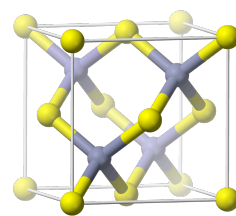


# The FHI-aims All-Electron Framework for Molecular and Materials Simulations

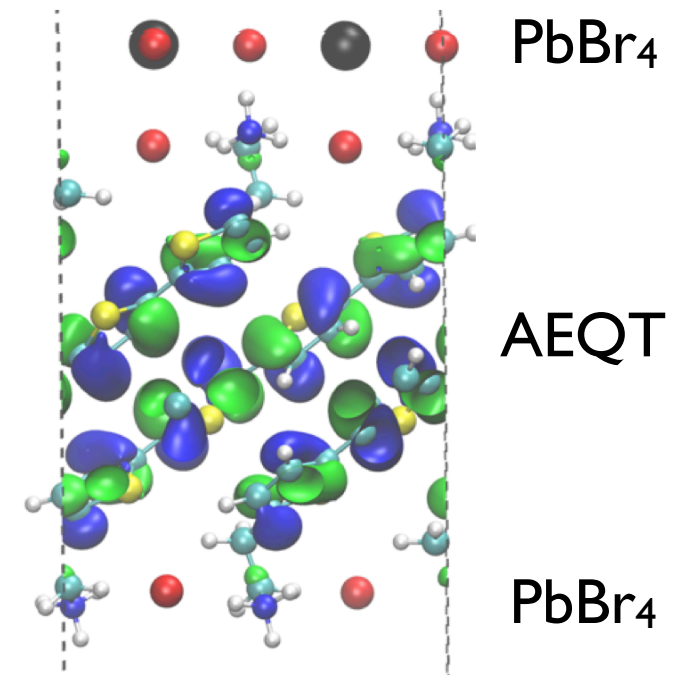
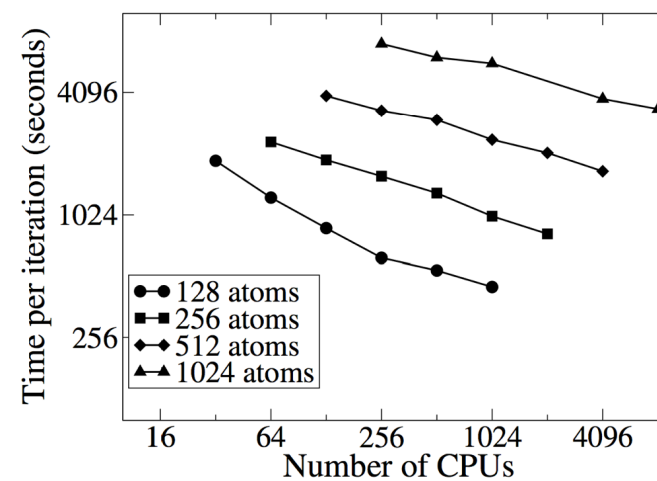
Volker Blum

Department of Mechanical Engineering & Materials Science - Duke University, Durham, NC

<http://aims.pratt.duke.edu>



HSE06, GaAs



# The FHI-aims All-Electron Framework for Molecular and Materials Simulations

Volker Blum

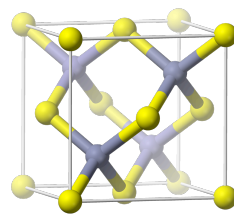
Department of Mechanical Engineering & Materials Science - Duke University, Durham, NC

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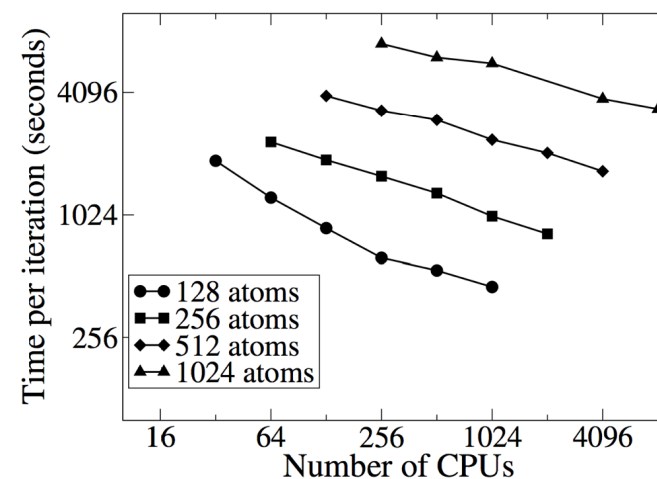
All-Electron Theory for Large Systems: FHI-aims



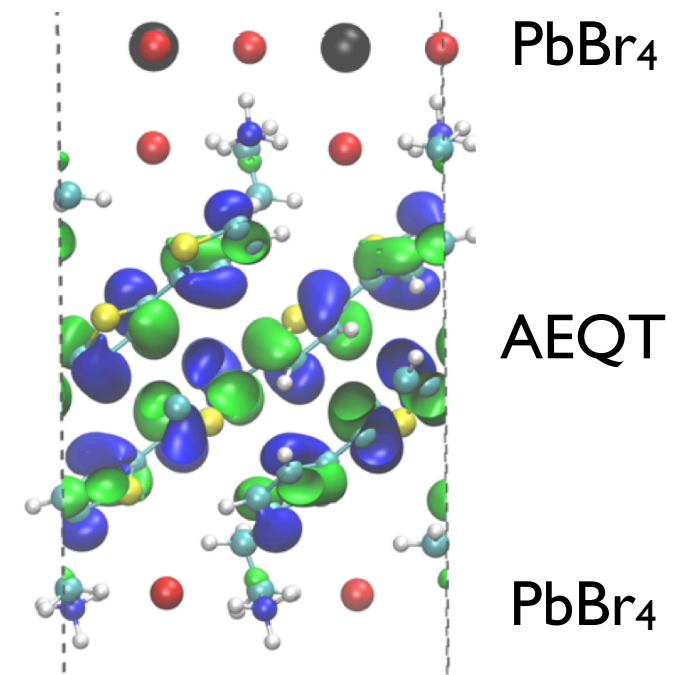
Extending the Reach of DFT & Many-Body Theory



HSE06, GaAs



Tunable Electronic Structure in Organic-Inorganic Hybrids





Many Individuals Contributed to This Work - Thanks!

---



Fritz Haber Institute, Berlin

[Richard-Willstätter-Haus]



# Many Individuals Contributed to This Work - Thanks!



**Dr. William Huhn**  
Spin-Orbit Coupling  
“Energy Materials”



**Victor Yu**  
Electronic Structure  
Infrastructure (NSF)

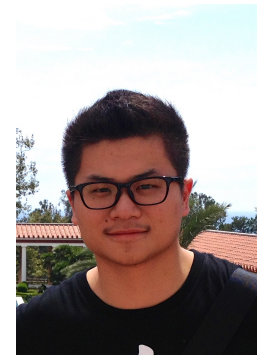
Björn Lange  
Jan Kloppenburg  
Tiago Botari



*Ab Initio Materials Simulations Group  
Hudson Hall, Duke University*



**Dr. Raul Laasner**  
Nuclear Spin States  
& NMR



**Tong Zhu**  
PV Materials  
GW & RPA



**Garnett Liu**  
Perovskites  
Excitonic Effects



FHI-aims team and collaborators: **Matthias Scheffler (Berlin)**, **Xinguo Ren (Hefei)**, **over 100 individuals** with contributions to the project. Development continues in Berlin, Hefei, Munich, Helsinki, London, Duke, Argonne, and many more.



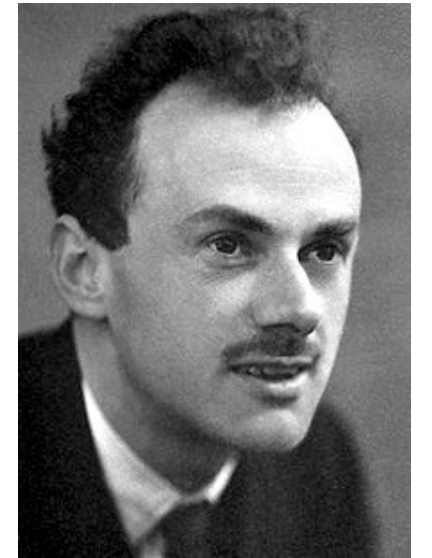
# So, We Have a Theory to Model “Everything”

---

Schrödinger (Dirac) Equation

$$\hat{\mathcal{H}}\Psi = E\Psi$$

Perfect recipe for parameter-free modeling ...



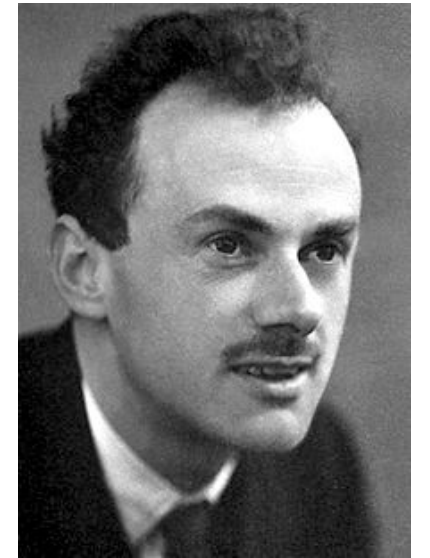
P.A.M.  
Dirac



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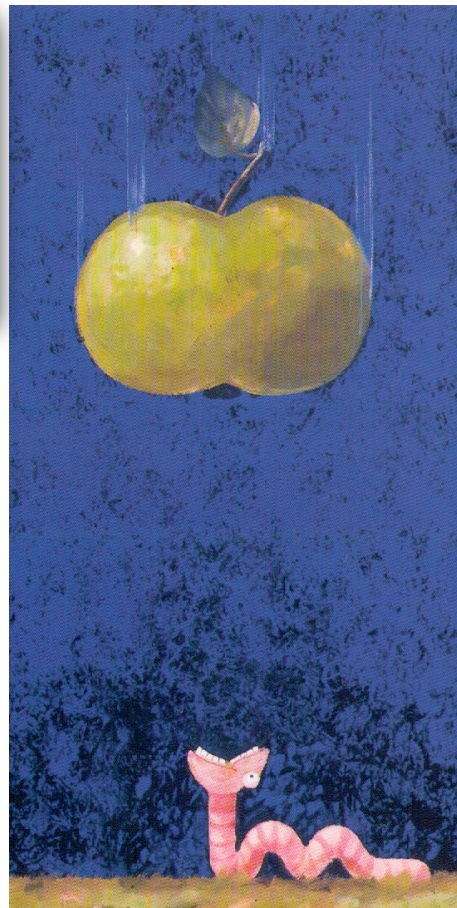
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Perfect recipe for parameter-free modeling ...  
... but how do we make it practical?

The approximation is accurate  
but  
feasible systems are too small



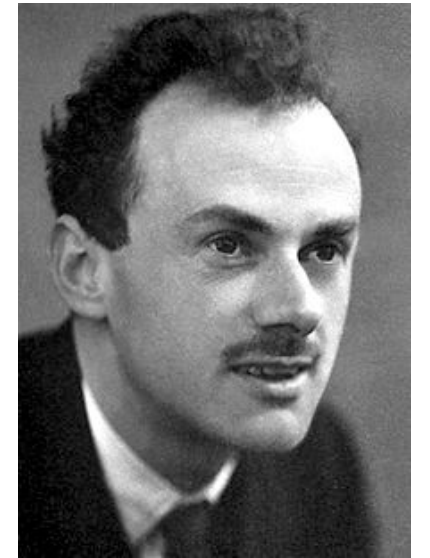
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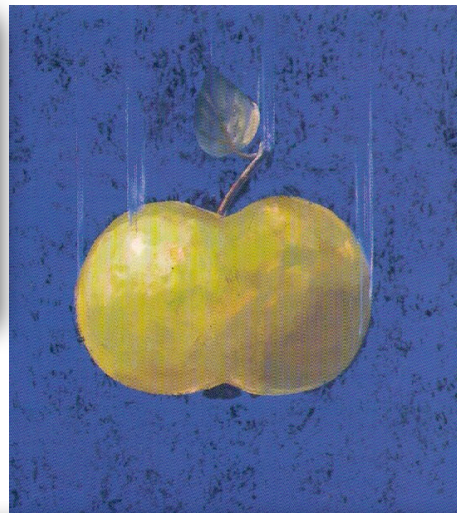
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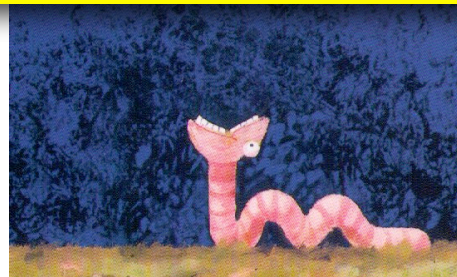
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(How?) can we work towards having both?





# Current “Workhorse” Electronic Structure Theory

---

Quantum chemistry & many-body theory:

$$E_{\text{tot}} \leq \langle \psi | H | \psi \rangle$$

... successive refinement of  $\psi$



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Density functional theory: (*Hohenberg-Kohn 1964, Kohn-Sham 1965*)

$$E_{\text{tot}} = E[n(r)] = T_s[n] + V[n] + V_{\text{es}}[n] + E_{\text{xc}}[n]$$

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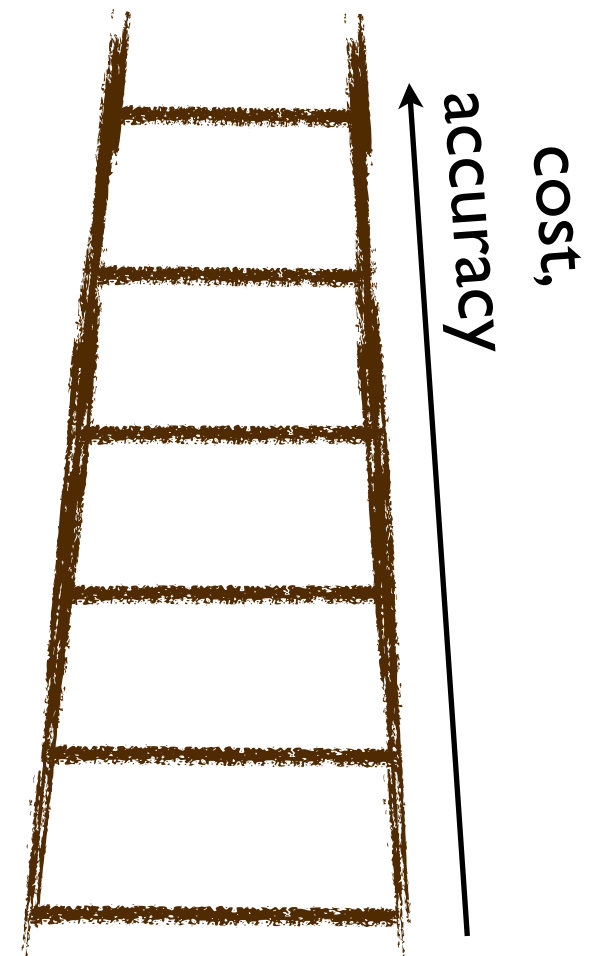
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- Key practical approximation:  $E_{\text{xc}}$

“Perdew’s ladder”  
to exact solution





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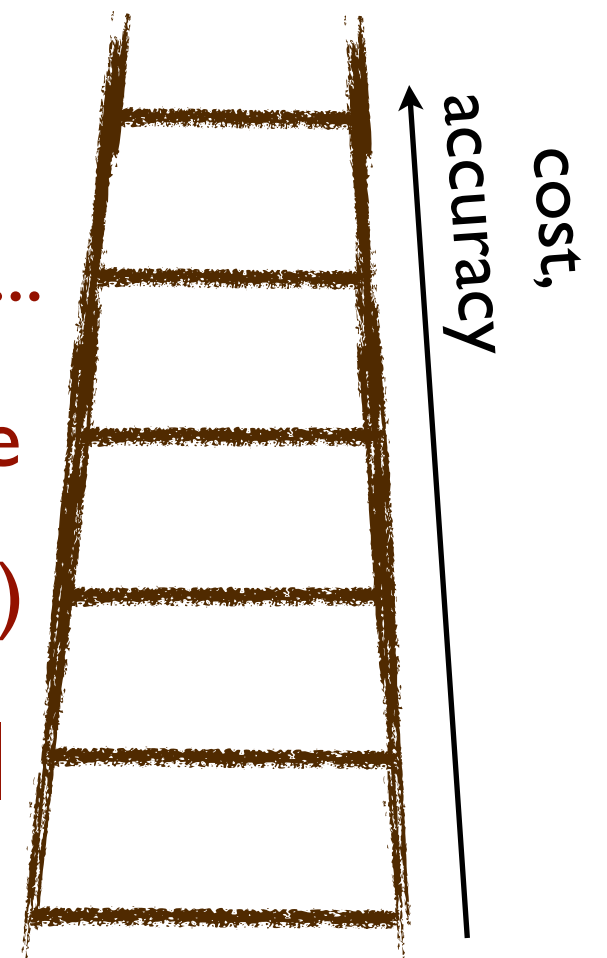
response / many-body terms: RPA, SOSEX, ...

hybrid functionals: non-local exchange

meta-GGAs:  $\nabla^2 n(r), \nabla^2 \varphi(r)$

Generalized gradient approximations (GGAs):  $|\nabla n(r)|$

Local-density approximation (LDA):  $n(r)$



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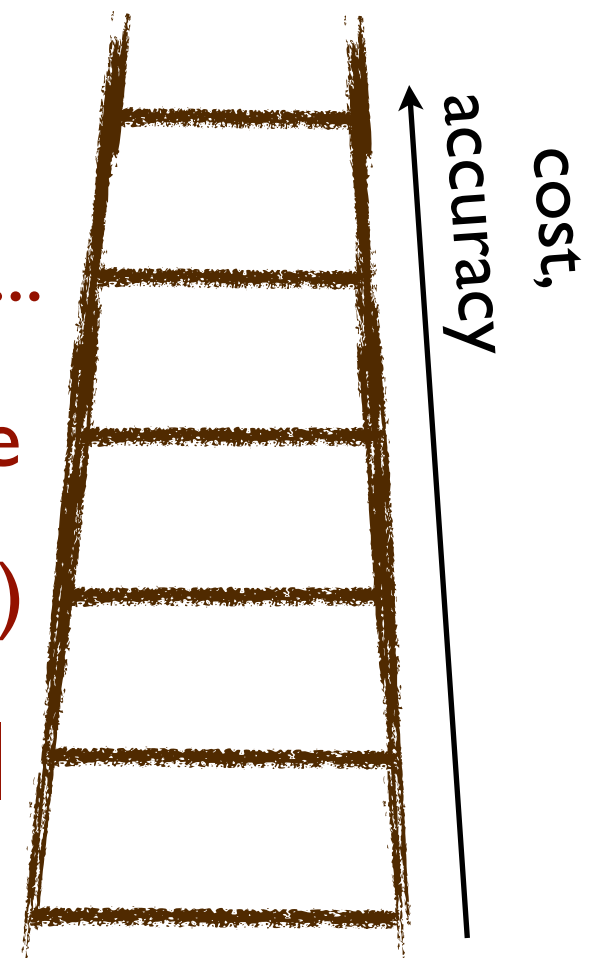
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+ van der Waals

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Universality: Cover full space of materials and chemistry

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“Materials and molecules” - periodic ( $k$ -space) and non-periodic



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The image shows a periodic table of elements with group numbers (1-18) at the top and period numbers (1-7) on the left. The elements are color-coded by groups: Group 1 (red), Group 2 (orange), Groups 13-18 (various colors including green, yellow, blue, and cyan), and the Lanthanide and Actinide series (purple). The Lanthanide series (La-Lu) and Actinide series (Ac-Lr) are shown below the main table.

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All-electron

Efficiency: Scalable (system size, number of CPUs)

Efficient (1,000s of atoms), but do not sacrifice accuracy!

# Central Decision: How to Discretize the Problem?

$$\left[ -\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{es}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

*Kohn, Sham  
1965*

“Basis set expansion”:

$$\psi_k(\mathbf{r}) = \sum_i c_{ki} \varphi_i(\mathbf{r})$$

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Generalized eigenvalue  
problem:

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

$$h_{ij} = \langle \varphi_i | \hat{h}_{\text{KS}} | \varphi_j \rangle$$

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Many good options:

- Plane waves (VASP, abinit, ...)

$$\varphi_{\underline{\mathbf{k}}}(\mathbf{r}) = \frac{1}{N} e^{i\underline{\mathbf{k}}\mathbf{r}}$$

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$$\varphi_{lmn}(\mathbf{r}) = \frac{1}{N} x^l y^m z^n e^{-\alpha r^2}$$

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- Many others: “Augmented plane waves”, wavelets, finite elements, **numeric atom-centered functions, ...**

Our choice!

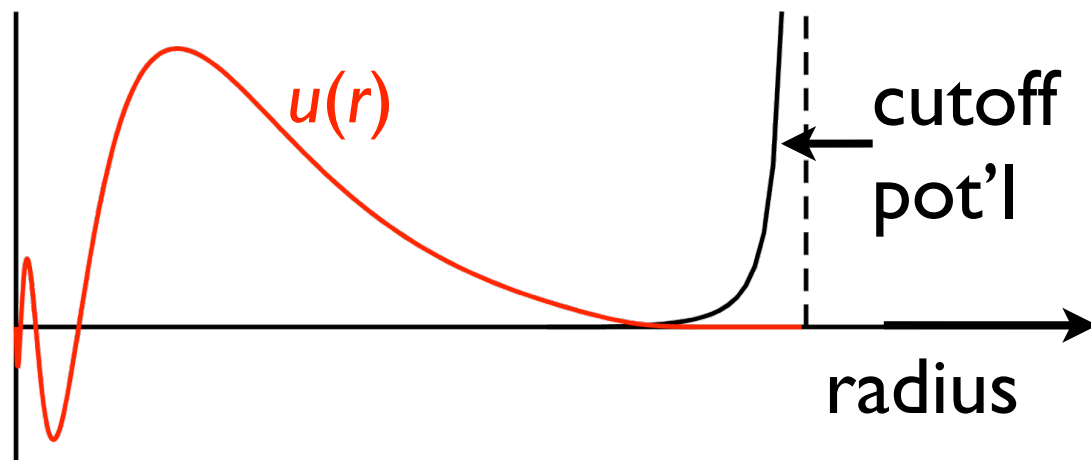


$$\varphi_{i[lm]}(\mathbf{r}) = \frac{u_i(r)}{r} \cdot Y_{lm}(\Omega)$$



# Numeric Atom-Centered Basis Functions: Some Advantages

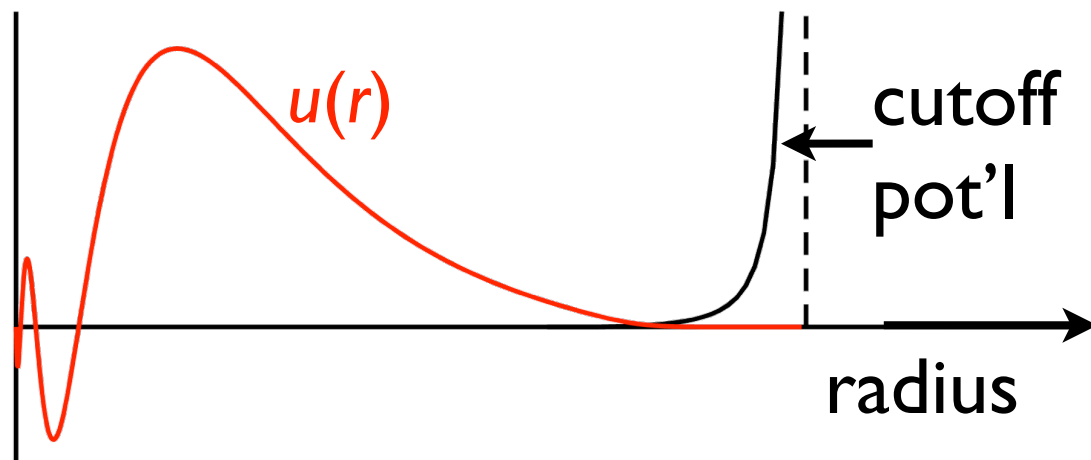
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Many popular implementations:  
DMol<sup>3</sup> (Delley), FPLO (Eschrig *et al.*)  
PLATO (Horsfield *et al.*), ADF, BDF,  
PAOs (Siesta, Conquest, OpenMX<sup>2</sup>,  
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PLATO (Horsfield *et al.*), ADF, BDF,  
PAOs (Siesta, Conquest, OpenMX<sup>2</sup>,  
Fireball, ...)

- Flexible shape
- "Naturally" all-electron
- Strictly localizable -  $O(N)$  computational scaling for most expensive steps
- Rather compact basis sets for converged results

We have a basis set library for all elements (1-102), from fast qualitative to meV-converged calculations. (total energy, DFT).

# Accuracy in Community Wide Benchmark - “Delta Test”

---

S. Cottenier and coworkers (Ghent University):  
<https://molmod.ugent.be/deltacodesdft>

$E(V)$  for 71 elemental solids - Reference: Full-Potential LAPW (*Wien2k*).



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Comparing Solid State DFT Codes, Basis Sets and Potentials | Center for Molecular Modeling

molmod.ugent.be/deltacodesdft

Comparing Solid State DFT Codes, Basis Sets and Potentials | Center for Molecular Modeling

Code	Version	Basis	Electron treatment	$\Delta$ -value	Authors
WIEN2k	13.1			0 meV/atom	S. Cottenier
FHI-aims	081213	tier2 numerical orbitals	all-electron (relativistic atomic_zora scalar)	0.2 meV/atom	ASE [2]
Exciting	development version			0.2 meV/atom	Exciting [10]
FHI-aims	081213			2) 0.4 meV/atom	ASE [2]
CASTEP	8.0	plane waves	OTEG CASTEP 8.0	0.5 meV/atom	CASTEP [7]
ABINIT	7.7.3			0.6 meV/atom	F. Jollet and M. Torrent
FHI-aims	081213	tight numerical orbitals	all-electron (relativistic atomic_zora scalar)	0.6 meV/atom	ASE [2]
VASP	5.2.12	plane waves	PAW 2012	0.7 meV/atom	K. Lejaeghere

**FHI-aims, standard “tight” defaults:  
 $\Delta=0.6$  meV**

**FHI-aims, really tight / tier 2 basis sets:  
 $\Delta=0.2$  meV (!)  
Dr. Marcin Dulak, DTU**

**Reproducibility in Density-Functional Calculations of Solids,  
K. Lejaeghere, ... 68 coauthors! ..., S. Cottenier,  
Science **351**, aad3000 (2016).**



# All-Electron Accuracy for Large Systems: FHI-aims



<http://aims.fhi-berlin.mpg.de>

- Accurate, efficient quantum mechanics for molecules, materials
- Numerical Foundation: Numeric atom-centered basis functions  
Seamless from “light” to basis-converged results, from light to heavy elements
- Non-periodic and periodic structure models, same framework
- Density Functional Theory (semilocal, global and range-separated hybrid functionals, van der Waals corrections)
- Beyond DFT (non-periodic): RPA, GW, MP2, TD-LDA, ...
- Scalable (1,000s of atoms on 1(00),000s of CPU cores)
- Properties (charged and neutral excitations, IR spectra, transport, dielectric functions, dynamics & transition states, ...)

*~100 contributors to date, over 100 licensing groups.  
Active development in Berlin, **Duke**, Munich, Hefei,  
Helsinki, London, Argonne, ...  
New stable release “160328”*

# ... But How to Push an All-Electron Approach to Large Systems?

## 1. Kohn-Sham DFT Eigenvalue Problem ( $O(N^3)$ )

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

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$$E_x^{\text{HF}} = \frac{1}{2} \sum_{ij\sigma} D_{ij}^\sigma K_{ij}^\sigma = \frac{1}{2} \sum_{ijkl} D_{ij}^\sigma D_{kl}^\sigma (ik|lj)$$

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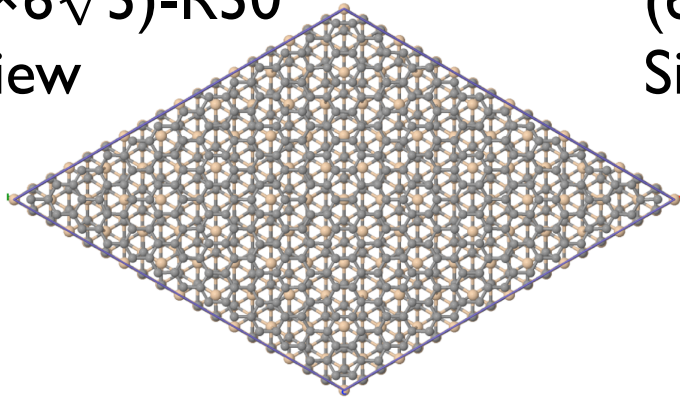
$$\Sigma_\sigma^{GW}(\mathbf{r}, \mathbf{r}', \epsilon) = \frac{i}{2\pi} \int d\epsilon' \left[ G_\sigma(\mathbf{r}, \mathbf{r}', \epsilon + \epsilon') W(\mathbf{r}, \mathbf{r}', \epsilon') e^{i\eta\epsilon'} \right]$$

Cost  
Accuracy?  
Difficulty!

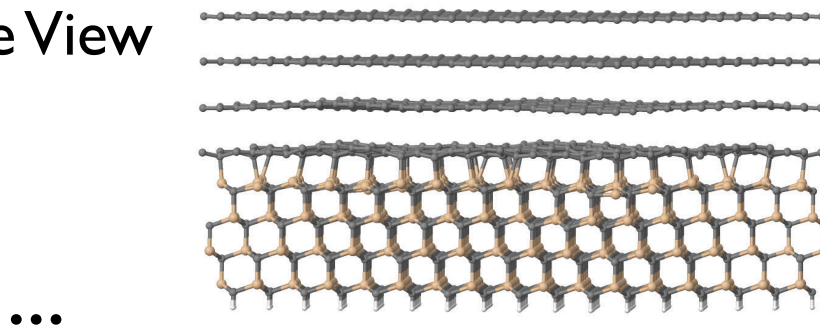


# Scalability: 3-Layer Graphene on 3C-SiC(0001)

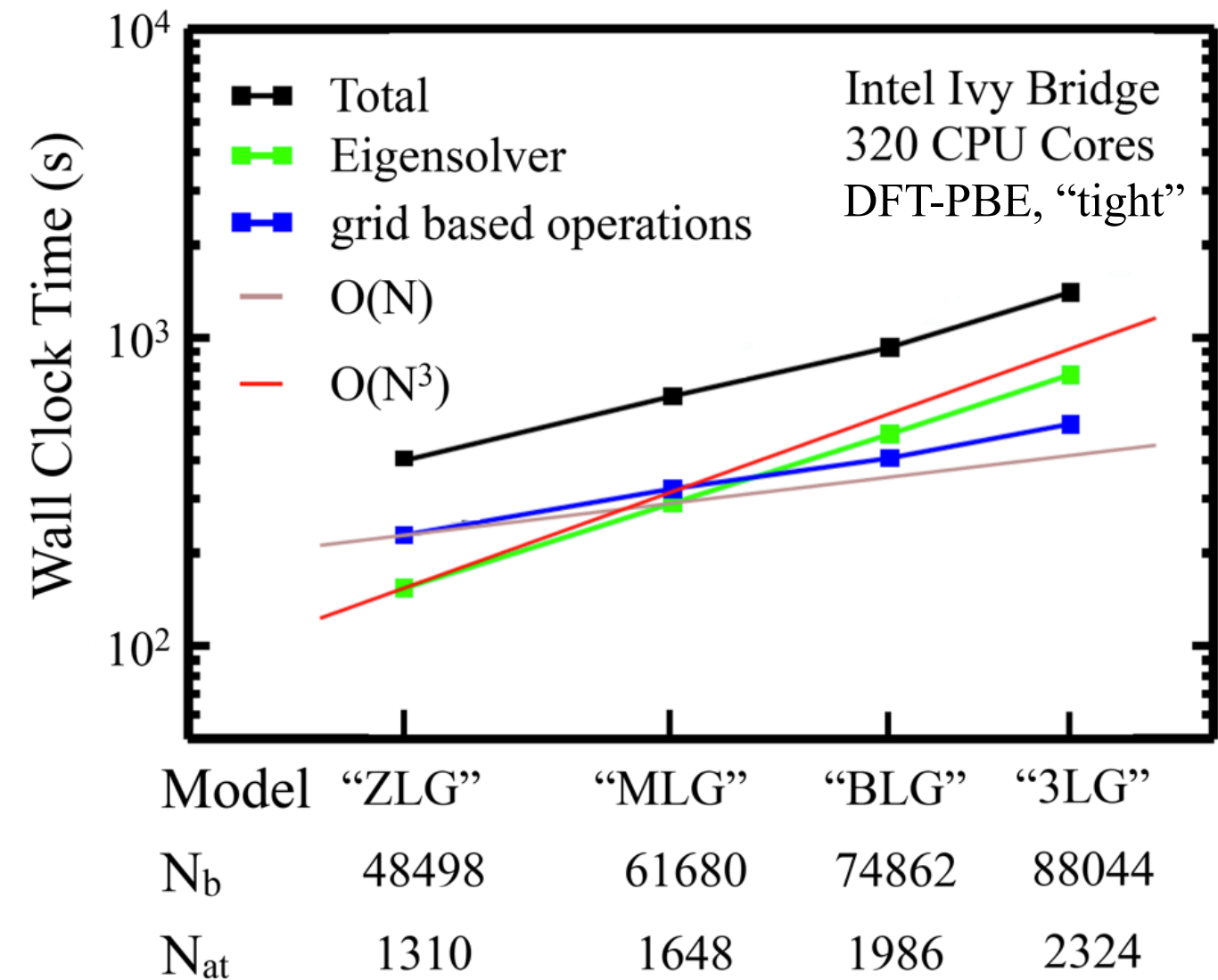
$(6\sqrt{3} \times 6\sqrt{3})\text{-R}30^\circ$   
Top View



$(6\sqrt{3} \times 6\sqrt{3})\text{-R}30^\circ$ , 3-layer graphene  
Side View



...

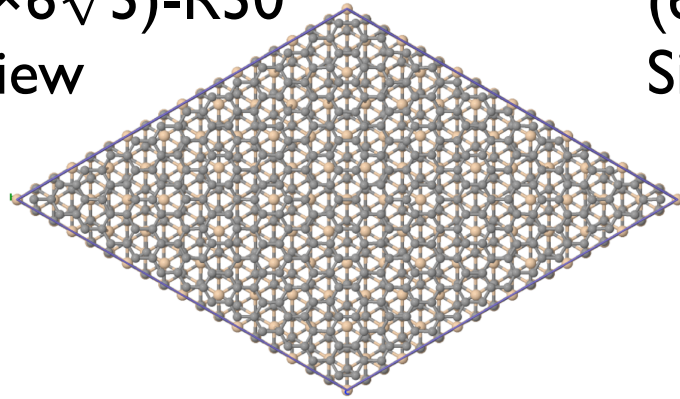


Structure: Nemeč et al., PRL **111**, 065502 (2013).

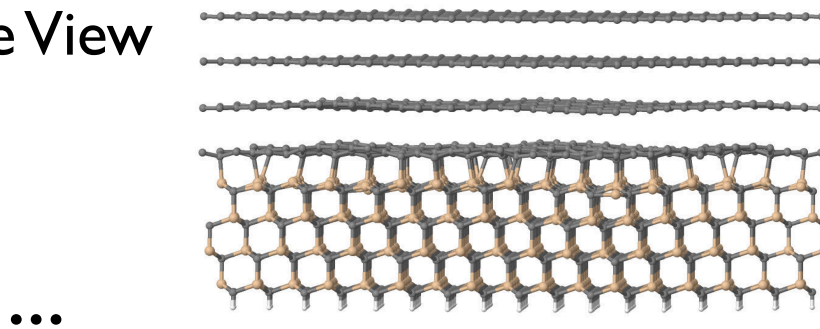
Benchmark: Björn Lange, Duke University 2014

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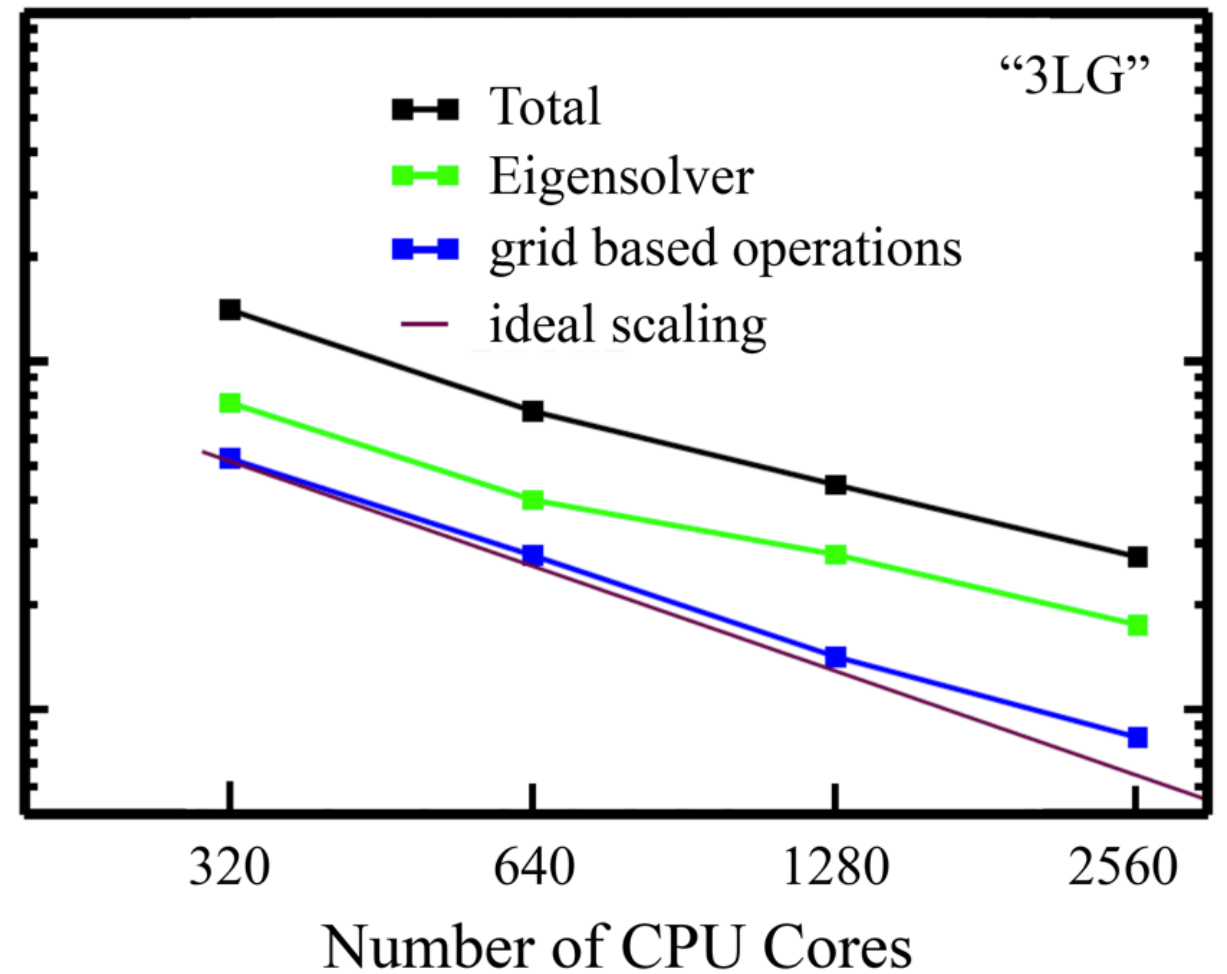
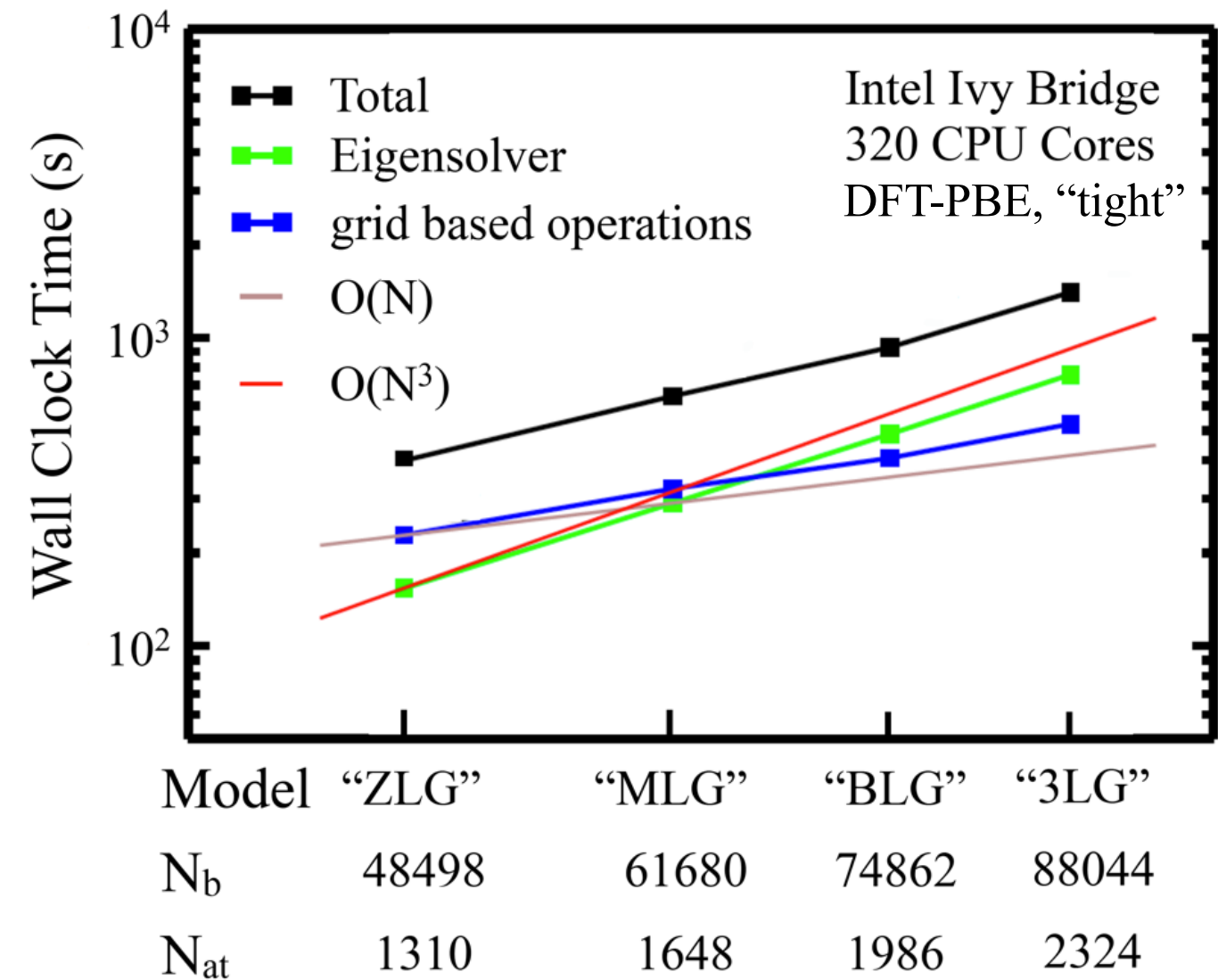
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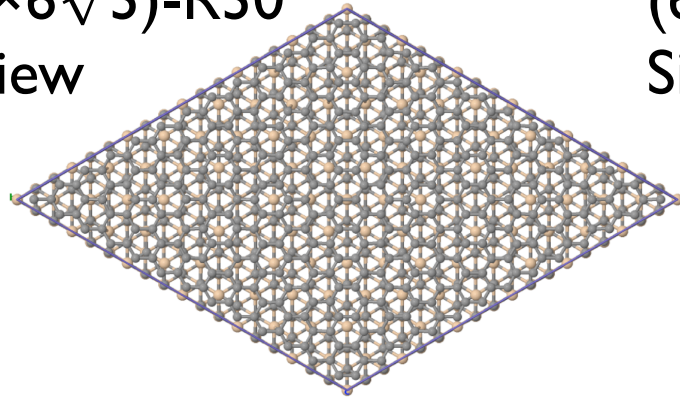


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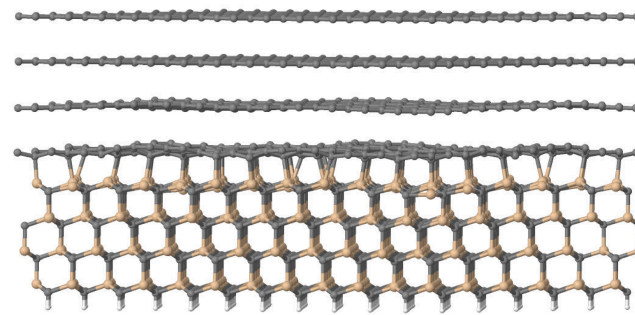
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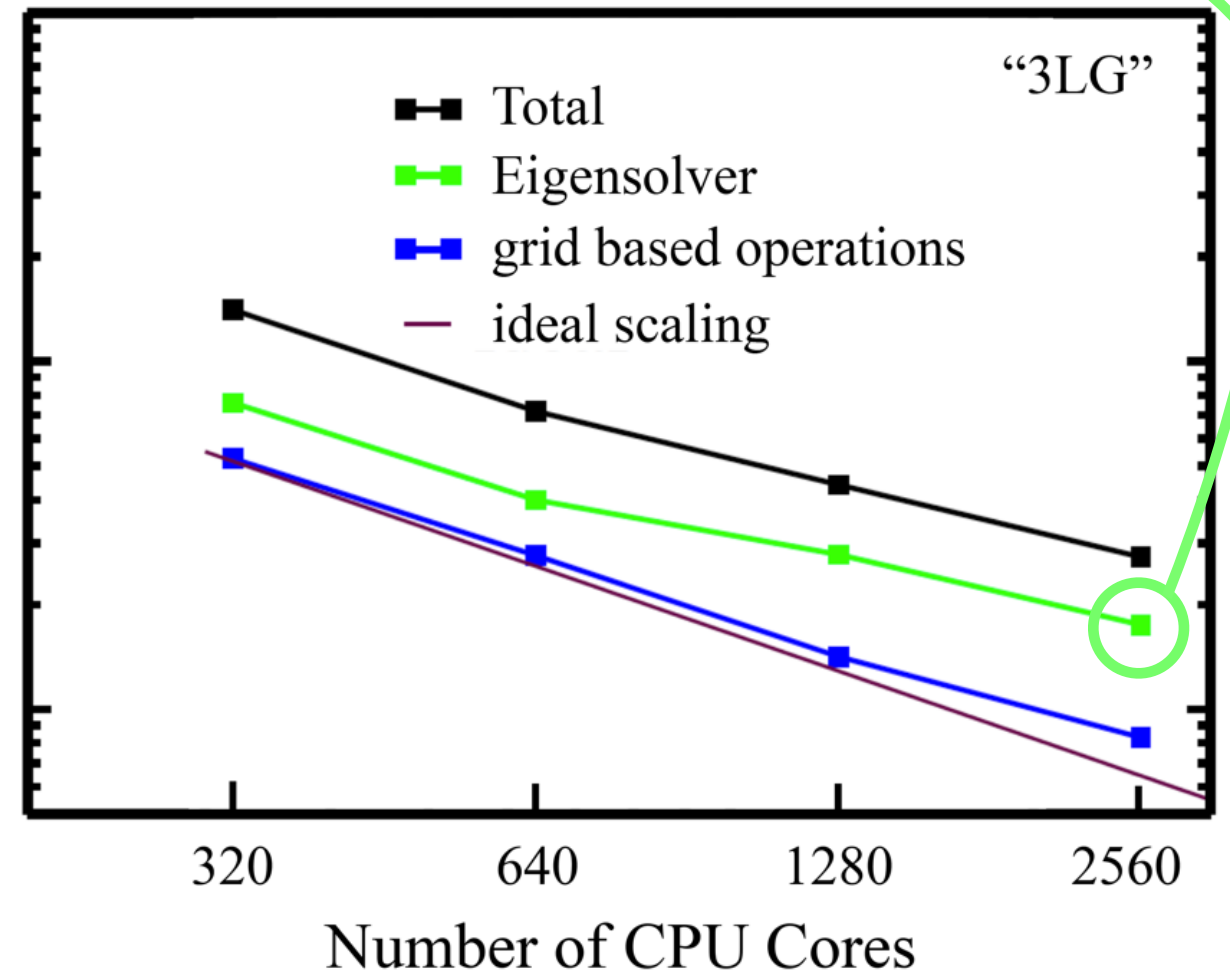
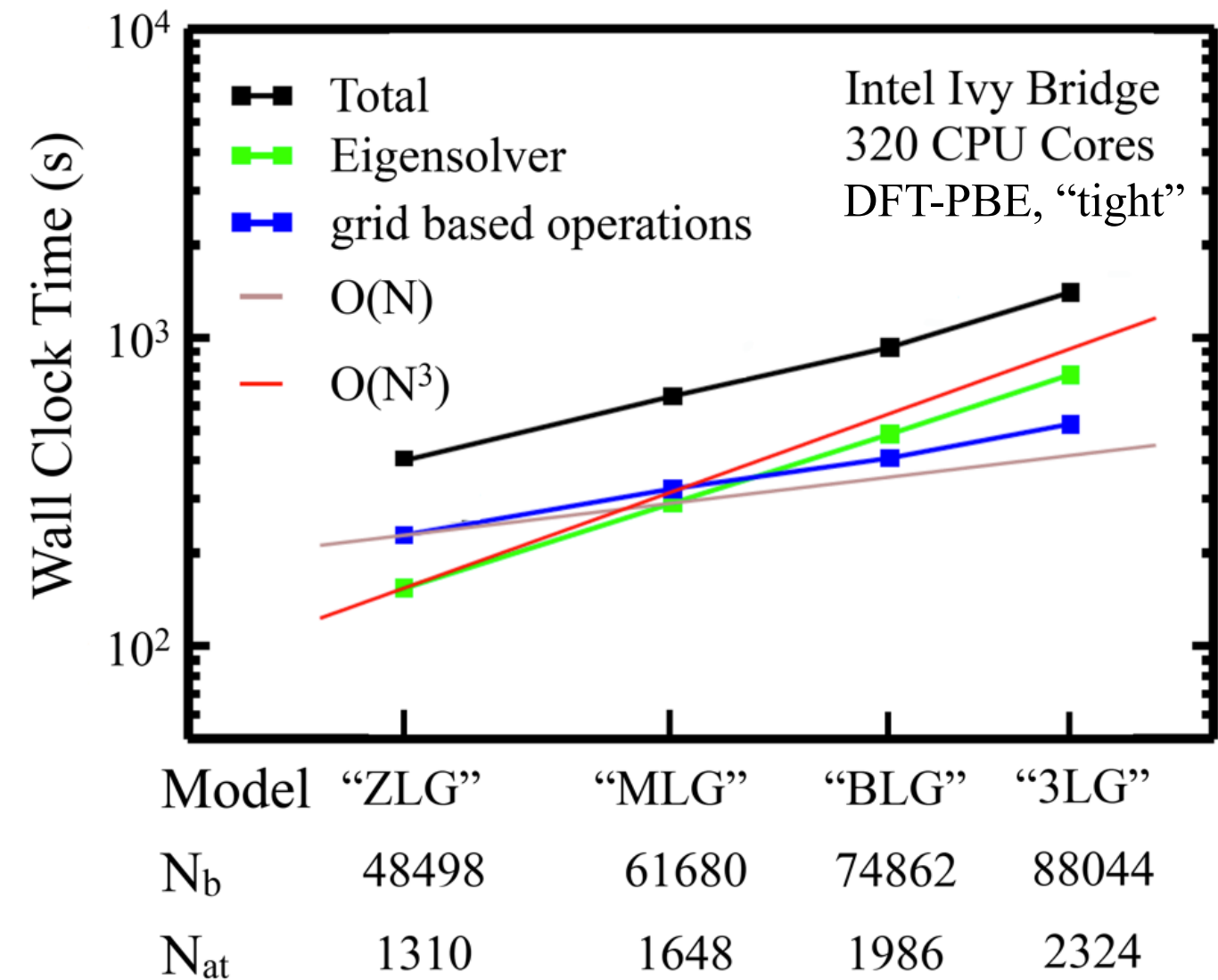


$(6\sqrt{3} \times 6\sqrt{3})\text{-R}30^\circ$ , 3-layer graphene  
Side View



...

Open Source  
Scalable Eigensolver:  
ELPA  
<http://elpa.rzg.mpg.de>



Structure: Nemeč et al., PRL **111**, 065502 (2013).

Benchmark: Björn Lange, Duke University 2014

# Parallel Eigenvalue Solvers - the Problem

---



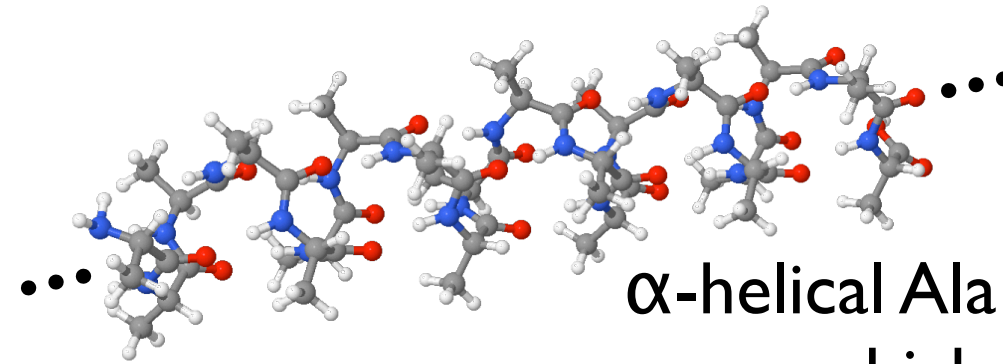
IBM BlueGene (MPG, Garching)  
16384 CPU cores



# Parallel Eigenvalue Solvers - the Problem

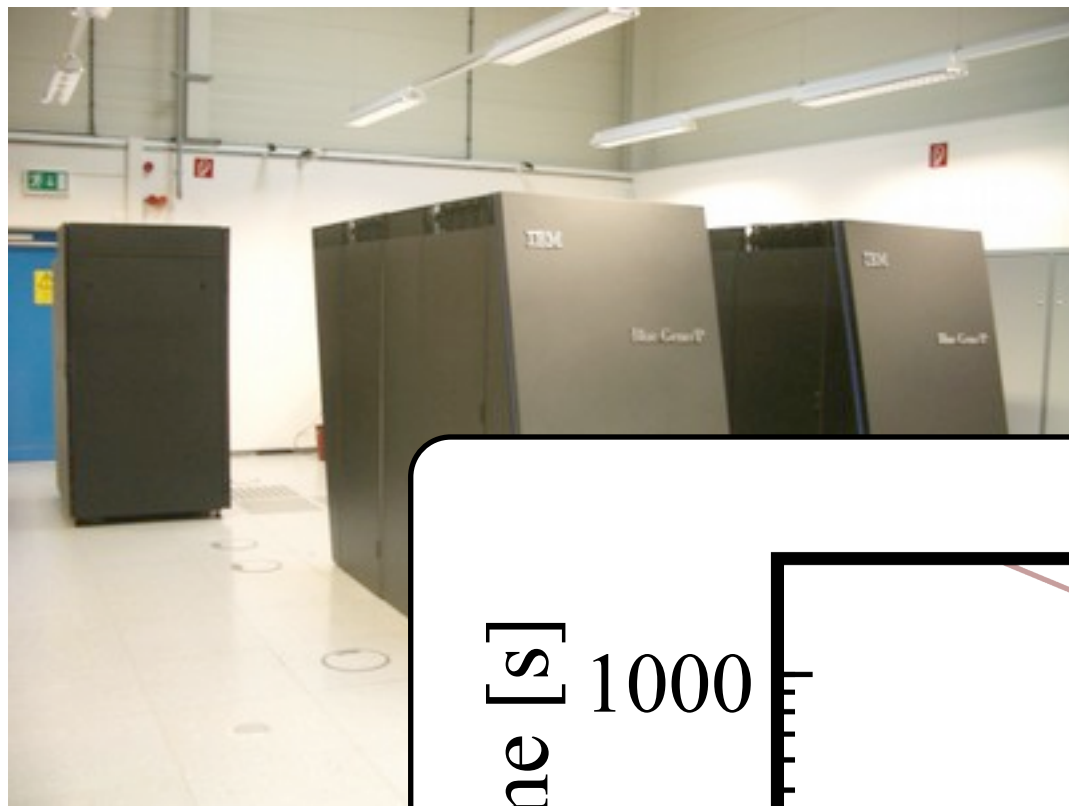


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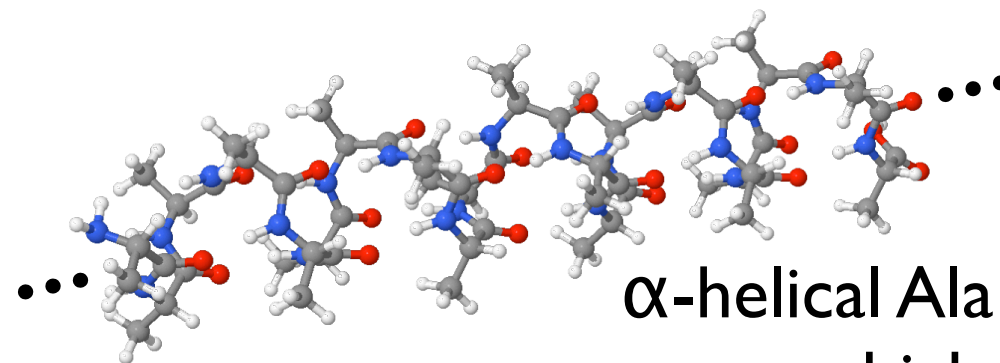


$\alpha$ -helical Ala<sub>100</sub> (1000 atoms),  
high accuracy

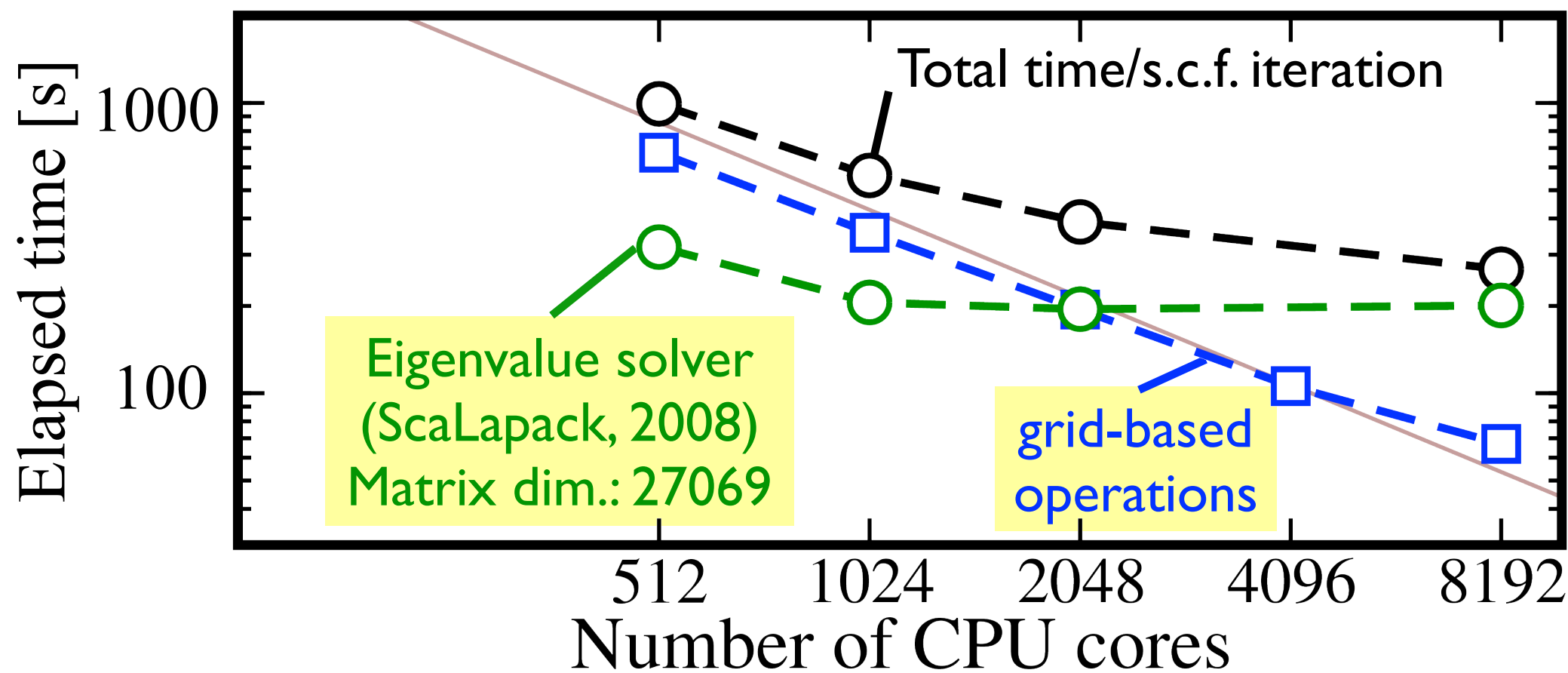
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IBM BlueGene  
1638

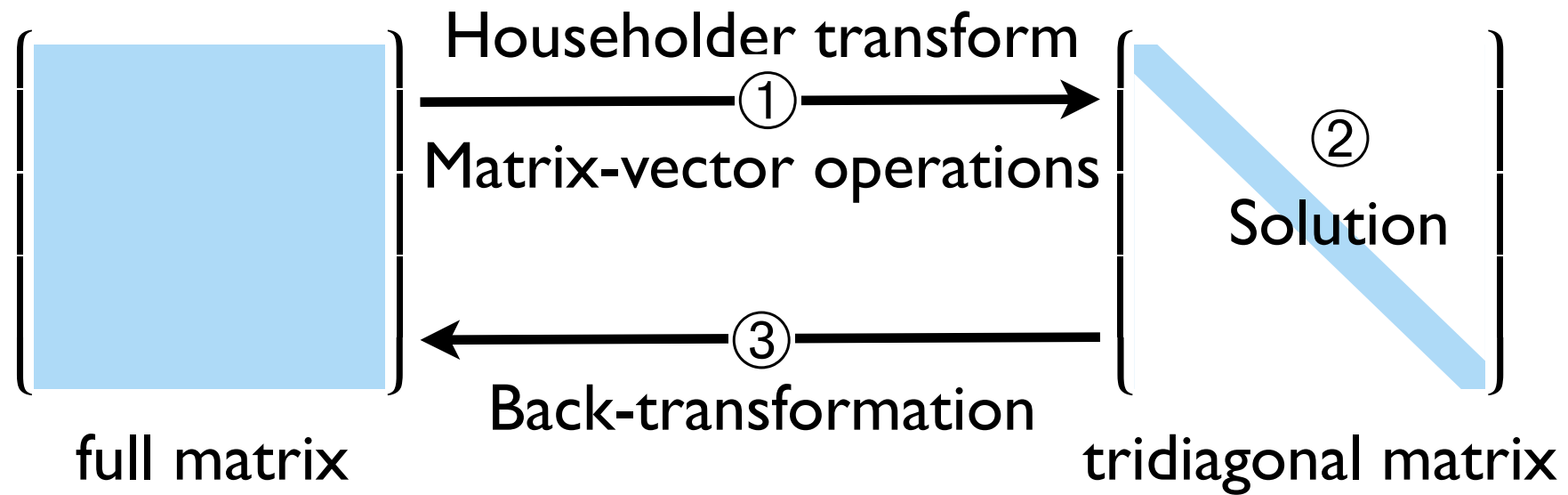


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# Bottleneck: Tridiagonalization

“Conventional” reduction:



# Key Step in ELPA: Two-Step MPI-Parallel Tridiagonalization

Two-stage reduction algorithm, ELPA:



*Larger fraction of efficient matrix-matrix operations*

*Efficient compute kernels for added backtransform step ④*

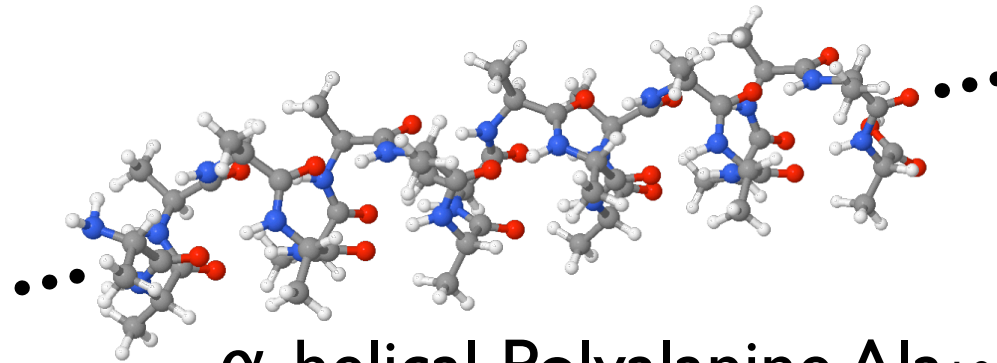
Note: Hard to beat dense linear algebra for small to midsized problems, many EVs

Auckenthaler, Blum, Bungartz, Huckle, Johanni, Krämer, Lang, Lederer, Willems, *Parallel Computing* 37, 783 (2011)

A. Marek, V. Blum, R. Johanni, V. Havu, B. Lang, T. Auckenthaler, A. Heinecke, H.-J. Bungartz, H. Lederer,  
*The Journal of Physics: Condensed Matter* 26, 213201 (2014).

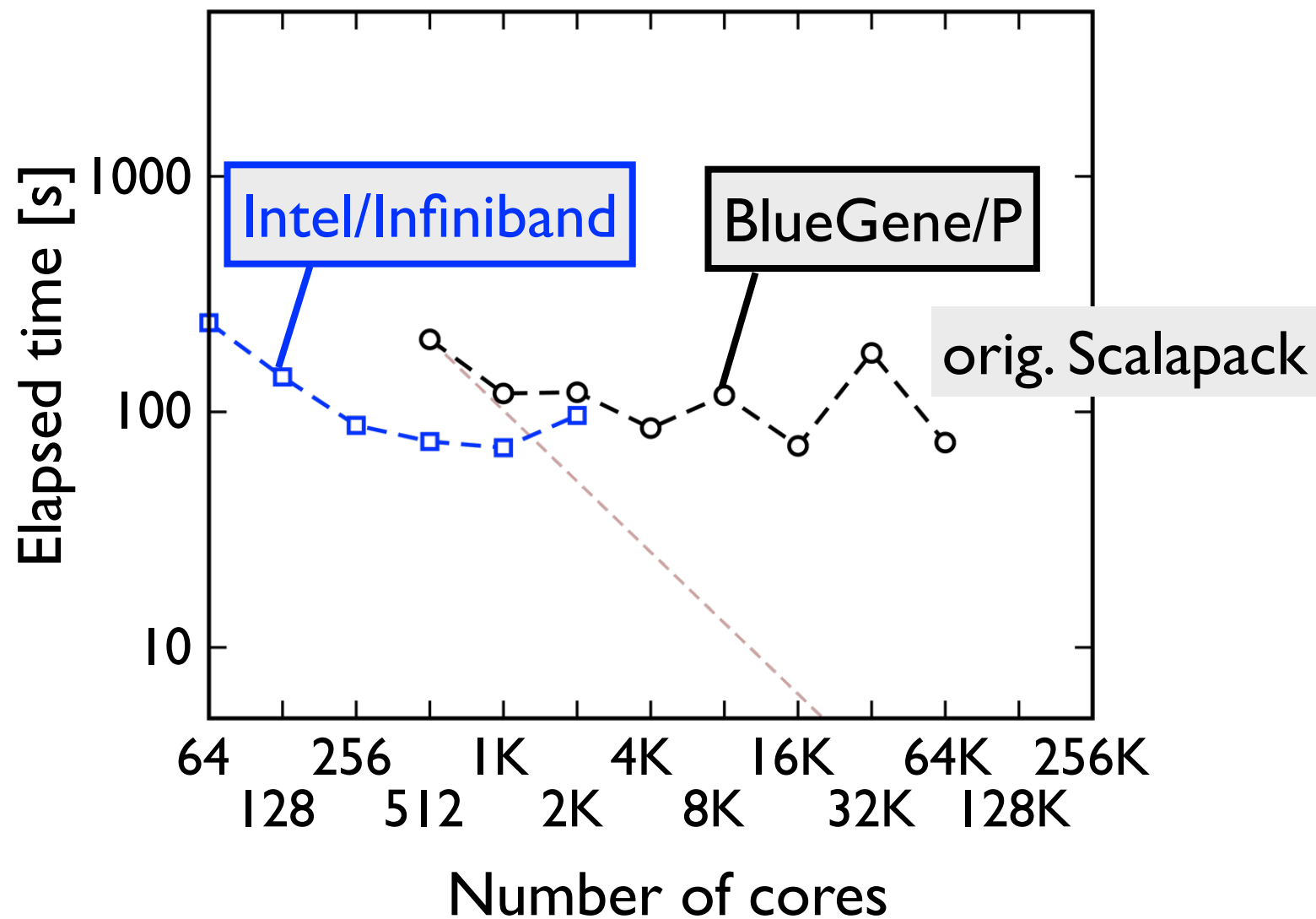


# ELPA, Two-Step Solver

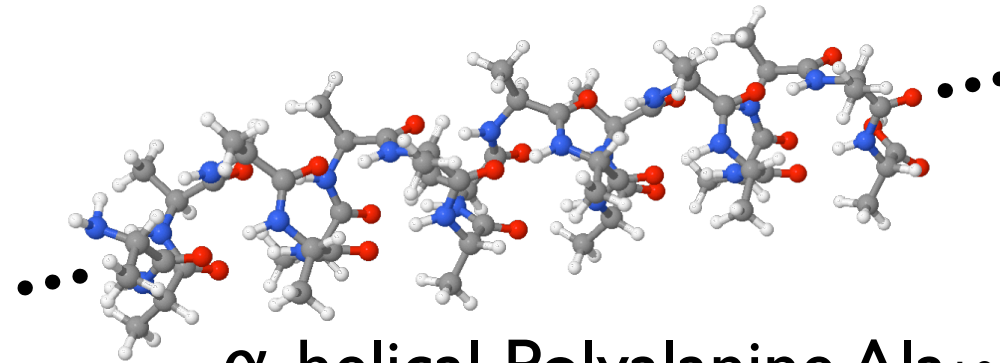


$\alpha$ -helical Polyalanine Ala<sub>100</sub>  
 $N=27069, M=3410$   
NAO basis set (FHI-aims)

Auckenthaler, Blum, Bungartz,  
Huckle, Johanni, Krämer, Lang,  
Lederer, Willems, *Parallel  
Computing* **37**, 783 (2011)

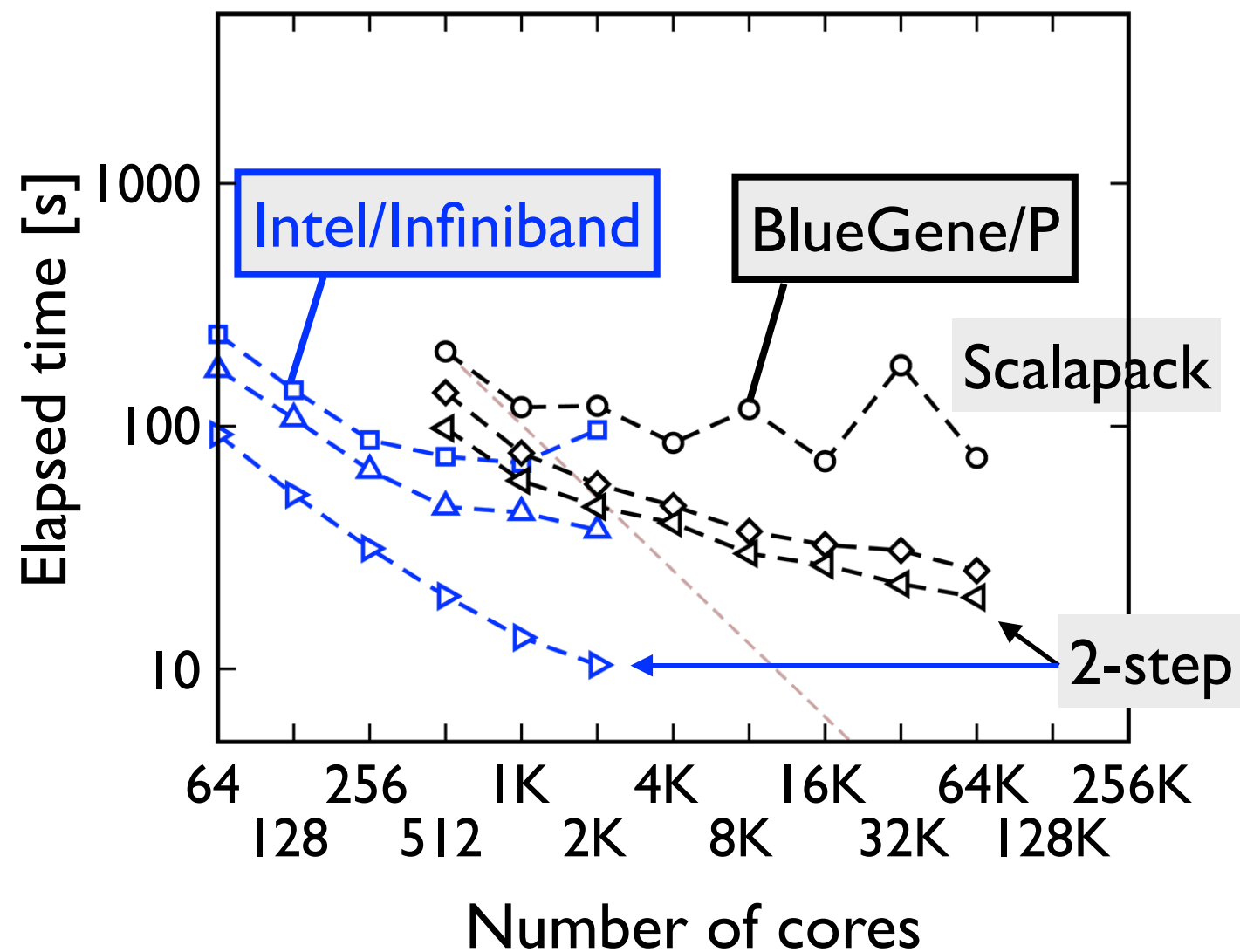


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# ... However, $O(N^3)$ Still Limits Us to ~1,000s of Atoms

---

... what to do?

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Recently reimplemented by Corsetti, Comput. Phys. Commun. 185, 273 (2014).*



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All these are feasible but optimal choice depends on system size, system character, required electronic structure output, ... complex. Can we simplify this task?

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# ELSI: Solving *or Circumventing* the Eigenvalue Problem

---

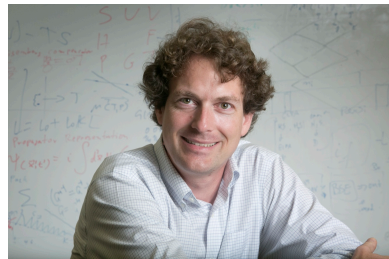
... in one infrastructure  
& for “any” code?

*... and many other “stakeholders” from the community*

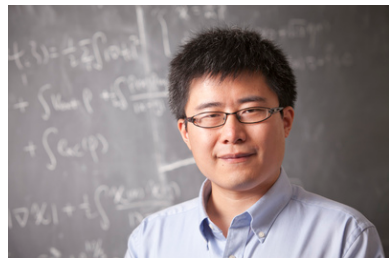


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VB, Duke



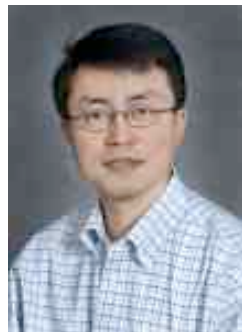
Jianfeng Lu, Duke



Lin Lin, Berkeley



Fabiano Corsetti,  
London



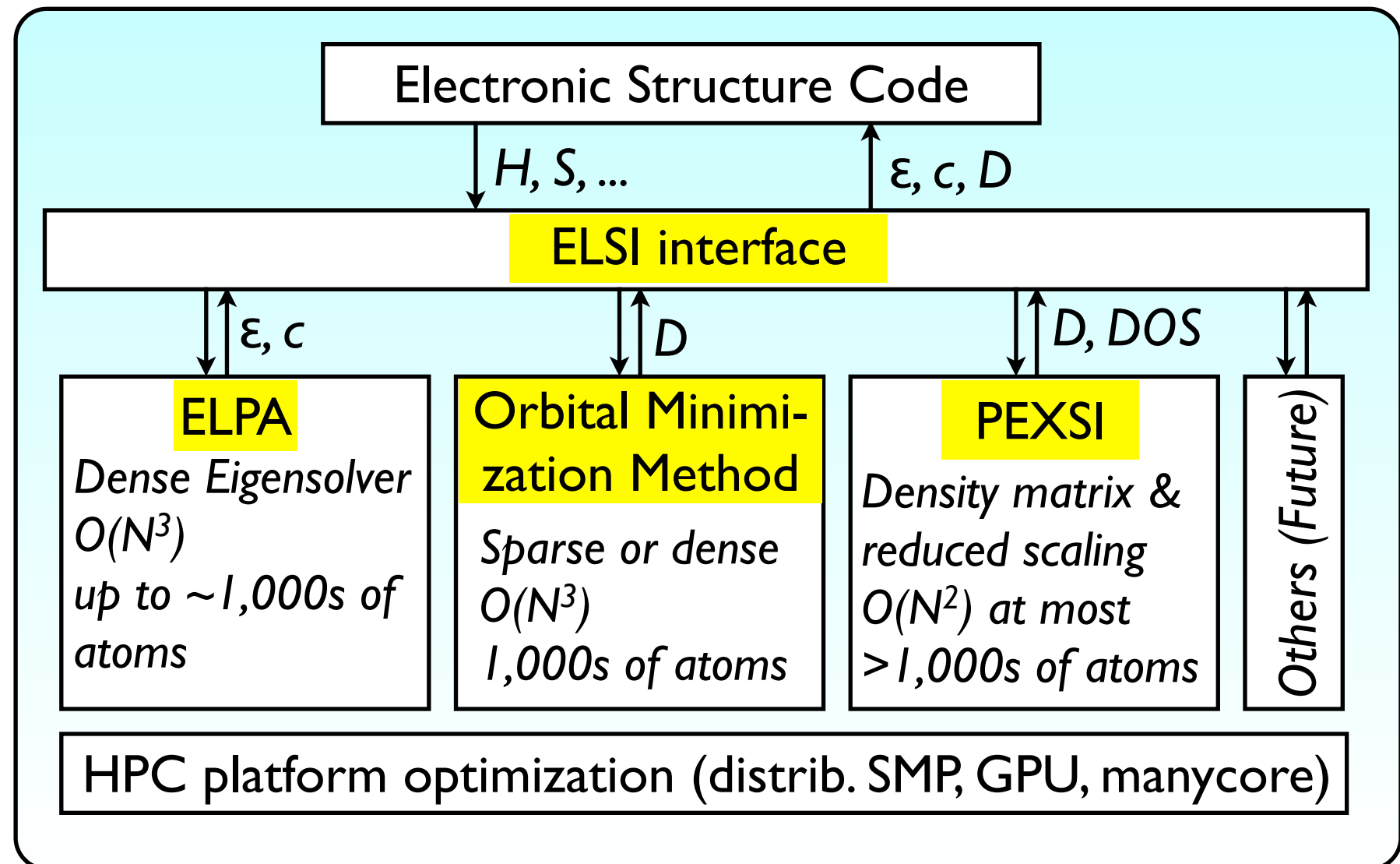
Chao Yang, LBL



Alvaro Vazquez-  
Mayagoitia, ANL

Work in Progress:

“ELSI” - Electronic Structure Infrastructure (NSF-SI2)



<http://elsi-interchange.org>

... and many other “stakeholders” from the community





# ... But How to Push an All-Electron Approach to Large Systems?

## 1. Kohn-Sham DFT Eigenvalue Problem ( $O(N^3)$ )

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{S}} \underline{\underline{c}}_k$$

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$$E_x^{\text{HF}} = \frac{1}{2} \sum_{ij\sigma} D_{ij}^\sigma K_{ij}^\sigma = \frac{1}{2} \sum_{ijkl} D_{ij}^\sigma D_{kl}^\sigma (ik|lj)$$

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$$\Sigma_\sigma^{GW}(\mathbf{r}, \mathbf{r}', \epsilon) = \frac{i}{2\pi} \int d\epsilon' \left[ G_\sigma(\mathbf{r}, \mathbf{r}', \epsilon + \epsilon') W(\mathbf{r}, \mathbf{r}', \epsilon') e^{i\eta\epsilon'} \right]$$

Cost  
Accuracy?  
Difficulty!

# Beyond DFT-LDA/GGA: Two-Electron Integrals

---

Common bottleneck: Two-electron interactions, e.g.:

$$\int d^3r d^3r' \phi_i(r) \phi_j(r') \frac{1}{|r - r'|} \phi_k(r') \phi_l(r)$$

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Common bottleneck: Two-electron interactions, e.g.:

$$\int d^3r d^3r' \phi_i(\mathbf{r}) \phi_j(\mathbf{r}') \frac{1}{|\mathbf{r} - \mathbf{r}'|} \phi_k(\mathbf{r}') \phi_l(\mathbf{r})$$

Early Solution: “Resolution of the identity”

*[Boys and Shavitt (1950s), Whitten (1974), Dunlap et al. (1979), Vahtras et al. (1993), many others]*

1. Expand pair products in smaller auxiliary basis set  $\{P_\mu\}$

$$\varphi_i(\mathbf{r}) \varphi_j(\mathbf{r}) = \sum_{\mu} C_{ij}^{\mu} P_{\mu}(\mathbf{r})$$

2. Use “Coulomb metric”  $V$  to cancel linear error terms

$$C_{ij}^{\mu} = \sum_{\nu} (ij|\nu) V_{\nu\mu}^{-1}$$
$$(ij|\nu) = \int d^3r d^3r' \frac{\varphi_i(\mathbf{r}) \varphi_j(\mathbf{r}) P_{\nu}(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

→ The rest is matrix algebra - works with NAO basis sets!  
Hartree-Fock, hybrid functionals, MP2, GW, RPA, LR-TDLDA, ...

# Localized “Resolution of Identity” (RI) for Two-Electron Terms

$$(ij|kl) = \int d^3r d^3r' \frac{\varphi_i(\mathbf{r})\varphi_j(\mathbf{r}')\varphi_k(\mathbf{r})\varphi_l(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

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Problem: Full RI-V delocalizes C across entire system

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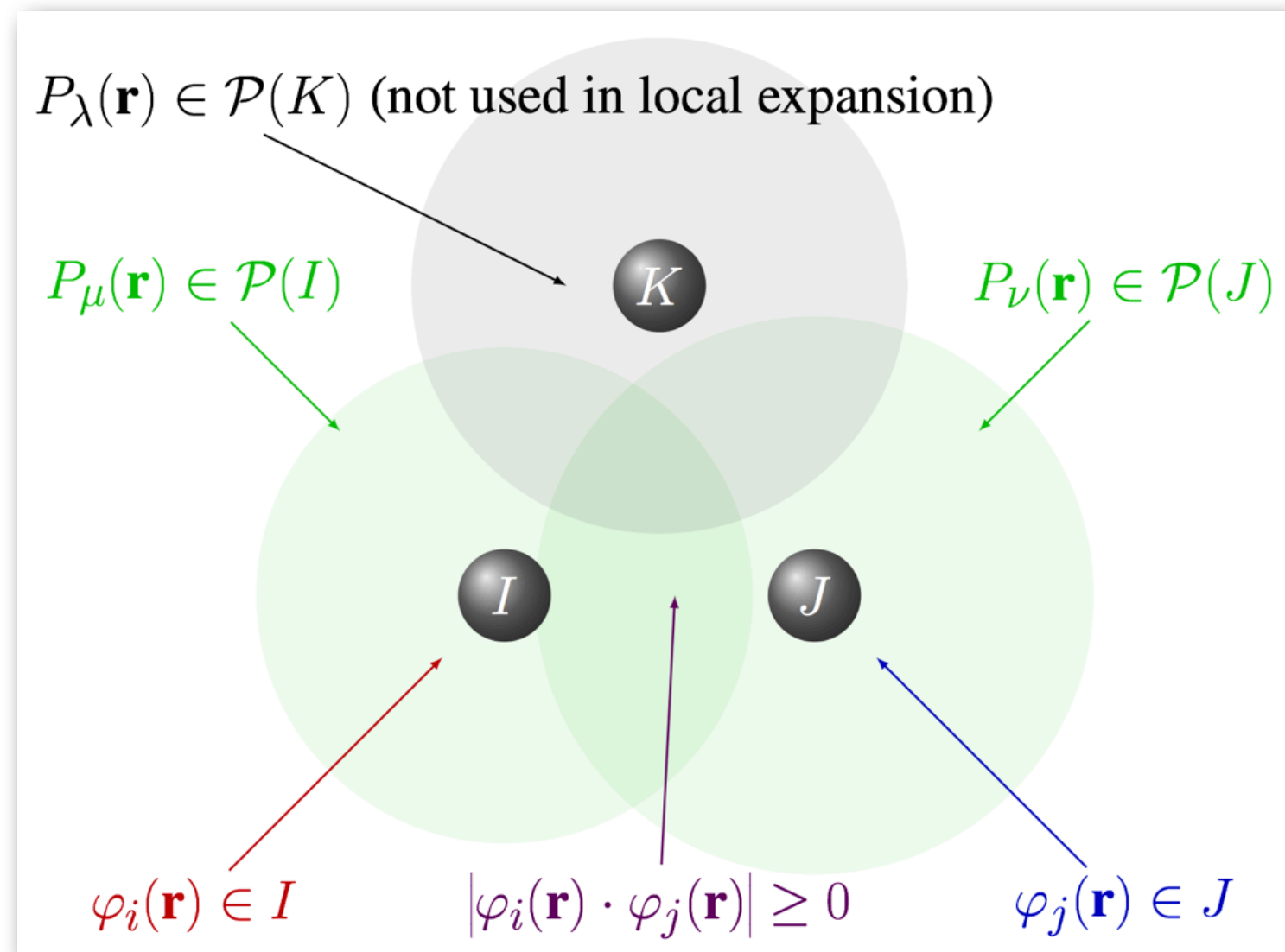
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Problem: Full RI-V delocalizes  $C$  across entire system

Solution: For each  $C_{ij}^{\mu}$ , restrict  $\mu$  only to atoms  $I$  and  $J$  at which  $i$  and  $j$  are centered!

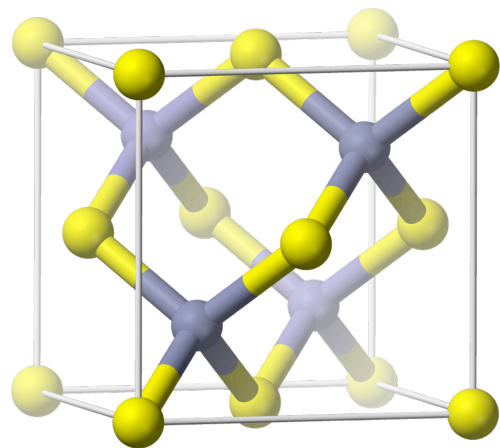


# Hybrid Functionals: Scalability, Large Systems

Levchenko, Ren, Wieferink, Rinke, Johanni, Blum, Scheffler,  
*Comp. Phys. Commun.* **192**, 60-69 (2015).

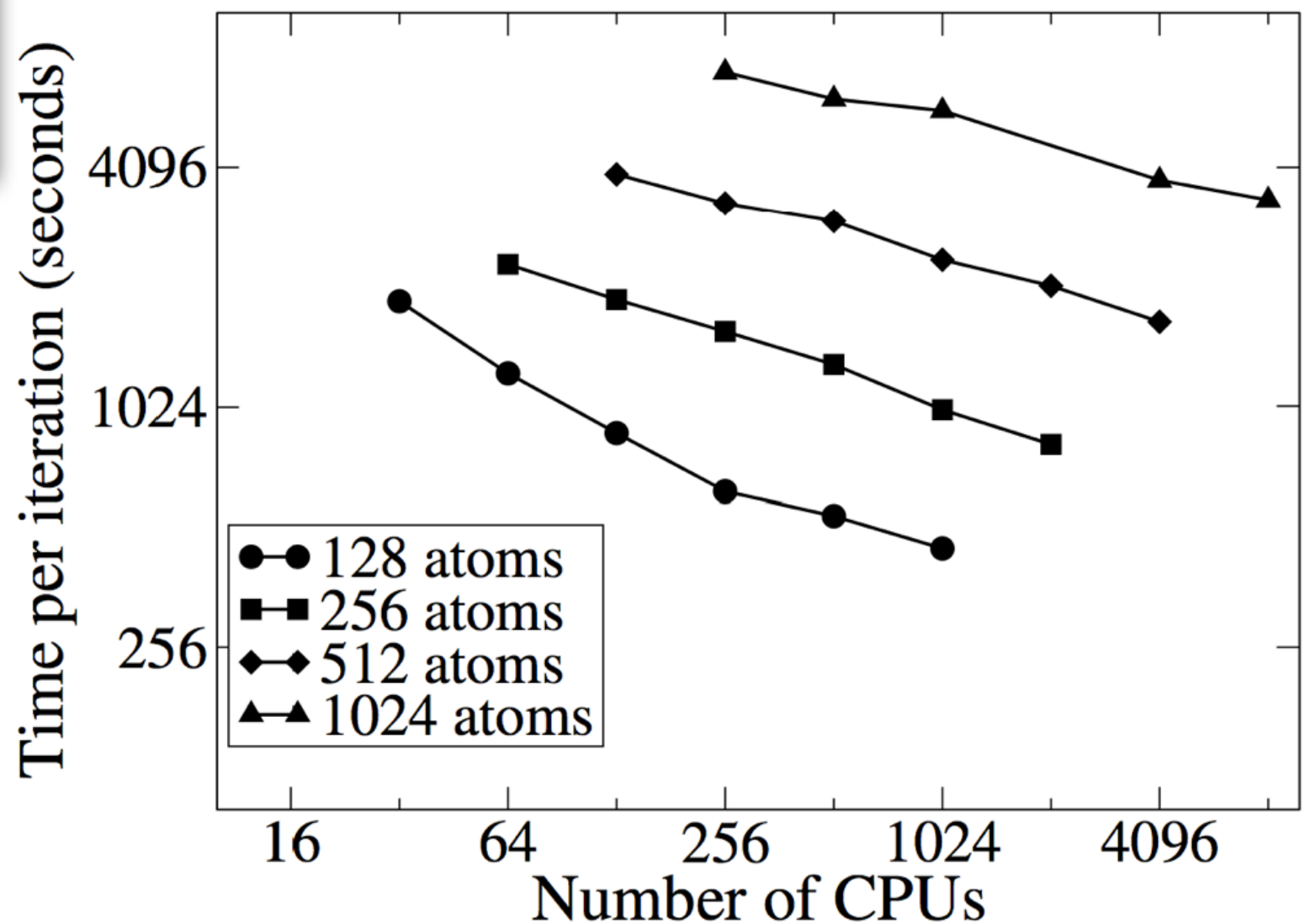
$O(N)$  scaling implementation,  
localized resolution of identity.

Note: Localized RI also works for MP2,  
RPA, GW, etc. (but not  $O(N)$ )



Zincblende GaAs

## Computational Scaling of Periodic GaAs, HSE06 Hybrid Density-Functional Theory





# ... But How to Push an All-Electron Approach to Large Systems?

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# Scaling Limitations for Many-Body Theory: GW

$G_0W_0$ : Widely used to obtain accurate quasiparticle energies (molecules and materials)

Central: Self-Energy

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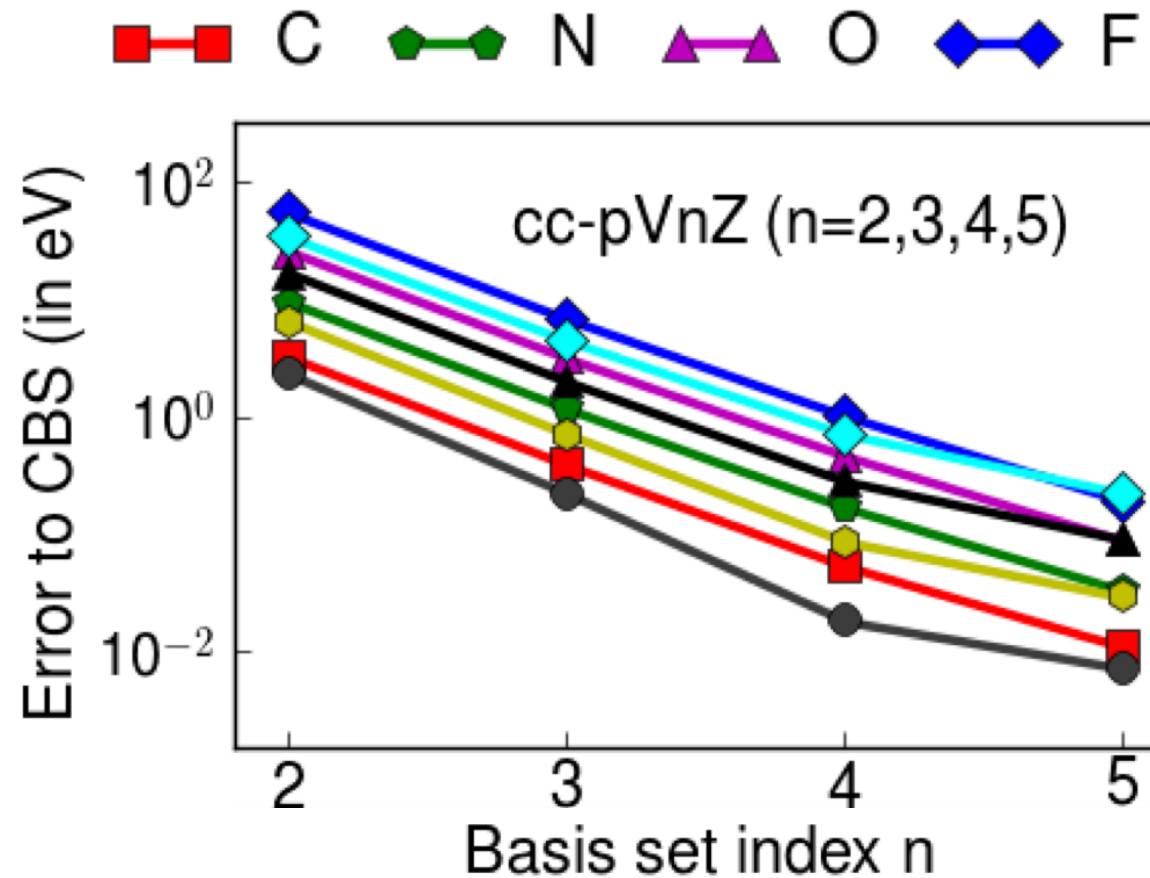
However,  $G_0$ ,  $W_0$  not easy to converge -  
known by different terminologies in different communities:

- “Slow-converging sums over states”
- “Slow convergence with basis set size”
- “Slow convergence of the electron-electron cusp”  
(Quantum Chemistry)

↔ Large basis set requirement and formal  $O(N^4)$  scaling: Doubly challenging.

# Result: Notoriously Tedious Convergence (Any Basis Set!)

## RPA@PBE Total Energy Convergence of Free Atoms:

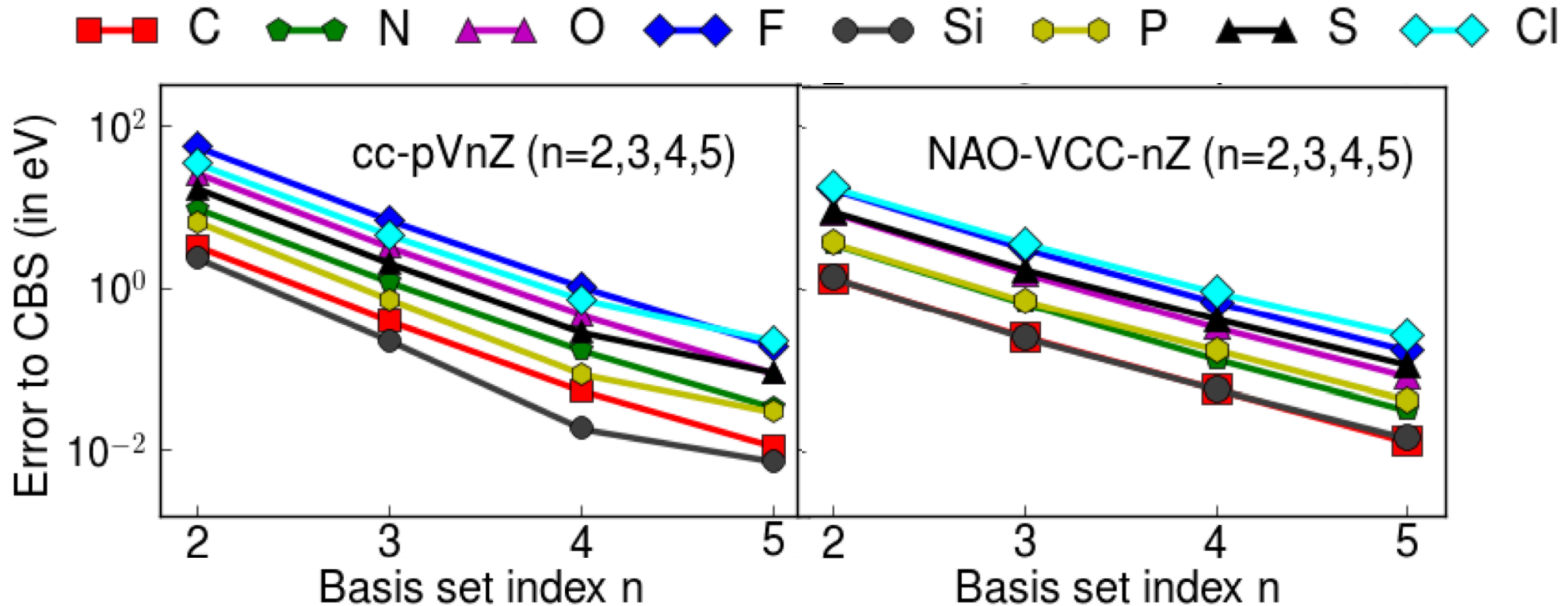


Known issue in quantum chemistry.  
Light elements: Correlation consistent  
Gaussian basis sets (Dunning) +  
“complete basis set” (CBS) extrapolation

*Igor Ying Zhang, Xinguo Ren, Patrick Rinke, Volker Blum, and Matthias Scheffler,  
New Journal of Physics **15**, 123033 (2013).*

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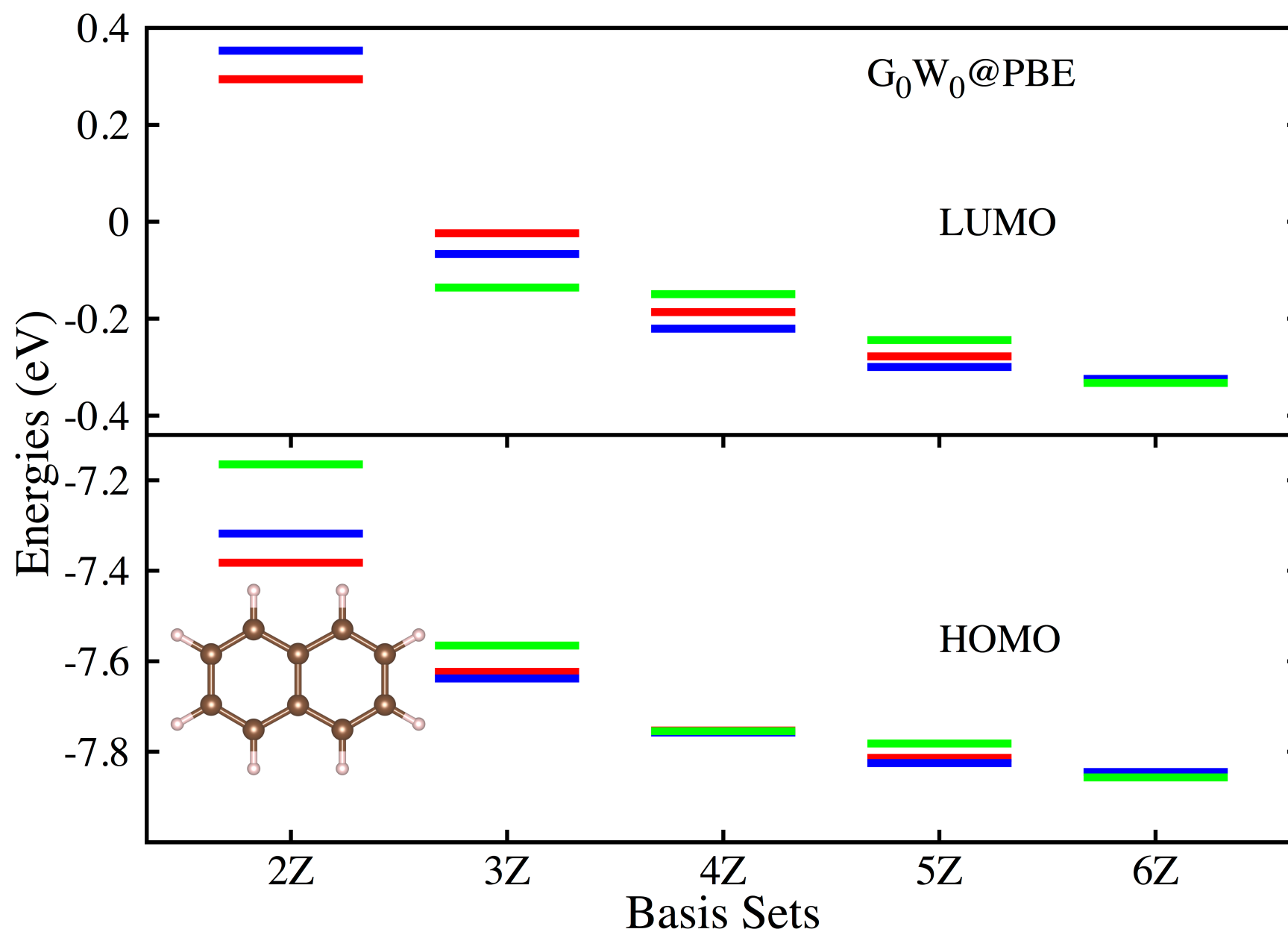


New NAO-VCC-nZ basis sets (H-Ar):  
More systematic convergence of unoccupied state sums\*  
*\*not a problem in occupied-state based DFT*

*Igor Ying Zhang, Xinguo Ren, Patrick Rinke, Volker Blum, and Matthias Scheffler,  
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# Basis Set Extrapolation for $G_0W_0$ ?

Example: Naphthalene,  $G_0W_0@PBE$  HOMO / LUMO



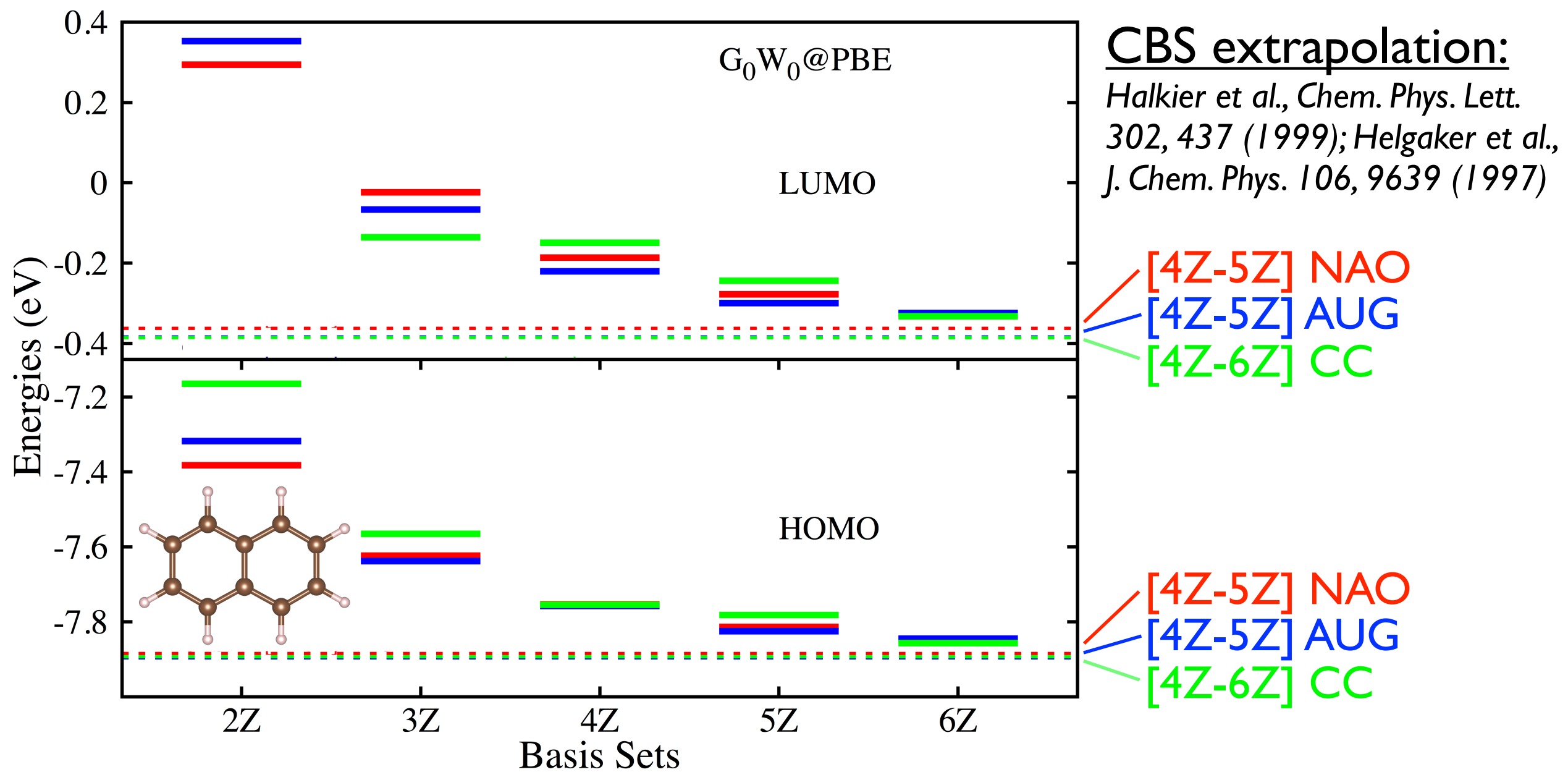
Tong Zhu

NAO-VCC-nZ (NAO)      aug-CC-pVnZ (AUG)      CC-pVnZ (CC)



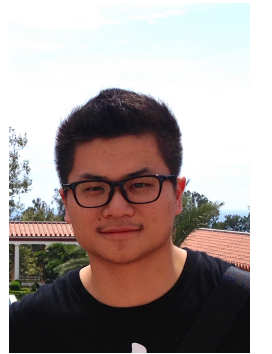
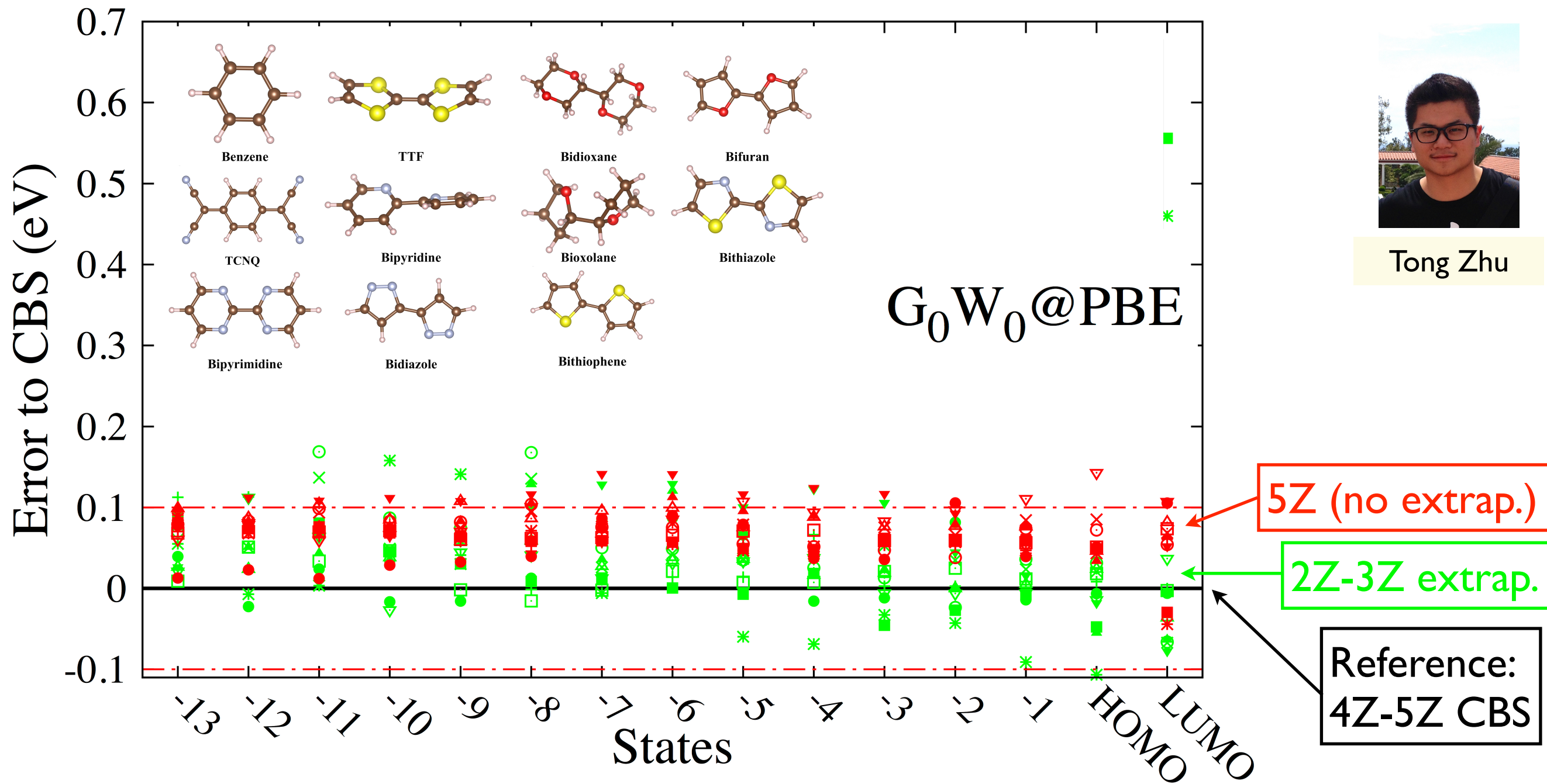
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# Can We Extrapolate Smaller (Cheaper) Basis Sets?



Tong Zhu

**2Z-3Z Extrapolation (T. Zhu): 5Z quality, but at the price of 3Z.**  
**Works for valence and low-lying conduction levels, light-element molecules.**  
**However, not for core states, unbound states; restricted to H-Ar.**

# Summary

$$\hat{\mathcal{H}}\Psi = E\Psi$$



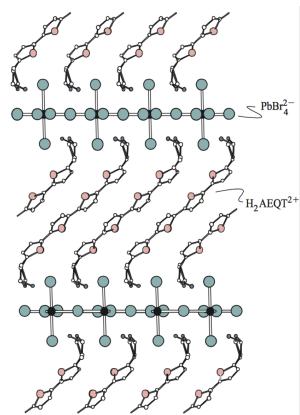
P.A.M. Dirac



High-accuracy platform for predictive molecular, materials simulations  
Scalable to large systems, advanced electronic structure approximations

Ongoing frontier: Accurate, affordable many-body perturbation theory  
towards excited states for real materials

Crystalline tunable organic-inorganic hybrid materials - predictive approach  
to truly “new” materials, close integration with experiment



Materials Research Science  
and Engineering Center



National Science Foundation

# Constructing a Basis Set Library for DFT

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Goal: Element-dependent, *transferable* basis sets  
from fast qualitative to meV-converged total energy accuracy (ground-state DFT)

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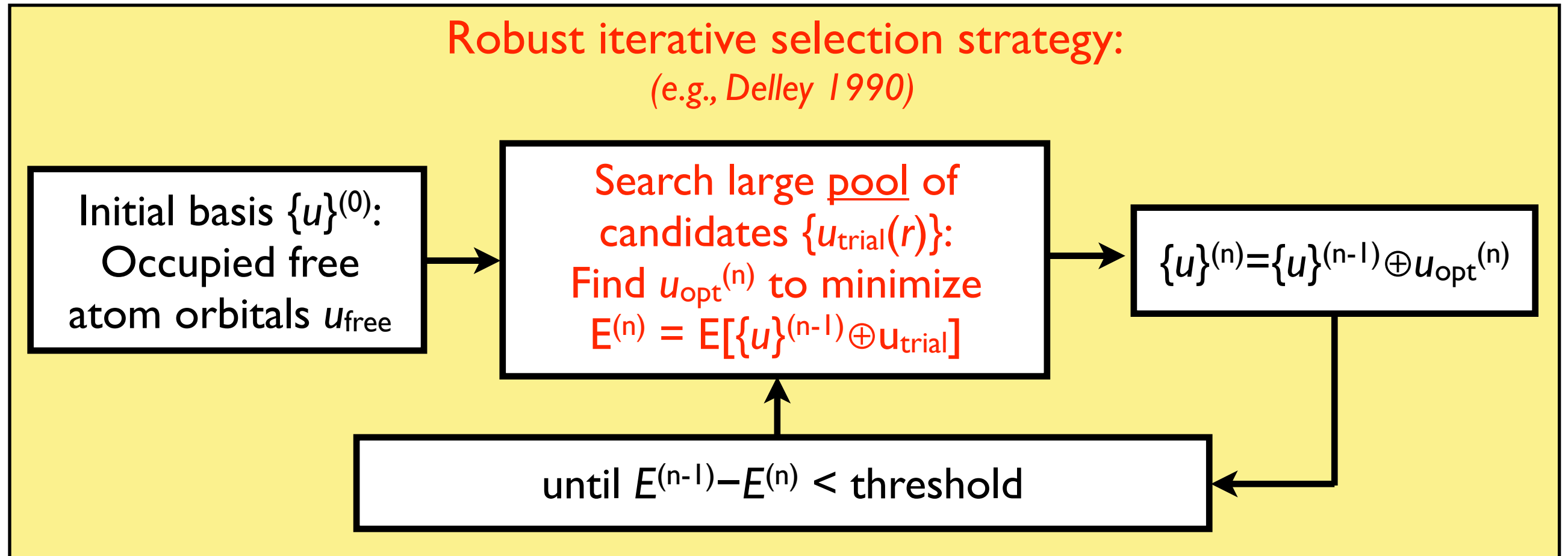
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# Iterative Selection of NAO Basis Functions

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“Pool” of trial basis functions:

2+ ionic  $u(r)$

Hydrogen-like  $u(r)$  for  $z=0.1-20$

Optimization target:

Non-selfconsistent symmetric dimers, averaged for different  $d$

Pick basis functions one by one, up to complete *total energy* convergence

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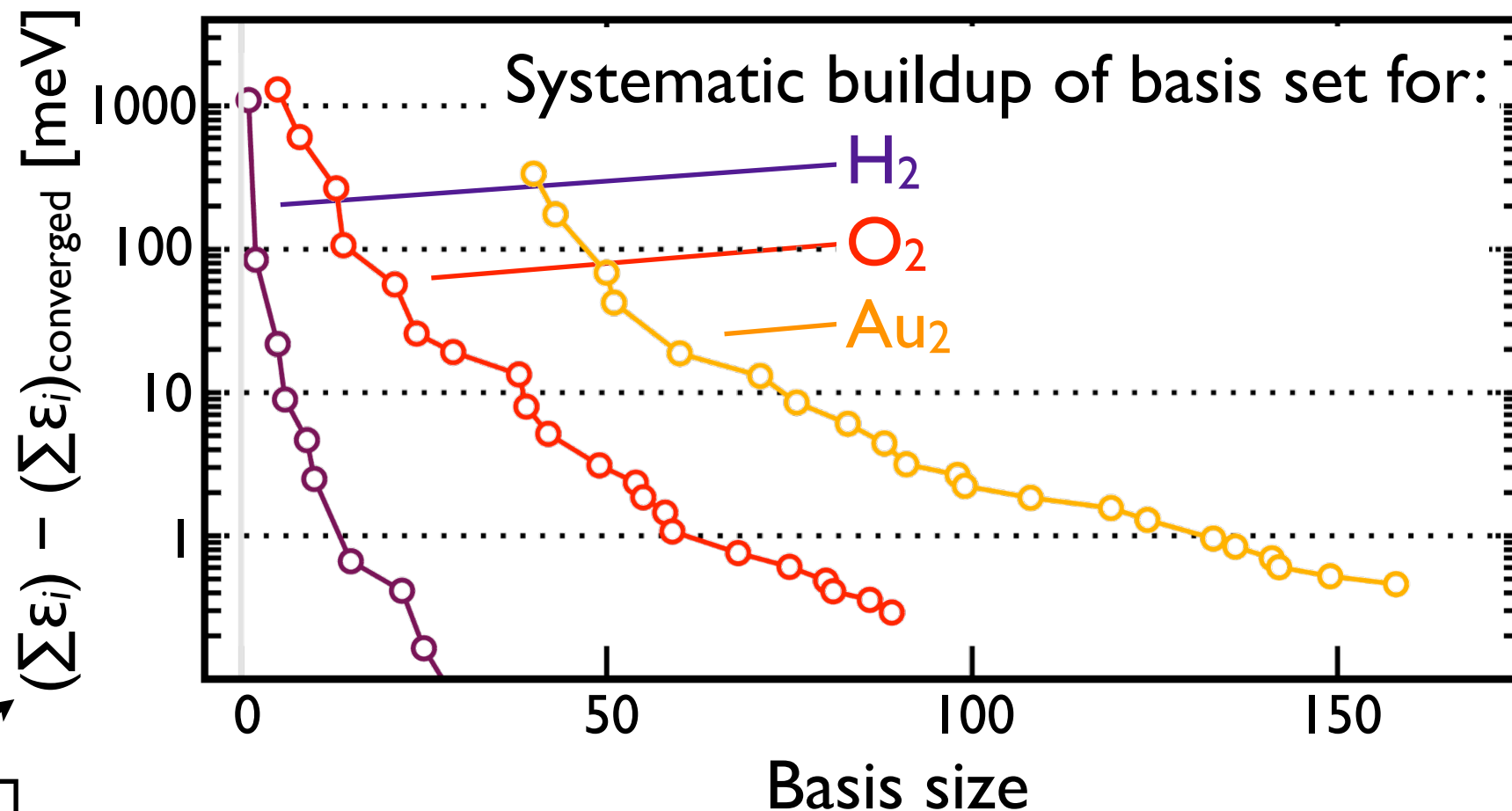
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# Result: Hierarchical Basis Set Library for All Elements

	H	C	O	Au
minimal	$1s$	$[\text{He}]+2s2p$	$[\text{He}]+2s2p$	$[\text{Xe}]+6s5d4f$
Tier 1	$\text{H}(2s,2.1)$	$\text{H}(2p,1.7)$	$\text{H}(2p,1.8)$	$\text{Au}^{2+}(6p)$
	$\text{H}(2p,3.5)$	$\text{H}(3d,6.0)$	$\text{H}(3d,7.6)$	$\text{H}(4f,7.4)$
		$\text{H}(2s,4.9)$	$\text{H}(3s,6.4)$	$\text{Au}^{2+}(6s)$
				$\text{H}(5g,10)$
				$\text{H}(6h,12.8)$
				$\text{H}(3d,2.5)$
Tier 2	$\text{H}(1s,0.85)$	$\text{H}(4f,9.8)$	$\text{H}(4f,11.6)$	$\text{H}(5f,14.8)$
	$\text{H}(2p,3.7)$	$\text{H}(3p,5.2)$	$\text{H}(3p,6.2)$	$\text{H}(4d,3.9)$
	$\text{H}(2s,1.2)$	$\text{H}(3s,4.3)$	$\text{H}(3d,5.6)$	$\text{H}(3p,3.3)$
	$\text{H}(3d,7.0)$	$\text{H}(5g,14.4)$	$\text{H}(5g,17.6)$	$\text{H}(1s,0.45)$
		$\text{H}(3d,6.2)$	$\text{H}(1s,0.75)$	$\text{H}(5g,16.4)$
				$\text{H}(6h,13.6)$
Tier 3	$\text{H}(4f,11.2)$	$\text{H}(2p,5.6)$	$\text{O}^{2+}(2p)$	$\text{H}(4f,5.2)^*$
	$\text{H}(3p,4.8)$	$\text{H}(2s,1.4)$	$\text{H}(4f,10.8)$	$\text{H}(4d,5.0)$
	...	...	...	...

Systematic hierarchy of basis (sub)sets, iterative *automated* construction based on *dimers*

“First tier (level)”

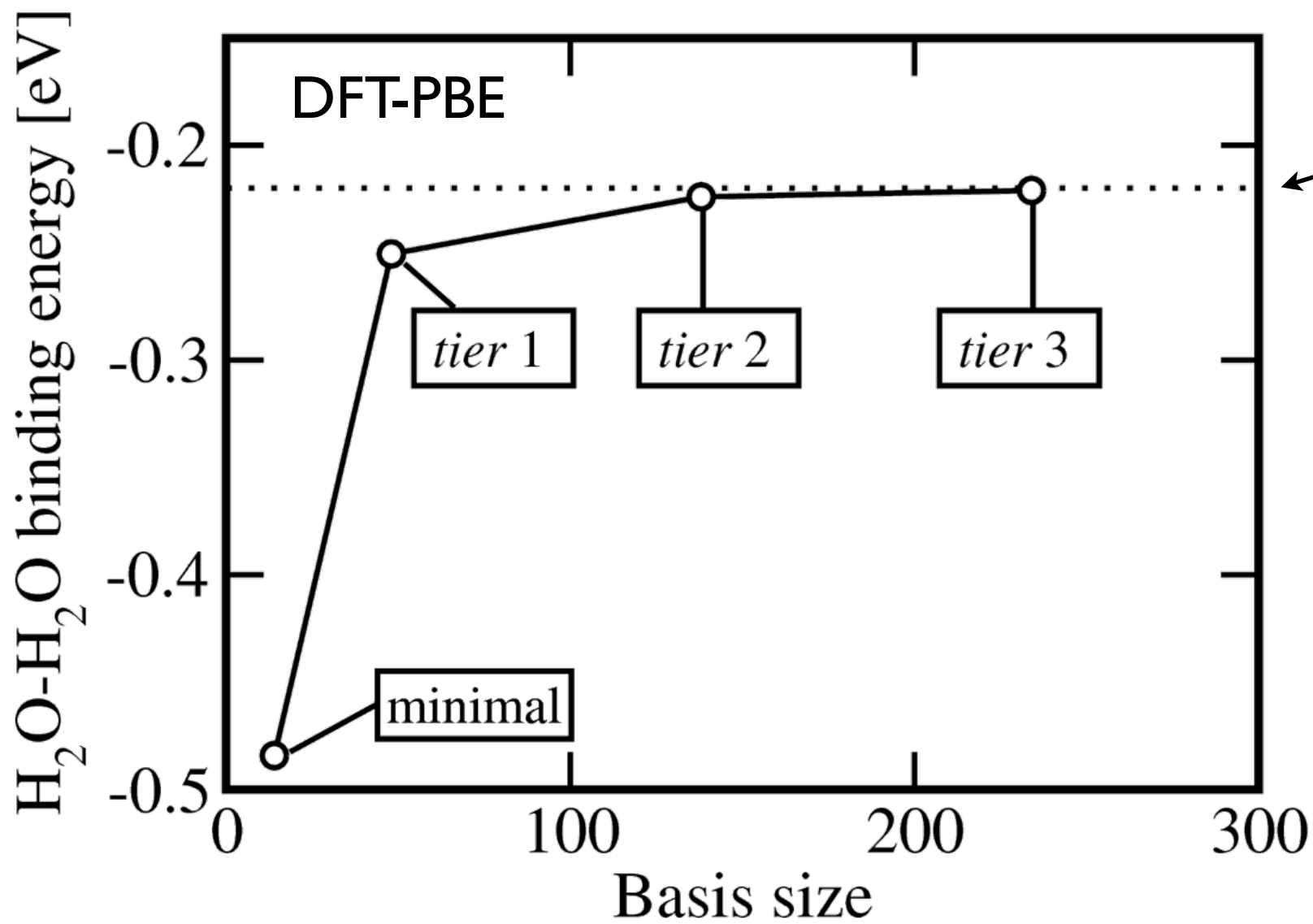
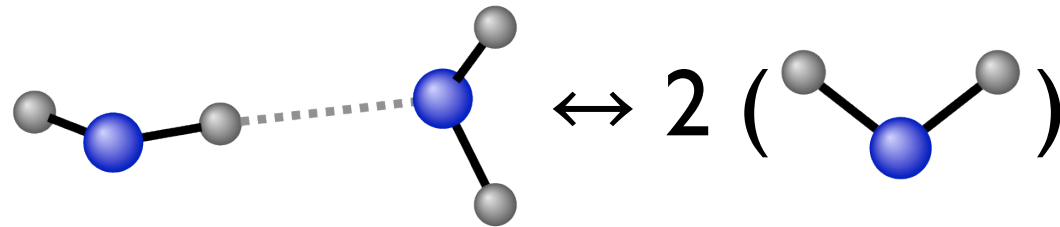
“Second tier”

“Third tier”

...



# Accuracy: (H<sub>2</sub>O)<sub>2</sub> Hydrogen Bond Energy



Basis set limit (independent):  
 $E_{\text{Hb}} = -219.8 \text{ meV}$

Basis sets: Radial fn. character

	H	C,N,O
minimal	1s	[He]+2s2p
tier 1	s,p	s,p,d
tier 2	s,p,s,d	s,p,d,f,g
tier 3	s,p,d,f	s,p,d,f

# Using Numeric Atom-Centered Basis Functions: Pieces

---

- *Numerical* Integration

$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

- Electron density update

$$n(\mathbf{r}) = \sum_k f_k |\psi_k(\mathbf{r})|^2$$

- All-electron electrostatics

$$v_{\text{es}}(\mathbf{r}) = \int d^3r' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

- Eigenvalue solver

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{S}} \underline{\underline{c}}_k$$

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- Relativity?

*needed for heavy elements*

- Periodic systems?

*need suitable basis, electrostatics*

- Coulomb operator?

$$(ij|kl) = \int d^3r d^3r' \frac{\varphi_i(\mathbf{r}) \varphi_j(\mathbf{r}') \varphi_k(\mathbf{r}) \varphi_l(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

# Numeric Atom-Centered Basis Functions: Integration

$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

- Discretize to integration grid:  $\int d^3r f(\mathbf{r}) \rightarrow \sum_{\mathbf{r}} w(\mathbf{r}) f(\mathbf{r})$

... but even-spaced integration grids are *out*:  
 $f(r)$  strongly peaked near all nuclei!

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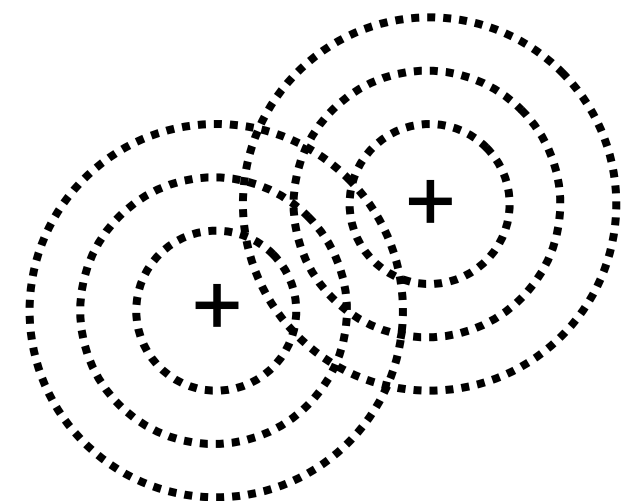
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... but even-spaced integration grids are out:  
 $f(r)$  strongly peaked near all nuclei!

- Overlapping atom-centered integration grids:

- Radial shells (e.g., H, light: 24; Au, tight: 147)
- Specific angular point distribution (“Lebedev”) exact up to given integration order  $l$  (50, 110, 194, 302, ... points per shell)



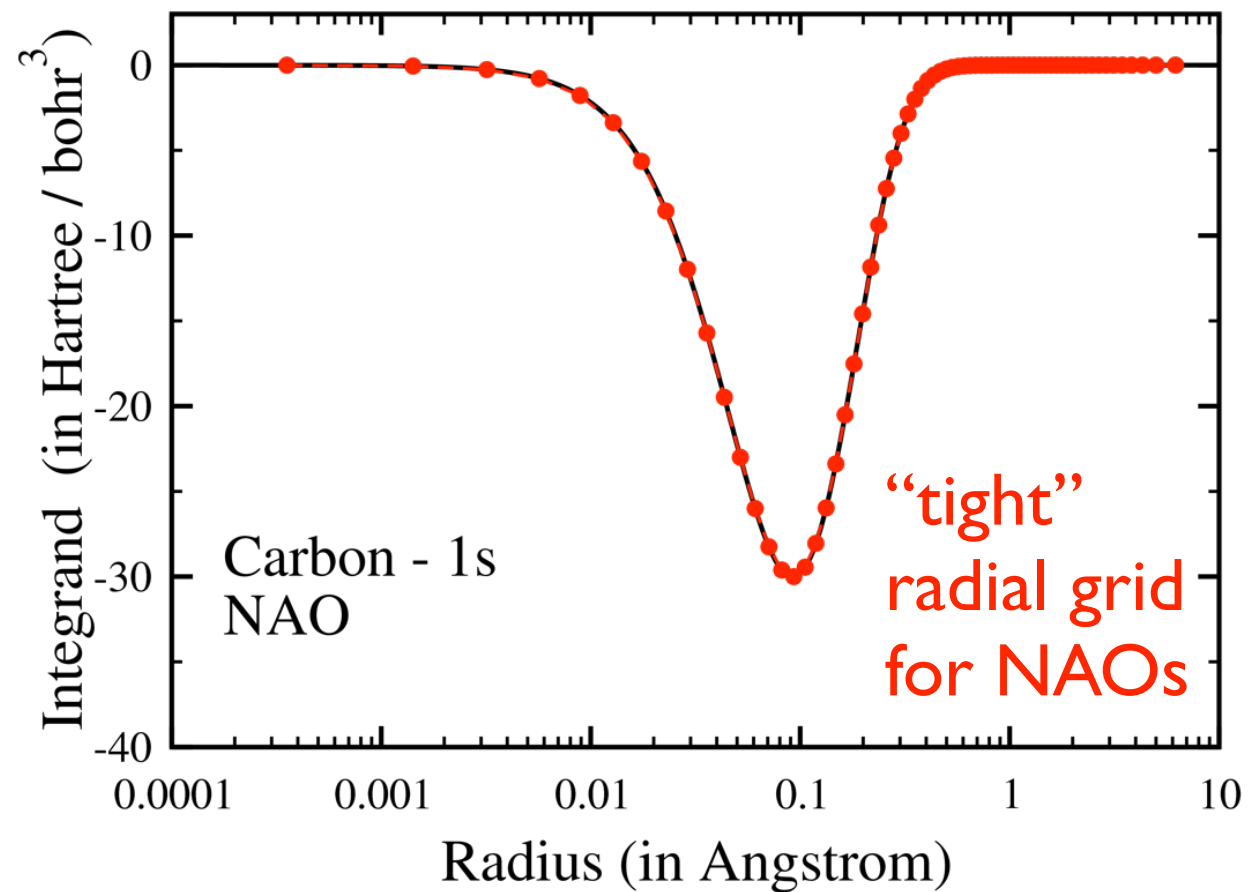
Pioneered by

Becke JCP 88, 2547 (1988), Delley, JCP 92, 508 (1990), MANY others!

# All-Electron Integrals: Rather Benign for NAOs

$$\int d^3r \phi_{1s}(\mathbf{r}) \hat{H} \phi_{1s}(\mathbf{r}) = \int dr [f(r)] \times \text{angular integral.}$$

$f(r)$  for  
NAO radial function:

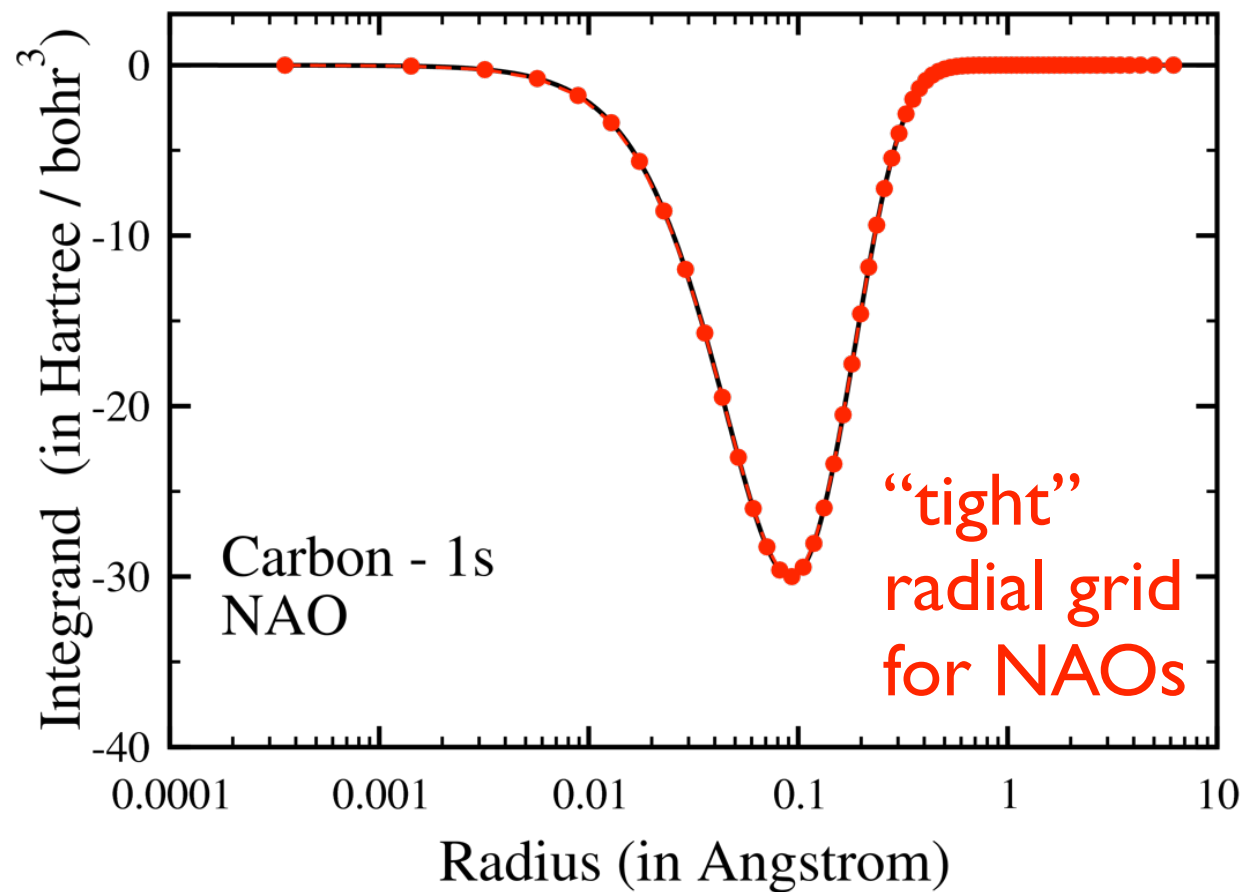




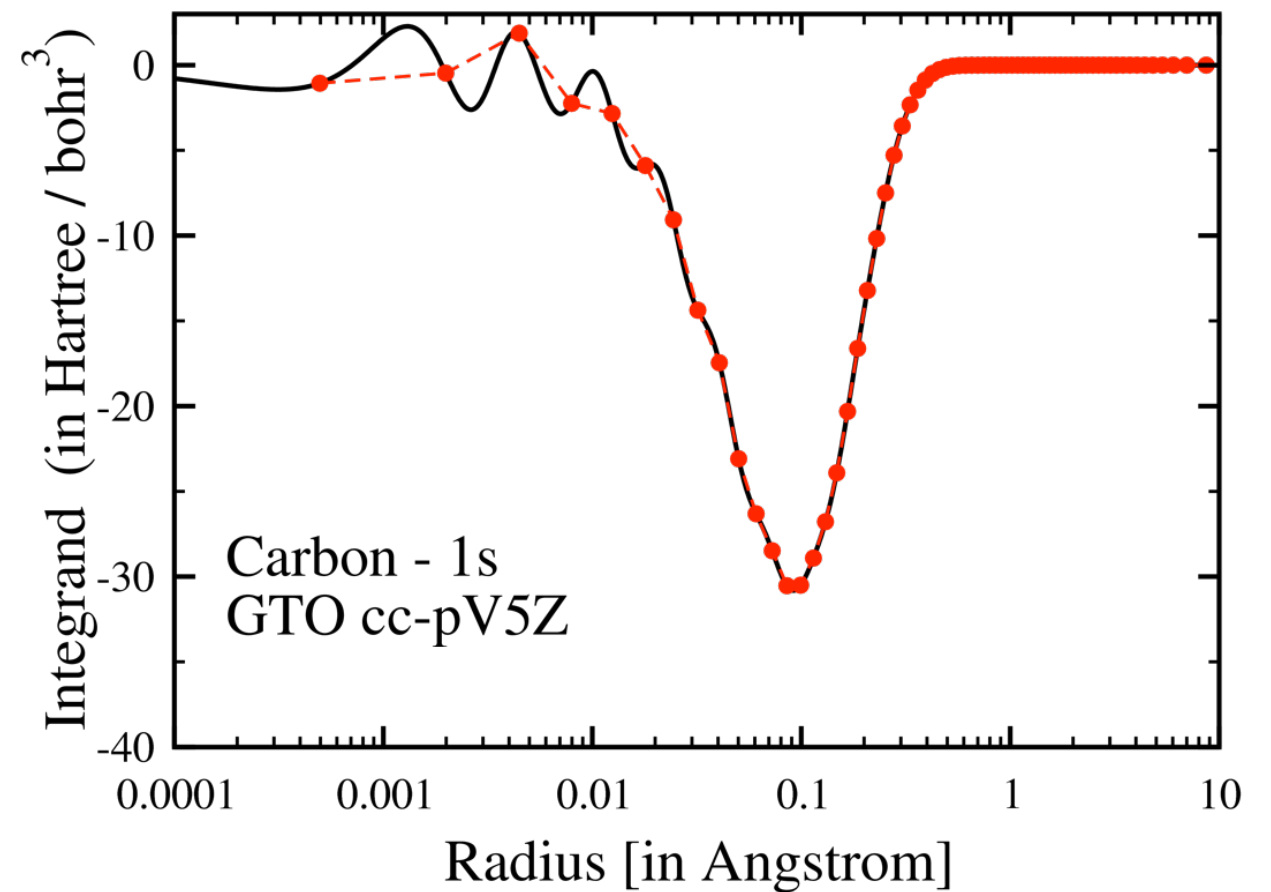
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$f(r)$  for  
contracted Gaussian  
radial function:



# Overlapping Atom-Centered Grids: “Partitioning of Unity”

Becke, 1988

$$h_{ij} = \int d^3r \varphi_i(\mathbf{r}) \hat{h}_{\text{KS}} \varphi_j(\mathbf{r})$$

- Rewrite to atom-centered integrands:

$$\int d^3r f(\mathbf{r}) = \sum_{\text{atoms}} \int d^3r p_{\text{atom}}(\mathbf{r}) f(\mathbf{r})$$

exact: 
$$\sum_{\text{atoms}} p_{\text{atom}}(\mathbf{r}) = 1$$

*through* 
$$p_{\text{atom}}(\mathbf{r}) = \frac{g_{\text{atom}}(\mathbf{r})}{\sum_{\text{atom}'} g_{\text{atom}'}(\mathbf{r})}$$

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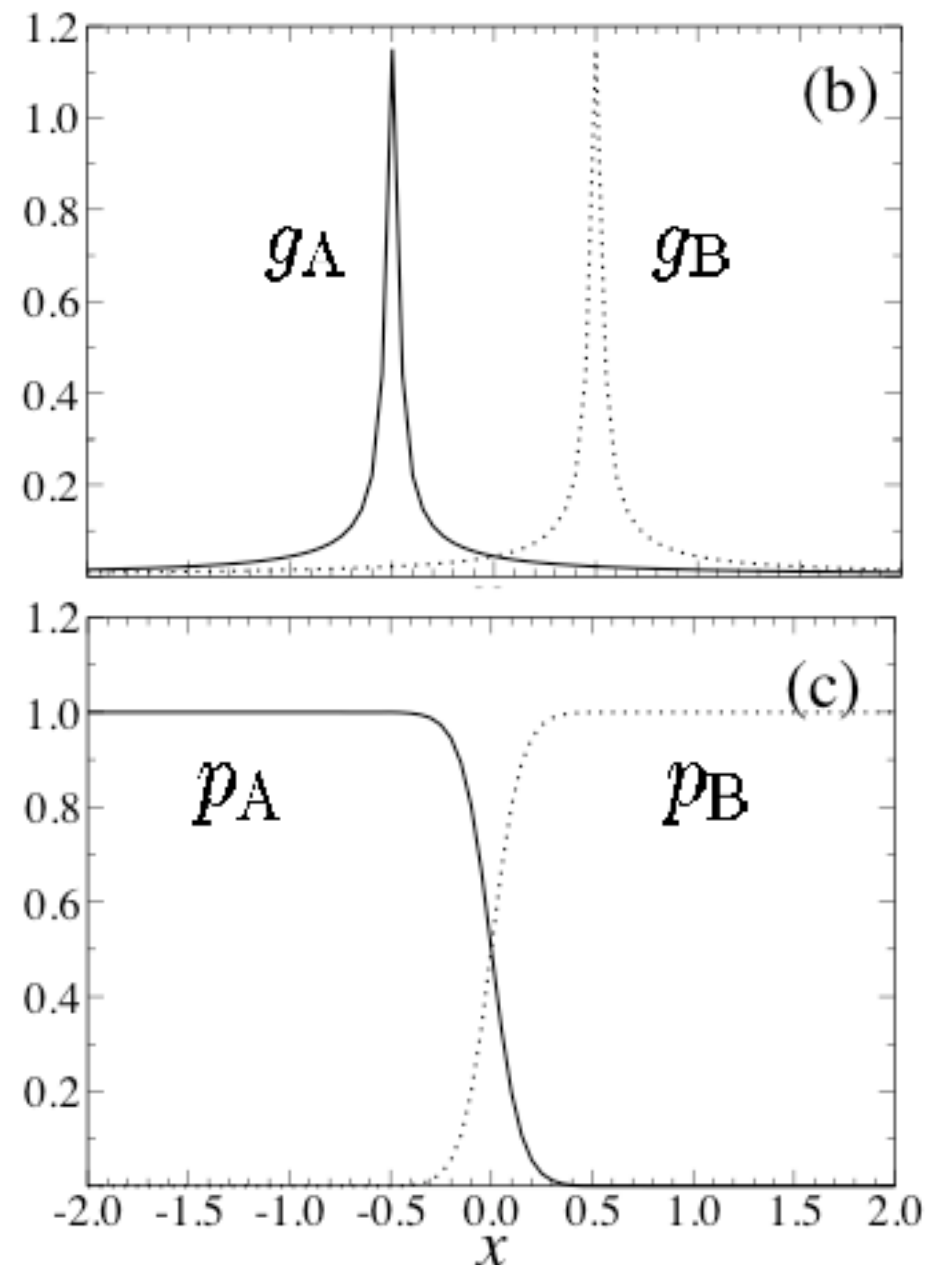
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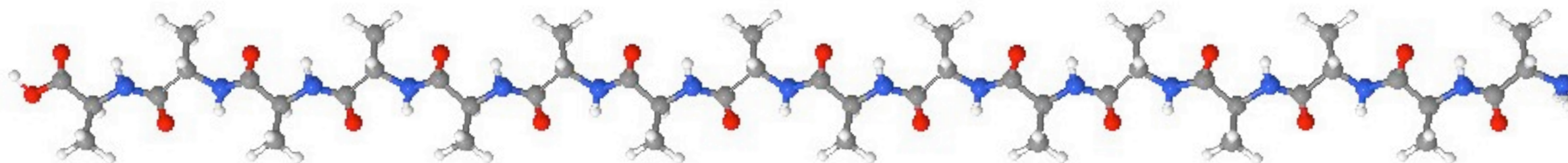
- e.g.: 
$$g_{\text{atom}} = \frac{\rho_{\text{atom}}(r)}{r^2} \quad (\text{Delley 1990})$$

many alternatives:

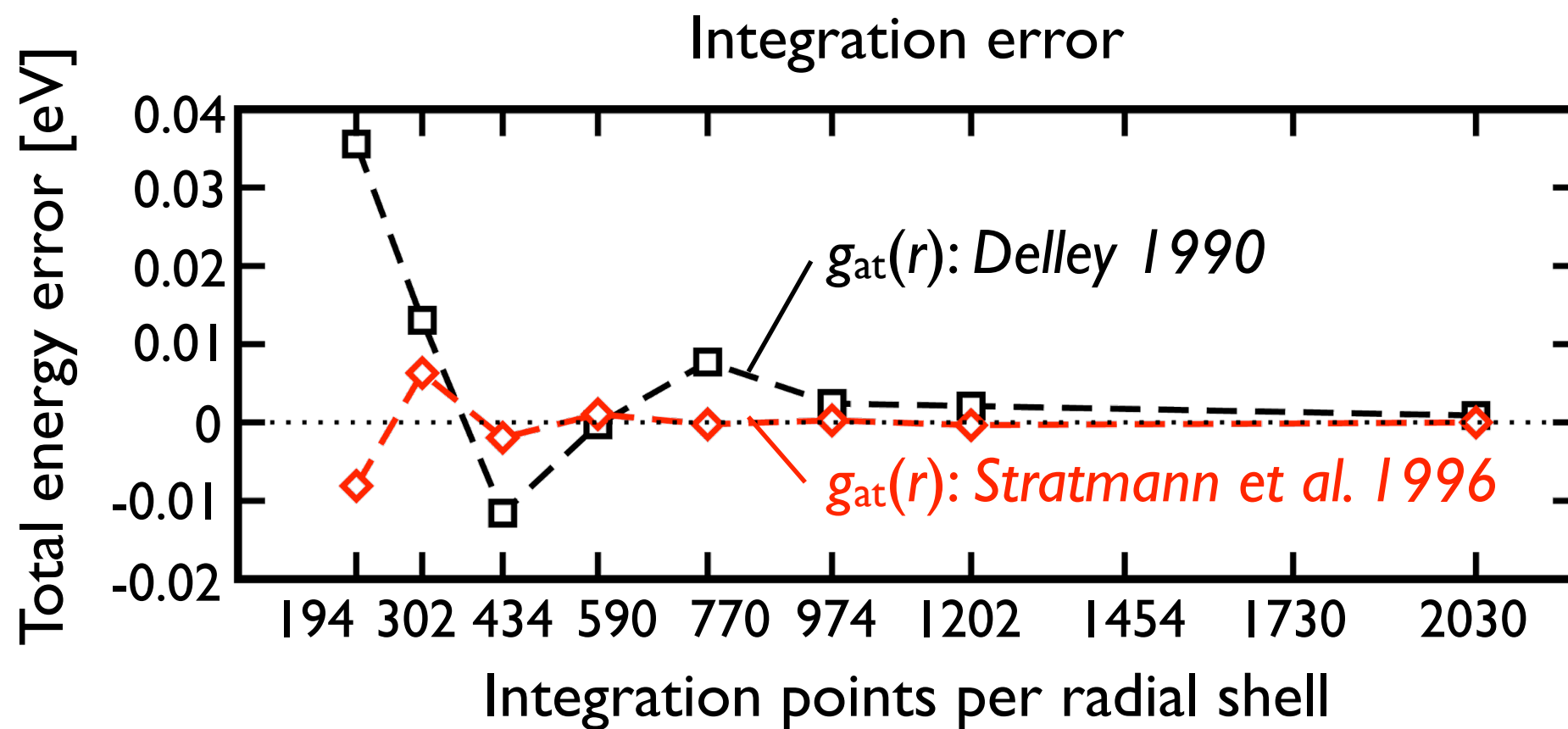
Becke 1988, Stratmann 1996, Koepernik 1999, ...



# Integration in Practice: Large Systems, Small Errors!



Fully extended Polyalanine peptide molecule Ala<sub>20</sub>, DFT-PBE (203 atoms)



# Hartree Potential (Electrostatics): Overlapping Multipoles

$$v_{\text{es}}(\mathbf{r}) = \int d^3r' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

- Partitioning of Unity:  
(same trick as used for integrals)

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- Multipole expansion:  $n_{\text{atom},lm}(\mathbf{r}) = \int_{s=|\mathbf{r}' - \mathbf{R}_{\text{atom}}|} p_{\text{atom}}(\mathbf{r}') n(\mathbf{r}') Y_{lm}(\Omega)$

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- Classical electrostatics:

$$v_{\text{es}}(\mathbf{r}) = \sum_{\text{atoms}} \sum_{lm}^{l_{\text{max}}} v_{\text{atom},lm}(|\mathbf{r} - \mathbf{R}_{\text{atom}}|) Y_{lm}(\Omega_{\text{atom}})$$



# Electrostatics: Multipole expansion

$$v_{\text{es}}(\mathbf{r}) = \sum_{\text{atoms}} \sum_{lm}^{l_{\text{max}}} v_{\text{atom},lm}(|\mathbf{r} - \mathbf{R}_{\text{atom}}|) Y_{lm}(\Omega_{\text{atom}})$$

Polyalanine Ala<sub>20</sub>, DFT-PBE (203 atoms)

$\alpha$ -helical vs. extended: Total energy convergence with  $l_{\text{max}}$

