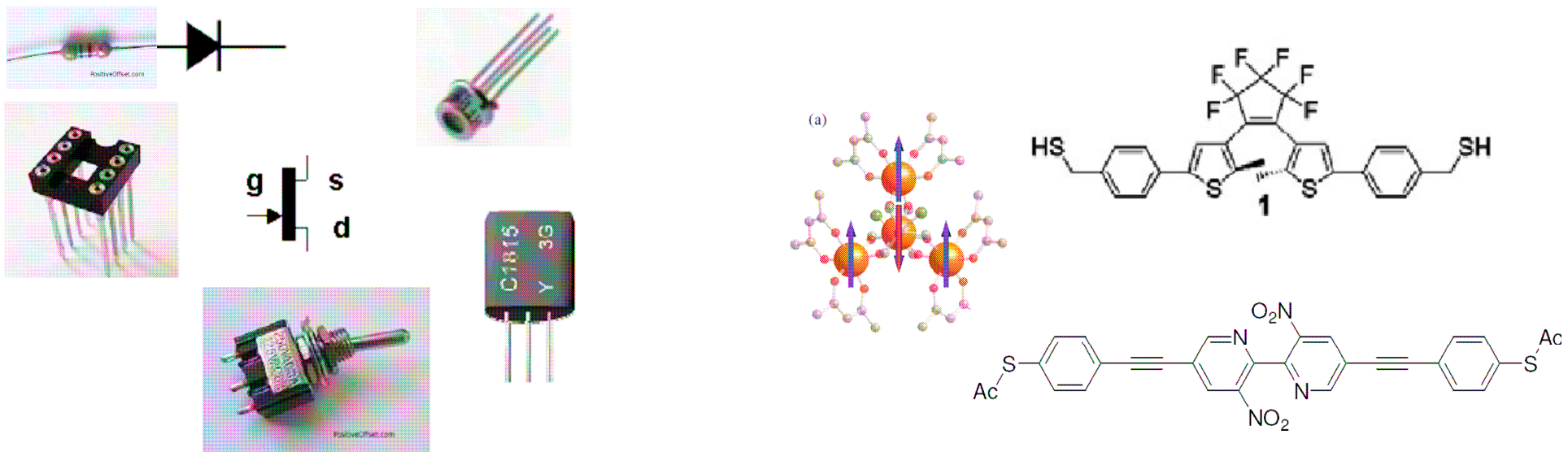
Why transport calculations for molecules ?

Technological reason (*molecular electronics*)

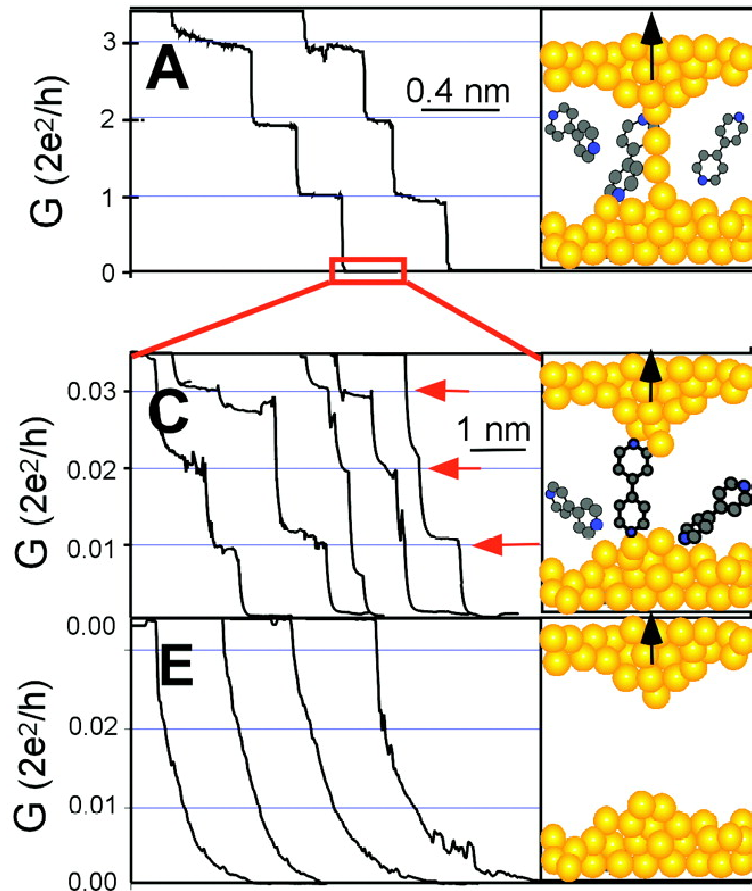
- use of molecular building blocks for the fabrication of electronic components
- design suitable molecular complexes with required functionality, e.g. *memory elements, switches, diodes or transistors*
- data transfer by charge (or spin) transport
- required (transport) theories with predictive power: computer aided device design

(Fundamental) scientific reason

- molecules are „quantum dots“ with unexplored parameters regimes and symmetry properties
- attempt to understand and predict qualitatively new transport phenomena

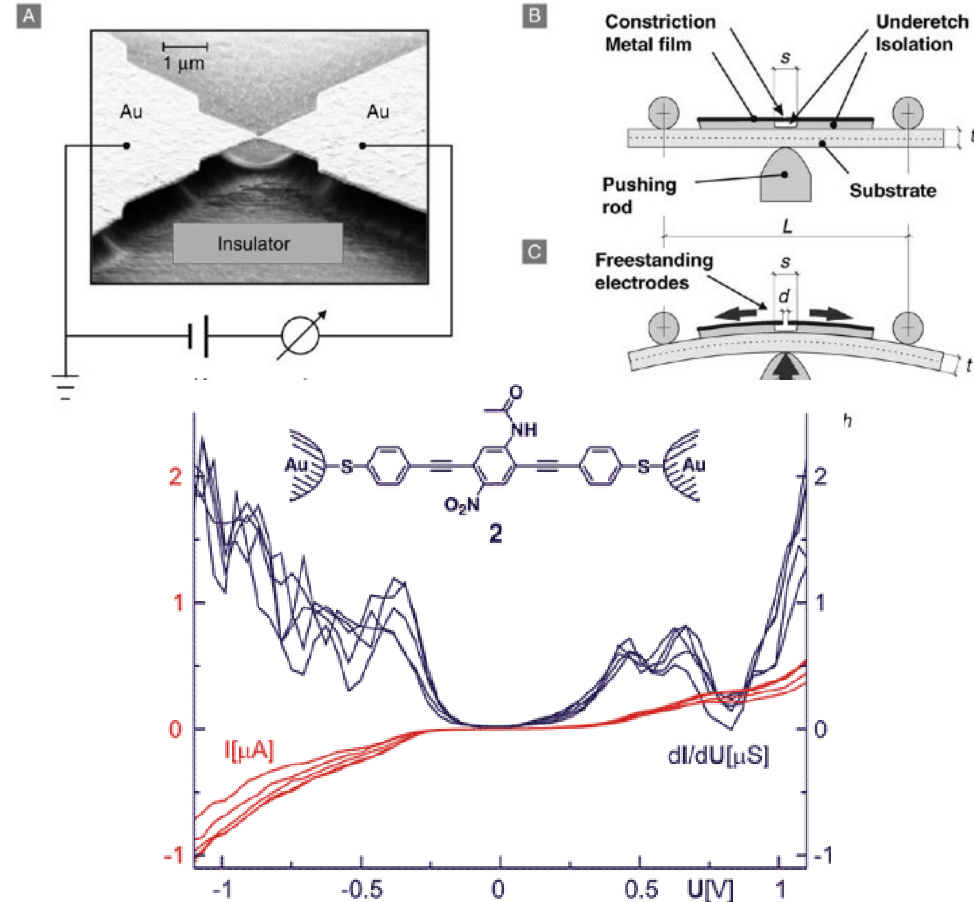


- **STM break junctions**



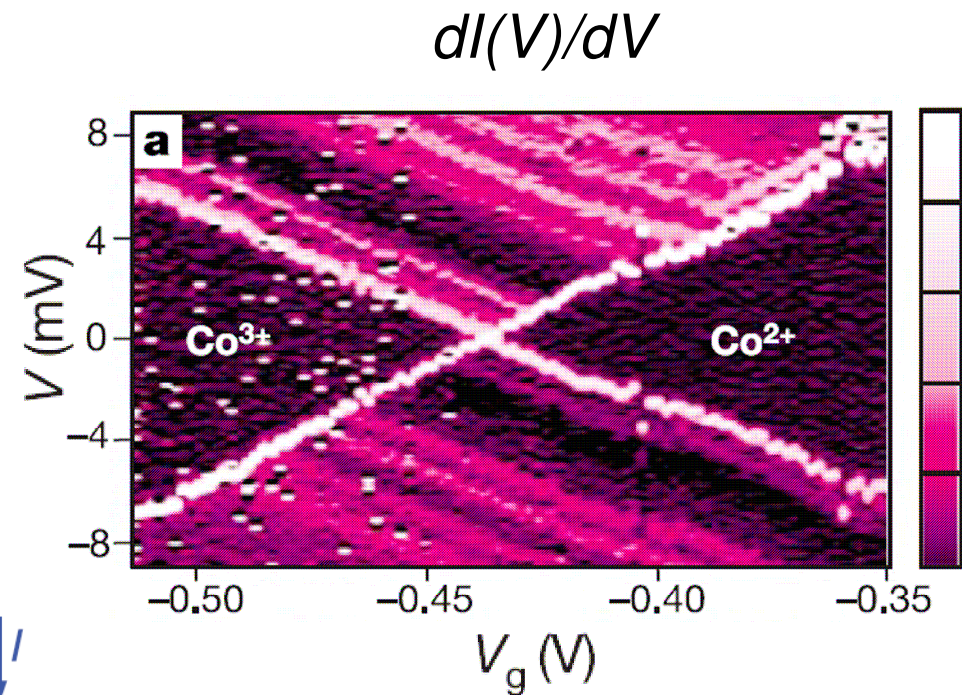
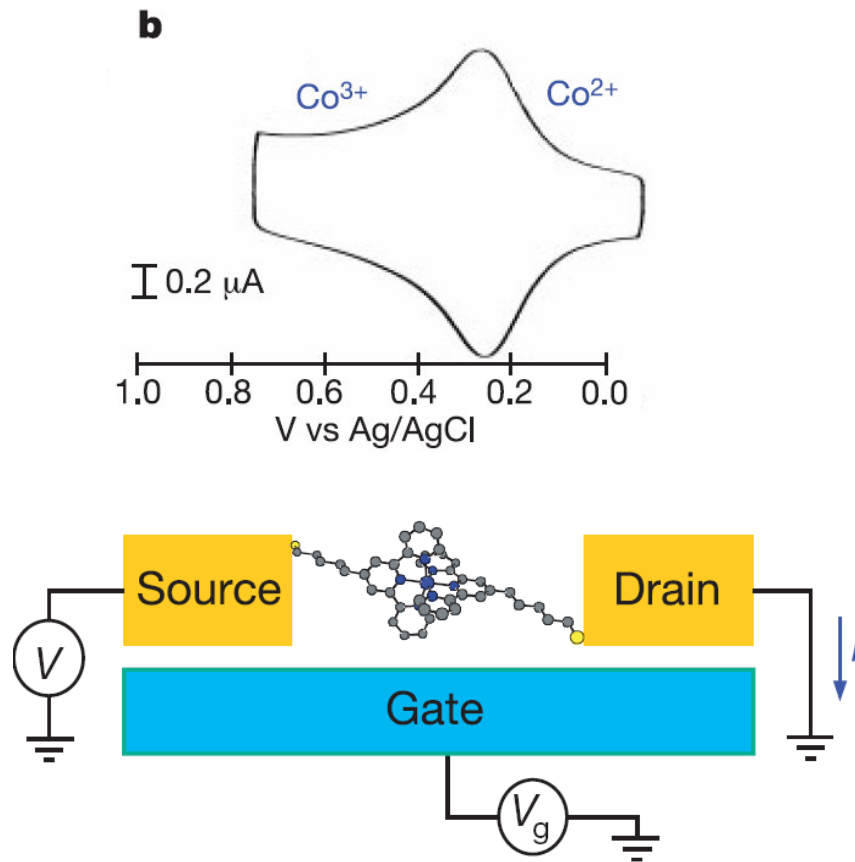
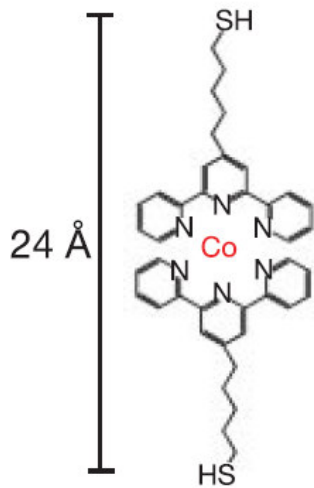
Xu & Tao, *Science* '03

- **mechanically controlled break junctions**



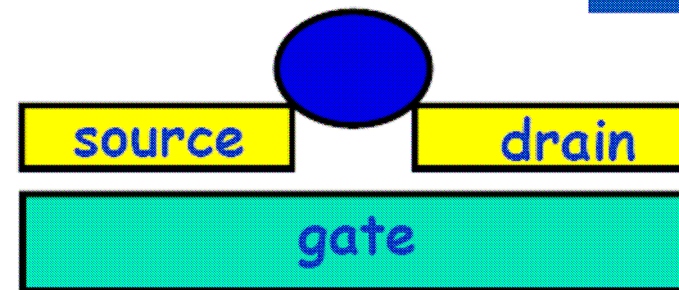
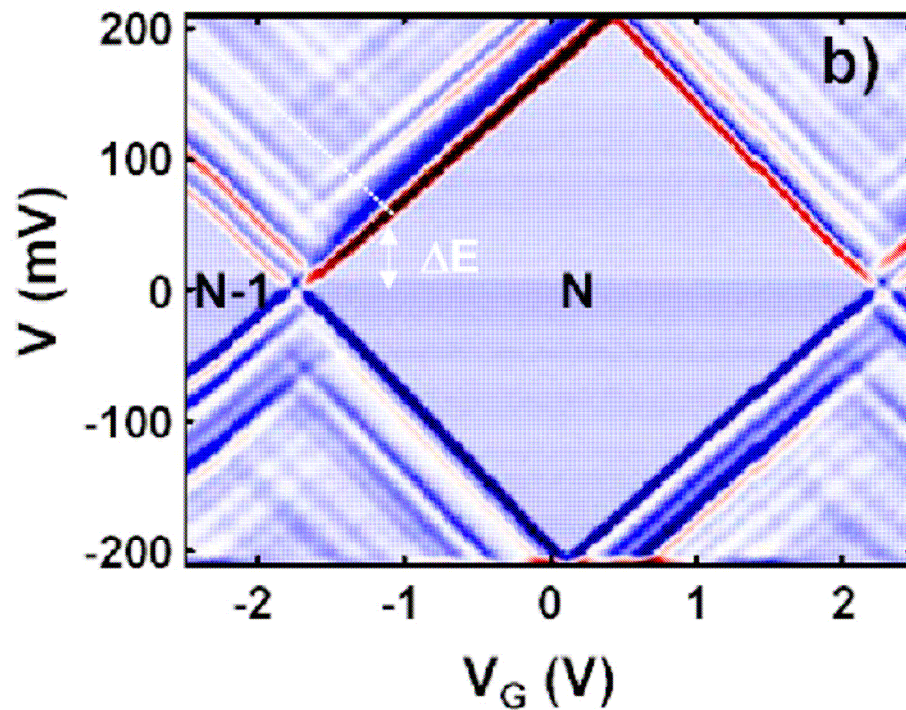
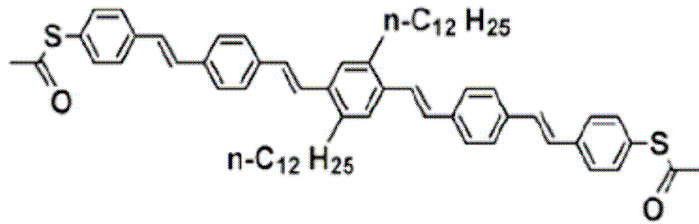
Weber, Mayor,
v. Löhneysen, *et al. PRL* '02

- Electromigrated junctions: Coulomb blockade



Park, McEuen, Ralph, *et al.*
Nature '02

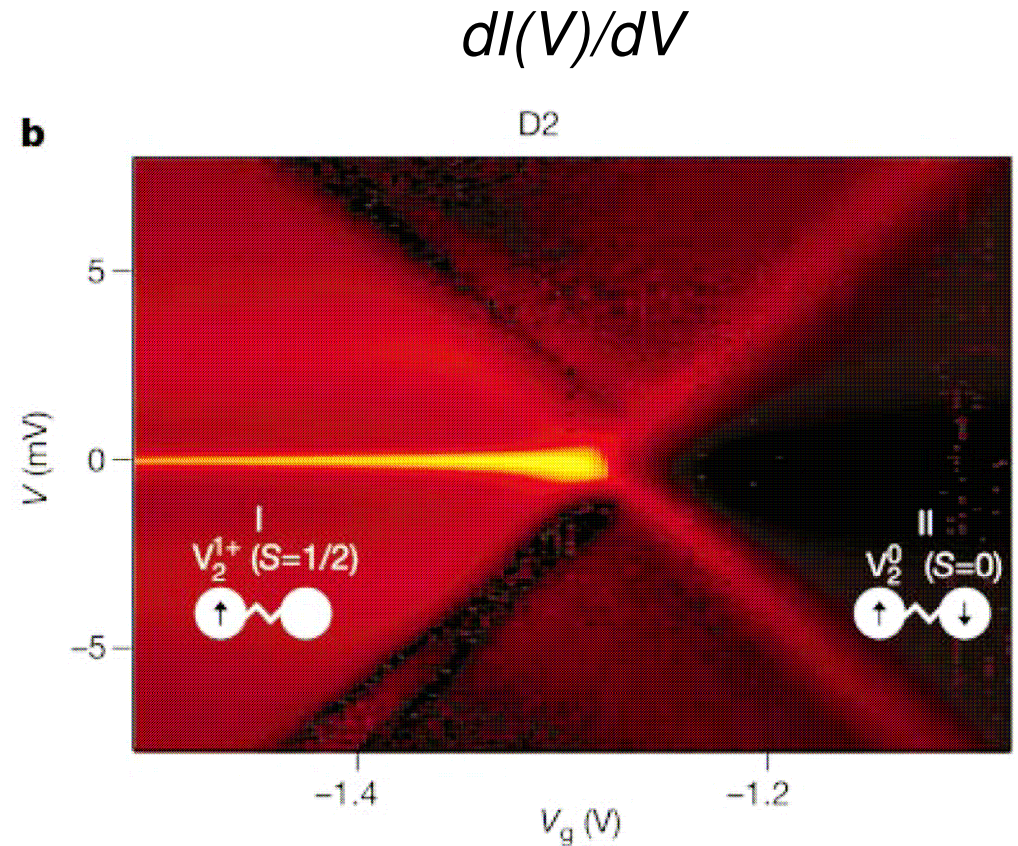
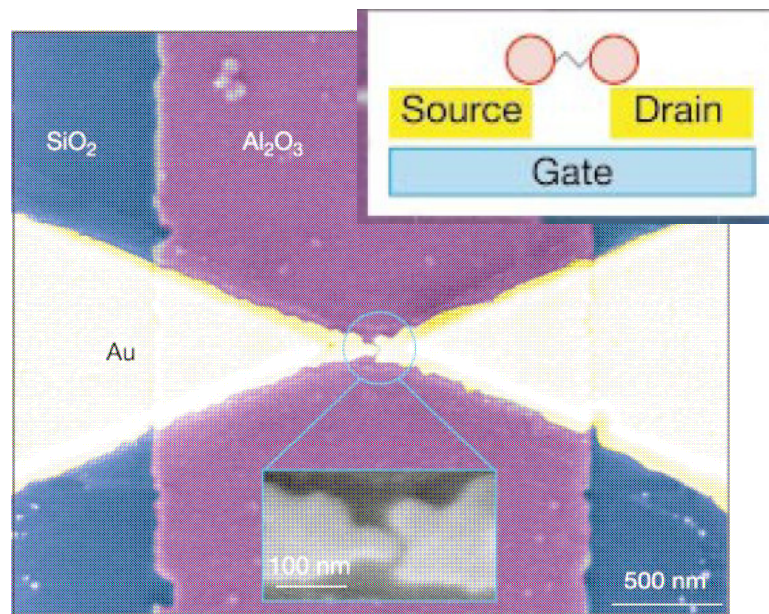
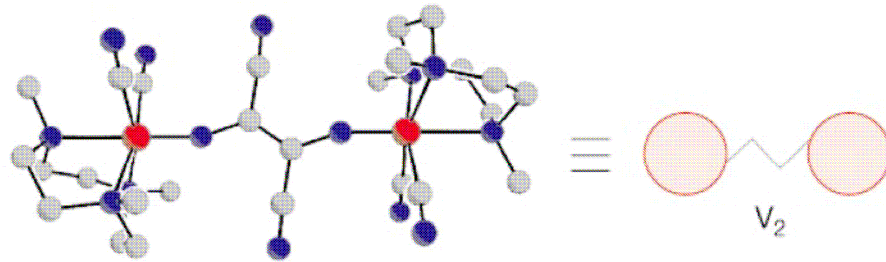
- Excitation lines: electronic fingerprints of molecular vibrations



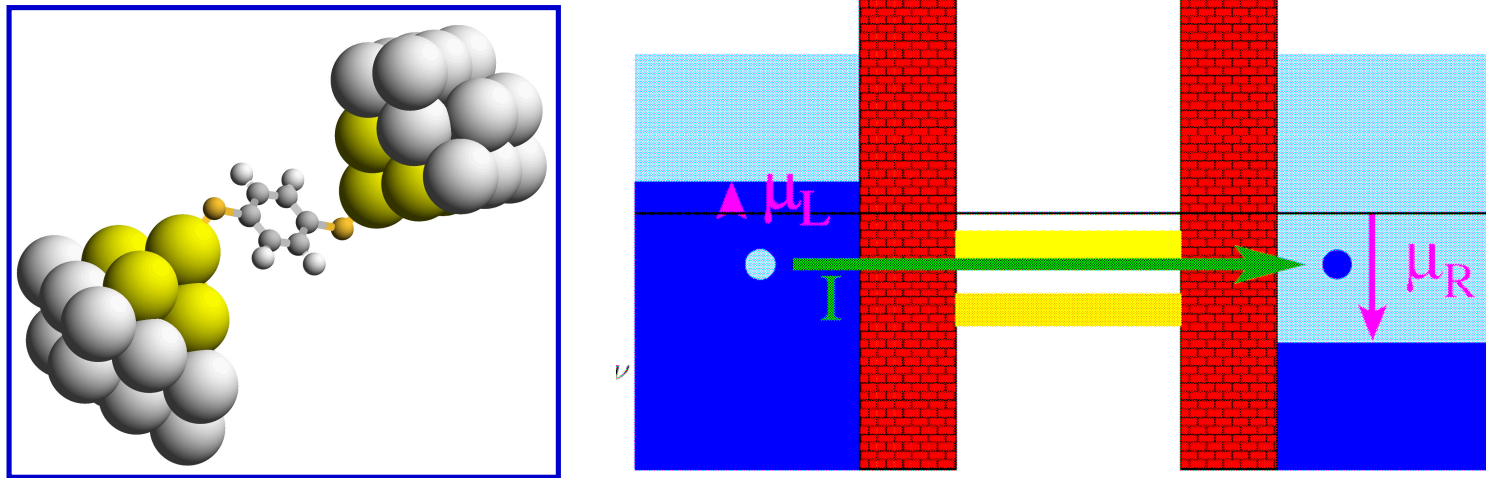
Osorio *et al.*
Adv. Materials '07

- Electromigrated junctions: Kondo effect

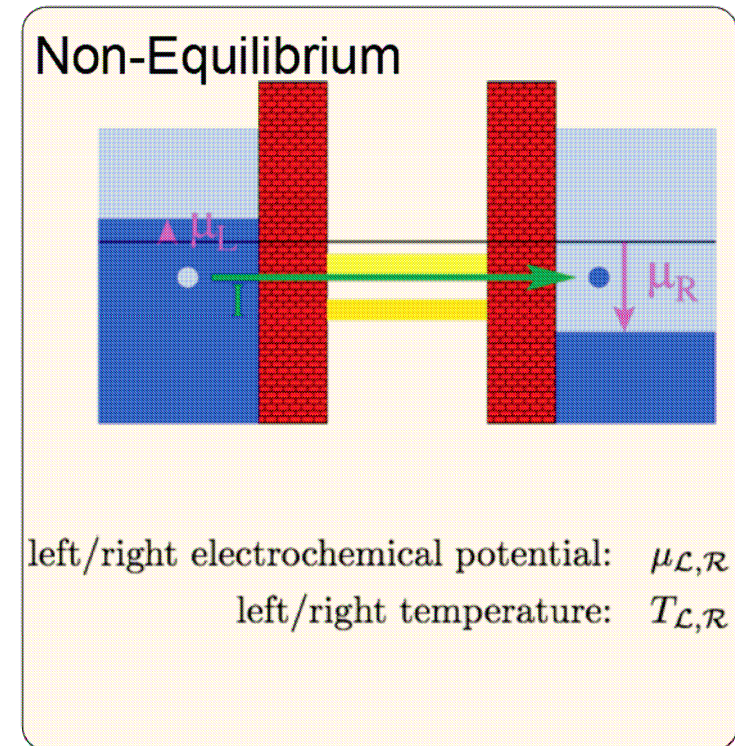
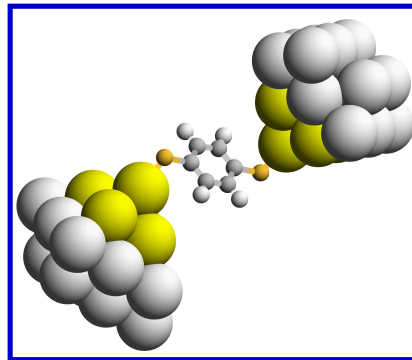
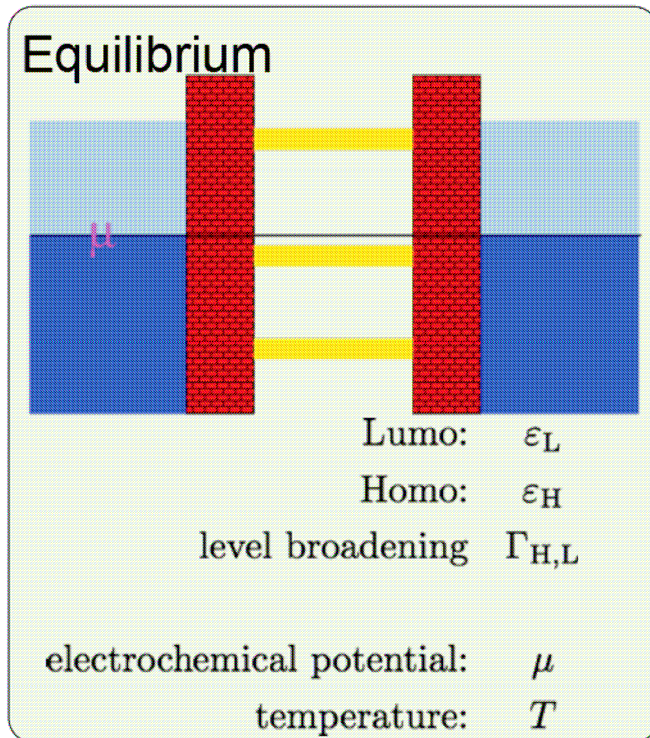
di-vanadium-complex



Liang *et al.*
Nature '02



1. Essentials of *ab initio* transport simulations



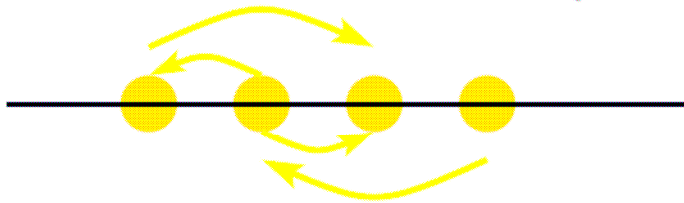
scattering states:

- electrode: quantum numbers $|\nu\rangle = |n, k\rangle$, $\epsilon_n(k)$

$$\begin{aligned}
 I &= \frac{2e}{h} \int_{\mu_R}^{\mu_L} dE \sum_{nn'} |t_{nn'}(E)|^2 \\
 &= \frac{2e}{h} \int_{\mu_R}^{\mu_L} dE \text{Tr}_{\mathcal{L}_T}(tt^\dagger) = \frac{2e}{h} \int_{\mu_R}^{\mu_L} dE T(E)
 \end{aligned}$$

Green's function formalism

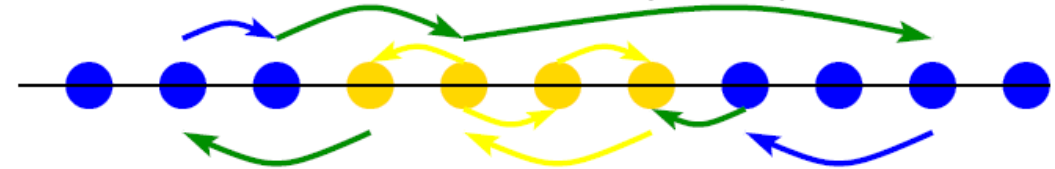
bare Green's function (of \mathcal{M}):



$$G(\mathbf{x}, \mathbf{x}'; t - t') = \langle \mathbf{x} | G(t - t') | \mathbf{x}' \rangle$$

$$G_{\mathcal{M}}^{-1}(E) = E - H_{\mathcal{M}}$$

dressed Green's function (of \mathcal{M}):



$$G^{-1} = G_{\mathcal{M}}^{-1} - \Sigma_{\mathcal{L}}^{\mathcal{M}} - \Sigma_{\mathcal{R}}^{\mathcal{M}}$$

$$\Sigma_{\mathcal{X},ij}^{\mathcal{M}} = \sum_{\nu\mu} V_{i\nu} G_{\mathcal{X},\nu\mu} V_{\mu j}^*, \quad \mathcal{X} = \mathcal{R}, \mathcal{L}$$

$$H_{\mathcal{M}} = \sum_{ij} h_{ij} d_i^\dagger d_j$$

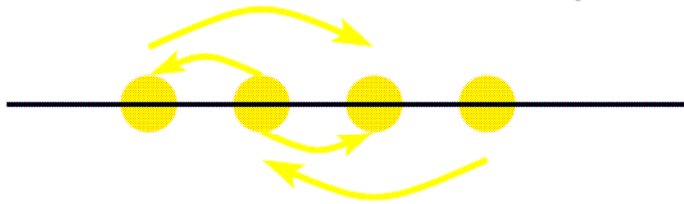
$$H = H_{\mathcal{M}} + H_{\mathcal{L}} + H_{\mathcal{T}}$$

$$H_{\mathcal{L}} = \sum_{\nu\mu} t_{\nu\mu} c_\nu^\dagger c_\mu$$

$$H_{\mathcal{T}} = \sum_{j,\nu} V_{\nu j} c_\nu^\dagger d_j + V_{j\nu}^* d_j^\dagger c_\nu$$

Green's function formalism

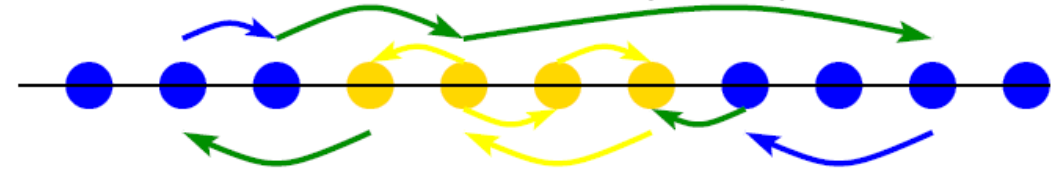
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$$G^{-1} = G_{\mathcal{M}}^{-1} - \Sigma_{\mathcal{L}}^{\mathcal{M}} - \Sigma_{\mathcal{R}}^{\mathcal{M}}$$

$$\Sigma_{\mathcal{X},ij}^{\mathcal{M}} = \sum_{\nu\mu} V_{i\nu} G_{\mathcal{X},\nu\mu} V_{\mu j}^*, \quad \mathcal{X} = \mathcal{R}, \mathcal{L}$$

effective Hamiltonian:

$$H_{\text{eff}} = H_{\mathcal{M}} + \frac{1}{2} \sum_{\mathcal{X}=\mathcal{R},\mathcal{L}} (\Sigma_{\mathcal{X}} + \Sigma_{\mathcal{X}}^{\dagger})$$

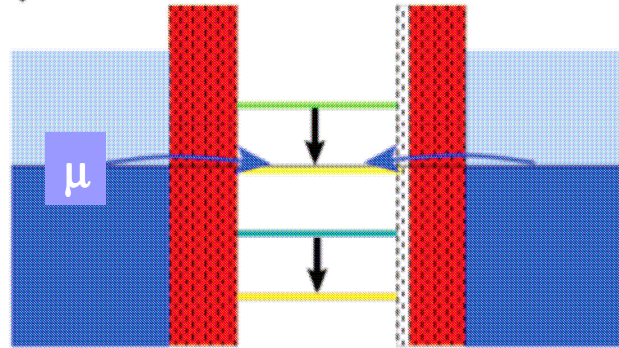
leakage rates (or level broadening / damping rate / inverse life time):

$$\Gamma_{\mathcal{X}} = \frac{1}{2i} (\Sigma_{\mathcal{X}}^{\dagger} - \Sigma_{\mathcal{X}})$$

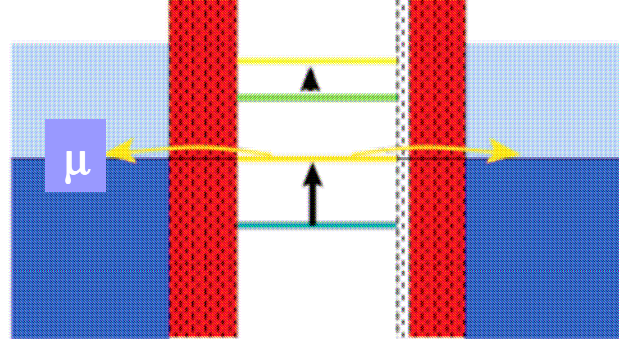
Charge exchange and life-time effects

$$H \longrightarrow H_{\text{eff}} = H + \frac{1}{2} (\Sigma + \Sigma^\dagger)$$

acceptor molecule:

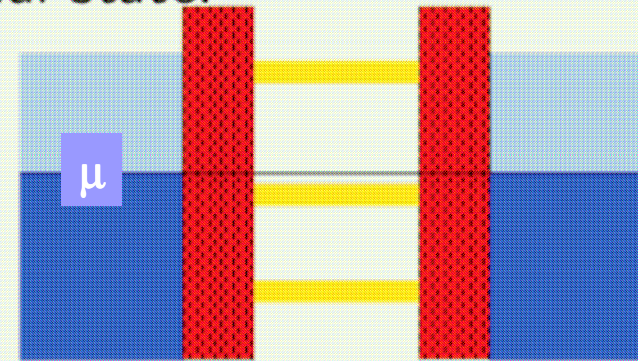


donor molecule:

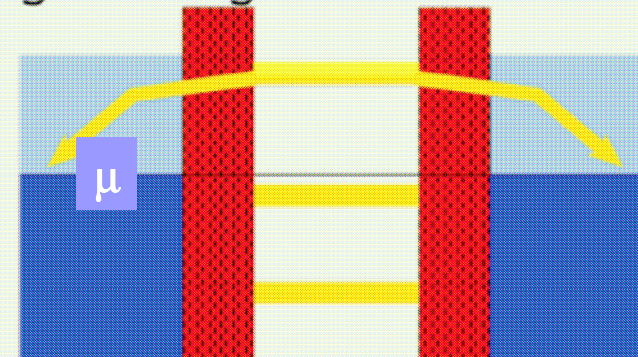


$$G^{-1} = E - H_{\text{eff}} + \frac{1}{2i} (\Gamma_L + \Gamma_R)$$

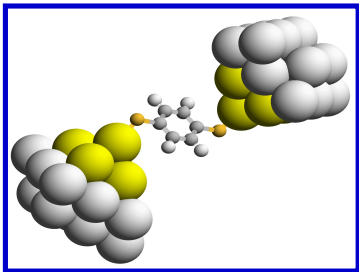
initial state:



charge leakage:

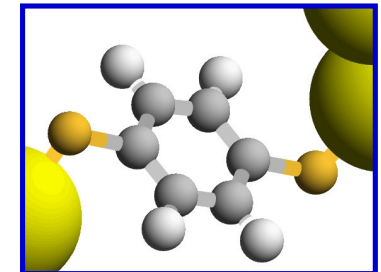


$$T(E) = \text{Tr} \left[\Gamma_L G(E) \Gamma_R G^\dagger(E) \right]$$



level broadening level spacing

$$\delta_{HL} \leq \gamma_{HL} \ll \gamma_{hl} \leq \delta_{hl}$$



extended molecule: add lead atoms

($\geq 100N_e$)

effective single particle problem

→ only approximate G_{eM}^{ret}

from effective single particle SCF-theories:

- extend Hückel (tight binding)
- Hartree-Fock
- density functional theories
- ...

exact $\Sigma_{L,R}^{eM}$ not needed!

approximate $\Sigma_{L,R}^{eM}$ from

- the same theory as G_{eM}
- construction methods

non-equilibrium readily included!

small systems: only molecule

($\leq 100N_e$):

many body methods: exact G_M^{ret}

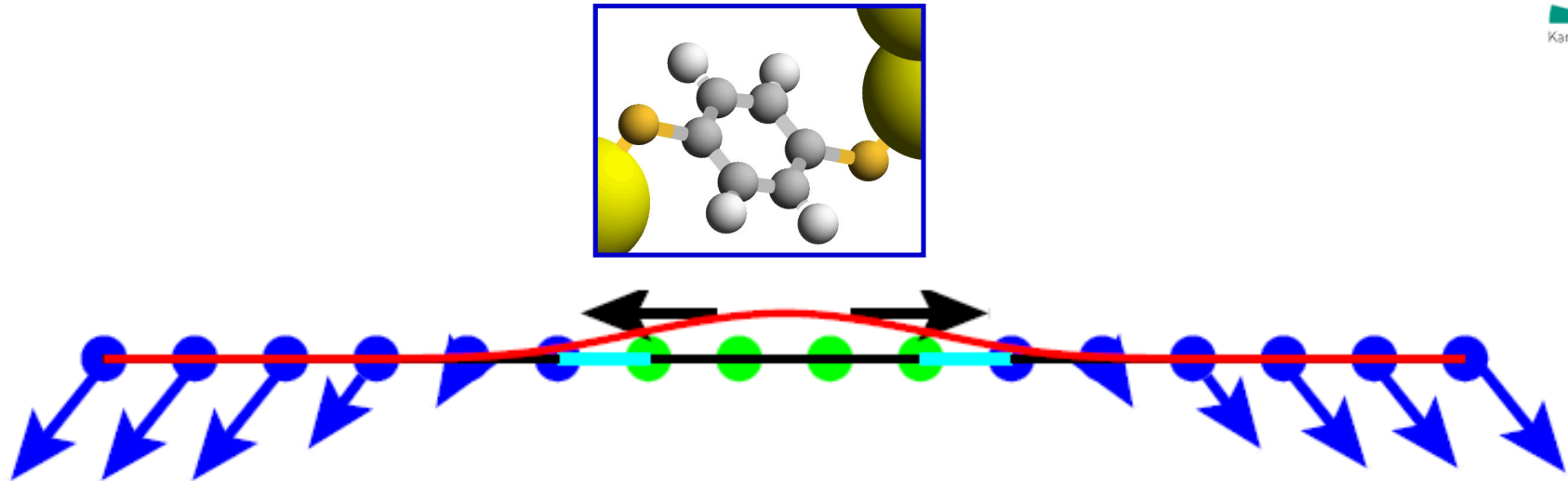
methods:

- exact diagonalization ≤ 30
- coupled cluster method
- configuration interaction
- GW-approximation
- ...

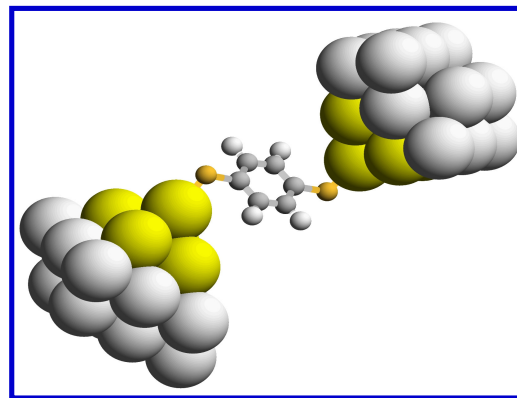
Transport needs $G^<$, not G^{ret} !?!

$\Sigma_{L,R}^M$ from

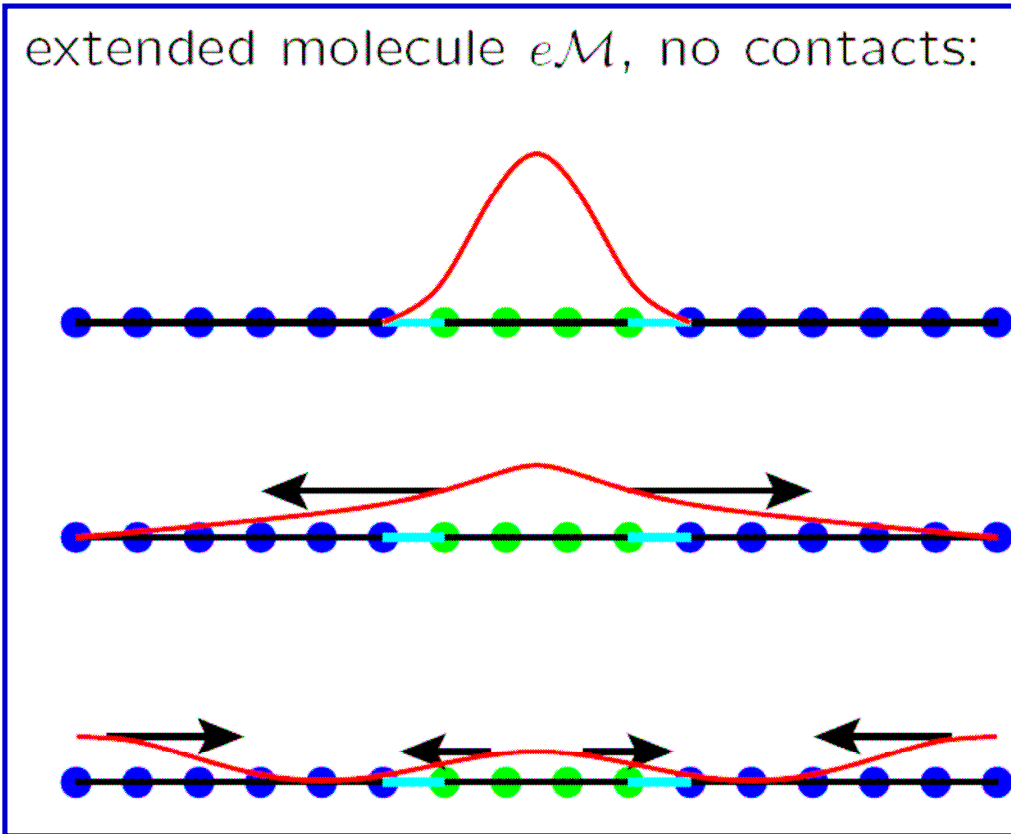
- ???



2. Self-energy as absorbing boundary condition



extended molecule eM , no contacts:



Propagator:

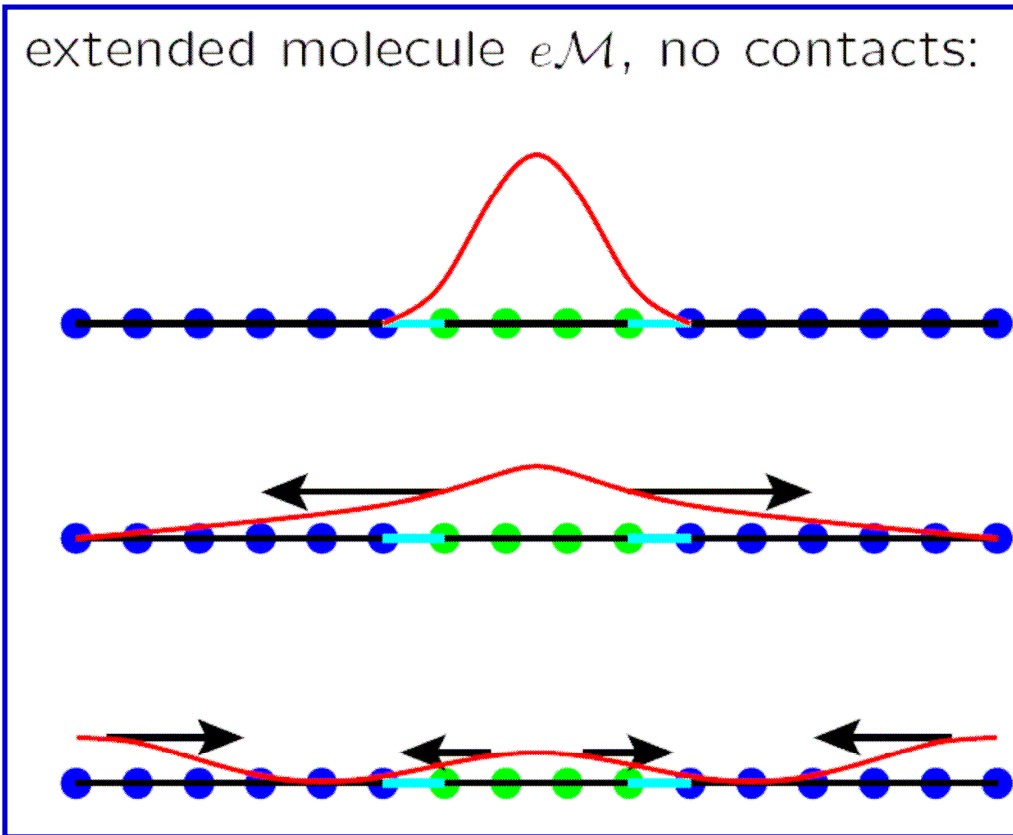
$$G(t, \mathbf{x}, \mathbf{x}') = \int dE G(E, \mathbf{x}, \mathbf{x}') e^{-iEt}$$

$$\Psi(t) = \int_{-\infty}^t dt' G(t - t') \Psi(t')$$

Required: $T_{\text{observation}} \geq \gamma_{hl}^{-1}$

“Dwell” time: $\tau_D \sim \delta_{HL}^{-1}$, E -resolution \hbar/τ_D

extended molecule eM , no contacts:



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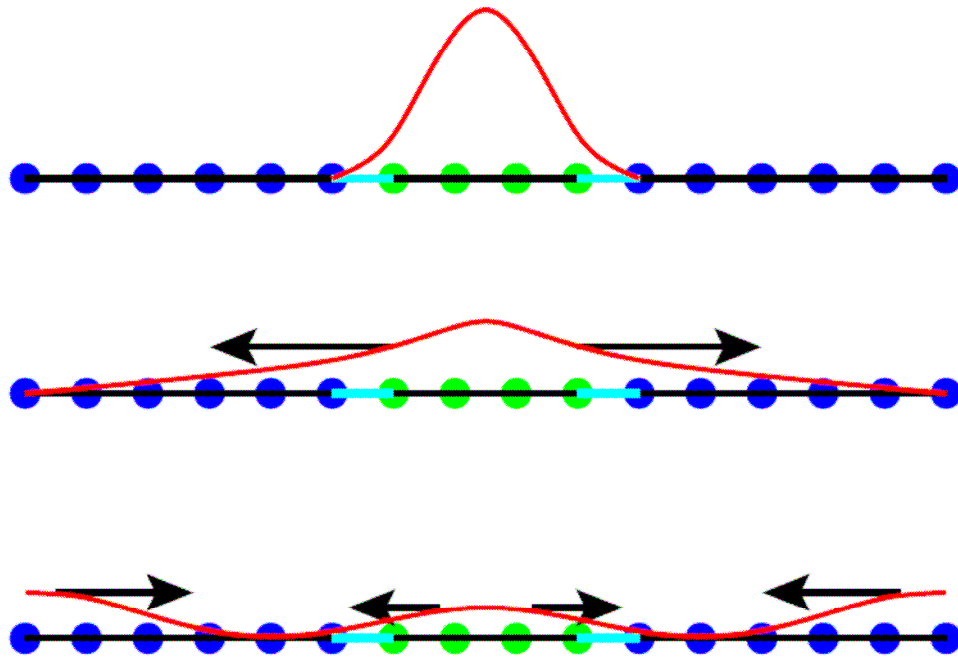
unitary time evolution:

$$G_{eM}^{-1} = E - H_{eM} + i0$$

density of states ($T_{\text{observation}} = \infty$):

$$\rho(E) = \sum_i \delta(E - \epsilon_i)$$

extended molecule eM , no contacts:



Propagator:

$$G(t, \mathbf{x}, \mathbf{x}') = \int dE G(E, \mathbf{x}, \mathbf{x}') e^{-iEt}$$

$$\Psi(t) = \int_{-\infty}^t dt' G(t-t') \Psi(t')$$

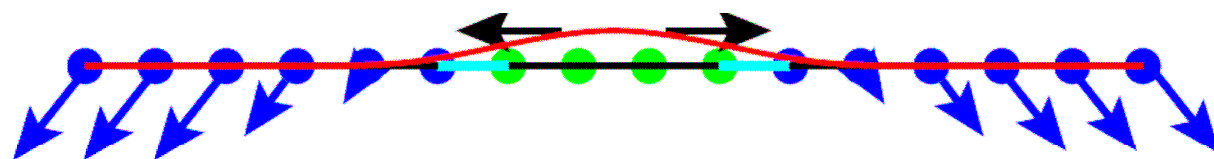
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F Evers & A Arnold, CFN Lectures on Functional Nanostructures Vol.2, Lecture Notes in Physics 820

adiabatic contacts:

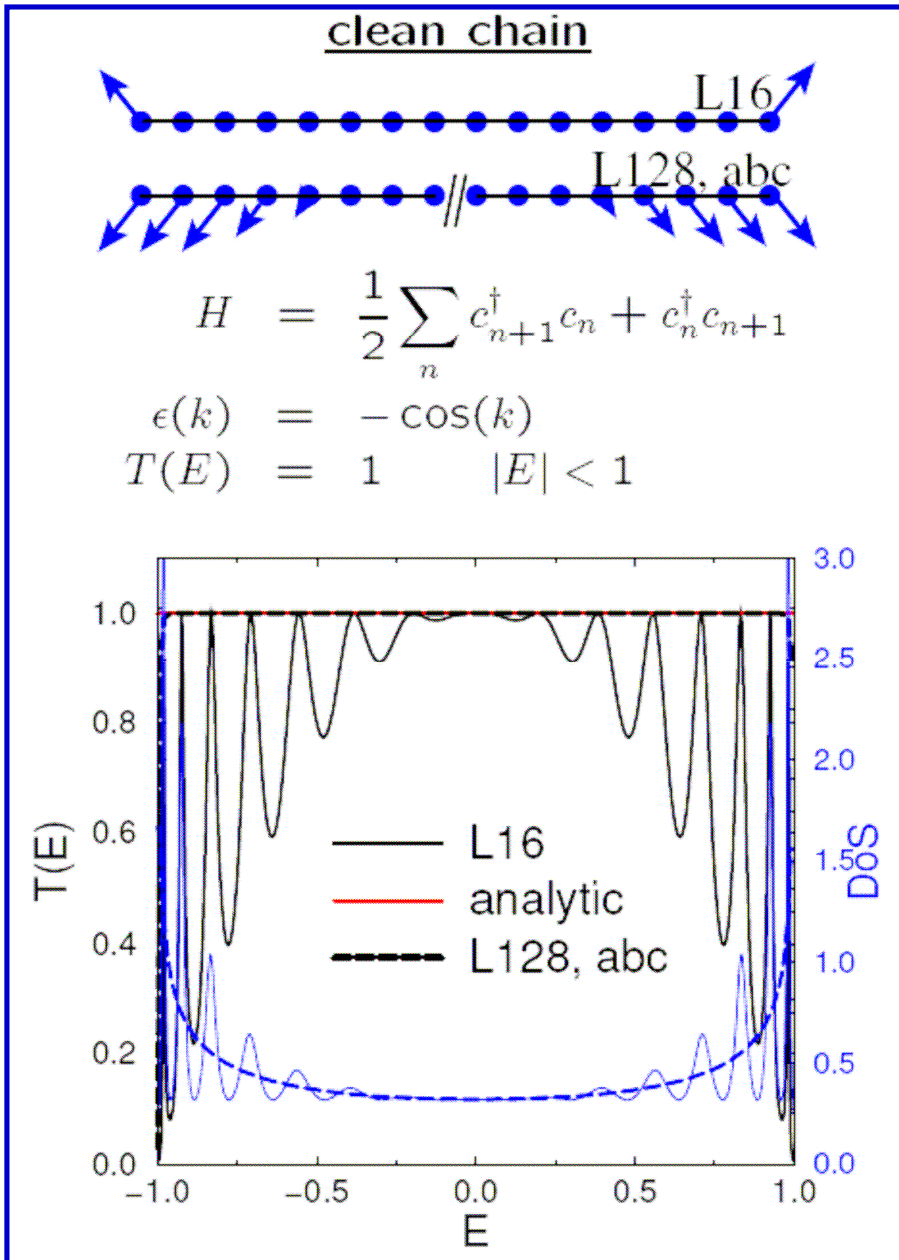
(abc) absorbing boundary conditions, $\tau_D \gamma_{hl} \gg 1$



$$|x\rangle = |X\ell\rangle:$$

$$\langle x | \Sigma_{R,L} | x' \rangle = i\eta(X) \delta_{xx'}$$

Test cases: tight-binding chain



Parameterization of the self-energy

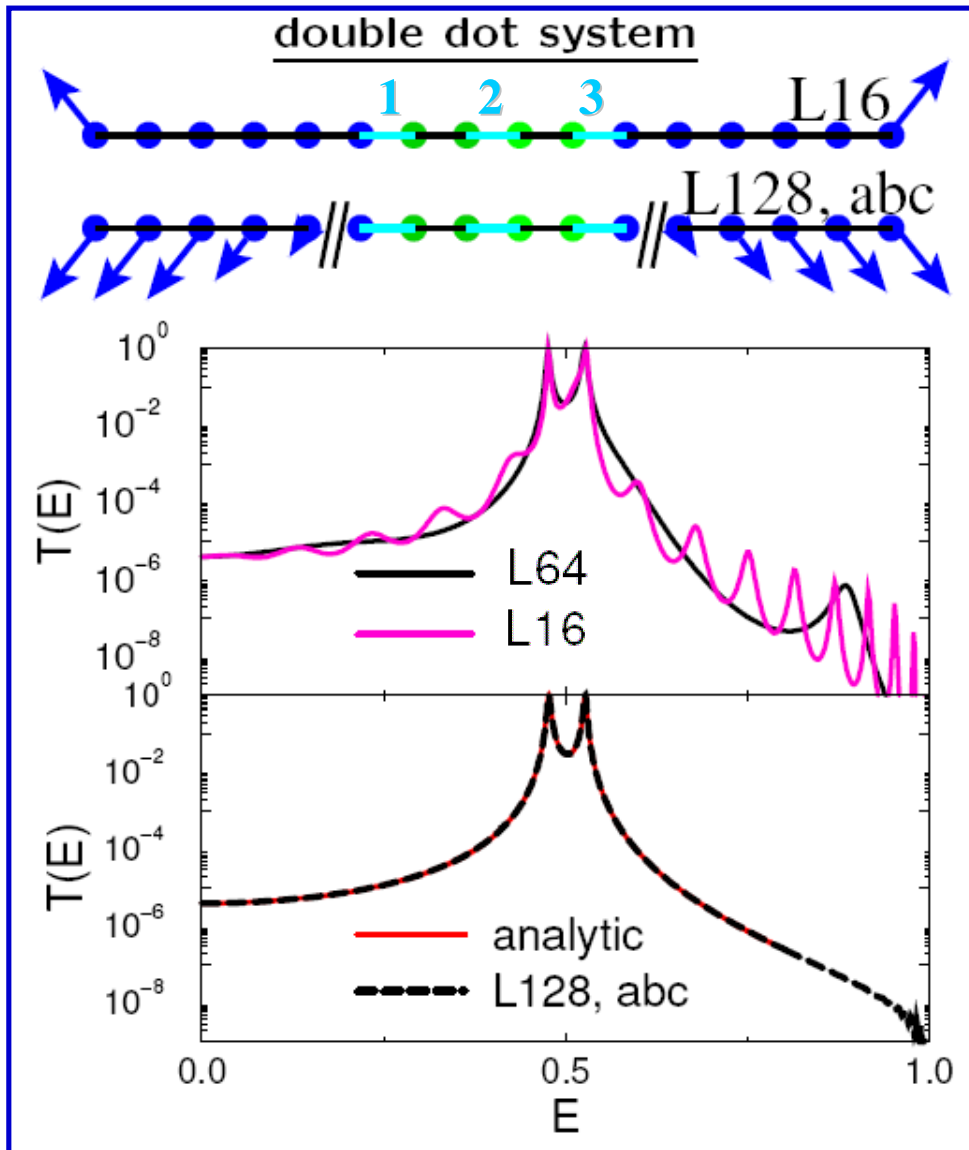
$$\Sigma_{ij;\mathcal{L}} = i\eta_i \delta_{ij}; \quad \eta_i = \eta / (1 + \exp \beta(i - i_S))$$

$$\Sigma_{ij;\mathcal{R}} = i\eta_i \delta_{ij}; \quad \eta_i = \eta / (1 + \exp \beta(L - i - i_S))$$

$$L = 16 \quad i_S = 1, \beta = \infty, \eta = 1$$

$$L = 128 \quad i_S = 32, \beta = 0.3, \eta = 1$$

F Evers & A Arnold, CFN Lectures on Functional Nanostructures Vol.2, Lecture Notes in Physics 820



Parameterization of the self-energy

$$\Sigma_{ij;\mathcal{L}} = i\eta_i\delta_{ij}; \quad \eta_i = \eta/(1 + \exp \beta(i - i_S))$$

$$\Sigma_{ij;\mathcal{R}} = i\eta_i\delta_{ij}; \quad \eta_i = \eta/(1 + \exp \beta(L - i - i_S))$$

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Recipe to perform transport calculation

- ▶ Do DFT for eM with a quantum chemistry package using local basis set $|\tilde{\mu}\rangle = |\mathbf{x}_n, l\rangle$
- ▶ Ask the package to provide the overlap matrix $S_{\mu\nu} = \langle\tilde{\mu}|\tilde{\nu}\rangle$
- ▶ Orthogonalize the basis set, $|\mu\rangle = \sum_{\nu} |\tilde{\nu}\rangle S_{\nu\mu}^{-1/2}$
- ▶ Use KS states $|p\rangle = \sum_{\mu} |\mu\rangle c_{\mu p}$ and energies ϵ_p to construct

$$\langle\mu|H_{eM}|\nu\rangle = \sum_p c_{\mu p} \epsilon_p c_{\nu p}^T$$

- ▶ Make the choice for the left/right local leakage function $i\eta(\mathbf{x}_n)$, construct

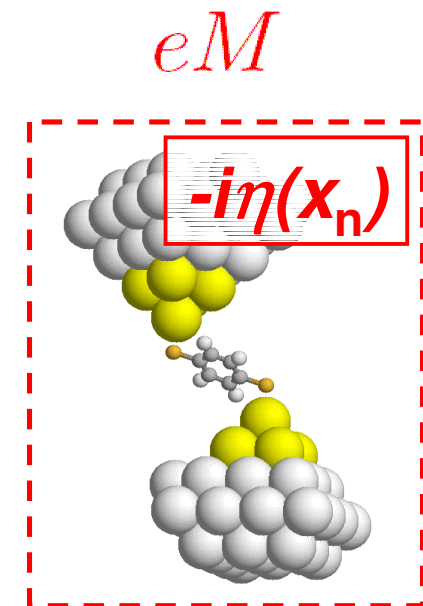
$$\langle\mu|\Sigma_{L/R}|\nu\rangle = -i\eta(\mathbf{x}_n)\delta_{\mu\nu}$$

- ▶ Build

$$\langle\mu|G(E)|\nu\rangle = \langle\mu|[E - H_{eM} - \Sigma_L - \Sigma_R]^{-1}|\nu\rangle$$

- ▶ Calculate transmission

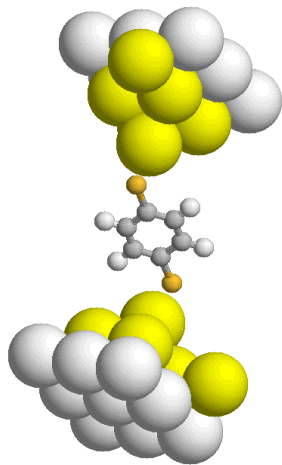
$$T(E) = \text{Tr} \left[\Gamma_L G(E) \Gamma_R G^\dagger(E) \right]$$



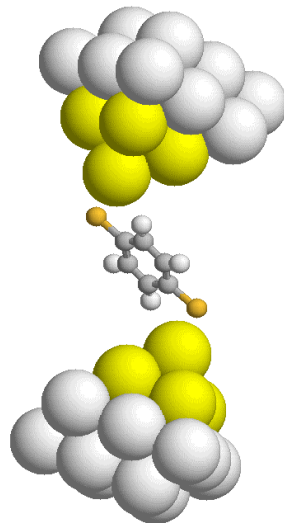
AITRANSS module: library of electrodes

- fcc Au clusters:
- „chaotic“ cavities, left/right assymmetric,
 - gradually increasing size,
 - **abc** are active at „grey“ atoms, parametrized by a **local leakage function**

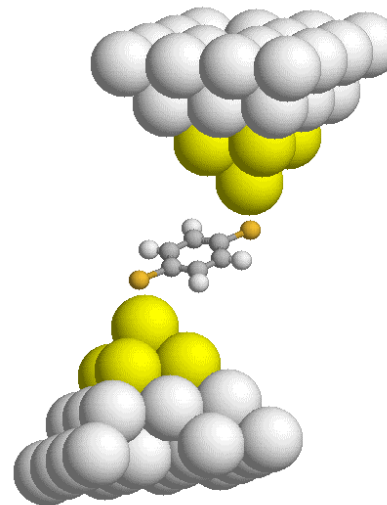
“x3”
15 x 2



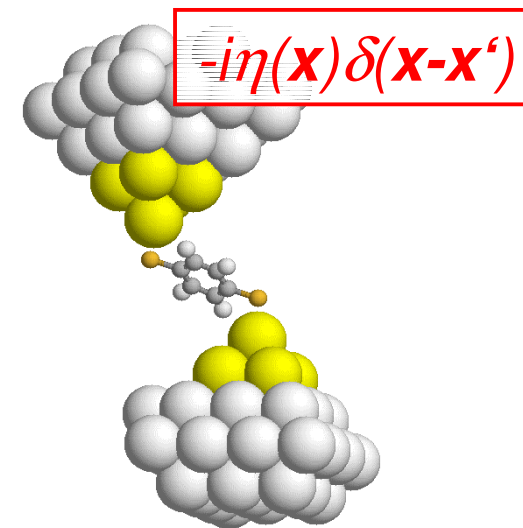
“x3a”
19 x 2



“x4”
29 x 2



“x4a”
41 x 2

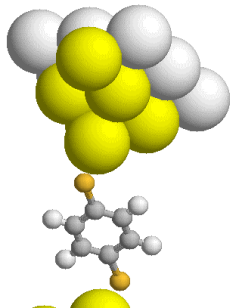


AITRANSS (*ab initio* transport simulations): transport module developed at Inst. of Nanotech. (INT) at KIT (by F Evers, A Arnold, F Weigend & AB)
interfaced to FHI-aims & TURBOMOLE

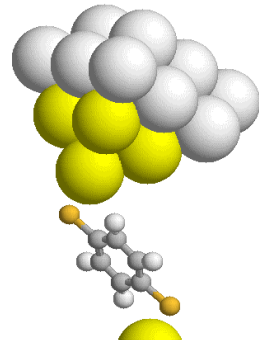
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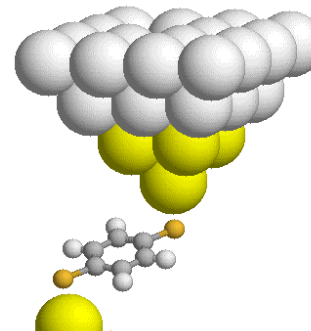
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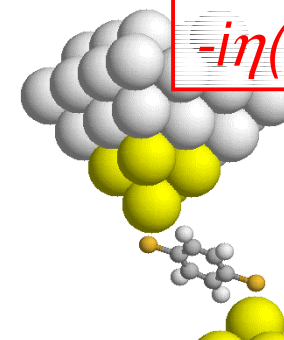
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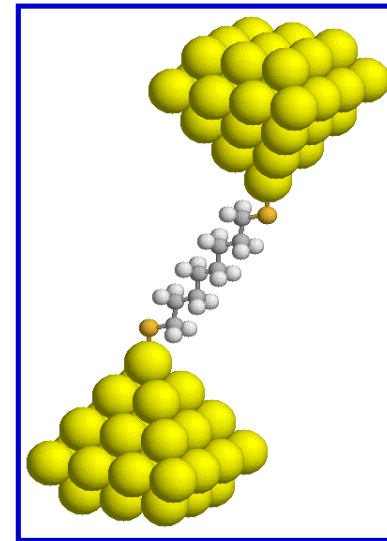
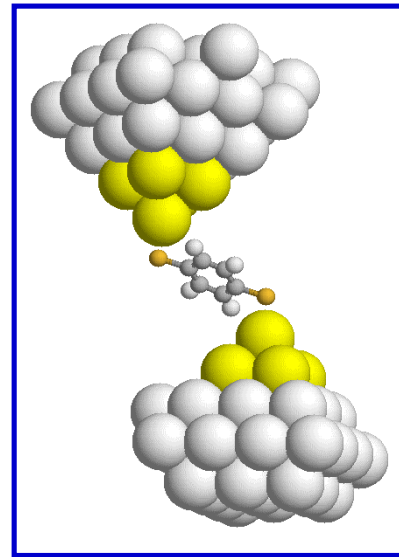
“x4a”
41 x 2



$$-i\eta(\mathbf{x})\delta(\mathbf{x}-\mathbf{x}')$$

AITRANSS hands-on tutorial
Today, Wed, 29 August
14:00-16:00, Math. Institute, Room 0.30

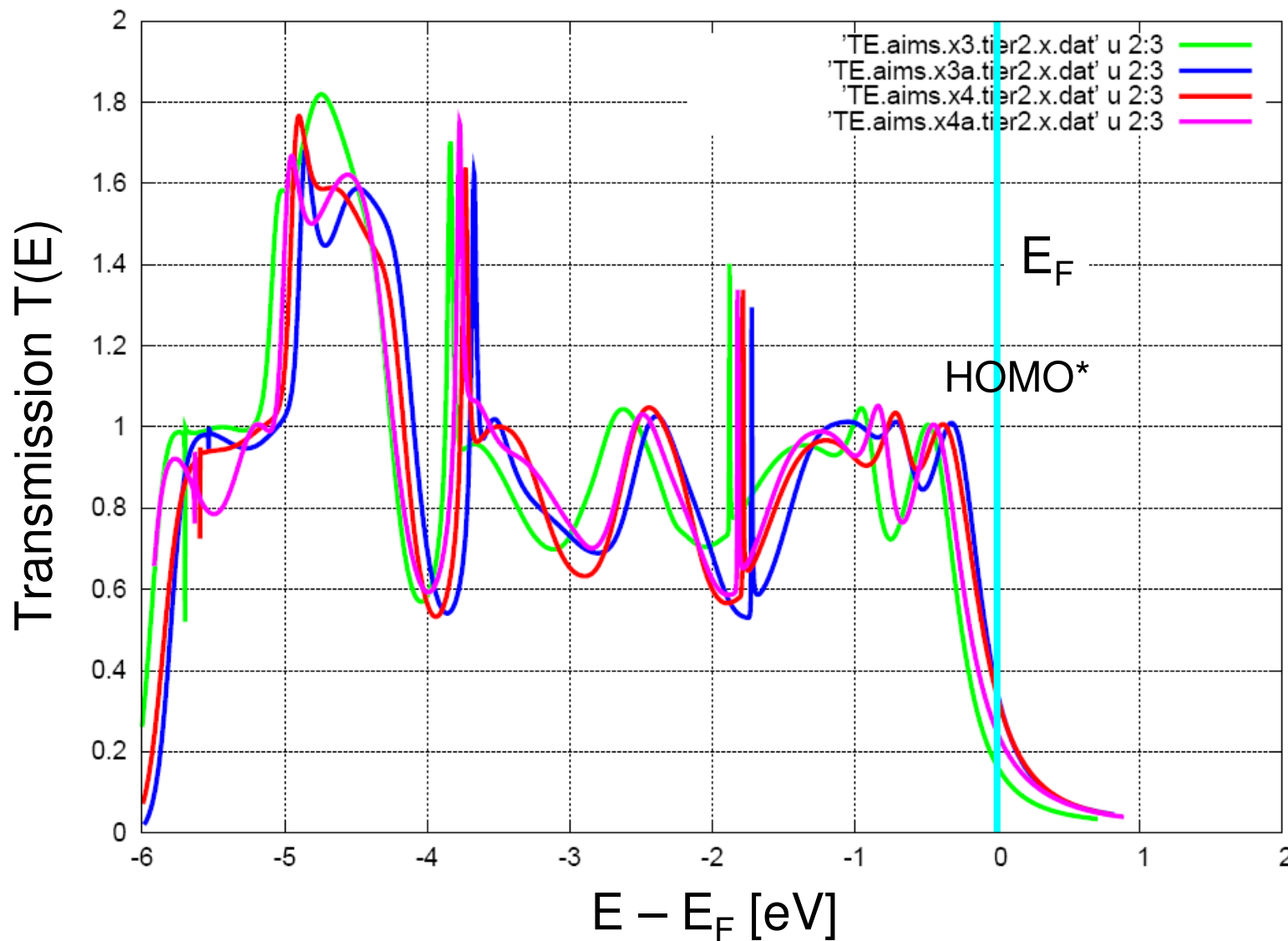
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[interfaced to FHI-aims & TURBOMOLE](#)



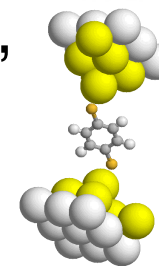
3. Paradigmatic studies: benzene-dithiol & alkyl chains

Archetypical example: transmission through BDT

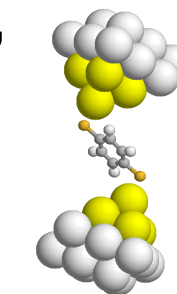
fhi-aims: “tier2”, convergence vs system size



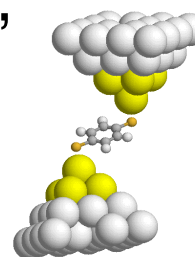
“x3”



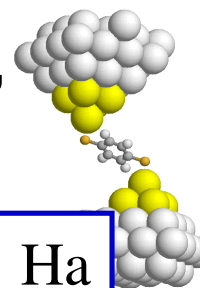
“x3a”



“x4”



“x4a”

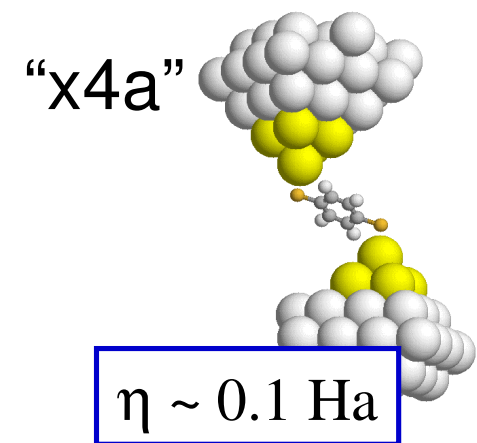
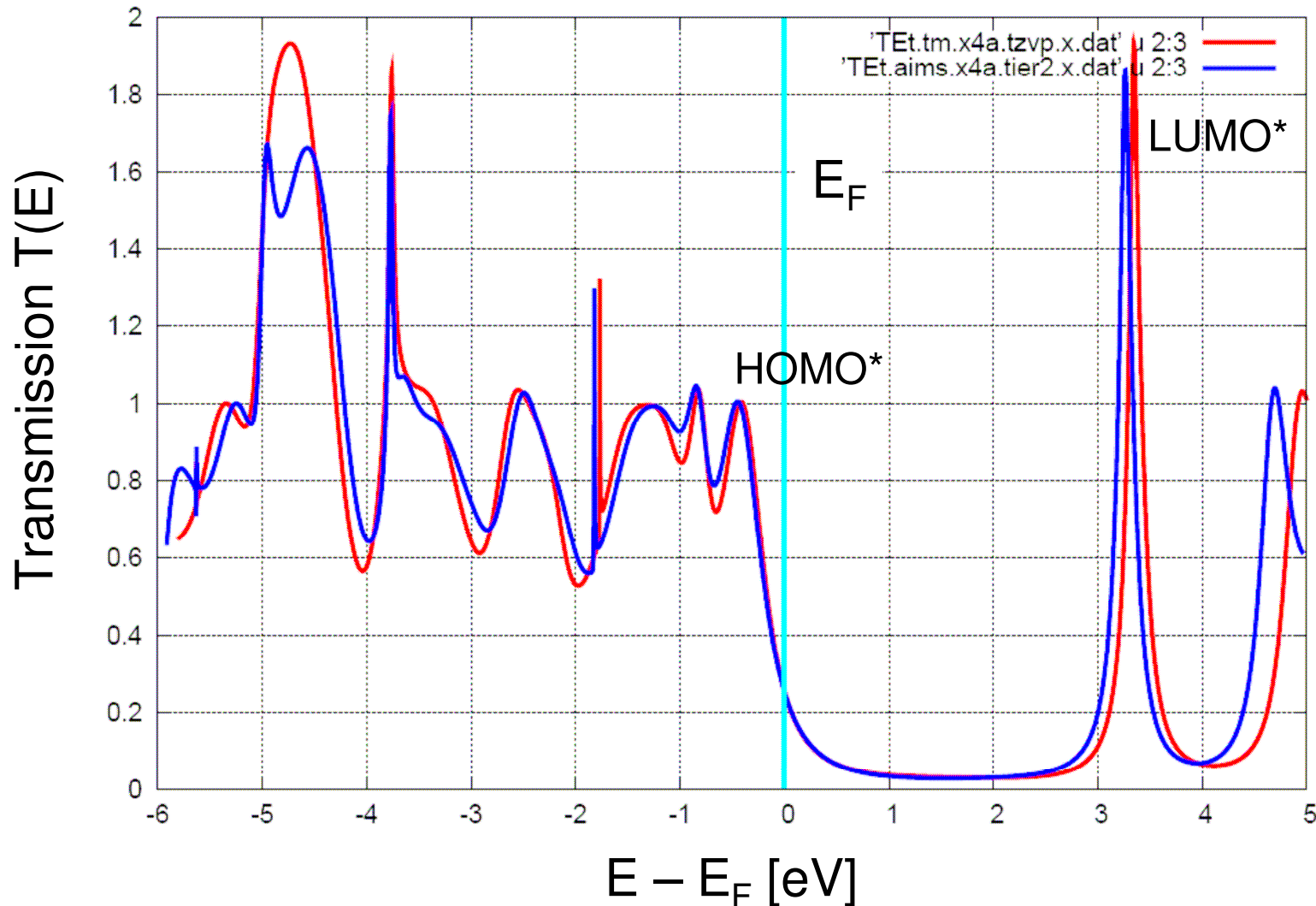


$\eta \sim 0.1 \text{ Ha}$

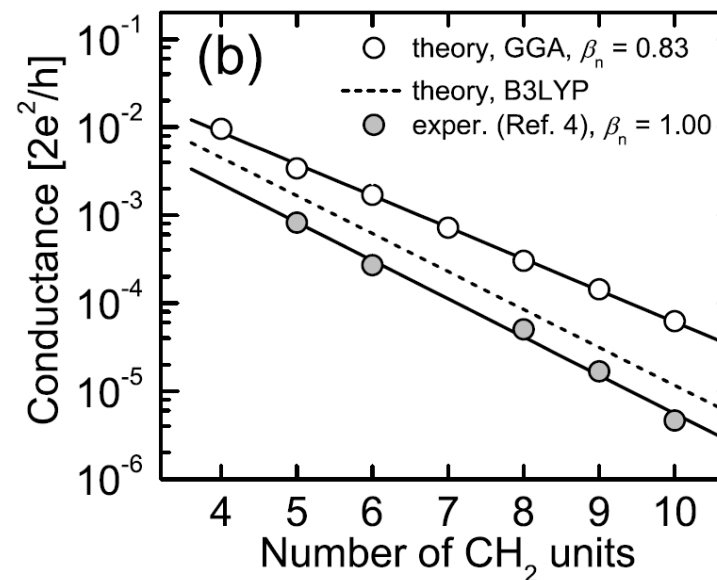
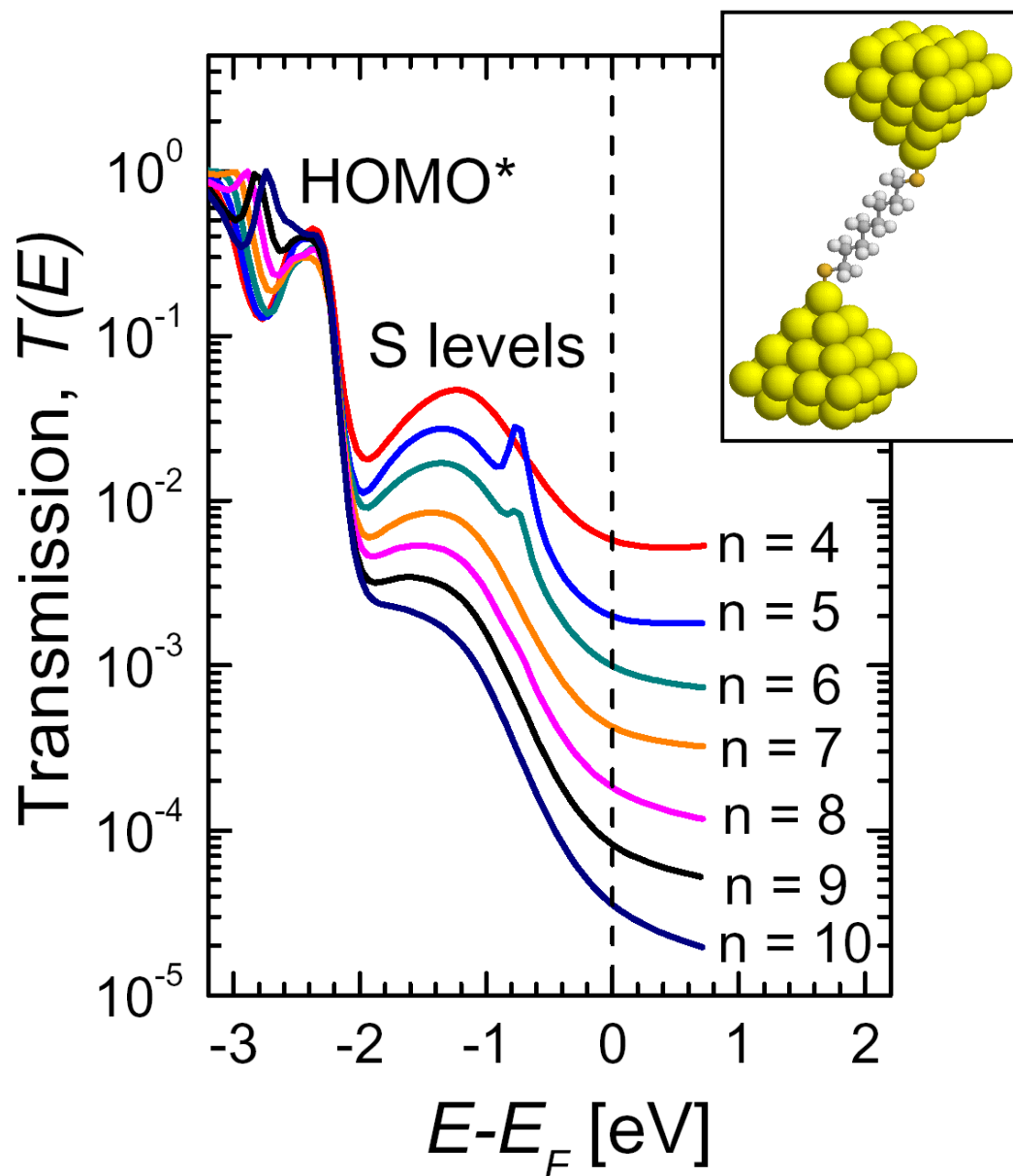
Archetypical example: transmission through BDT

Gaussian basis sets (tzvp, TURBOMOLE)
vs numerical AOs (tier2, FHI-aims)

Au41 - BDT - Au41: turbomole vs fhi-aims



Alkanedithiols: wide band-gap insulators



- Conductance of n -alkanedithiol:

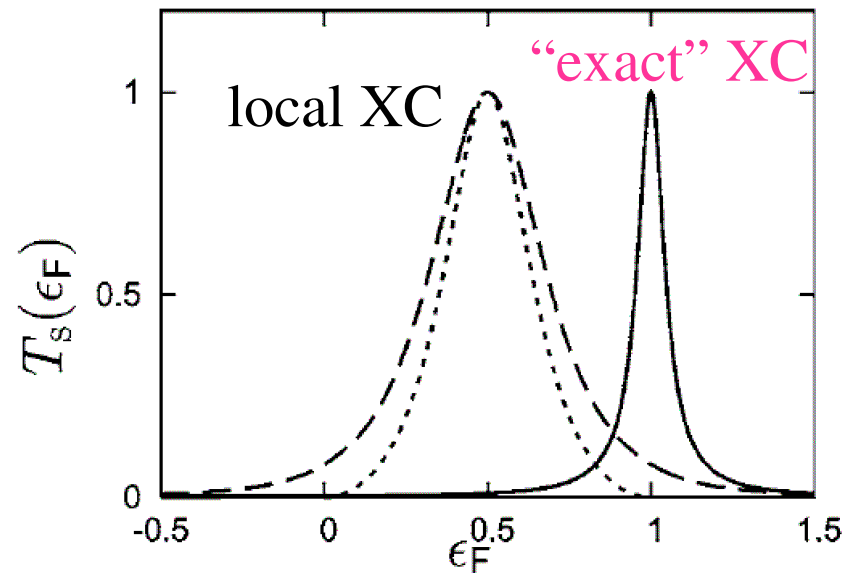
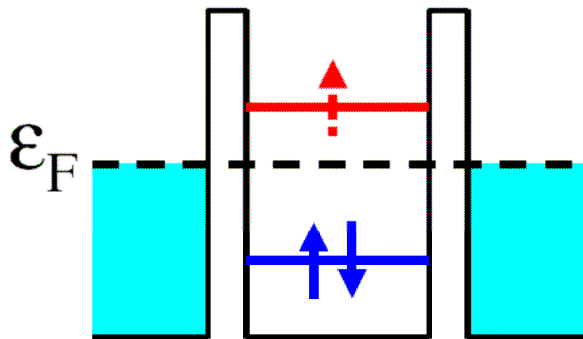
$$G(n) = \frac{2e^2}{h} T(E_F) \sim \exp[-\beta_N n]$$

Theory: $\beta_N = 0.85$
 Experiment: $\beta_N \sim 1.00$
 (Jülich)

Ch Li, I Pobelov, Th Wandlowski,
 AB, A Arnold & F Evers JACS **130** (2008) 318

Koentopp, Burke & Evers, PRB'04

LUMO transport: missing derivative discontinuity of the local XC functional



$$T(E) = \gamma A(E)$$

$$A(E) = \frac{\gamma}{(E - \epsilon_{res}(f))^2 + \gamma^2}$$

occupation number:

$$f = \int_{-\infty}^{\epsilon_F} \frac{dE}{\pi} A(E, f)$$

$$T(\epsilon_F) = \cos^2 \{ \pi (f(\epsilon_f) - 1/2) \}$$

Let $\Delta\epsilon = \epsilon_{\text{homo}}^{N+1} - \epsilon_{\text{lumo}}^N$, then:

(i) local XC func., smooth flow of LDA-LUMO

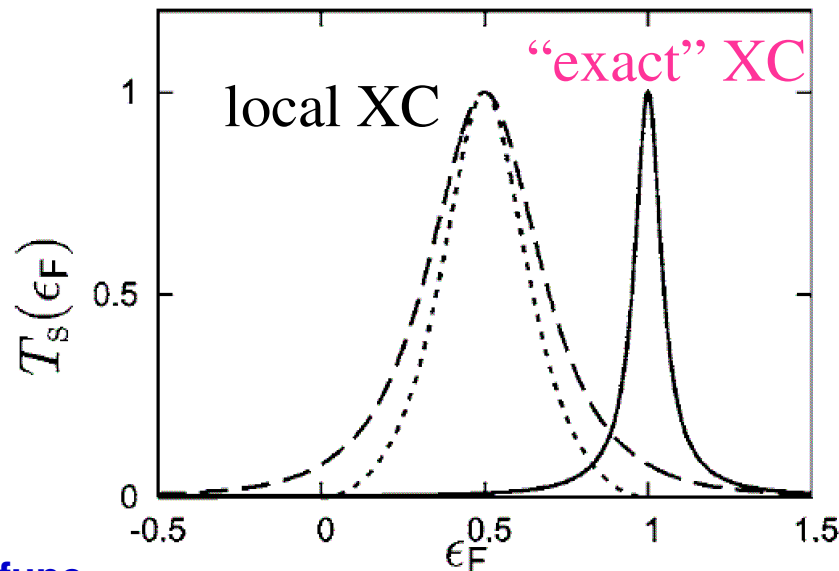
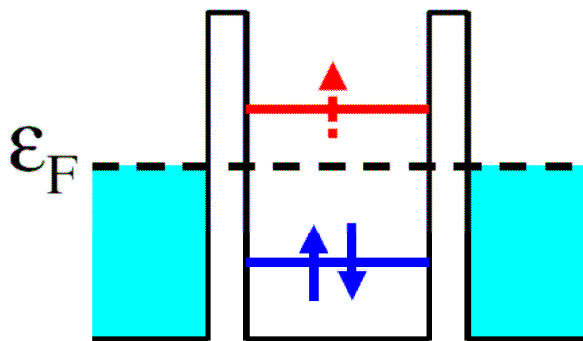
$$\epsilon_{res} = \epsilon_{\text{lumo}}^N + f \Delta\epsilon$$

(ii) "exact" XC func. (derivative discontinuity!)

$$\epsilon_{res} = \epsilon_{\text{lumo}}^N + \Theta(f - \eta) \Delta\epsilon \quad (\eta \rightarrow 0^+)$$

Koentopp, Burke & Evers, PRB'04

LUMO transport: missing derivative discontinuity of the local XC functional



HOMO transport: spurious self-interaction of local XC func

$$T(E) = \gamma A(E)$$

$$A(E) = \frac{\gamma}{(E - \epsilon_{res}(f))^2 + \gamma^2}$$

occupation number:

$$f = \int_{-\infty}^{\epsilon_F} \frac{dE}{\pi} A(E, f)$$

$$T(\epsilon_F) = \cos^2 \{ \pi (f(\epsilon_f) - 1/2) \}$$

Let $\Delta\epsilon = \epsilon_{\text{homo}}^{N+1} - \epsilon_{\text{lumo}}^N$, then:

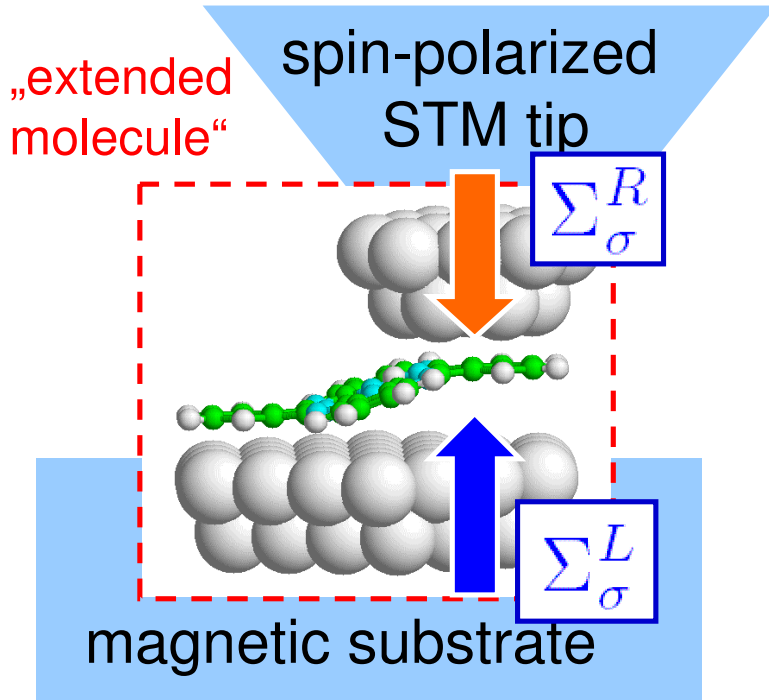
(i) local XC func., smooth flow of LDA-LUMO

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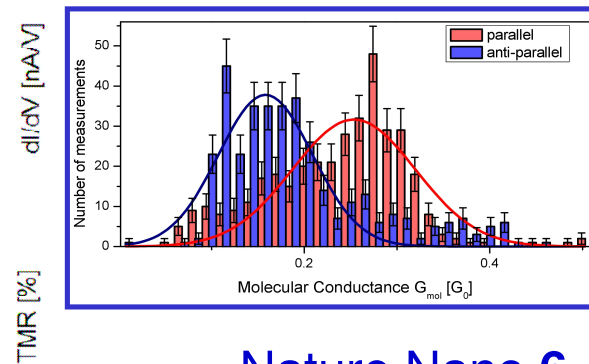
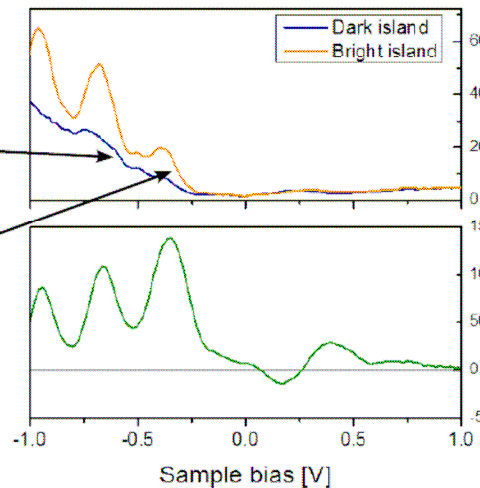
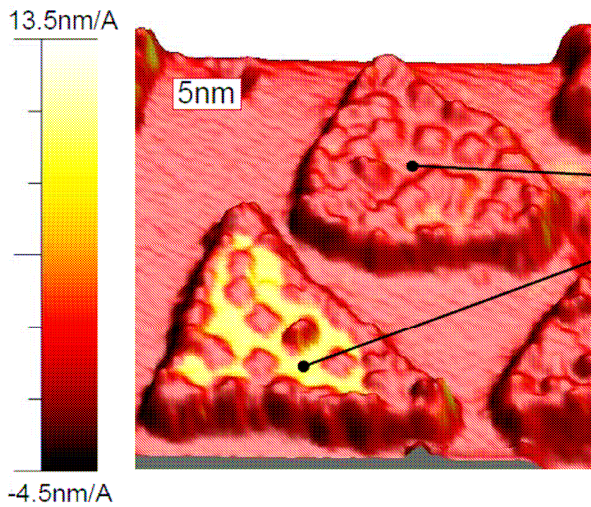
Spin transport & magnetoresistance across H₂Pc



$$G_{\sigma}^{-1}(E) = E - H_{\sigma}^{\text{KS}} - \Sigma_{\sigma}^L - \Sigma_{\sigma}^R$$

$$\Sigma_{\sigma}^{L/R} \simeq \delta(\mathbf{x} - \mathbf{x}') \left[\delta\epsilon + \frac{\sigma}{2} \Delta_{\text{ex}}^{L/R} - i\eta \right]$$

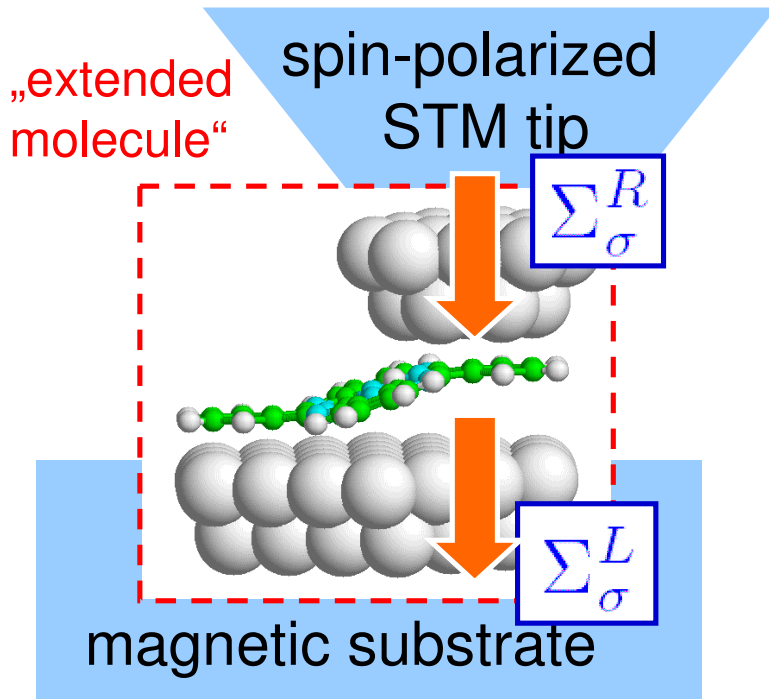
$$\hat{n}_{\sigma} = \int_{-\infty}^{+\infty} \frac{dE}{2\pi} G_{\sigma} \left[f_L \Gamma^L + f_R \Gamma^R \right] G_{\sigma}^{\dagger}$$



Key observation:
magnetoresistance
~ 60% through
H₂Pc in STM setup

Nature Nano **6**, 185 (2011)
by S.Schmaus, AB, Y.Nahas, *et al.*

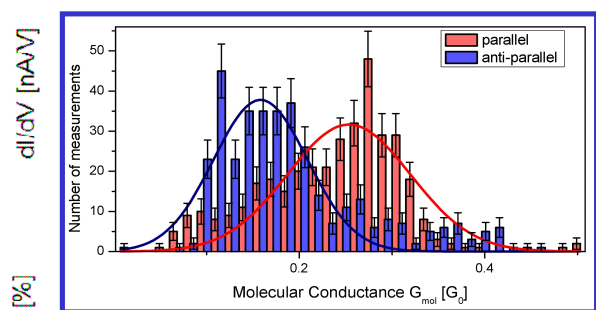
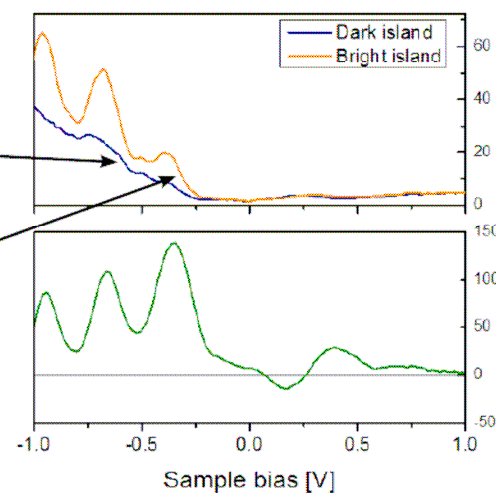
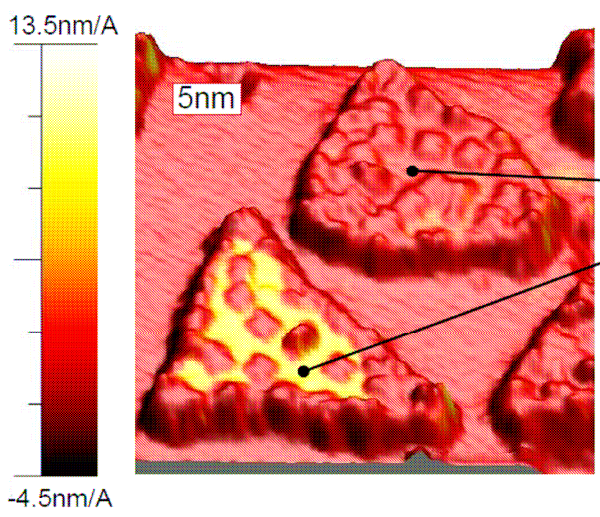
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Thanks to ...



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Funding:

DFG Center for Functional Nanostructures (CFN)

SPP1243 “Quantum transport at the molecular scale”

DFG BA4265 “Electron transport & magnetism in single molecules”



Deutsche
Forschungsgemeinschaft

Thank you for your kind attention!



Florian Weigend



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