

# Calculating bulk properties with SFHInGX - Session E2 -

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# Outline

- **Introduction to SFHIngX**
  - The program package and file structure
  - Set up the parameters for this calculation
  - Compute the total energy
  - Example run
- **Determination of bulk properties**
  - Theoretical lattice constant
  - The Murnaghan Equation of State
  - Convergence tests with respect to cutoff energy and k-point mesh
- **Introduction to Session E2**

# Motivation

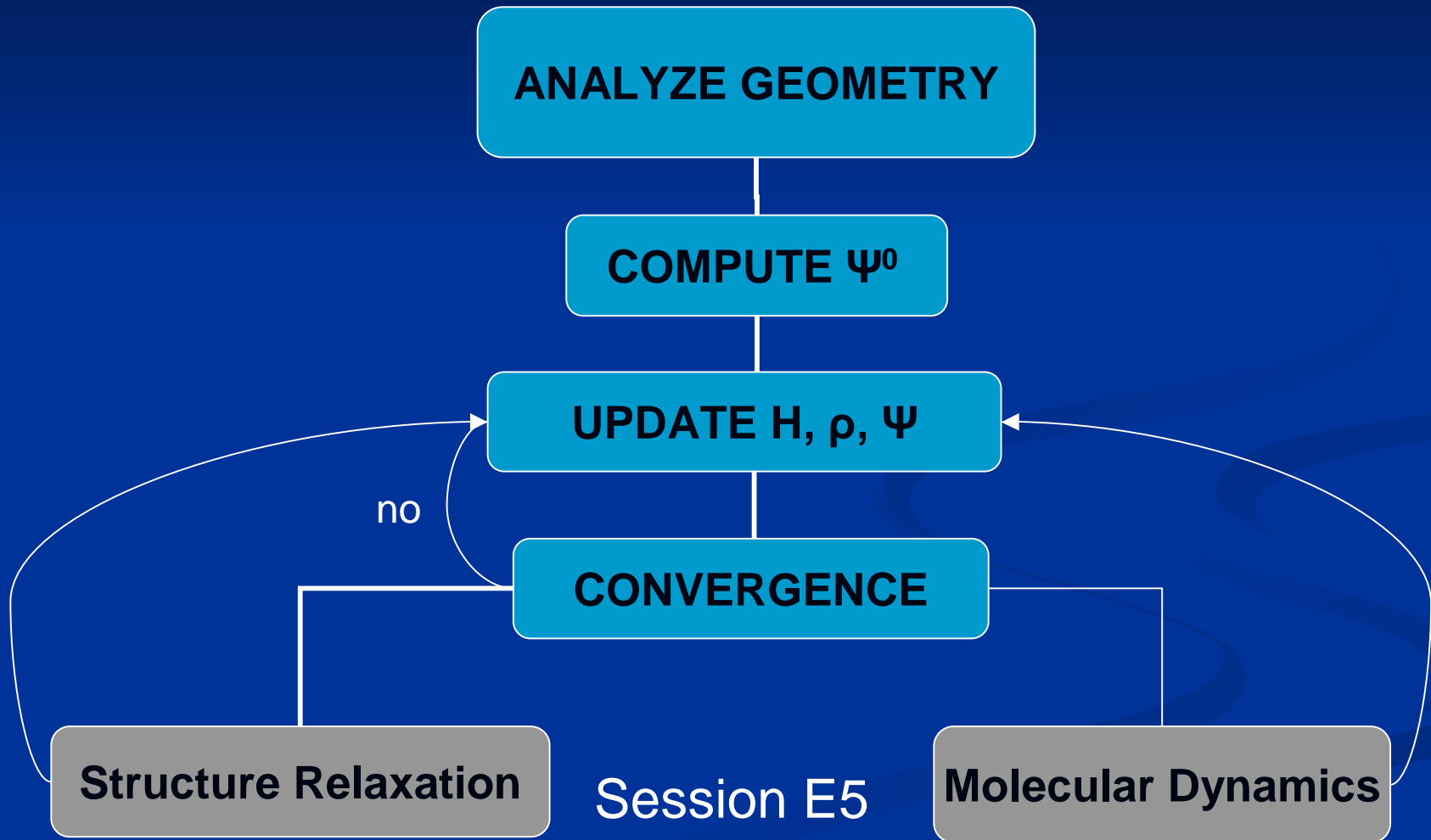
- How to calculate the bulk properties of a system
  - optimize cutoff energy and k-point mesh
- How to influence the convergence speed & accuracy and the memory demand
  - use different electronic minimization schemes
- How to influence the accuracy of a calculation
  - use of LDA and GGA functional

# Tasks of session E2:

- Theoretical lattice constant
  - **Example calculation for GaAs with  $a_{\text{Lat}} = 10.68$  Bohr**
  - **Calculations for 9.6 – 11.6 Bohr, Murnaghan Fit**
- Convergence test for  $E_{\text{cut}} = 6-35$  Ry and LDA/PBE
  - **For theoretical lattice constant**
  - **For several lattice constants, Murnaghan fit**
- Convergence for k-point sampling for LDA and PBE
  - **At theoretical lattice constant and for best cutoff value**
- Electronic minimization schemes
  - **Steepest Descent**
  - **Williams-Soler algorithm**
  - **Damped Joannopoulos**
  - **All-state-conjugate-gradient**
  - **State-by-state-conjugate-gradient**
- Initialization of trial wave functions and charge density
  - **LCAO - Ansatz**
  - **Random numbers**

# SFHingX

- Program Package -



Structure Relaxation

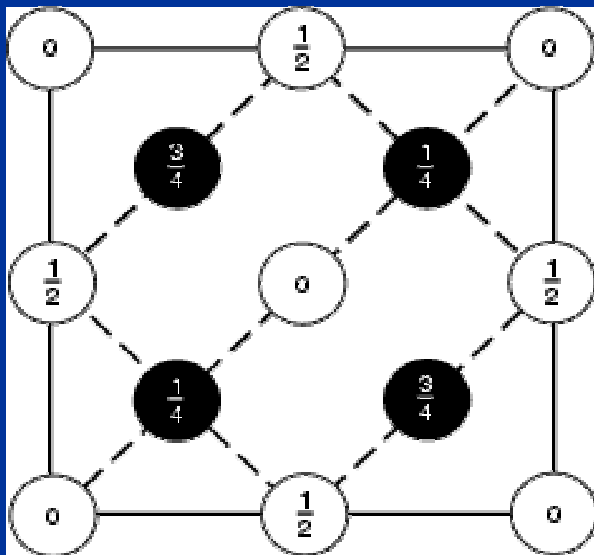
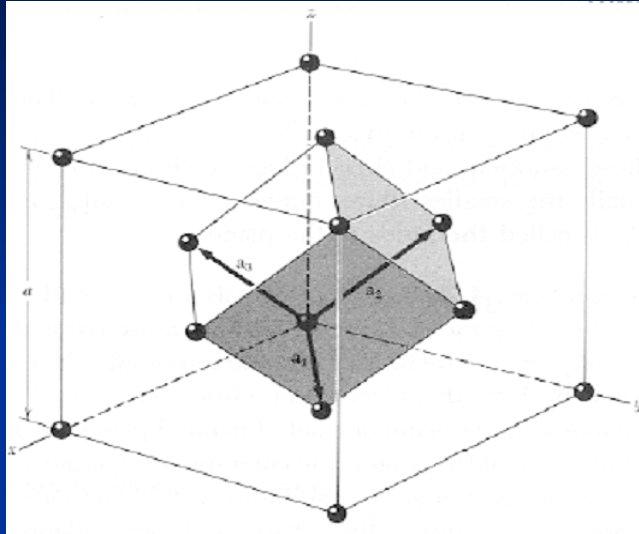
Session E5

Molecular Dynamics

# Set up of calculation - the input file -

- **The geometry**
  - The lattice constant
  - The structure
  - The species
- **The Basis**
  - The cutoff energy
  - The k-point set
- **The Hamiltonian**
  - The exchange-correlation functional
- **The trial wave functions and the initial charge density**
- **The main loop**
  - Different minimization schemes

# The Crystal Structure of GaAs



- Structure = Lattice + Basis
- Zincblende crystal structure
- Two interpenetrating fcc lattices with 2-atom basis
- 2nd fcc displaced by  $(\frac{1}{4} \frac{1}{4} \frac{1}{4})$  along body diagonal
- III-IV semiconductor
- 8 atoms per cubic cell in conventional cell :  
 $(0,0,0)$ ,  $(0, \frac{1}{2}, \frac{1}{2})$ ,  $(\frac{1}{2}, 0, \frac{1}{2})$ ,  $(\frac{1}{2}, \frac{1}{2}, 0)$ ,  
 $(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$ ,  $(\frac{1}{4}, \frac{3}{4}, \frac{3}{4})$ ,  $(\frac{3}{4}, \frac{1}{4}, \frac{3}{4})$ ,  $(\frac{3}{4}, \frac{3}{4}, \frac{1}{4})$

# Performing a calculation

## - the Structure and Basis of GaAs -

- The header
- The lattice constant
- The pseudopotentials
- zinc blende crystal structure
- fcc Bravais lattice
- Ga: [0, 0, 0]
- As : [ $\frac{1}{4}$ ,  $\frac{1}{4}$ ,  $\frac{1}{4}$ ]
- pw basis
- k-point set

```
format sfhngx;
include <parameters.sx>;

aLat = 10.68;
species_1 = <species/ga-lda-ham.sx>;
species_2 = <species/as-lda-ham.sx>;

structure {
  include <structures/zincblend.sx>;
}
basis {
  eCut      = 8 ; // Ry
  kPoint {coords = [1/2, 1/2, 1/2];
          weight = 1; relative;}
  folding   = [4, 4, 4];
}
```



# Performing a calculation

- Exchange-correlation:  
LDA
- Initialization of  $\Psi$ :  
LCAO
- Initialization of  $\rho$ :  
atomic orbitals
- Electronic  
minimization

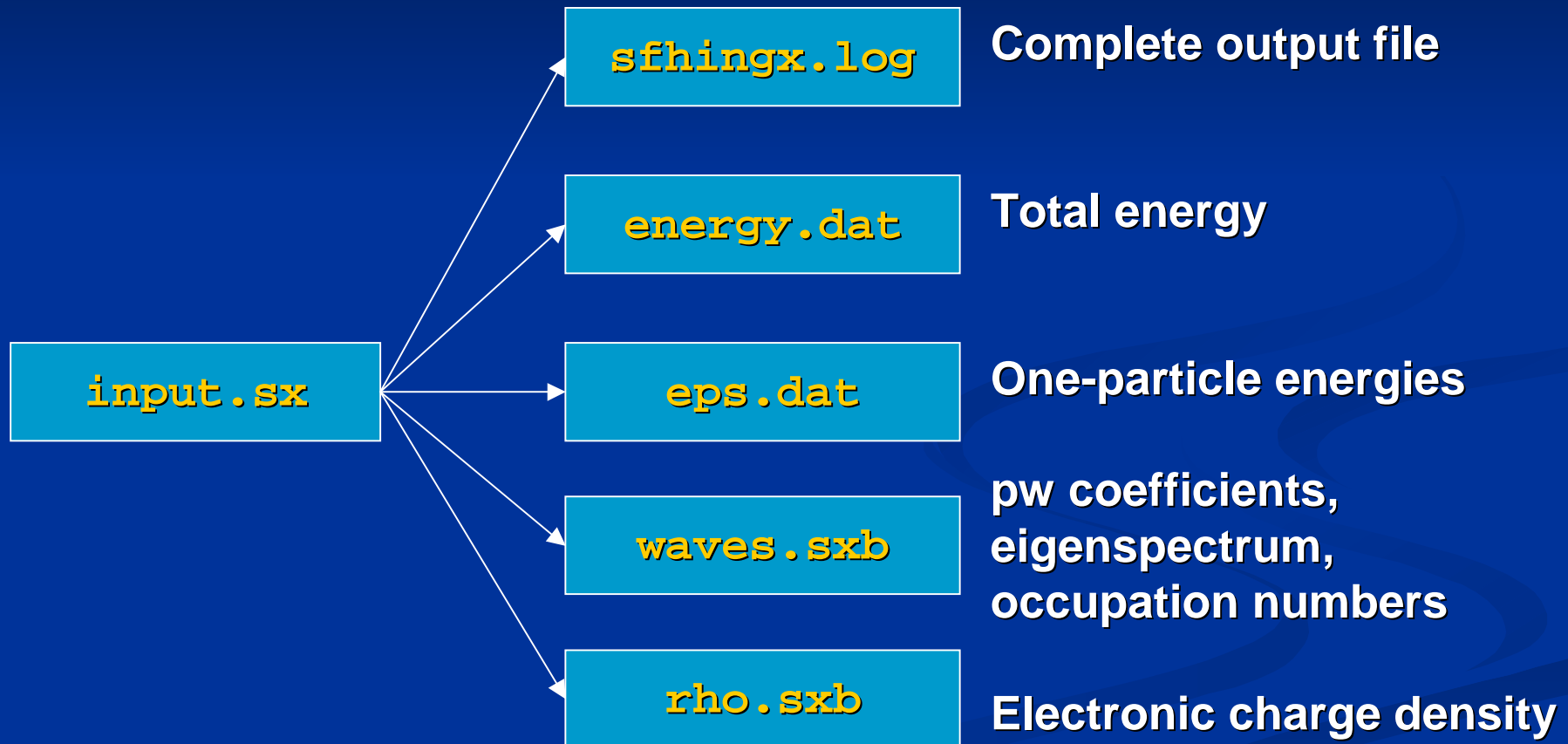
```
Hamiltonian {
    ekt      = 0;
    xc       = LDA;
}

initialGuess {
    waves {lcao{maxIt=1;rhoMixing=0.05;}}
    rho {atomicOrbitals;}
}

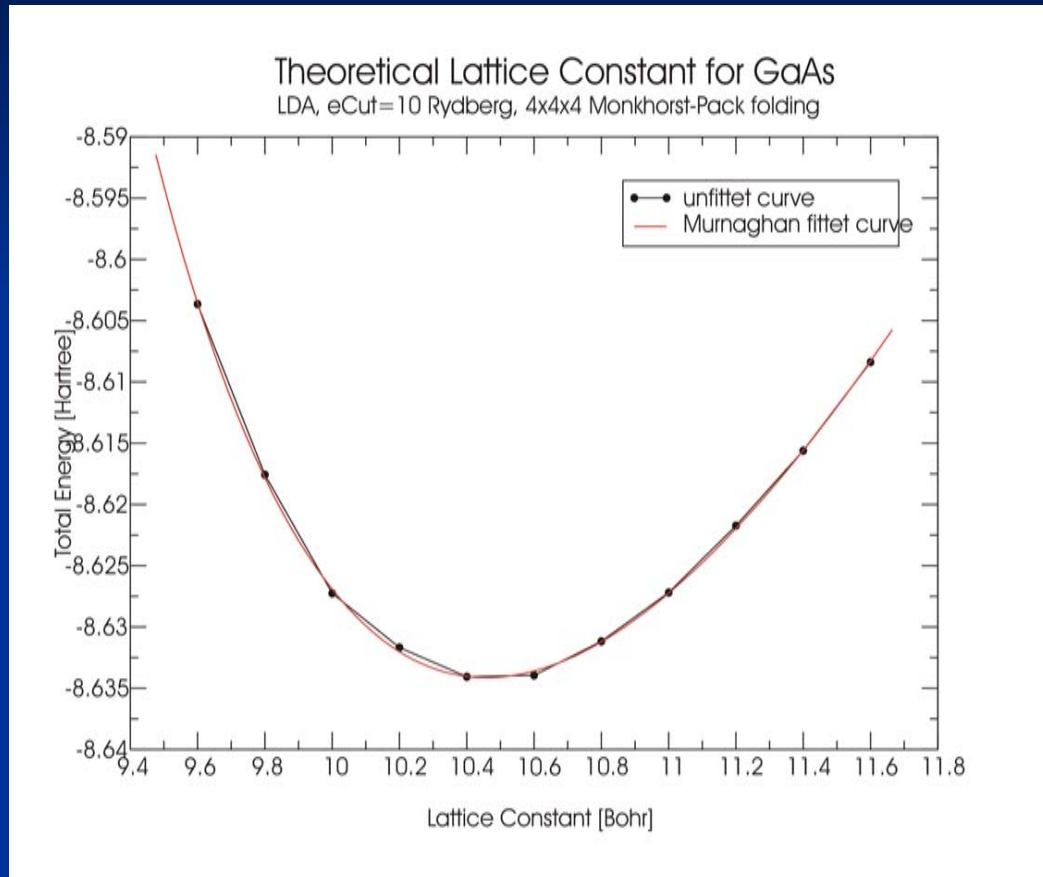
main {
    CCG {
        maxSteps      = 50;
        dEnergy       = 1e-06; // Hartree
        printSteps    = 5;
    }
}
```

# Performing a calculation

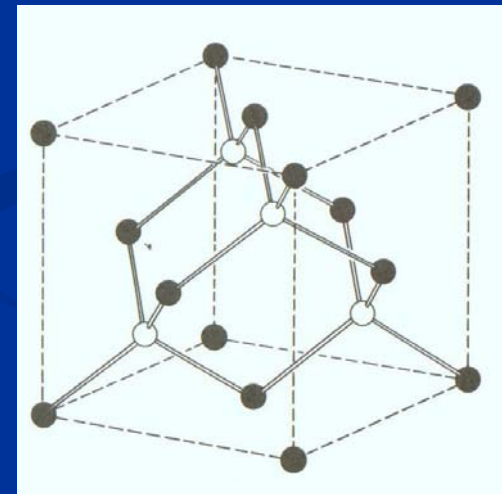
- the output files -



# Determination of Theoretical Lattice constant



- Compute a series of  $E_{tot}$  for several lattice constants
- Murnaghan Equation

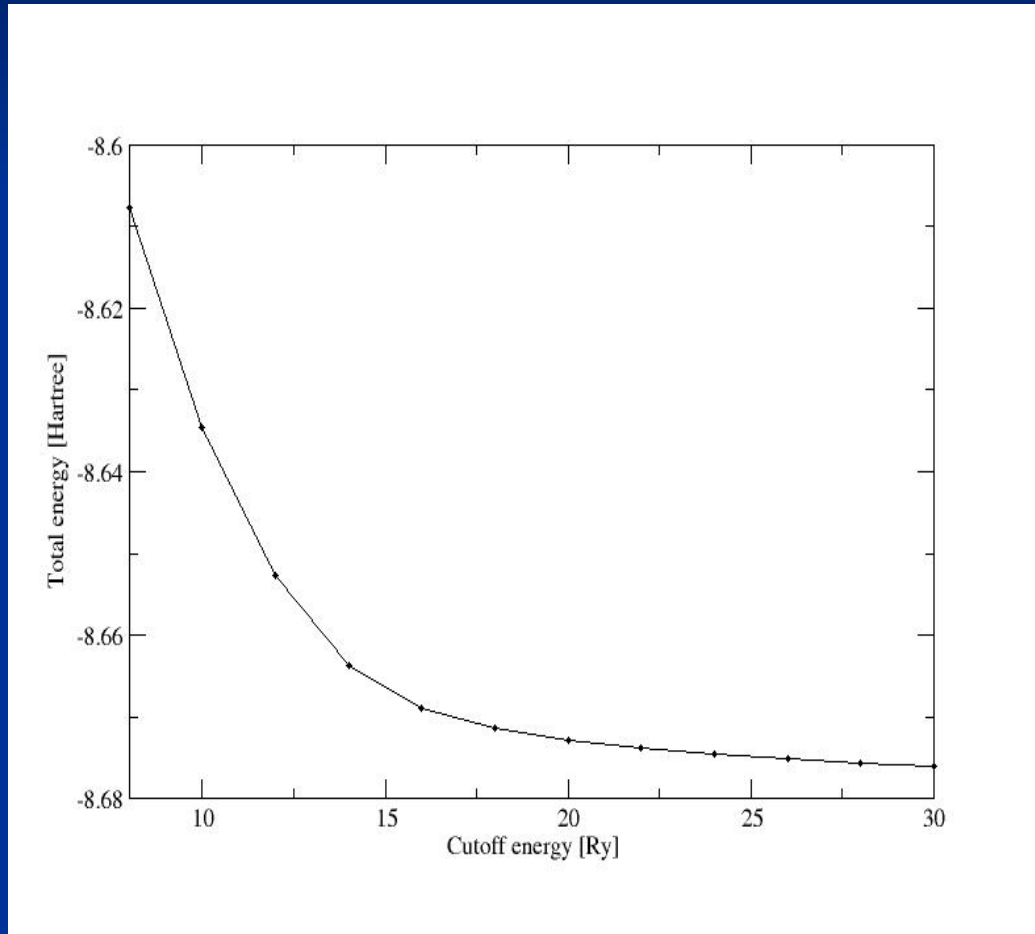


$$E_{tot}(V) - E_0(V_0) = \frac{B_0 V}{B_0'(B_0' - 1)} \left[ B_0' \left( 1 - \frac{V_0}{V} \right) + \left( \frac{V_0}{V} \right)^{B_0'} - 1 \right]$$

⇒ **sxmurn**

# Convergence test for cutoff energy for GaAs (LDA)

- $a_{\text{Lat}}=10.42$  Bohr, LDA
- $4 \times 4 \times 4$  mesh



eCut [Ry]	$\Delta E$ [%]
8	0.07
10	0.04
16	0.007
26	0.001
30	0

# Convergence tests for GaAs bulk

LDA, 4x4x4 mesh  
CCG minimizer

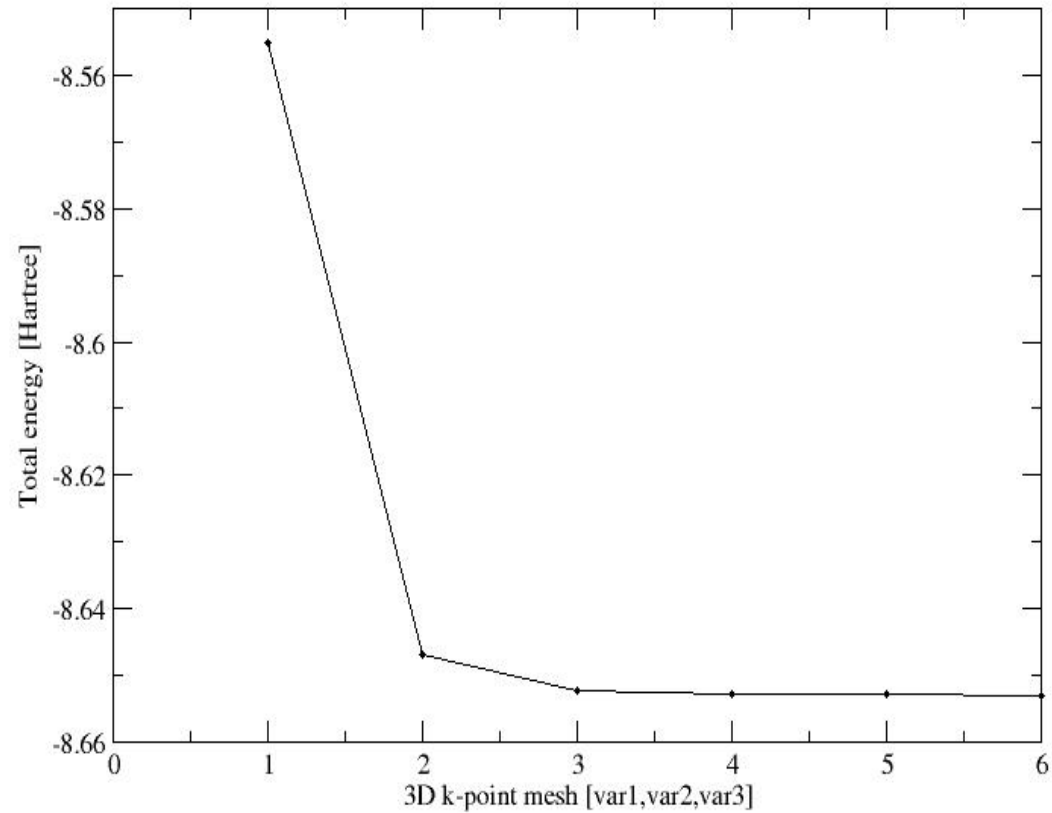
$E_{\text{cut}}$ (Ryd)	$a_0$ (Bohr)	$B_0$ (GPa)
6	10.40	80.50
8	10.44	73.22
10	10.46	71.03
16	10.46	72.54
20	10.46	72.79
<b>Exp. [1]</b>	<b>10.68</b>	<b>74.8</b>

[1] Handbook of Chemistry and Physics, ed. By David R. Lide, 76<sup>th</sup> edition, 1995-1996, CRC Press, Inc. (1996)

# The k-point mesh for GaAs

- (LDA, eCut=10 Ry) -

#of k-points	$\Delta E$ [%]
1	0.098
2	0.0061
6	0.0007
10	0.0002
19	0.0001
28	0



# Minimization Methods

## Iterative Diagonalization

### Direct Set Methods

- Steepest Descent
- Williams-Soler
- Damped  
Joannopoulos

### Conjugate Gradient Approach

- All-state conjugate gradient
- State-by-state conjugate gradient

# Session E2

- Calculation of  $E_{\text{tot}}$  of a GaAs bulk within LDA
  - Setting up the input file
  - Execution of SFHIngX
  - Analyze of the output files
- Calculation of theoretical lattice constant
  - Calculating a series of  $E_{\text{tot}}$
  - Performing a Murnaghan Fit
- Convergence tests for eCut and k-point mesh
- Controlling electronic minimization
- GGA versus LDA
- Initialization of  $\Psi$  and  $\rho$