

# **Basic Concepts and First-Principles Computations for Surface Science**

Norderney, Germany, July 21 - July 26, 2013

CECAM International Summer School

Hot topic 4

## **First-principles based catalytic reaction engineering**

Matteo Maestri

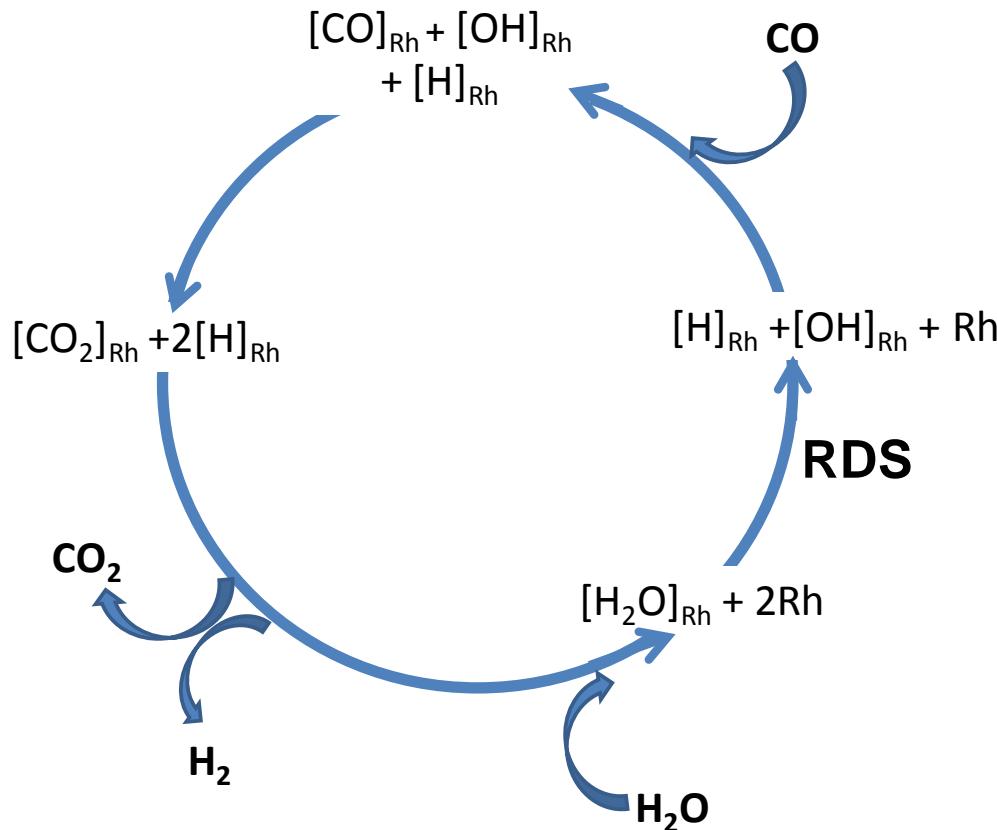


July 23, 2013

Conversationshaus - Norderney, Germany

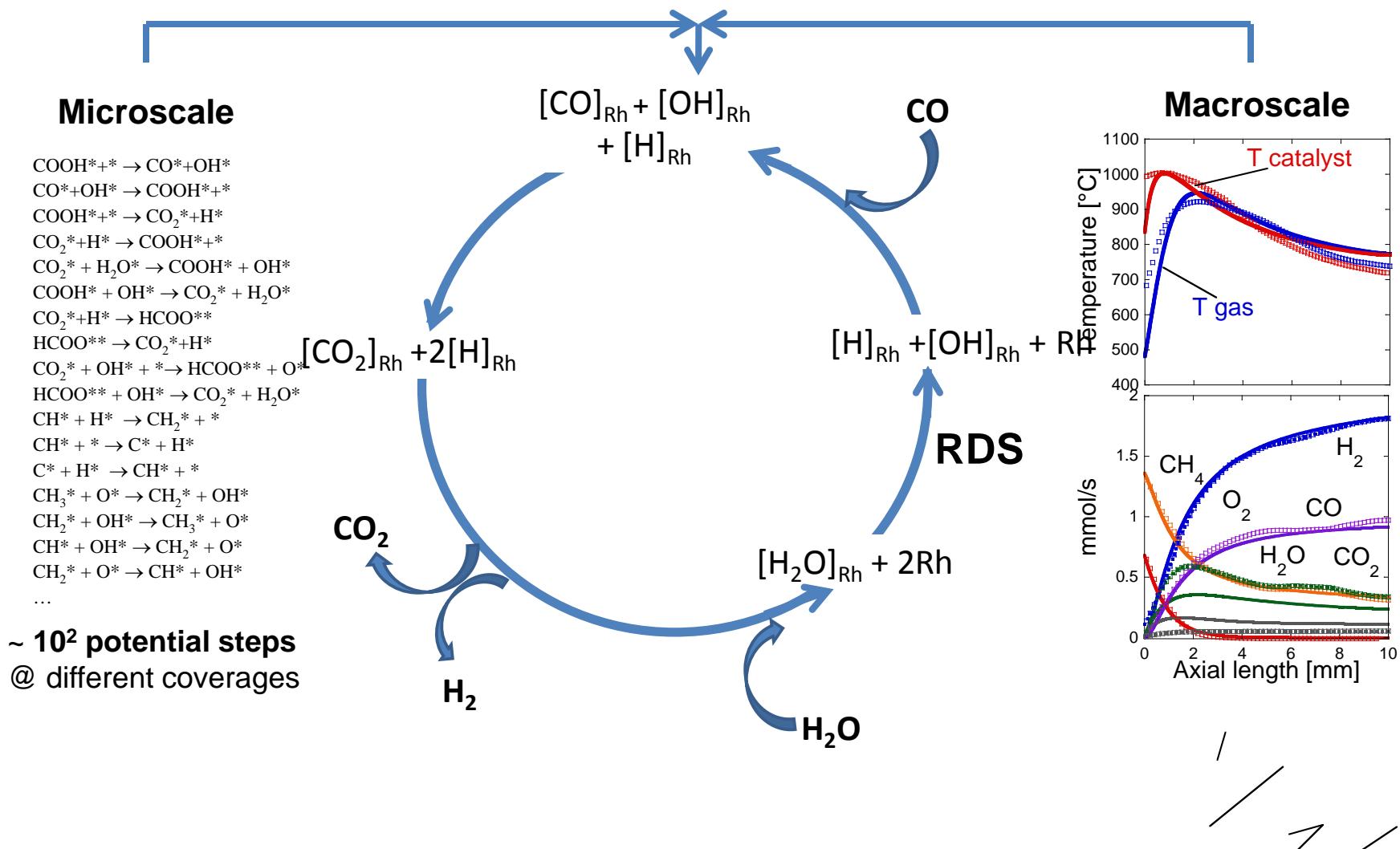
# Catalytic cycle

Consists of the elementary steps through which  
the reactants convert to the products

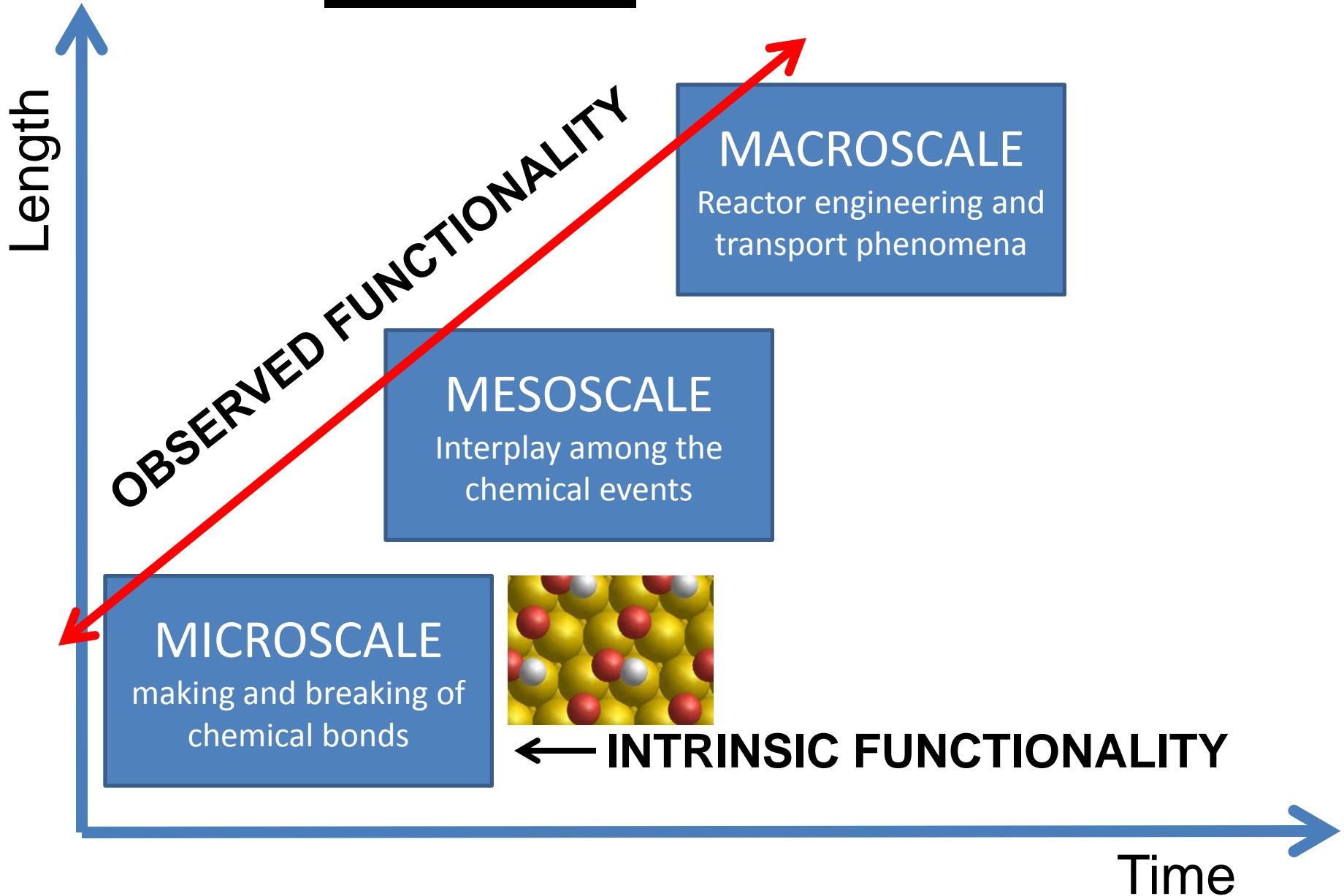


# *“E pluribus unum”*

Result of the interplay among phenomena at different scales



# Need of bridging between the scales



PERSPECTIVE

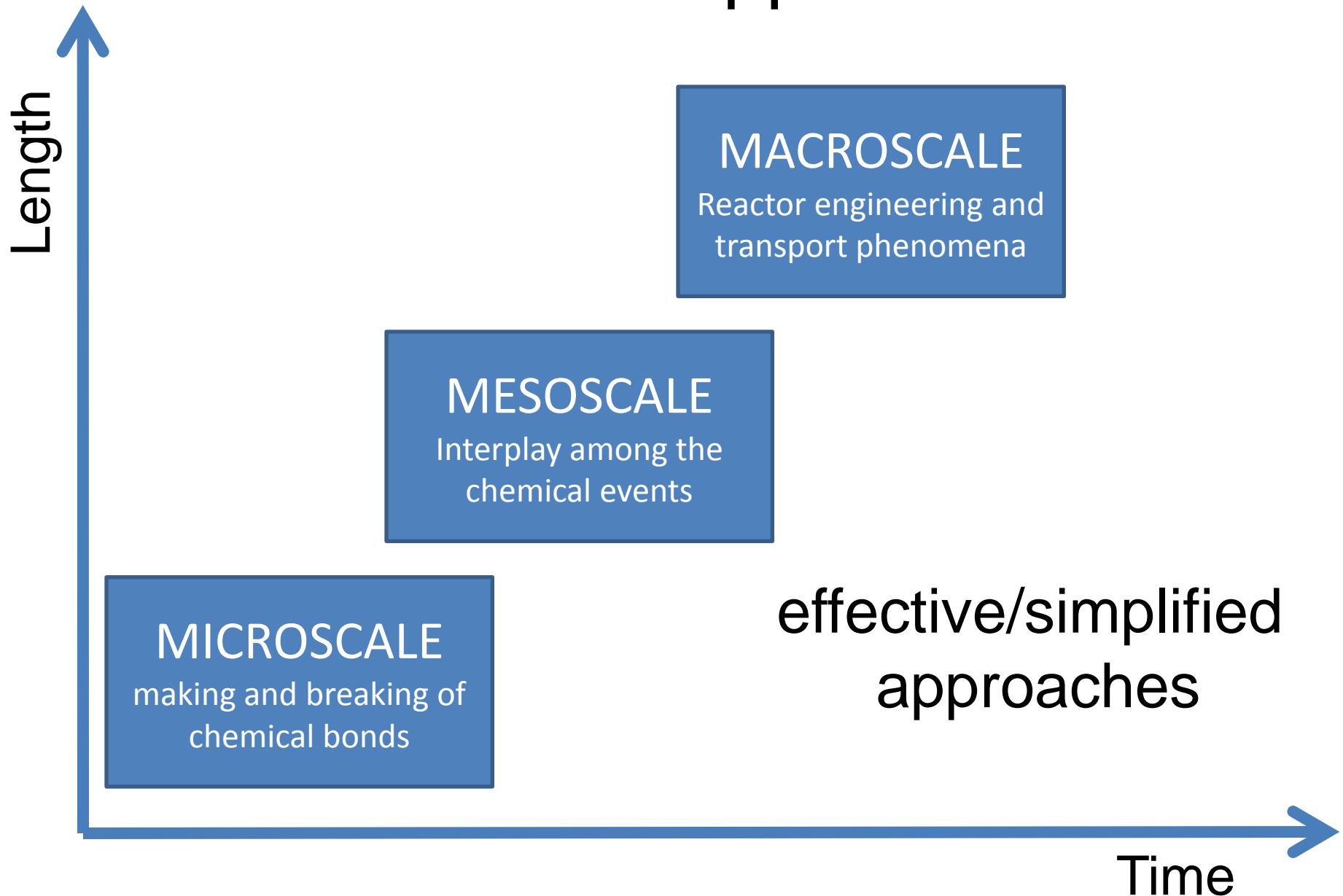
## Frontiers in Reactor Engineering

Milorad P. Dudukovic

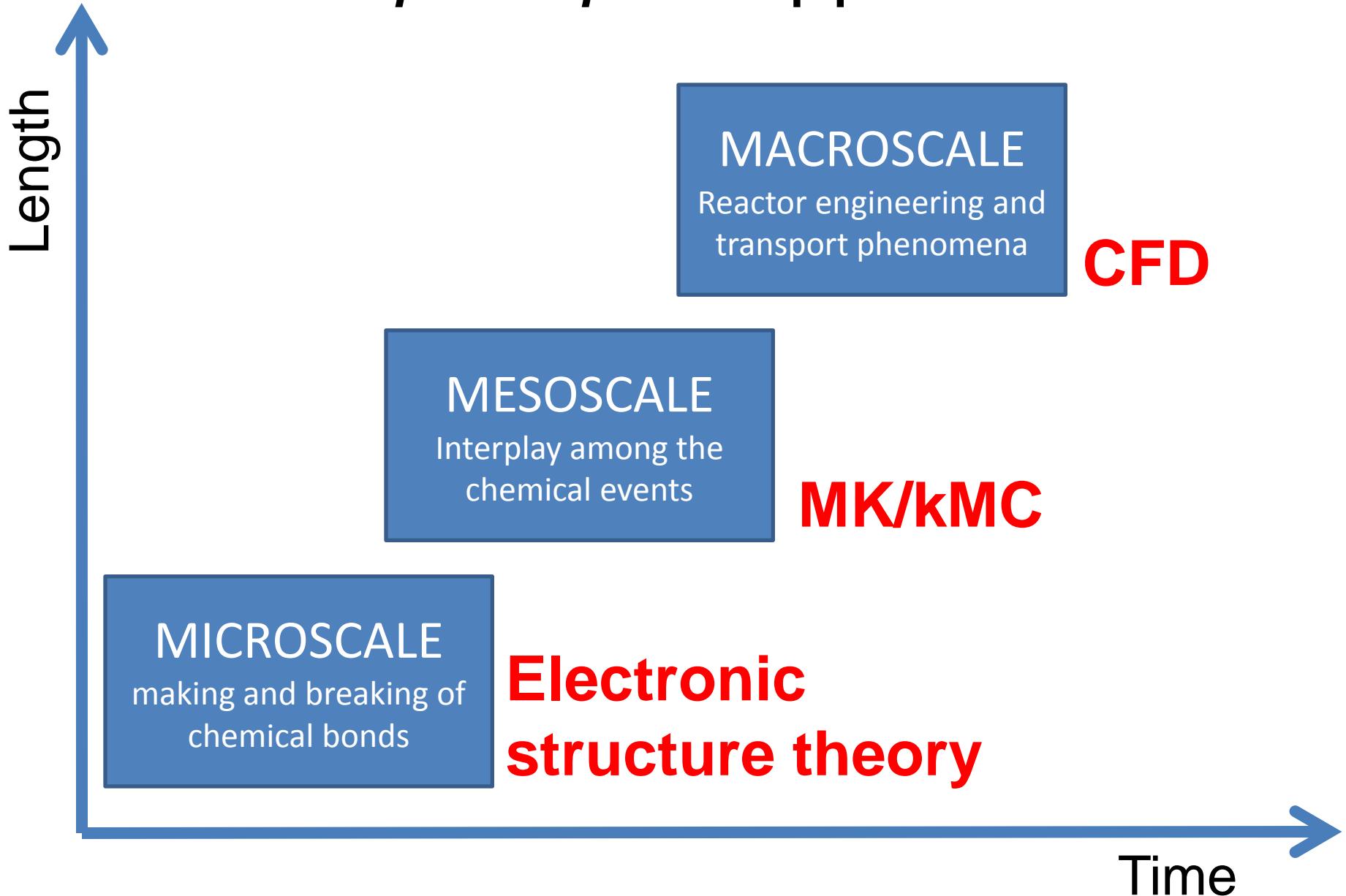
The key challenge for reactor engineering is to establish the scientifically based sustainable technologies necessary for meeting the future energy, environmental, and materials needs of the world. This goal requires advancing our scientific understanding of multiscale kinetic transport interactions to enable better reactor choice and to ensure higher reactor and process efficiencies.

Our current increased awareness of the finiteness of our resources raises the bar for future reactor technology. Instead of continuing the application of principles at the rudimentary level, the main task now is to provide an improved scientific basis for conducting chemical transformations in an environmentally acceptable, energy-efficient, and sustainable manner.

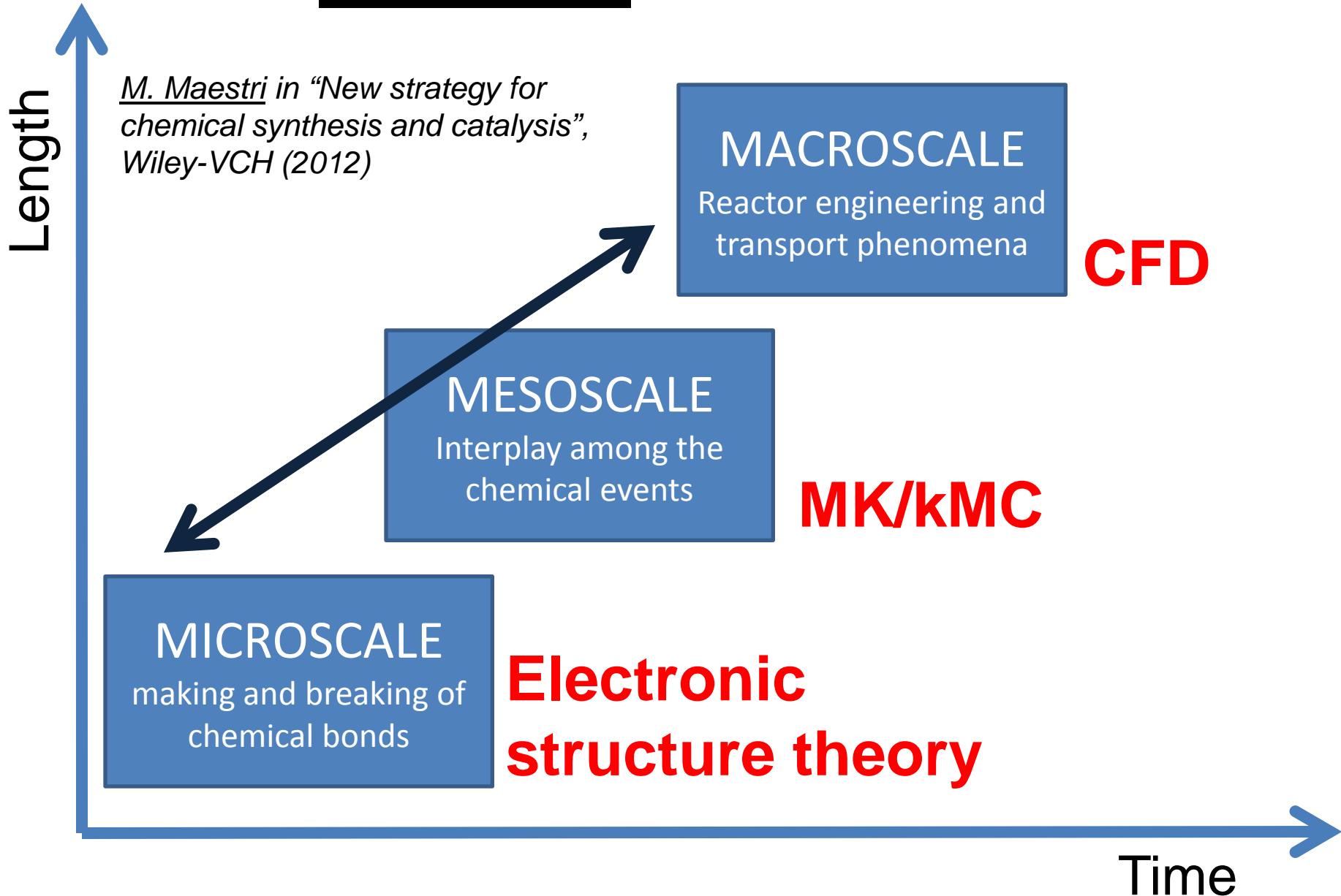
# From a “traditional” approach to CRE...



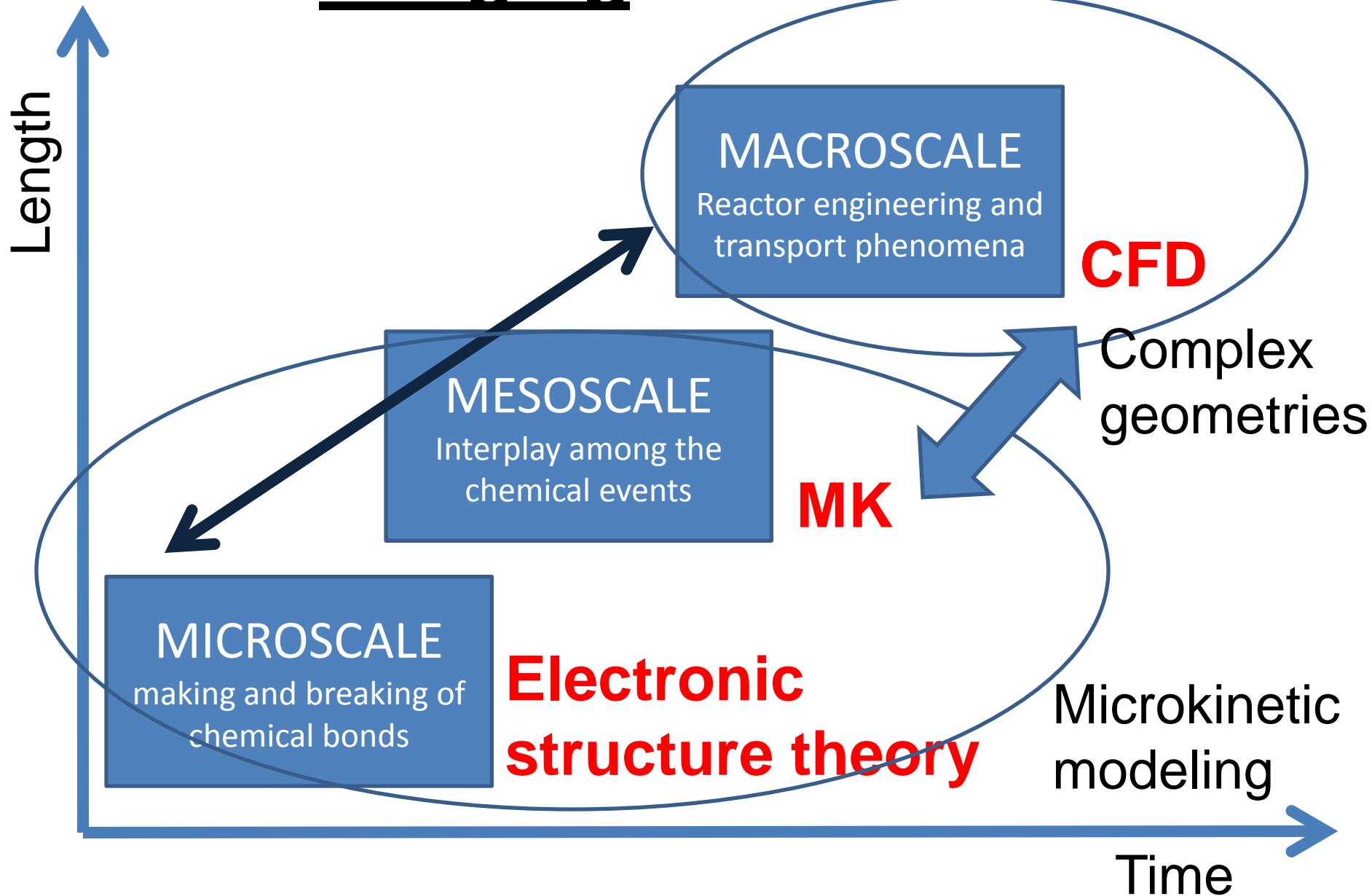
# ...to a *first-principles* approach to CRE



# Need of “bridging” between the scales



# Need of “bridging” between the scales



# Outline

Length  
↑

- ✓ Methodology
- ✓ Show-cases

**MACROSCALE**  
Reactor engineering and  
transport phenomena

**MESOSCALE**  
Interplay among the  
chemical events

**MICROSCALE**  
making and breaking of  
chemical bonds



**CatalyticFOAM**

**M. Maestri & A. Cuoci**  
**[www.catalyticfoam.polimi.it](http://www.catalyticfoam.polimi.it)**

Time →

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**MACROSCALE**  
Reactor engineering and transport phenomena

**MESOSCALE**  
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**MICROSCALE**  
making and breaking of chemical bonds

Scholar examples this afternoon in the tutorial

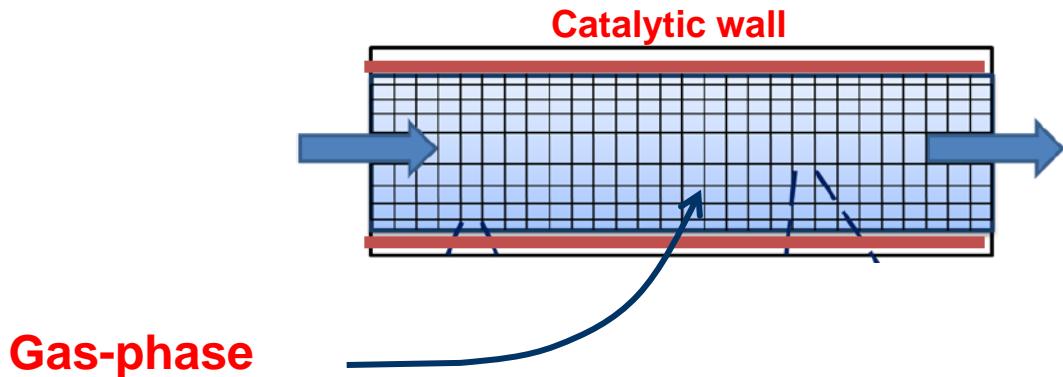
Catalytic FOAM



M. Maestri & A. Cuoci  
[www.catalyticfoam.polimi.it](http://www.catalyticfoam.polimi.it)

Time →

# Governing equations



$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0 \quad \text{continuity}$$

$$\frac{\partial}{\partial t}(\rho \mathbf{v}) + \nabla \cdot (\rho \mathbf{v} \mathbf{v}) = -\nabla p + \nabla \cdot \left[ \mu (\nabla \mathbf{v} + \nabla \mathbf{v}^T) - \frac{2}{3} \mu (\nabla \mathbf{v}) \mathbf{I} \right] + \rho \mathbf{g} \quad \text{momentum}$$

$$\frac{\partial}{\partial t}(\rho \omega_k) + \nabla \cdot (\rho \omega_k \mathbf{v}) = -\nabla \cdot (\rho \omega_k \mathbf{V}_k) + \dot{\Omega}_k^{\text{hom}} \quad k = 1, \dots, NG \quad \text{mass}$$

$$\rho \hat{C}_P \frac{\partial T}{\partial t} + \rho \hat{C}_P \mathbf{v} \nabla T = \nabla \cdot (\lambda \nabla T) - \rho \sum_{k=1}^{NG} \hat{C}_{P,k} \omega_k \mathbf{V}_k - \sum_{k=1}^{NG} \hat{H}_k^{\text{hom}} \dot{\Omega}_k^{\text{hom}} \quad \text{energy}$$

# Governing equations

## Non-catalytic walls

$$\nabla \omega_k|_{inert} = 0$$

$$T|_{inert} = f(t, T)$$

$$\nabla T|_{inert} = g(t, T)$$

## Catalytic walls

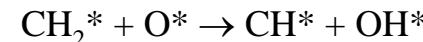
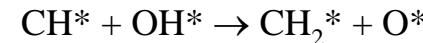
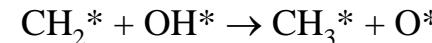
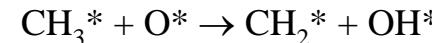
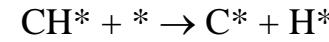
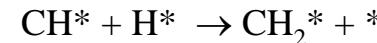
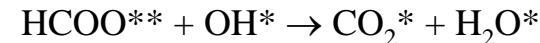
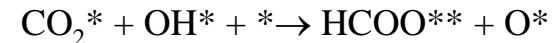
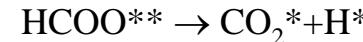
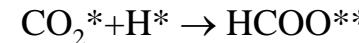
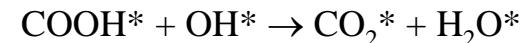
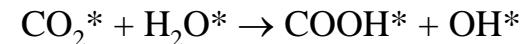
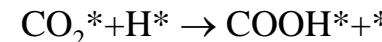
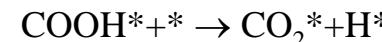
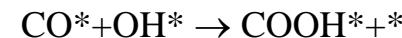
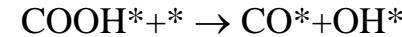
$$\rho \Gamma_{k,mix} (\nabla \omega_k)|_{catalytic} = -\alpha_{cat} \dot{\Omega}_k^{het} \quad k = 1, \dots, NG$$

$$\lambda (\nabla T)|_{catalytic} = -\alpha_{cat} \sum_{j=1}^{NR} \Delta H_j^{het} \dot{r}_j^{het}$$

$$\sigma_{cat} \frac{\partial \theta_i}{\partial t} = \dot{\Omega}_i^{het} \quad i = 1, \dots, NS$$

## Adsorbed (surface) species

## Detailed microkinetic models



...

$$r_j = A_j \cdot T^{\beta_j} \cdot \exp \left( -\frac{E_{att,j}(\theta_i)}{RT} \right) \prod_{i=1}^{NC} (c_i)^{\nu_{ij}}$$

# Numerical challenges

## ✓ Dimensions of the system

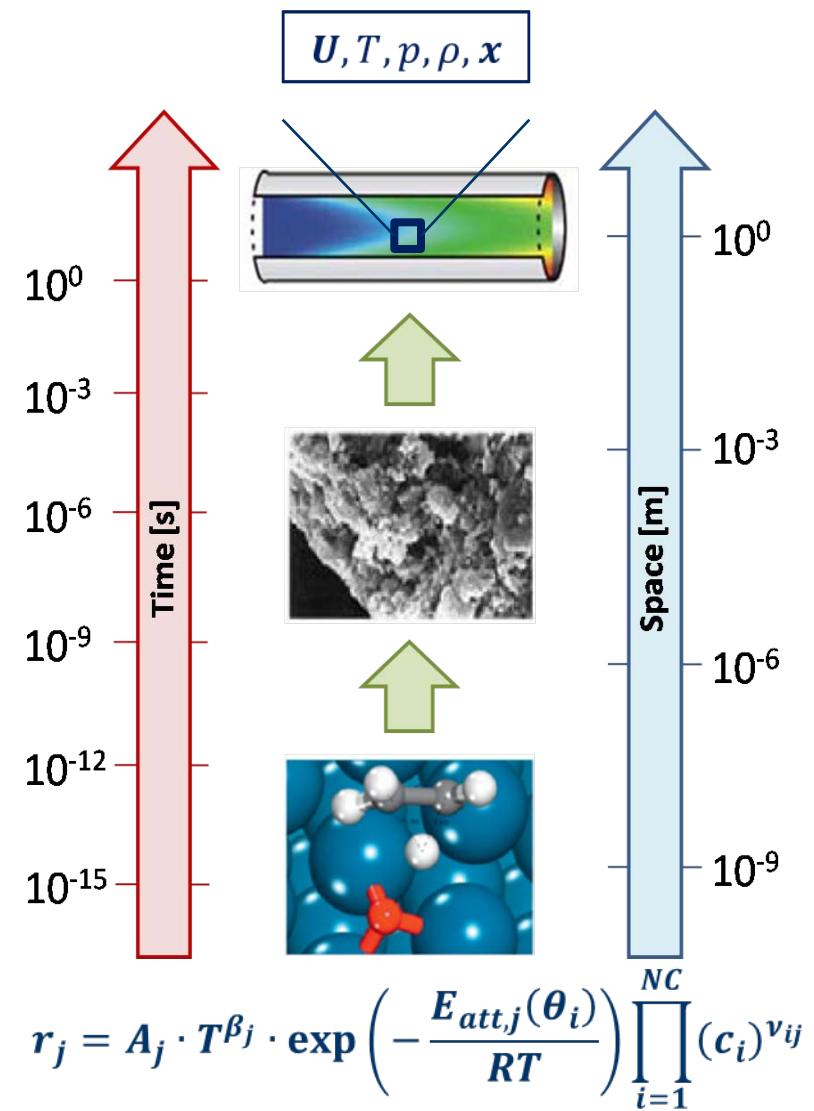
- Proportional to the number of species
- Proportional to the number of cells

## ✓ Stiffness

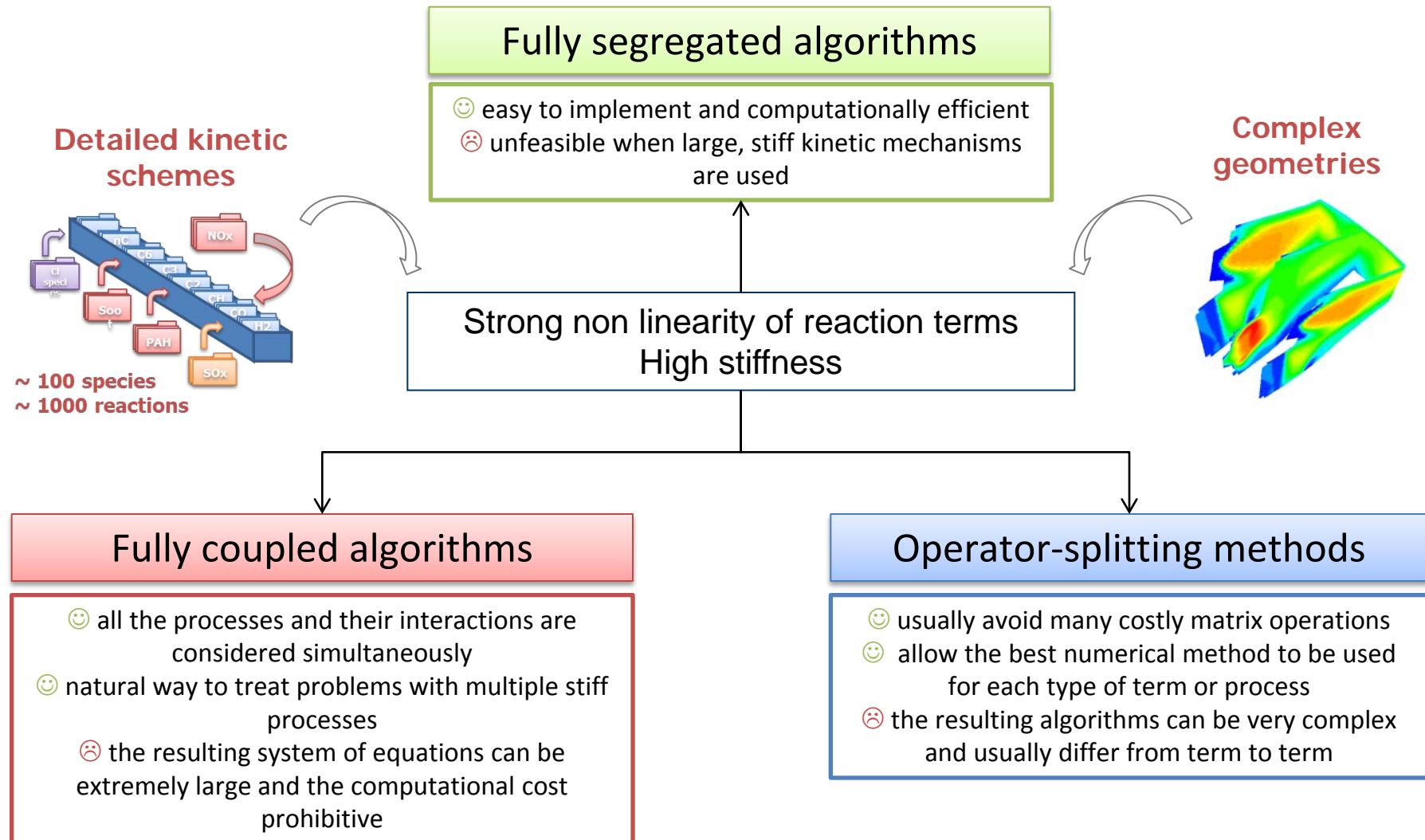
- Different temporal scales involved
- Different spatial scales involved

## ✓ Non-linearity

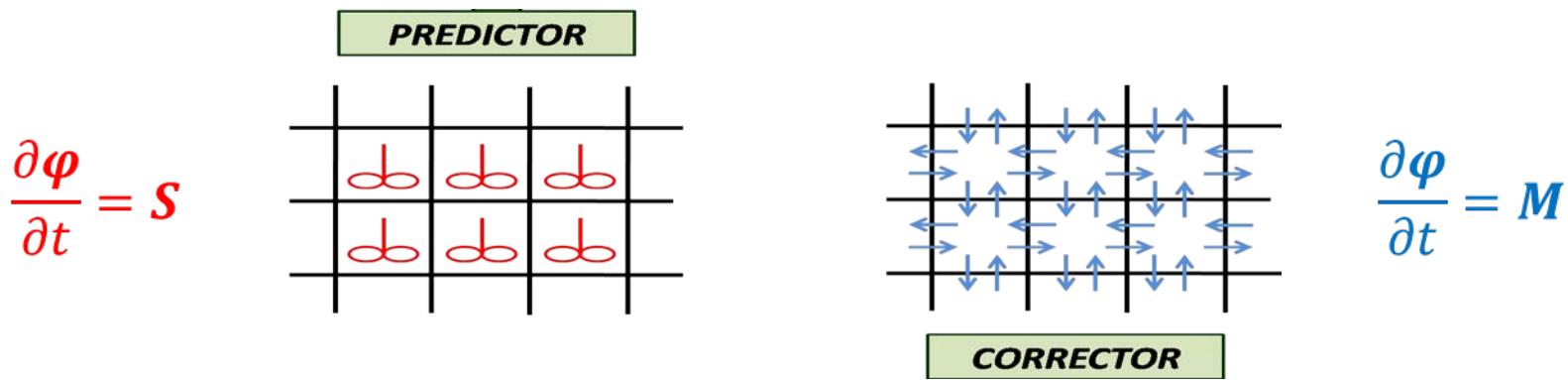
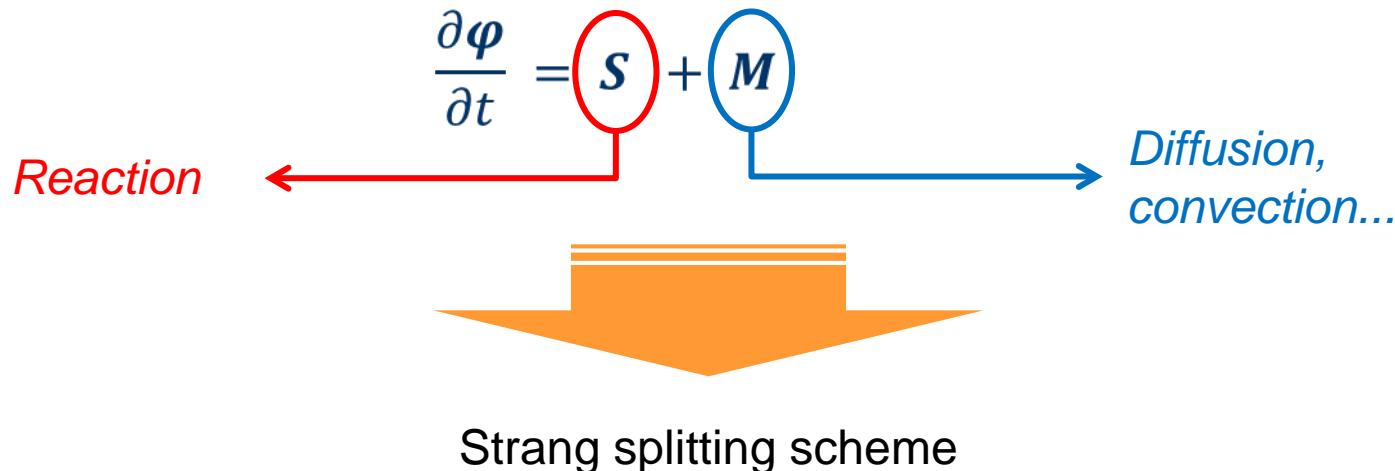
- Source term non linear in concentrations and temperature
- Coverage dependence of activation energy



# Numerical solution

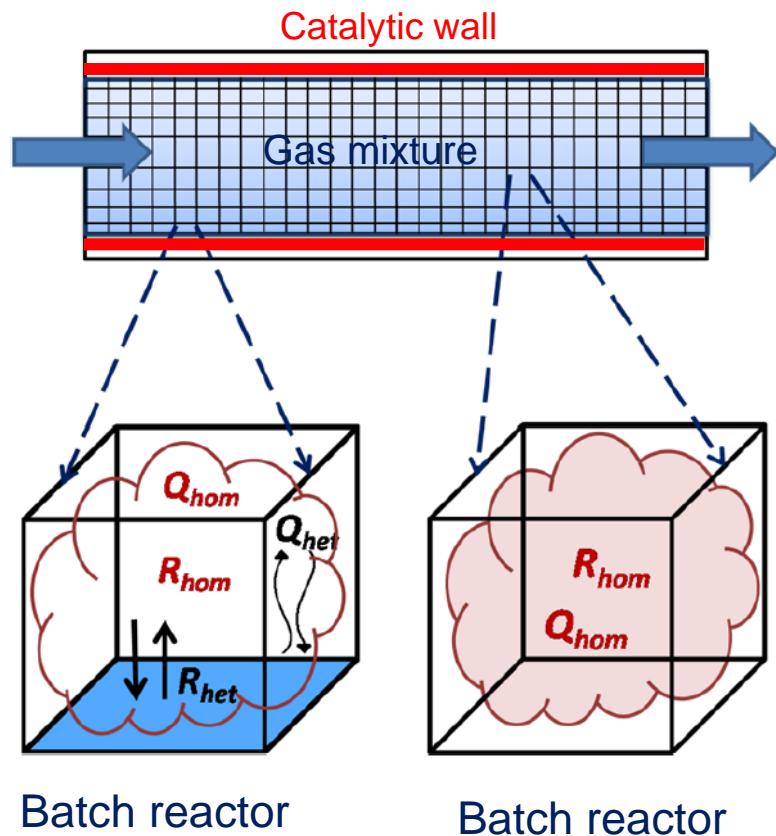


# Operator-splitting algorithm



Z. Ren, S. B. Pope, *Journal of Computational Physics*, 2008

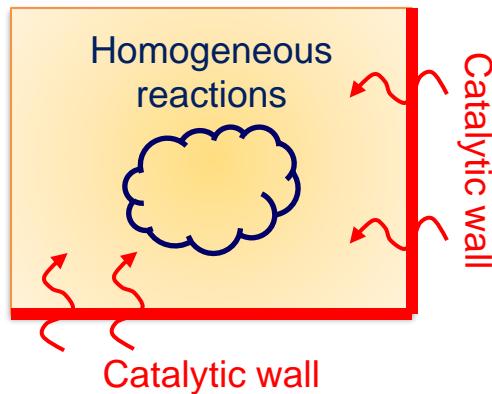
# Reactor network



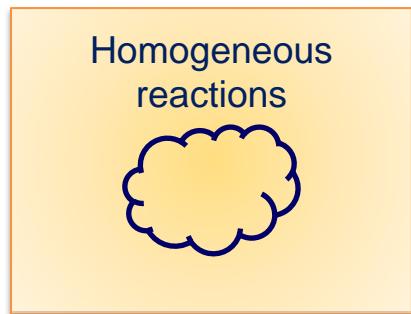
Each computational cell behaves as a chemical reactor in the splitting-operator algorithm (chemical step)

Each reactor is described by a set of stiff ODE, which must be integrated on the time step  $\Delta t$

# Reactor network

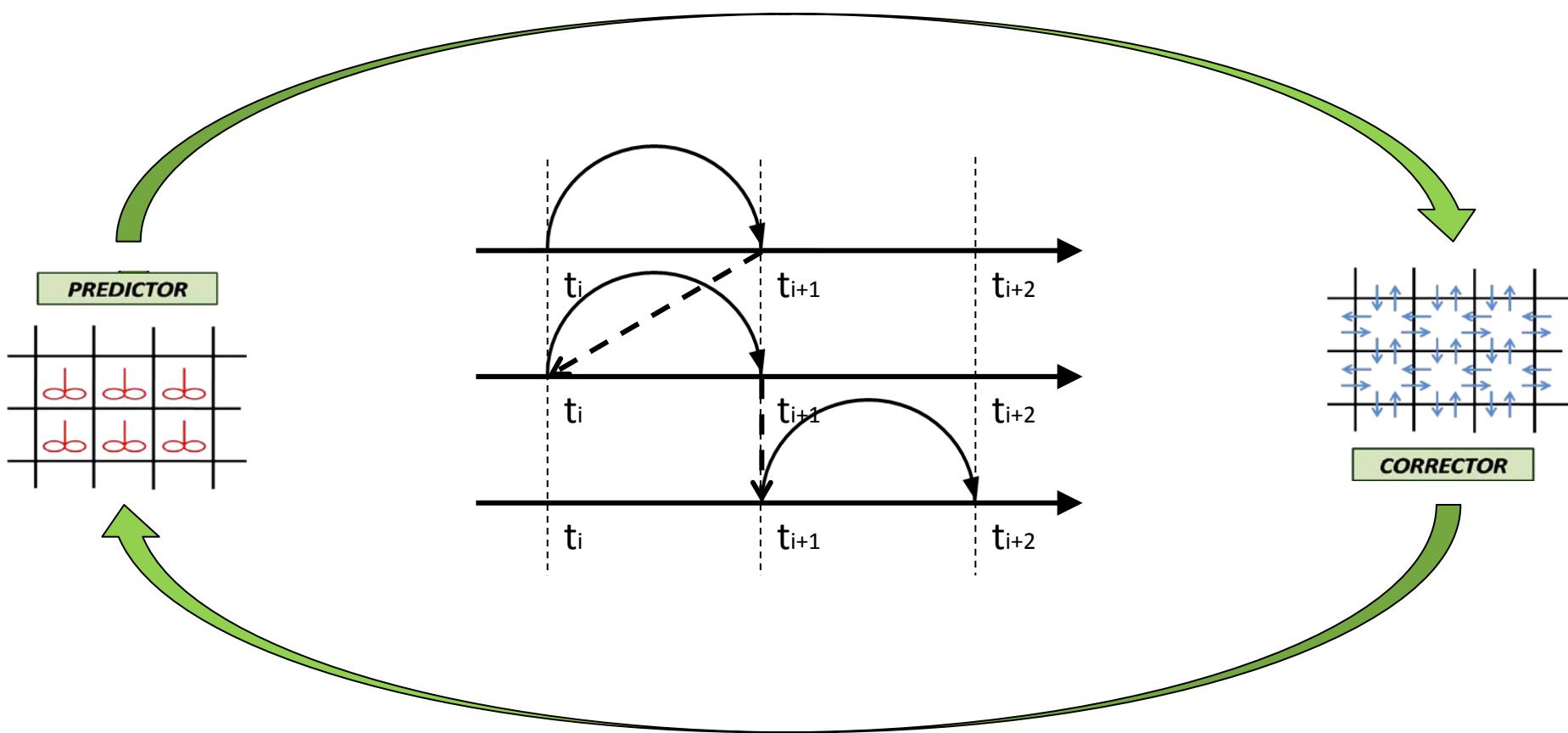


$$\left\{ \begin{array}{l} \rho \frac{d\omega_k}{dt} = \dot{\Omega}_k^{\text{hom}} + \frac{1}{V} \left\{ \sum_{j=1}^{NF} \alpha_j^{\text{cat}} A_j \dot{\Omega}_{k,j}^{\text{het}} - \omega_k \sum_{j=1}^{NF} \left[ \alpha_j^{\text{cat}} A_j \sum_{k=1}^{NG} \dot{\Omega}_{k,j}^{\text{het}} \right] \right\} \quad k=1, \dots, NG \\ \rho \hat{C}_P \frac{dT}{dt} = - \sum_{k=1}^{NG} \hat{H}_k^{\text{hom}} \Omega_k^{\text{hom}} + \frac{1}{V} \sum_{j=1}^{NF} \alpha_j^{\text{cat}} A_j \sum_{k=1}^{NG} \dot{\Omega}_{k,j}^{\text{het}} (\hat{H}_{k,j}^{\text{het}} - \hat{H}_k^{\text{hom}}) \\ \sigma_{\text{cat}} \frac{\partial \theta_{i,j}}{\partial t} = \dot{\Omega}_{i,j}^{\text{het}} \quad i=1, \dots, NS \quad j=1, \dots, NF \end{array} \right.$$



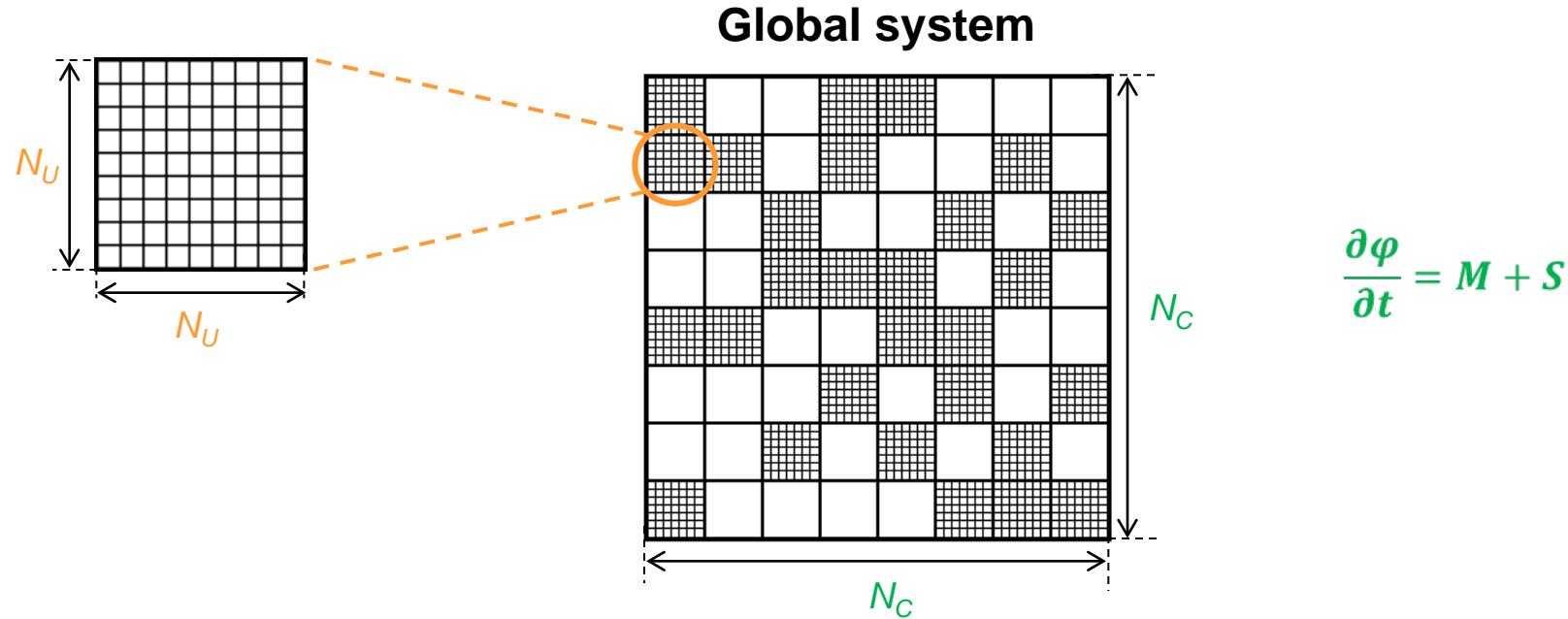
$$\left\{ \begin{array}{l} \rho \frac{d\omega_k}{dt} = \dot{\Omega}_k^{\text{hom}} + \frac{1}{V} \left\{ \sum_{j=1}^{NF} \cancel{\alpha_j^{\text{cat}} A_j \dot{\Omega}_{k,j}^{\text{het}}} - \omega_k \sum_{j=1}^{NF} \left[ \cancel{\alpha_j^{\text{cat}} A_j \sum_{k=1}^{NG} \dot{\Omega}_{k,j}^{\text{het}}} \right] \right\} \quad k=1, \dots, NG \\ \rho \hat{C}_P \frac{dT}{dt} = - \sum_{k=1}^{NG} \hat{H}_k^{\text{hom}} \Omega_k^{\text{hom}} + \frac{1}{V} \sum_{j=1}^{NF} \cancel{\alpha_j^{\text{cat}} A_j \sum_{k=1}^{NG} \dot{\Omega}_{k,j}^{\text{het}}} (\cancel{H}_{k,j}^{\text{het}} - \hat{H}_k^{\text{hom}}) \\ \cancel{\sigma_{\text{cat}} \frac{\partial \theta_{i,j}}{\partial t} = \dot{\Omega}_{i,j}^{\text{het}}} \quad i=1, \dots, NS \quad j=1, \dots, NF \end{array} \right.$$

# Operator-splitting algorithm



The procedure is iterated on the next time step

# Jacobian matrix



**Jacobian matrix:**

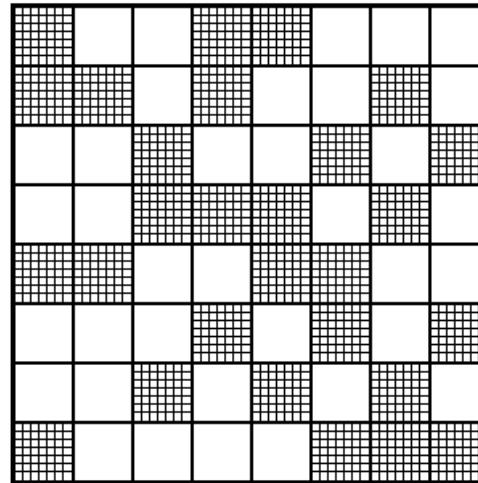
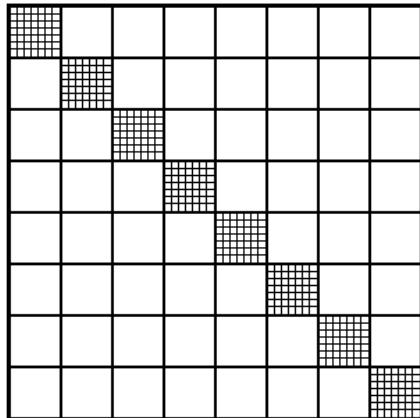
- ✓ **Sparse**
- ✓ **Unstructured**
- ✓ **Blocks**

# Source term

Global system



Source term



$$\frac{\partial \phi}{\partial t} = M + S$$

Jacobian matrix:

- ✓ Sparse
- ✓ Diagonal

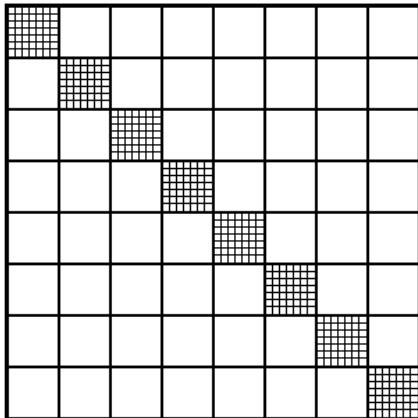
$$\frac{\partial \phi}{\partial t} = S$$

# Transport term

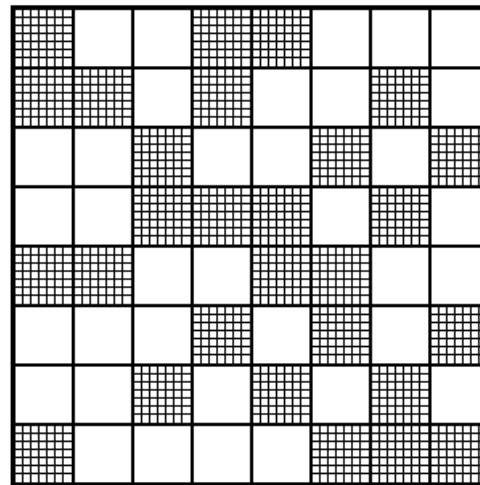
Global system



Source term



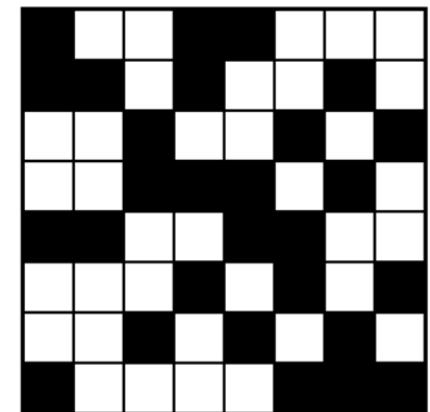
$$\frac{\partial \varphi}{\partial t} = S$$



$$\frac{\partial \varphi}{\partial t} = M + S$$



Transport term



Jacobian matrix:

- ✓ Sparse
- ✓ Unstructured

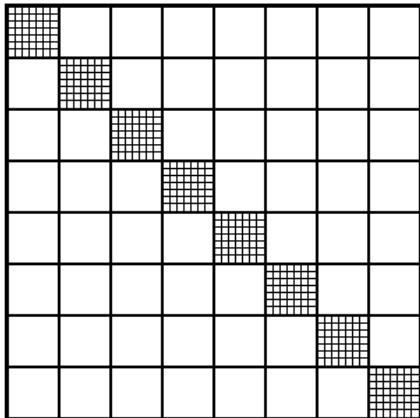
$$\frac{\partial \varphi}{\partial t} = M$$

# Operator-splitting algorithm

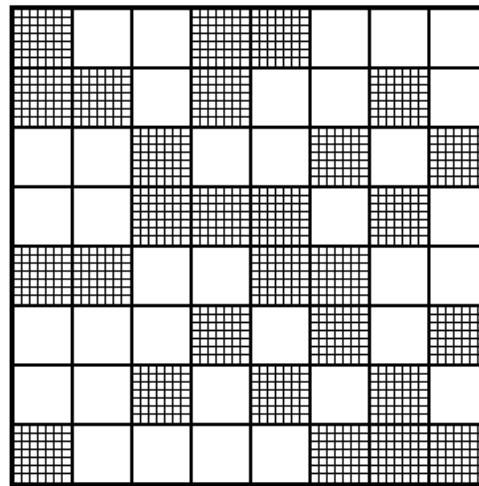
Global system



Source term



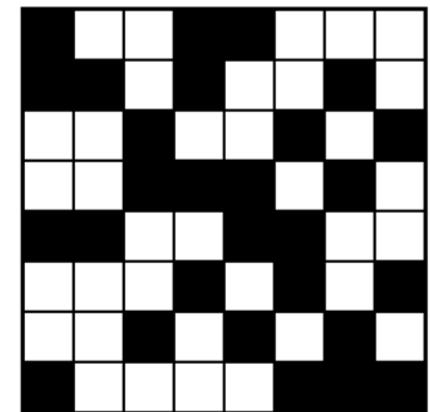
$$\frac{\partial \varphi}{\partial t} = S$$



Transport term

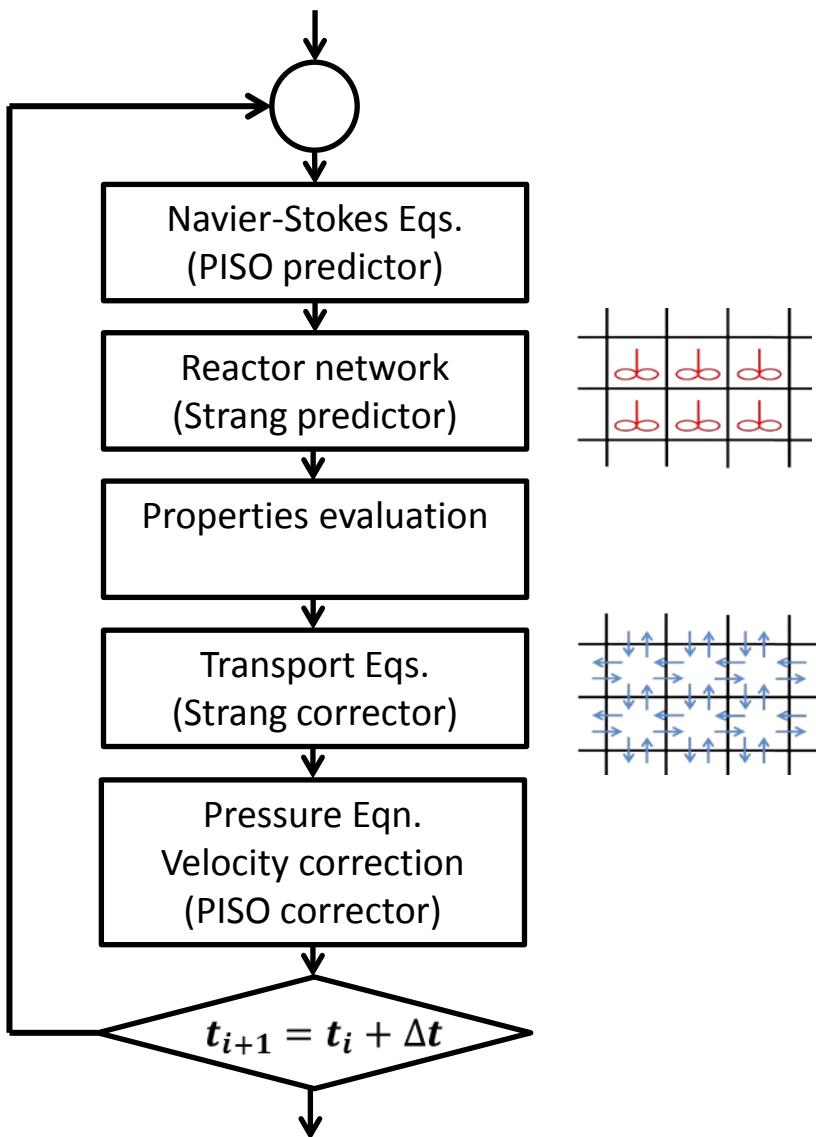
$$\frac{\partial \varphi}{\partial t} = M + S$$

Use of a suitable algorithm for  
each sub-problem



$$\frac{\partial \varphi}{\partial t} = M$$

# Solution procedure

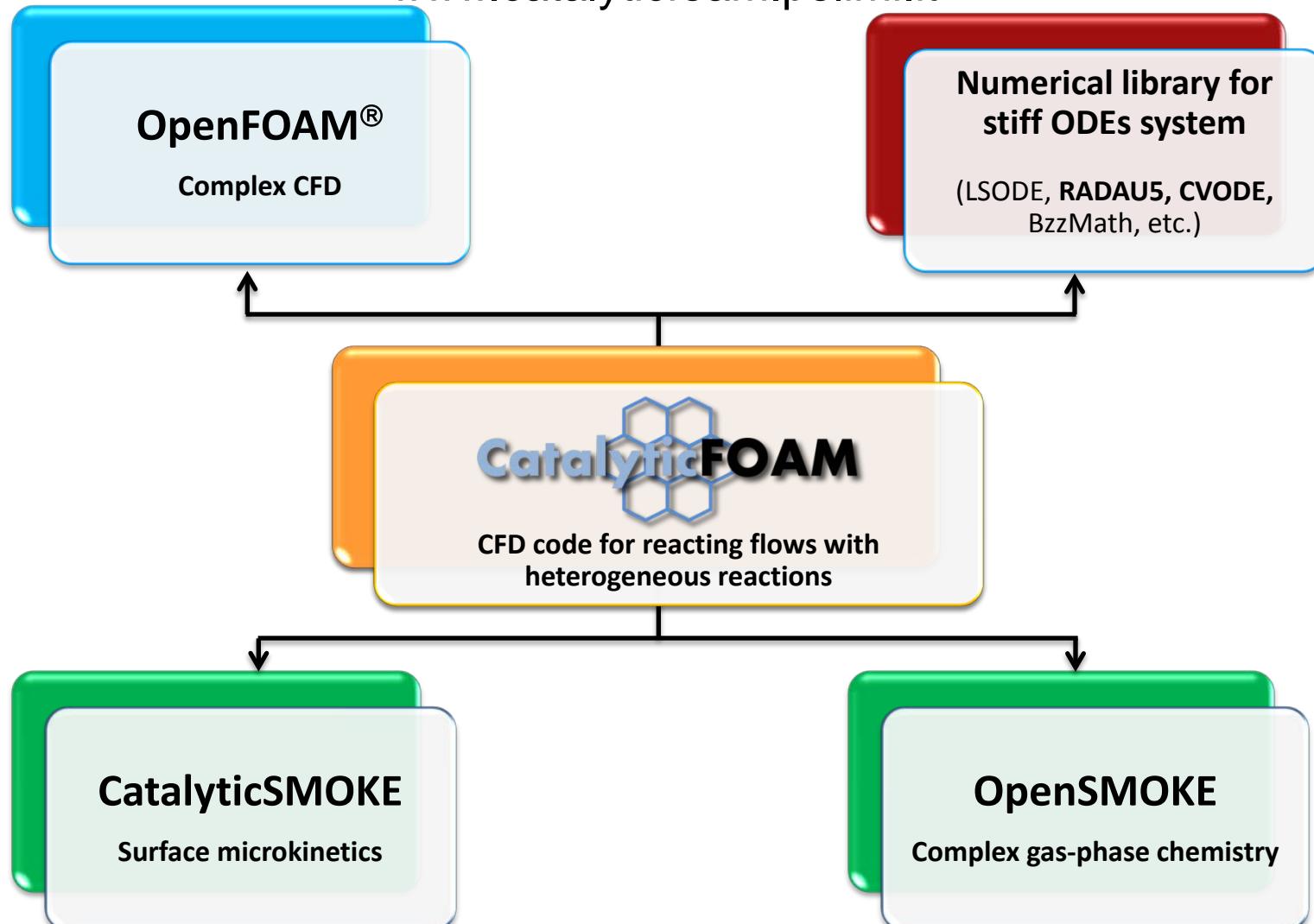


## Main features:

- ✓ Solution of the Navier-Stokes equations (laminar and turbulent regime)
- ✓ No limit to the number of species and reactions
- ✓ No limit in geometry

# catalyticFOAM structure

[www.catalyticfoam.polimi.it](http://www.catalyticfoam.polimi.it)



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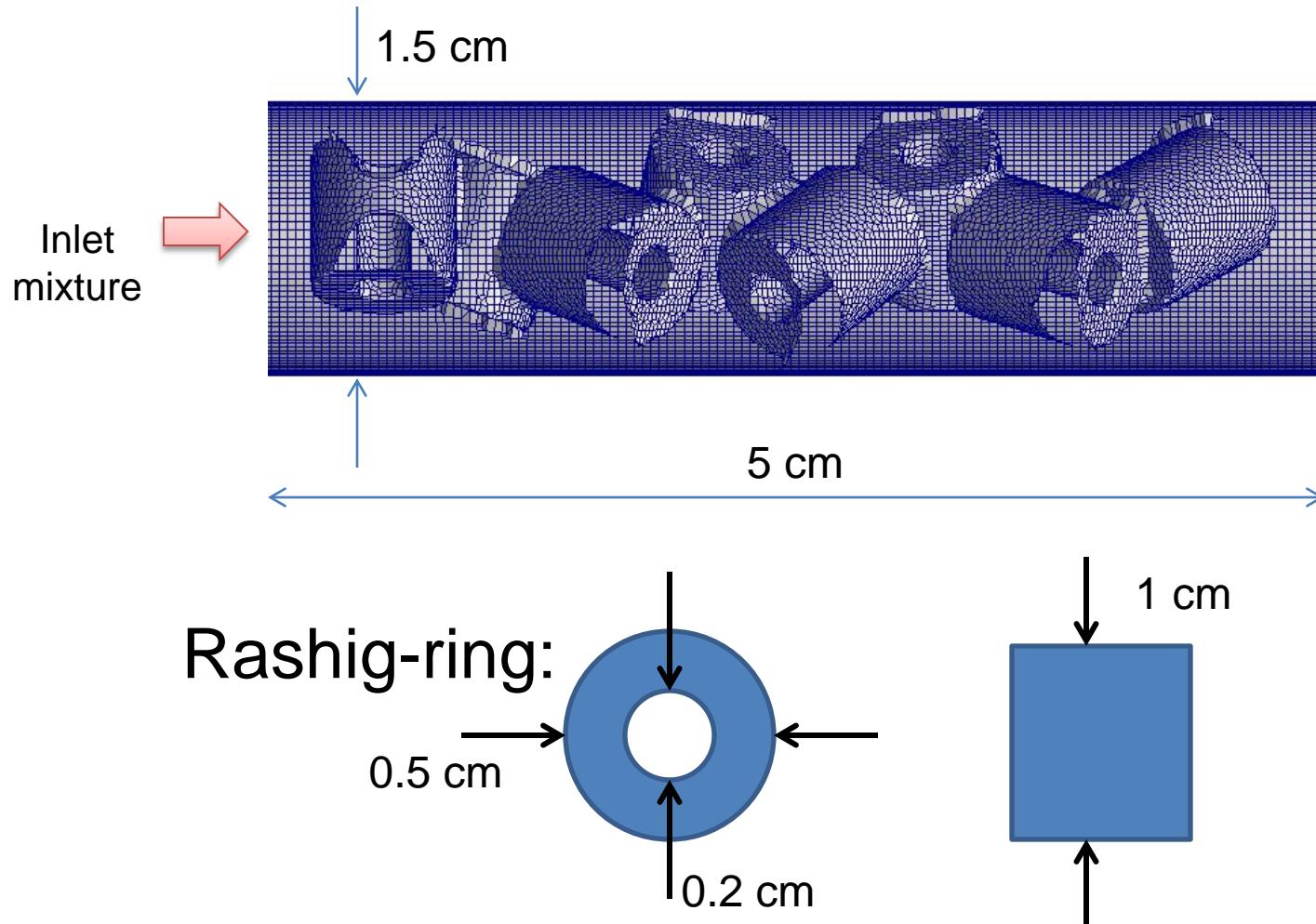


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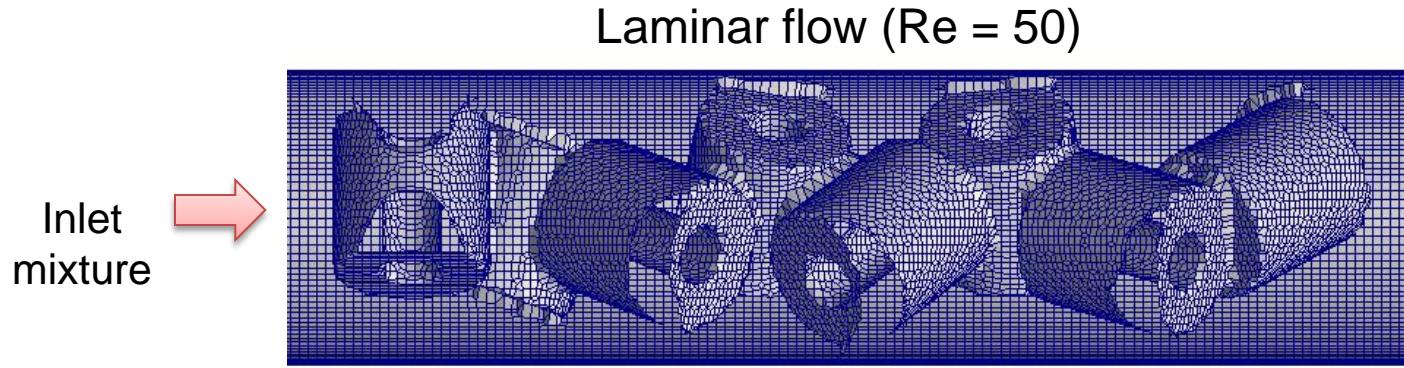
Time →

# Show-case I: Rashig-ring bed



Mesh generation in collaboration with Dr. S. Lipp – BASF SE, DE

# Show-case I: Rashig-ring bed



Operating conditions	
Internal diameter	1.5 cm
Total length	5 cm
$H_2$ mole fraction	0.04 (-)
$O_2$ mole fraction	0.01 (-)
$N_2$ mole fraction	0.95 (-)
Temperature	473.15 K
Inlet velocity	0.2 m/s

C1 microkinetic model on Rh:

82 reaction steps

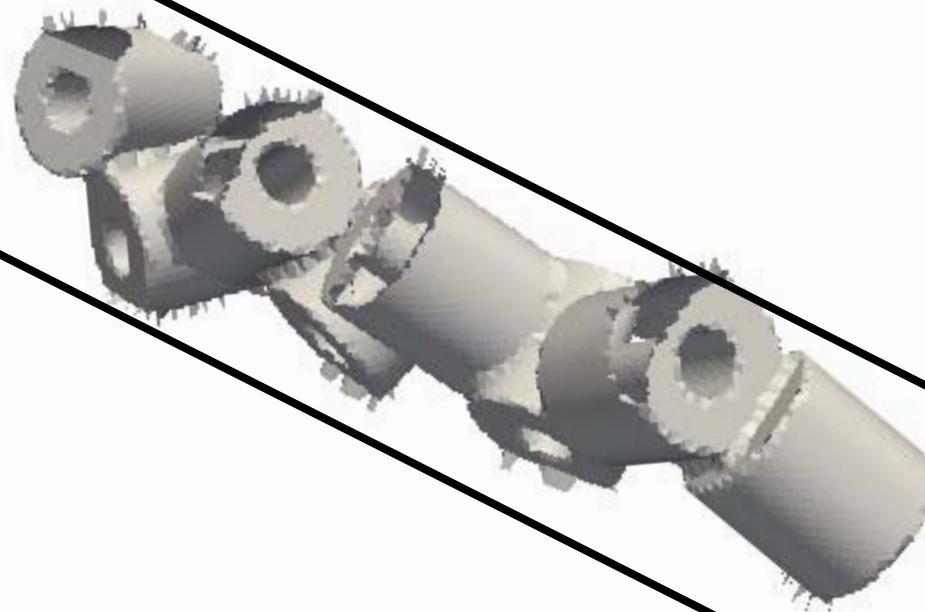
13 adsorbed species

UBI-QEP and DFT refinement

M. Maestri et al., AIChE J., 2009

# Show-case I: Rashig-ring bed

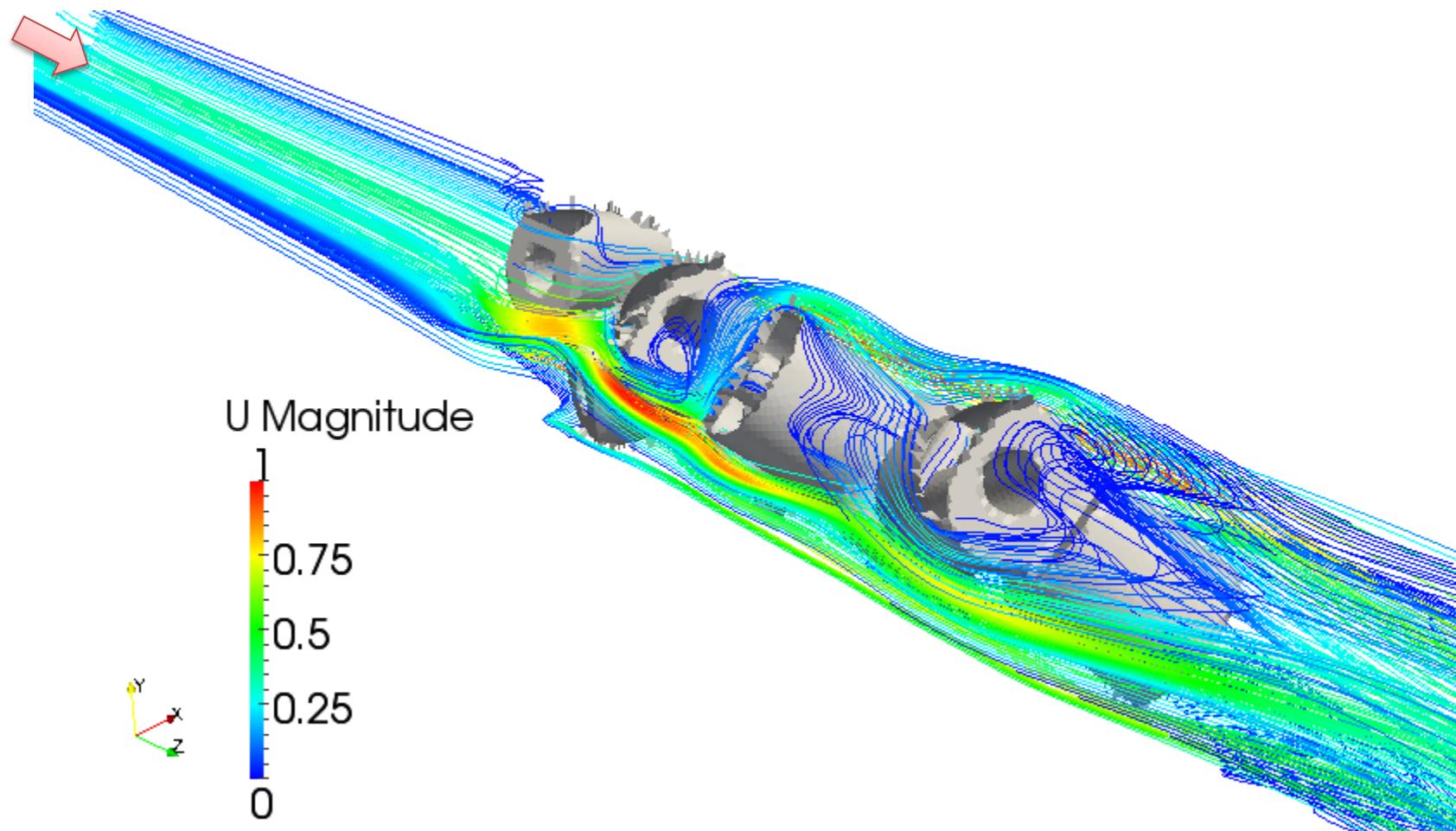
Inlet mixture



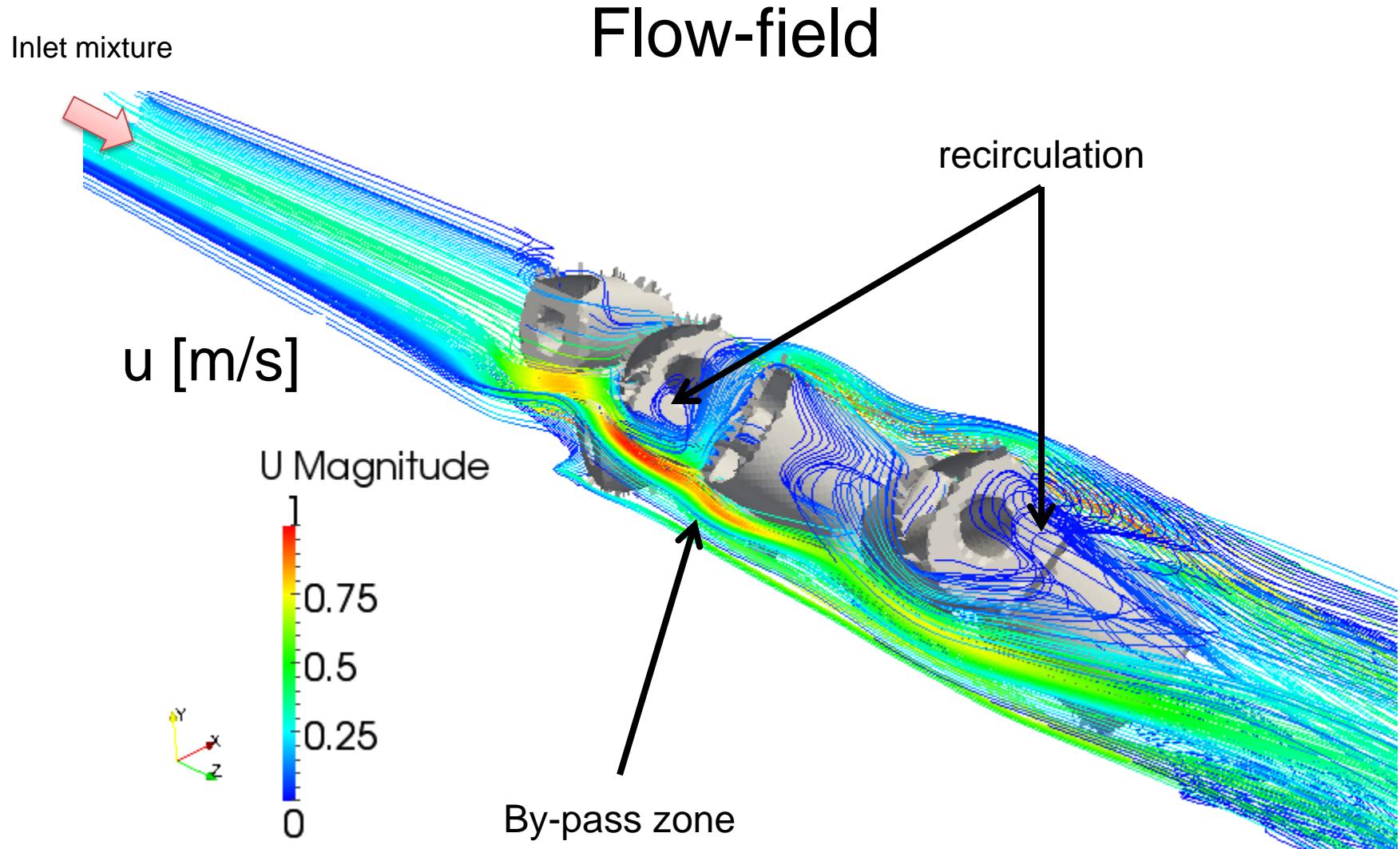
# Show-case I: Rashig-ring bed

## Flow-field

Inlet mixture



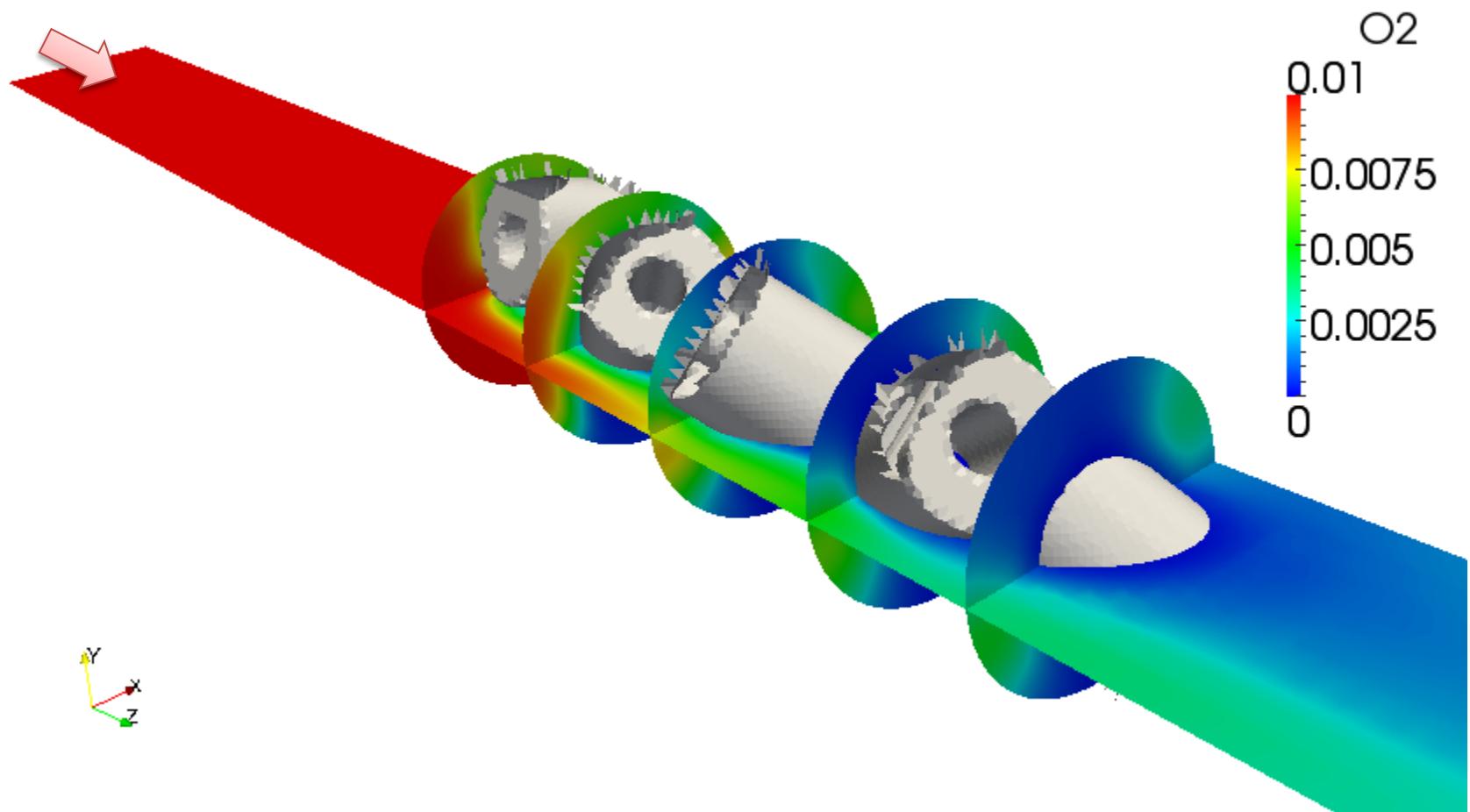
# Show-case I: Rashig-ring bed



# Show-case I: Rashig-ring bed

## Gas-phase species

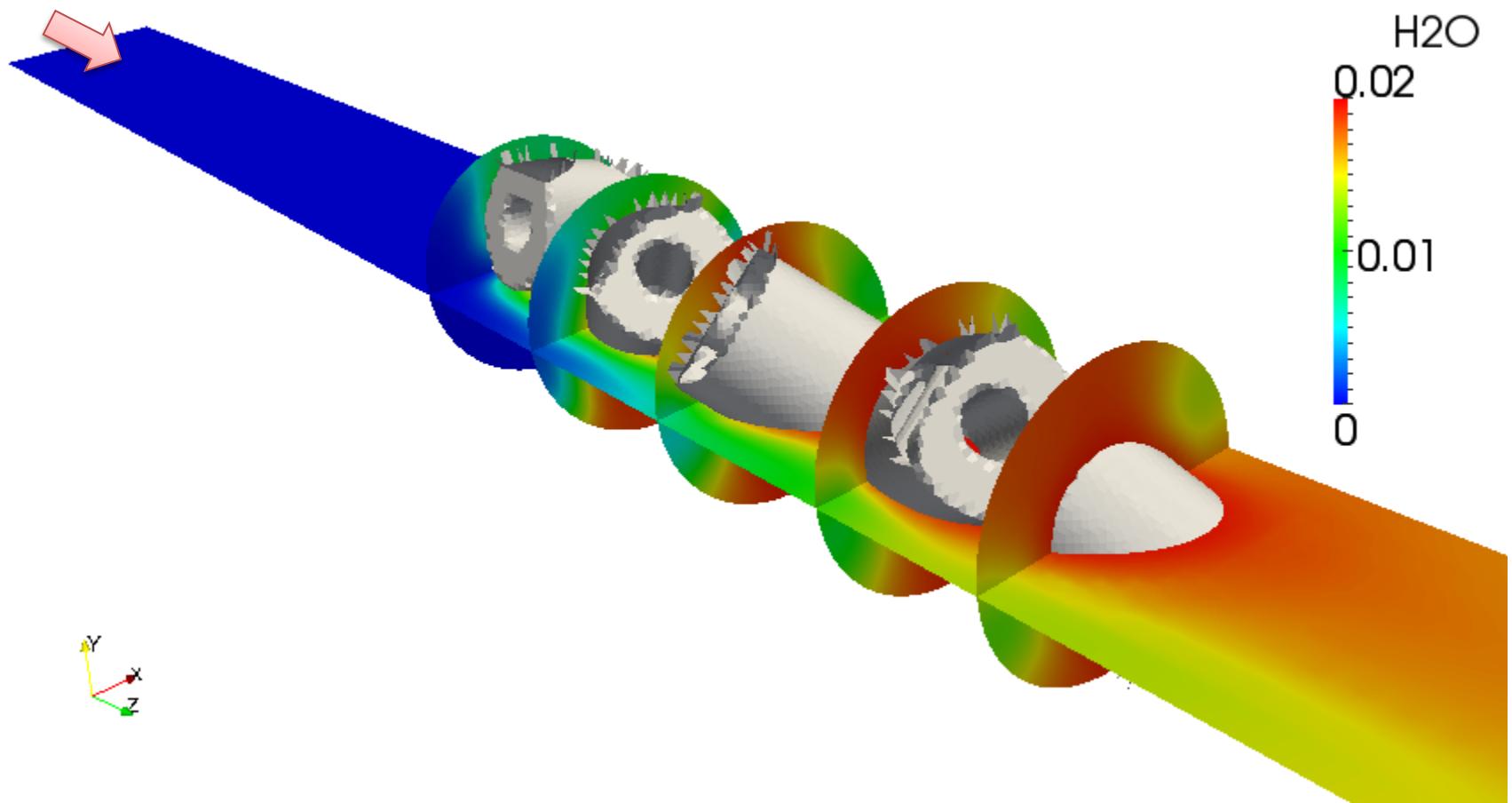
Inlet mixture



# Show-case I: Rashig-ring bed

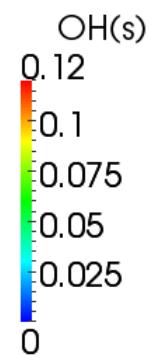
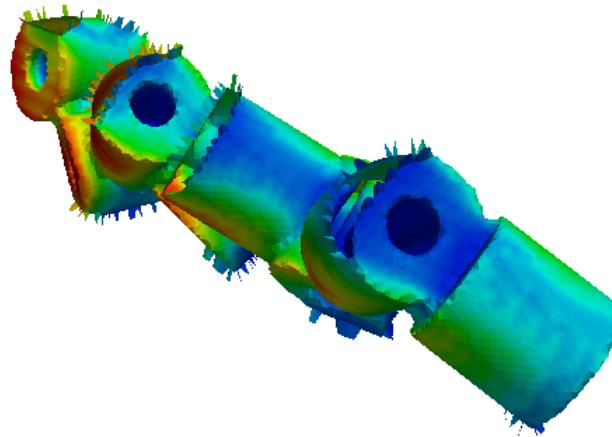
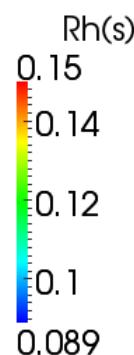
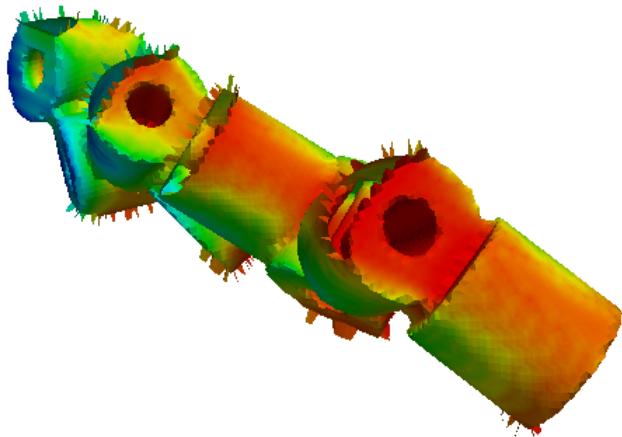
## Gas-phase species

Inlet mixture

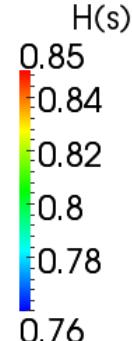
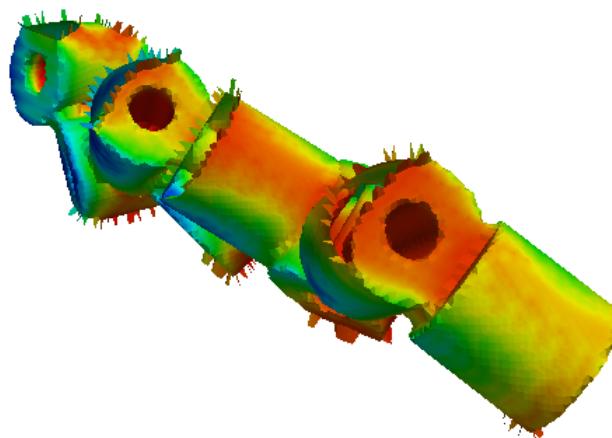
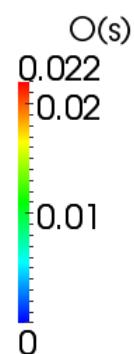
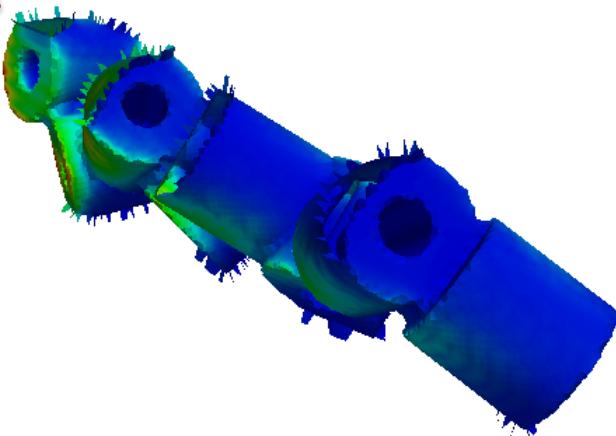


# Show-case I: Rashig-ring bed

## Adsorbed species at the catalyst surface

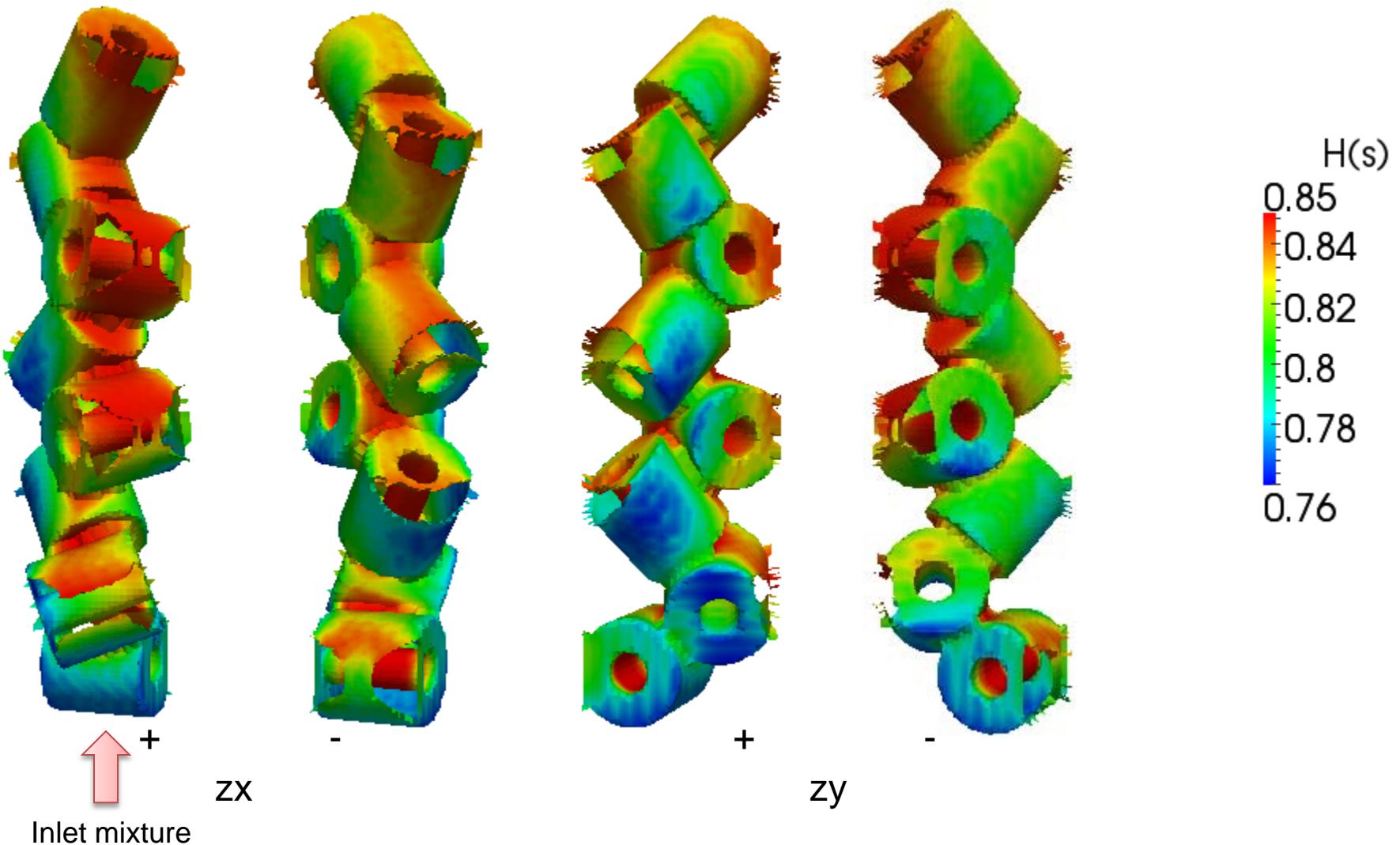


Inlet mixture



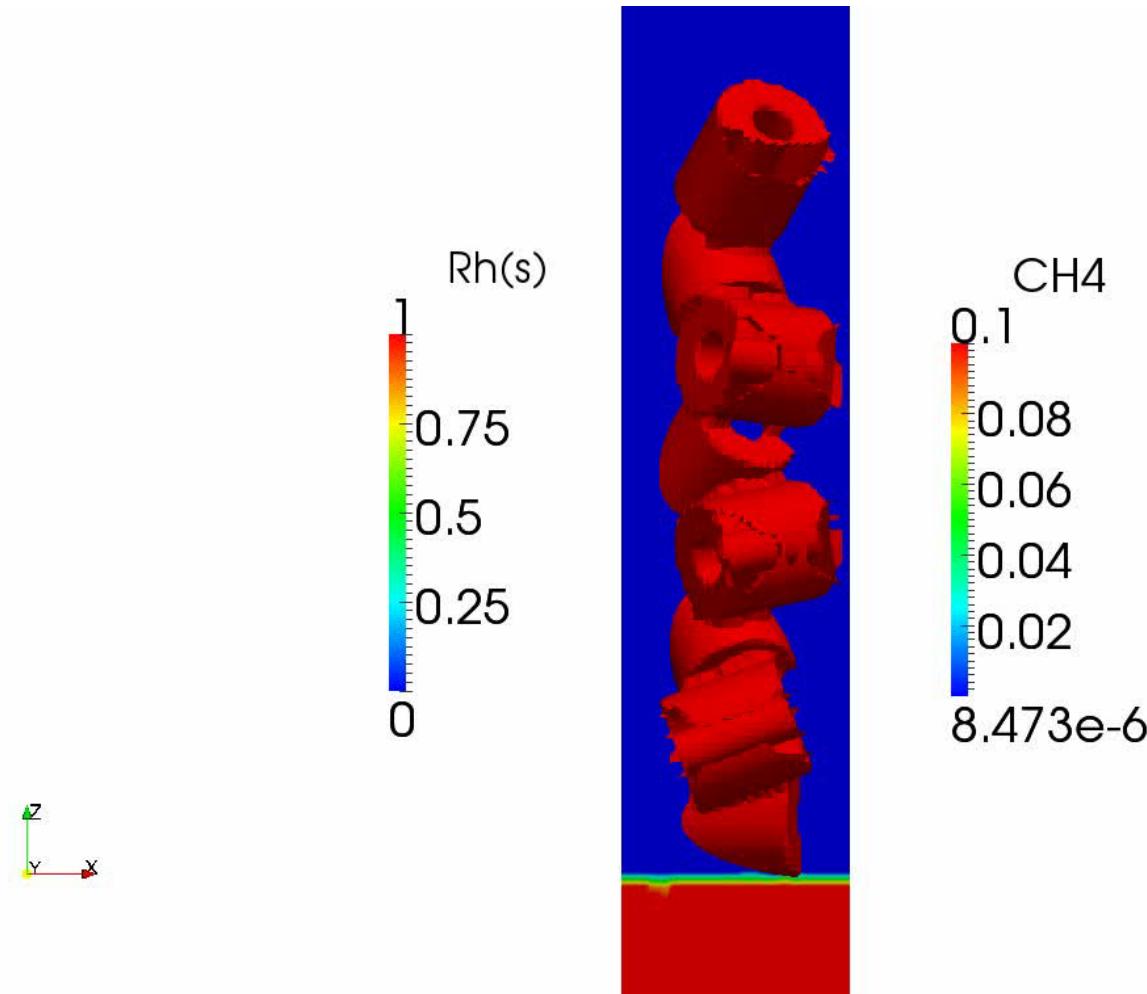
# Show-case I: Rashig-ring bed

Adsorbed species at the catalyst surface



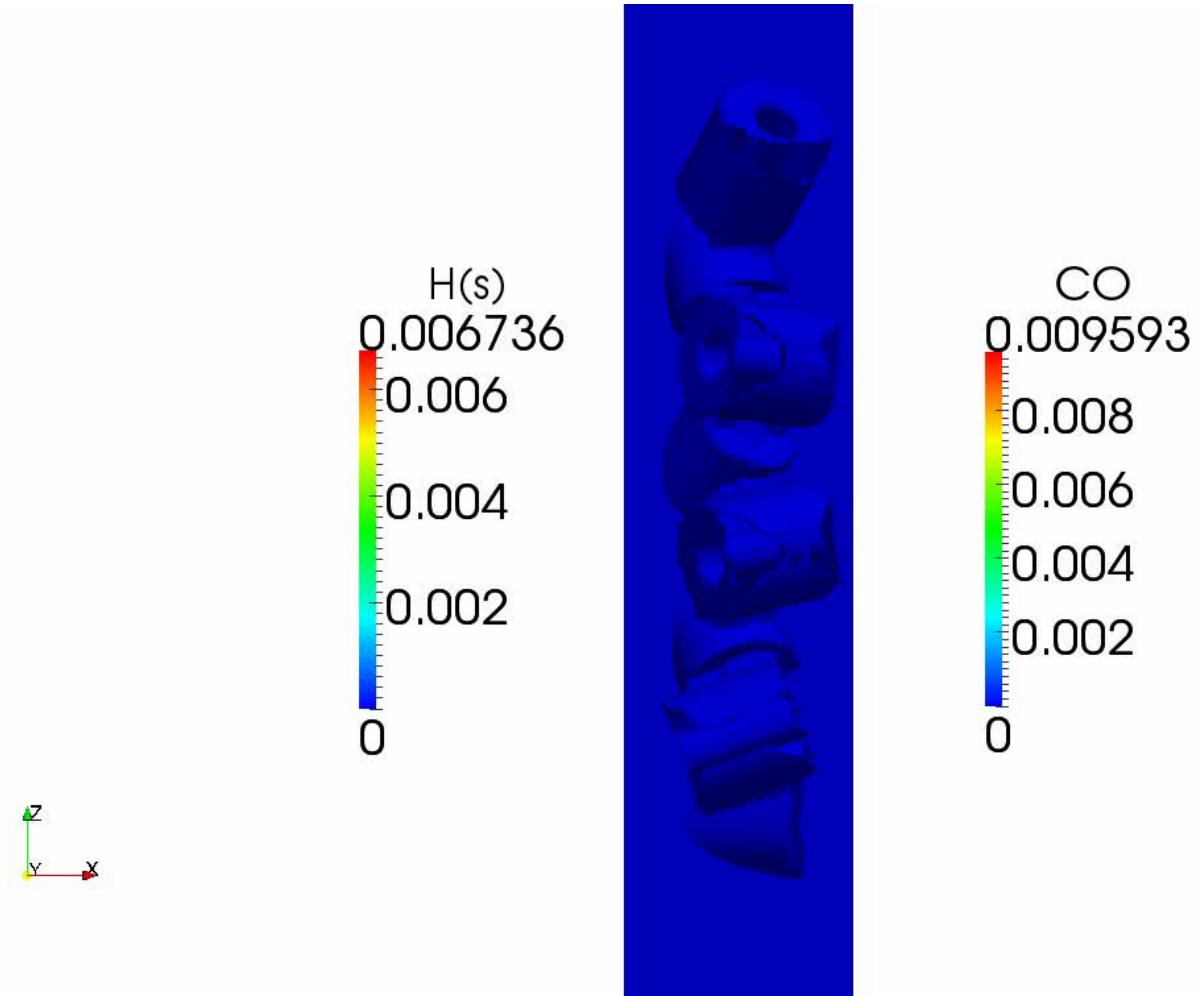
# Show-case I: Rashig-ring bed

## Dynamics of the system

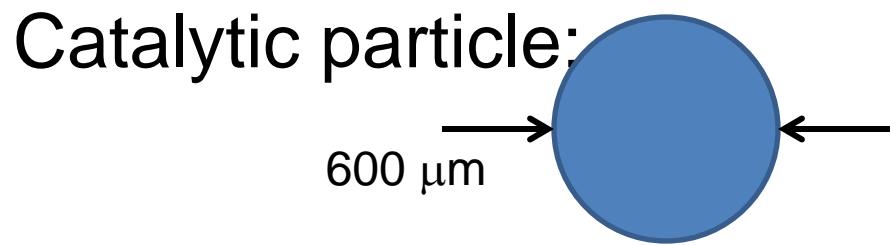
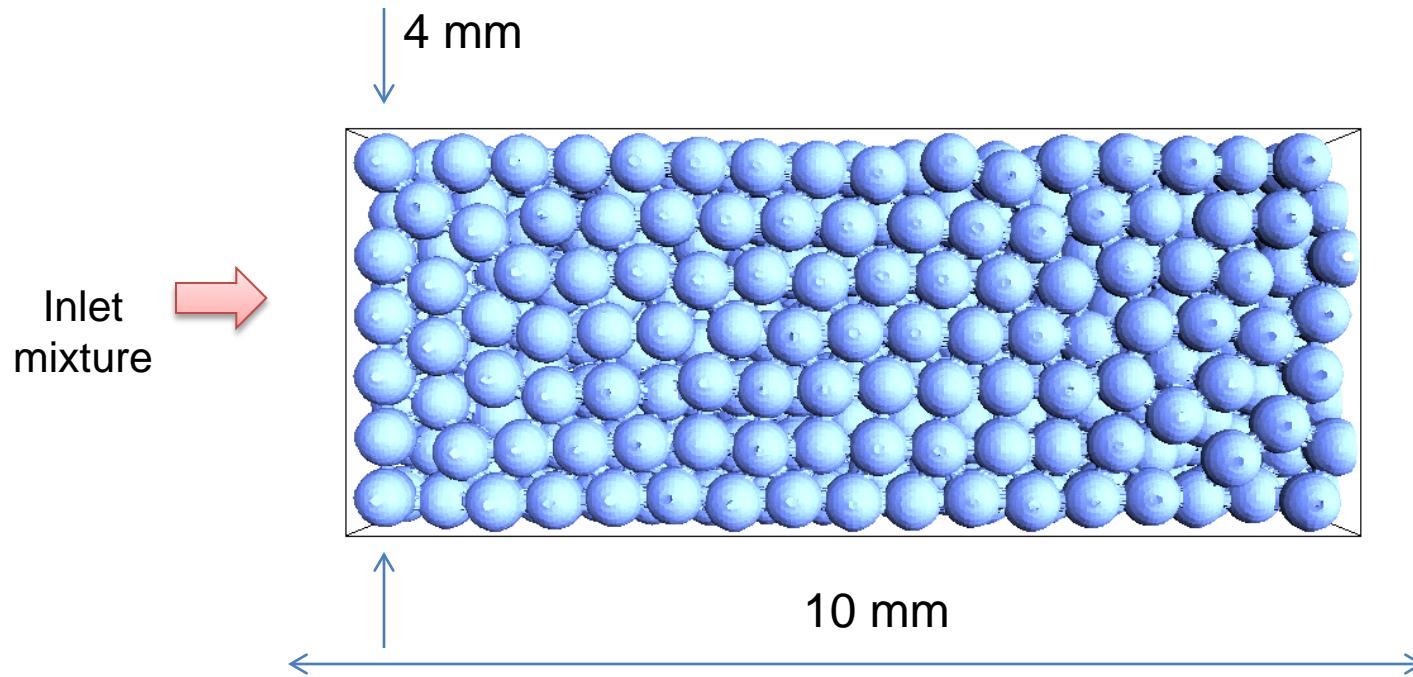


# Show-case I: Rashig-ring bed

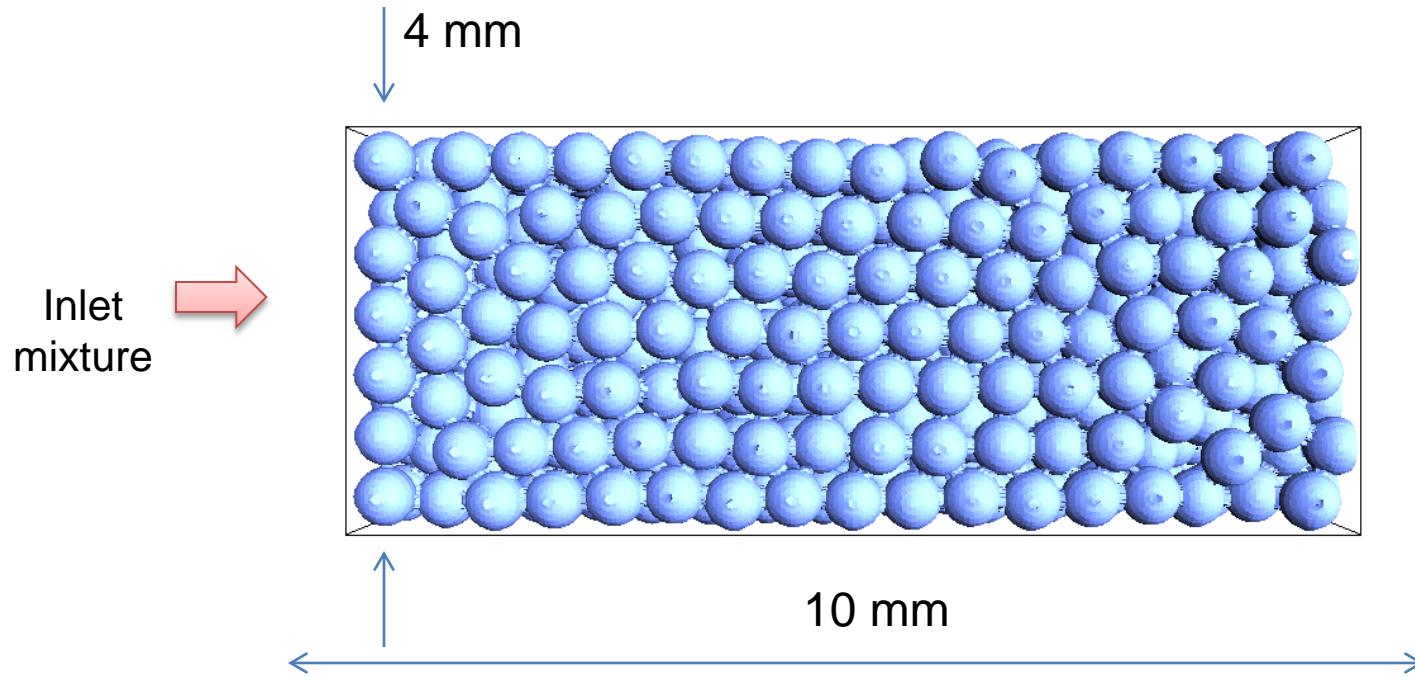
## Dynamics of the system



# Show-case II: packed bed of spheres



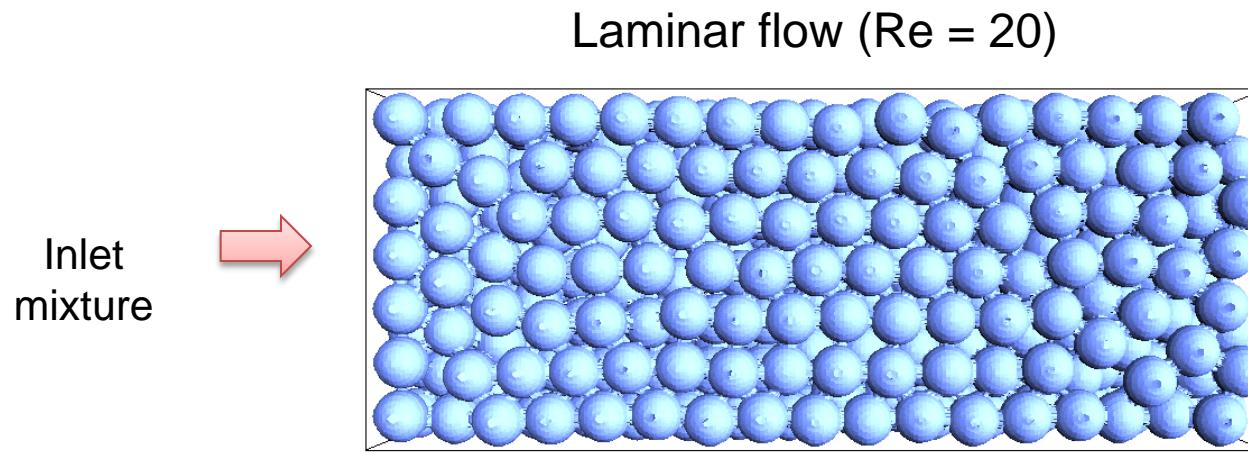
# Show-case II: packed bed of spheres



3D Unstructured Mesh: ~2,000,000 cells

- ✓ Homogeneous reactors: ~1,000,000
- ✓ Heterogeneous reactors: ~1,000,000

# Show-case II: packed bed of spheres



Operating conditions	
Inlet diameter	4 mm
Total length	10 mm
$H_2$ mole fraction	0.036(-)
$O_2$ mole fraction	0.014(-)
$N_2$ mole fraction	0.95 (-)
Temperature	573 K
Inlet velocity	1.7 m/s

C1 microkinetic model on Rh:

82 reaction steps

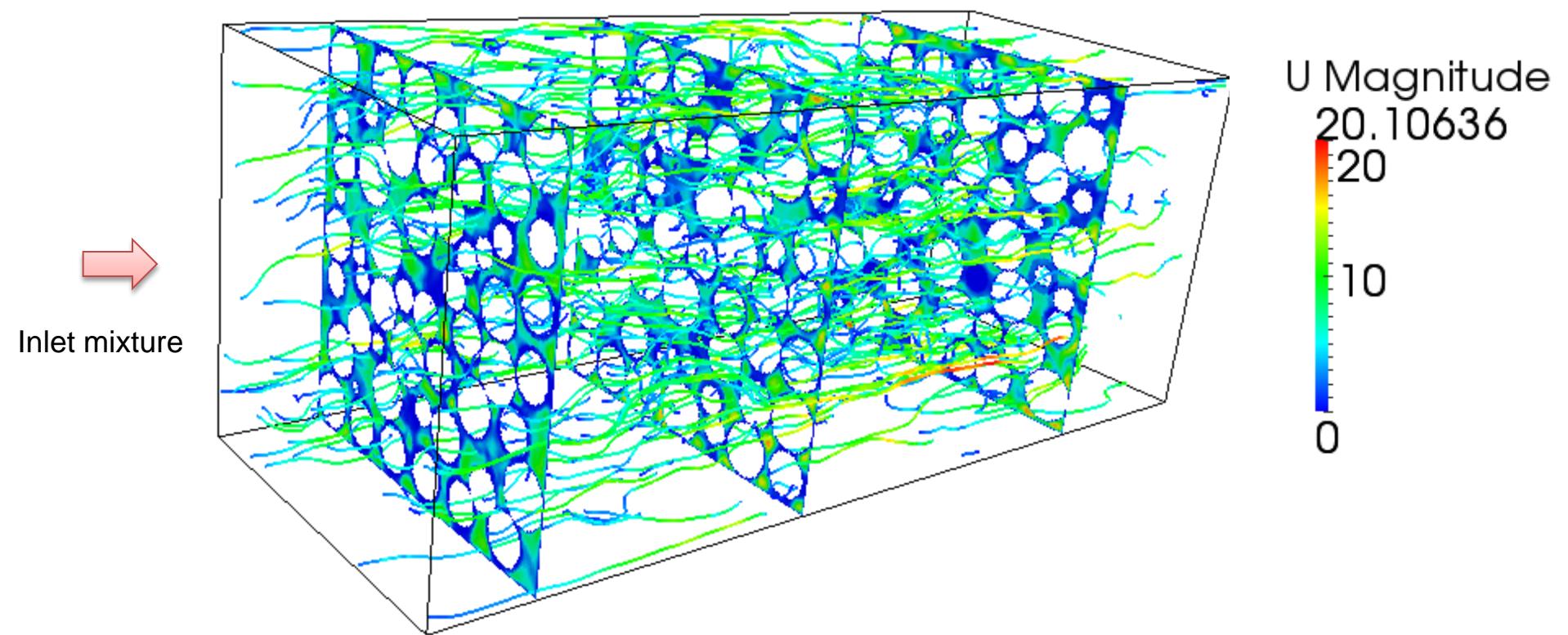
13 adsorbed species

UBI-QEP and DFT refinement

M. Maestri et al., AIChE J., 2009

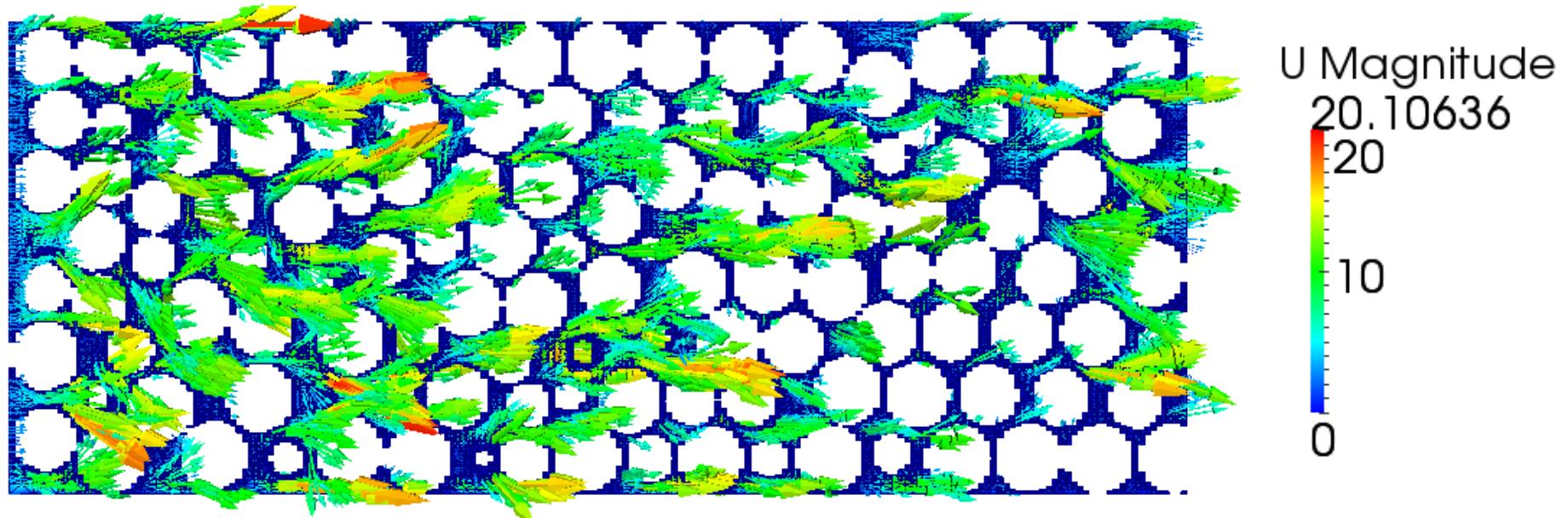
# Show-case II: packed bed of spheres

## Flow-field

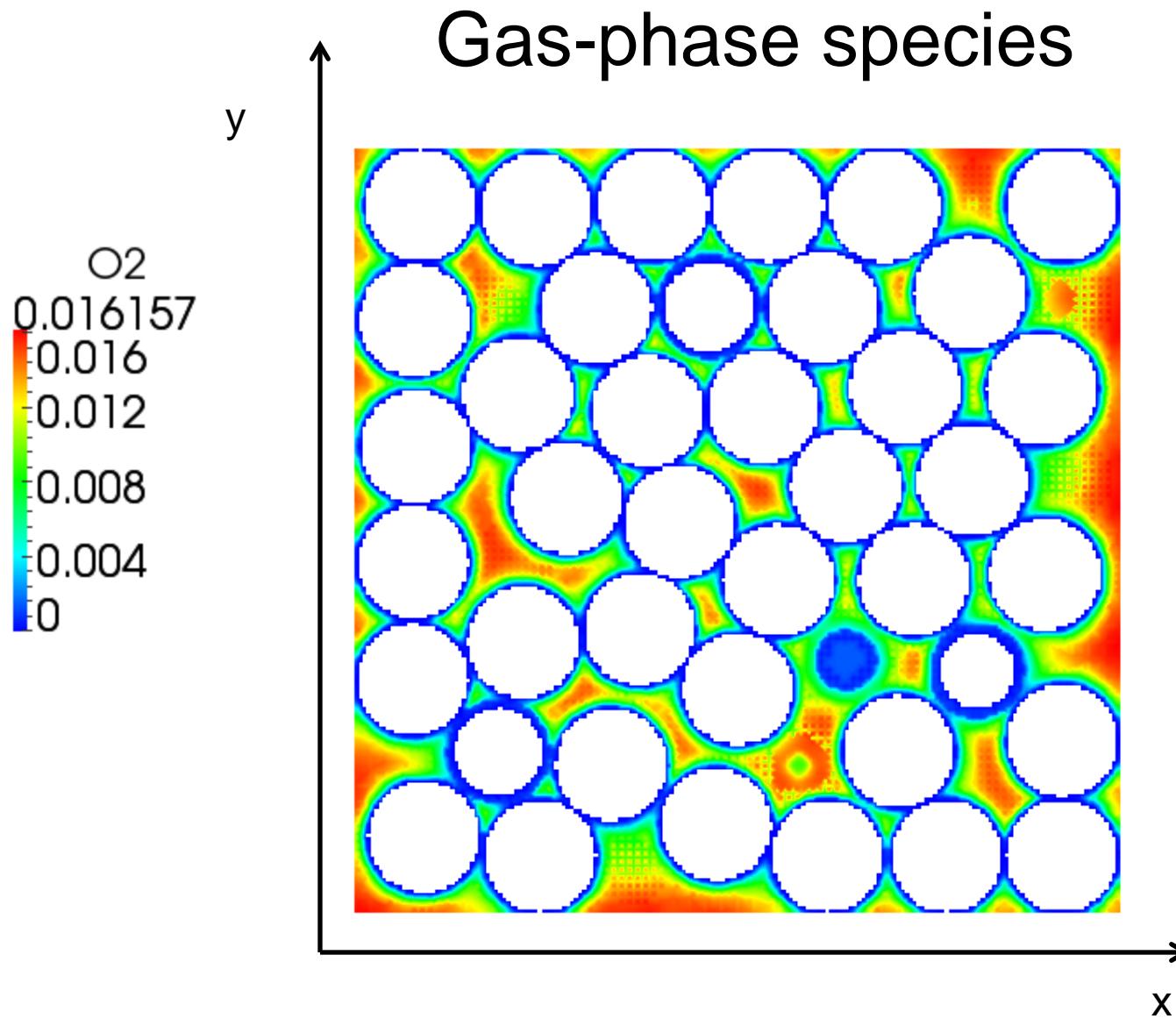


# Show-case II: packed bed of spheres

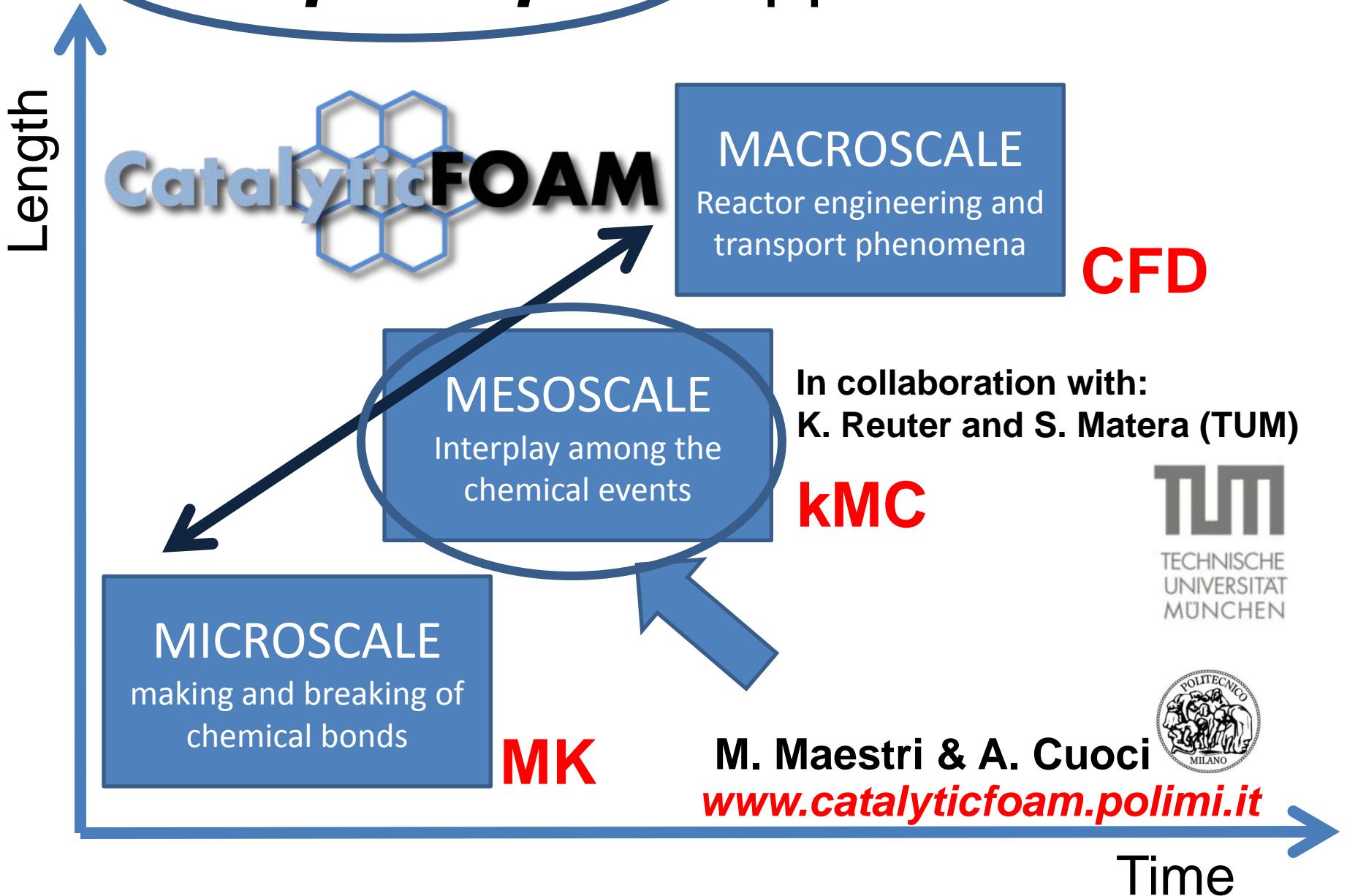
## Flow-field



# Show-case II: packed bed of spheres



# A “*first-principles*” approach to CRE



# First-principles kinetic Monte Carlo

- Evaluate the statistical interplay of large number of elementary processes
- open non-equilibrium system → need to explicitly follow the time evolution
- rare event dynamics → Molecular Dynamics simulations unsuitable. Map on a lattice model  
→ Markov jump process description

$$\frac{d}{dt} P(x, t) = \sum_y k(y, x) P(y, t) - \sum_y k(x, y) P(x, t)$$

- Each site  $a$  has own entry in  $\mathbf{x}$  denoting its adsorbate state  $x_a$
- Simulate trajectories  $\mathbf{x}(t)$  (kinetic Monte Carlo)

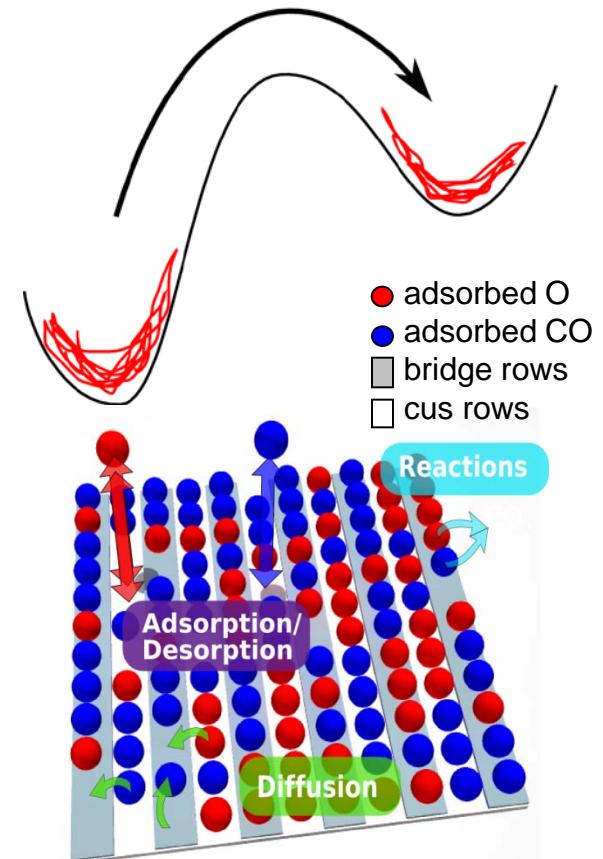


Image courtesy of Dr. S. Matera (TUM)

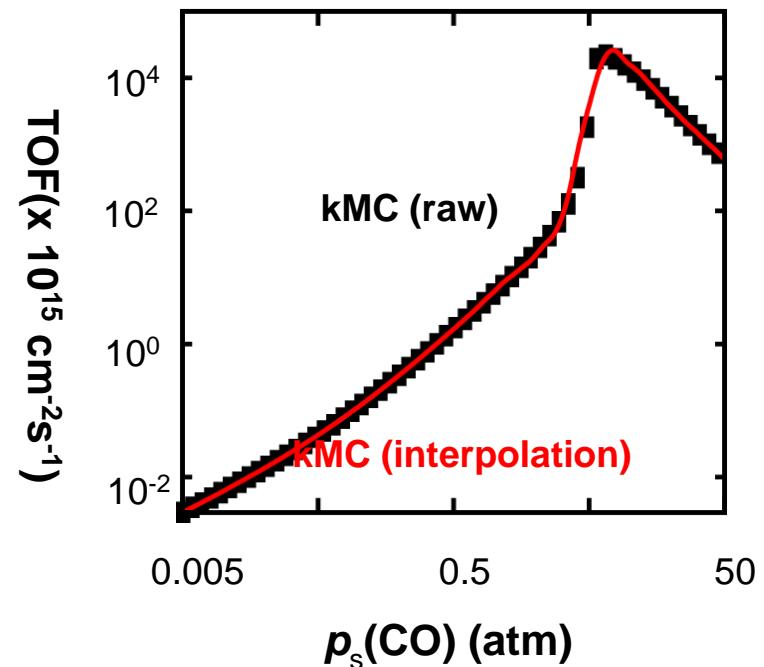
# Linking the scales

- Continuum equations need boundary conditions for the mass fluxes  $j^\alpha$  at the surface:

$$j_n^\alpha = v^\alpha M^\alpha \text{TOF}$$

- Coupled problem:** to determine the TOF with 1p-kMC the pressures at the surface are needed, but the pressure field depends on the TOF
- kMC too expensive** for direct coupling to the flow solver
- Run kMC beforehand and interpolate (Modified Shepard)
- Very efficient
- Easily extendable to more complex geometries

$$T_s = 600\text{K}, p_s(\text{O}_2) = 1\text{atm}$$



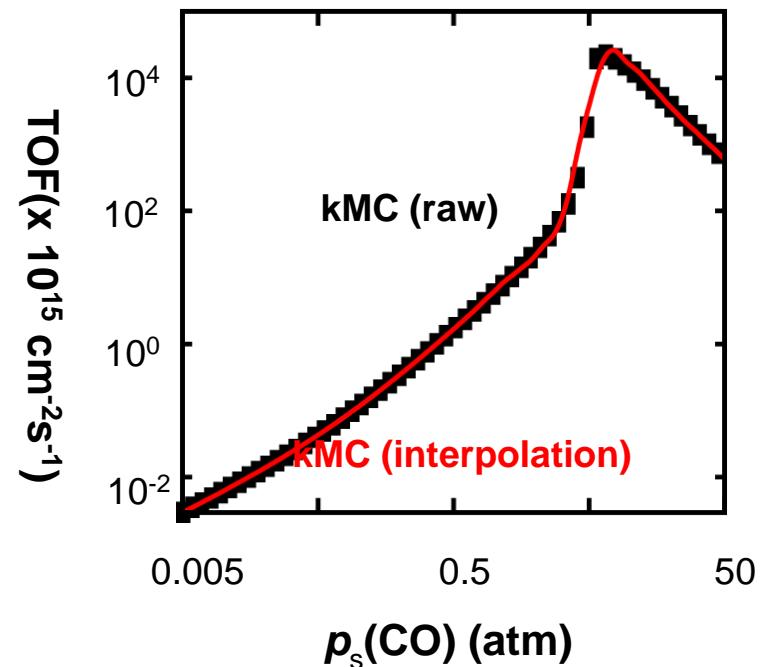
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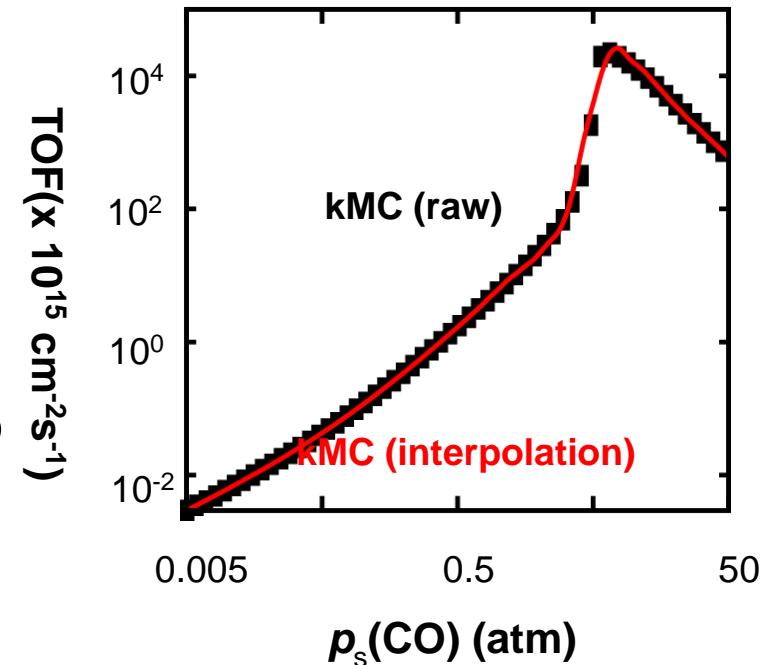
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$$T_s = 600\text{K}, p_s(\text{O}_2) = 1\text{atm}$$



Karsten's tutorial this afternoon

# Show-case: the “reactor STM”

REVIEW OF SCIENTIFIC INSTRUMENTS

VOLUME 69, NUMBER 11

NOVEMBER 1998

## The “Reactor STM”: A scanning tunneling microscope for investigation of catalytic surfaces at semi-industrial reaction conditions

P. B. Rasmussen, B. L. M. Hendriksen,<sup>a)</sup> H. Zeijlemaker, and H. G. Ficke

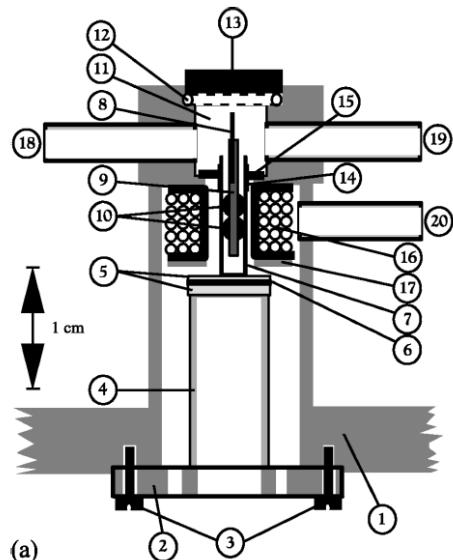
*FOM-Institute for Atomic and Molecular Physics, Kruislaan 407, 1098 SJ Amsterdam, The Netherlands*

J. W. M. Frenken

*Kamerlingh Onnes Laboratory, Leiden University, P.O. Box 9504, 2300 RA Leiden, The Netherlands  
and FOM-Institute for Atomic and Molecular Physics, Kruislaan 407, 1098 SJ Amsterdam, The Netherlands*

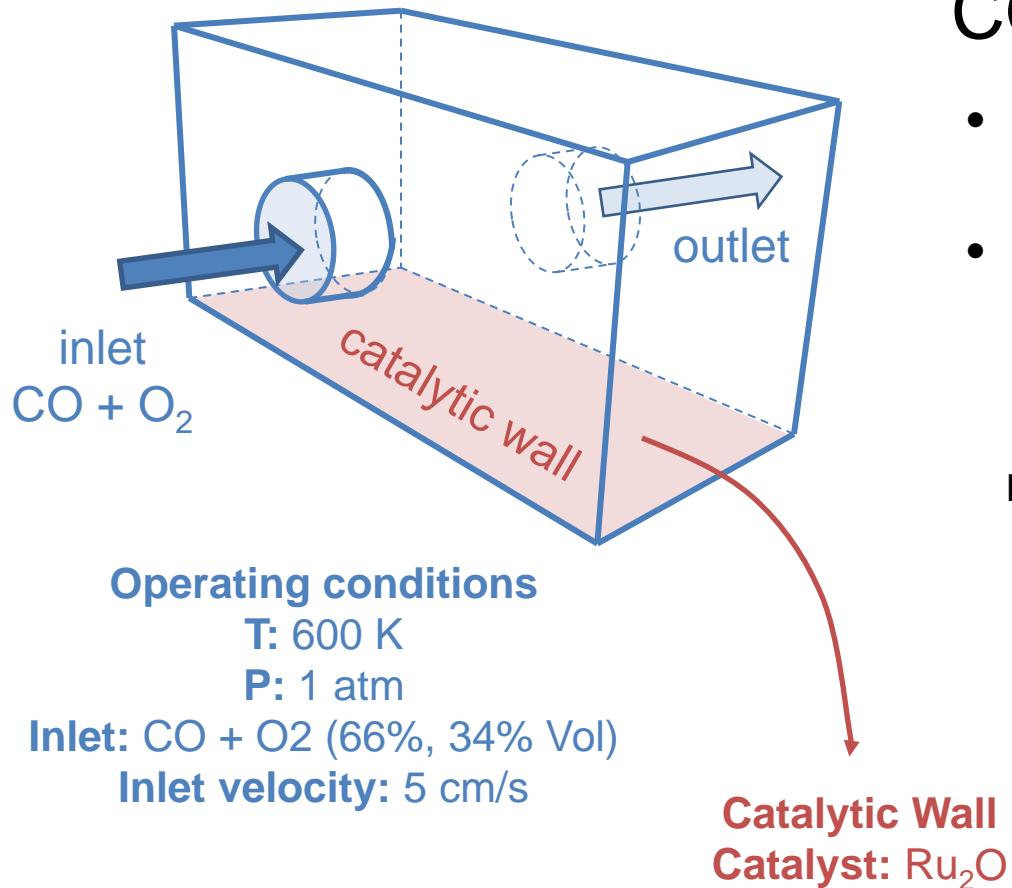
(Received 17 March 1998; accepted for publication 17 August 1998)

An instrument is introduced that combines a scanning tunneling microscope (STM) and a small volume ( $300 \mu\text{l}$ ) flow reactor, for the *in situ* study of catalytic surfaces at *semi-industrial* conditions.



# Show-case: the “reactor STM”

Rasmussen et al., Review of scientific instrument, 69 (1998) 3879



## CO oxidation on Ru<sub>2</sub>O

- Rate constants  $k(x,y)$  from DFT and harmonic Transition State Theory
- Model system: CO oxidation on RuO<sub>2</sub>(110)
  - 2 types of sites, bridge and cus

K. Reuter and M. Scheffler, *Phys. Rev. B* **73**, 045433 (2006)

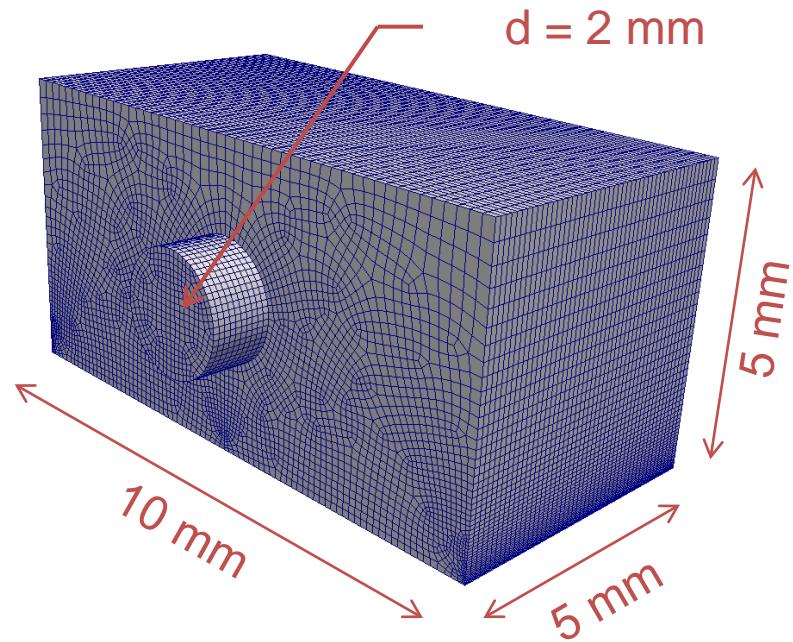
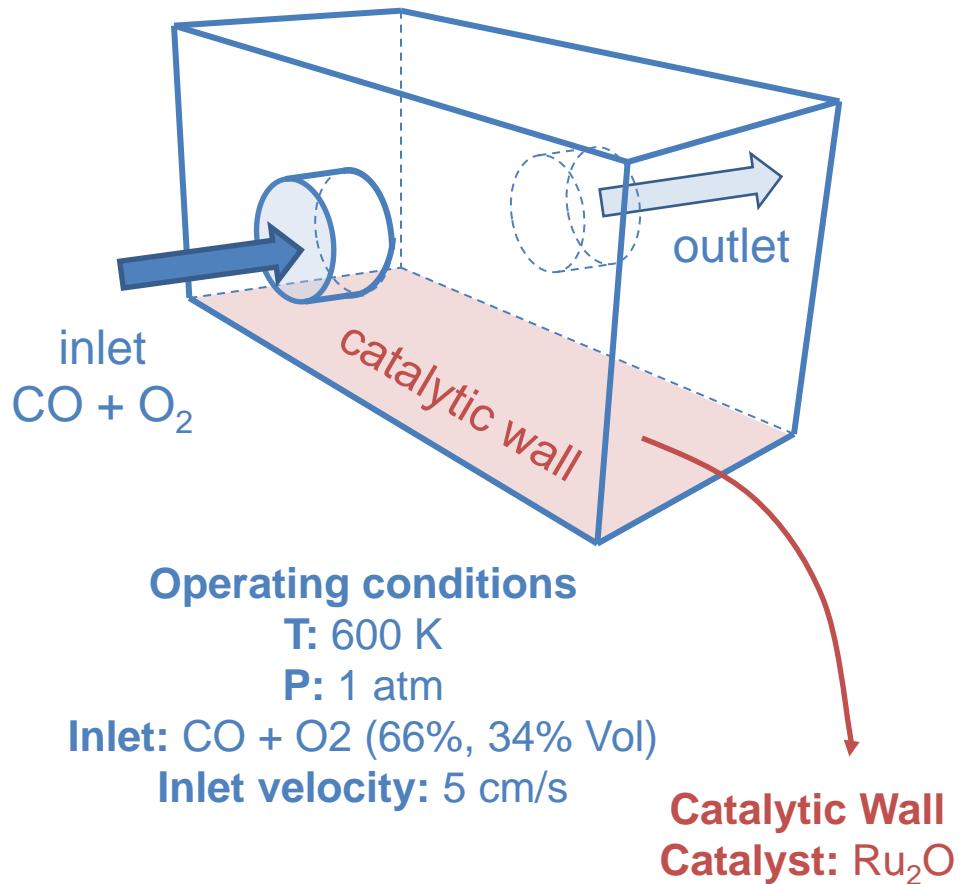


catalyticFOAM (interpolated kMC)

[www.catalyticfoam.polimi.it](http://www.catalyticfoam.polimi.it)

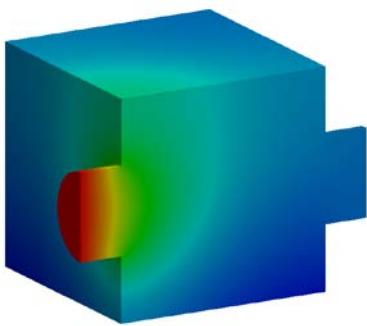
# Show-case: the “reactor STM”

Rasmussen et al., Review of scientific instrument, 69 (1998) 3879

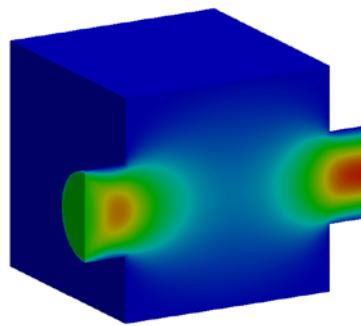


**Computational details**  
Mesh: unstructured, ~90,000 cells  
Discretization: 2<sup>nd</sup> order, centered  
Max time step: 10<sup>-4</sup> s  
CPU time: ~2 s per time step

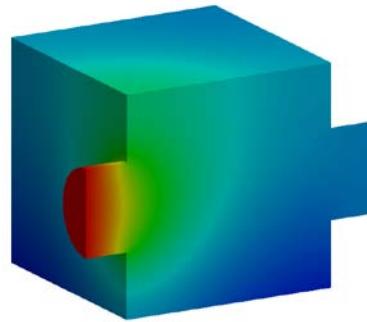
# Results



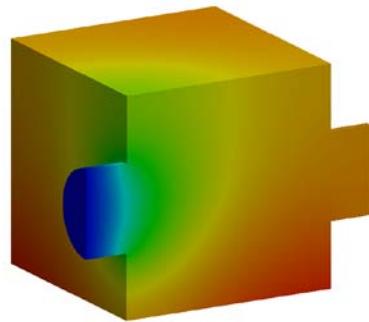
CO  
0.66  
0.65  
0.64  
0.63  
0.62



U Mag  
0.1  
0.0  
0.0  
0

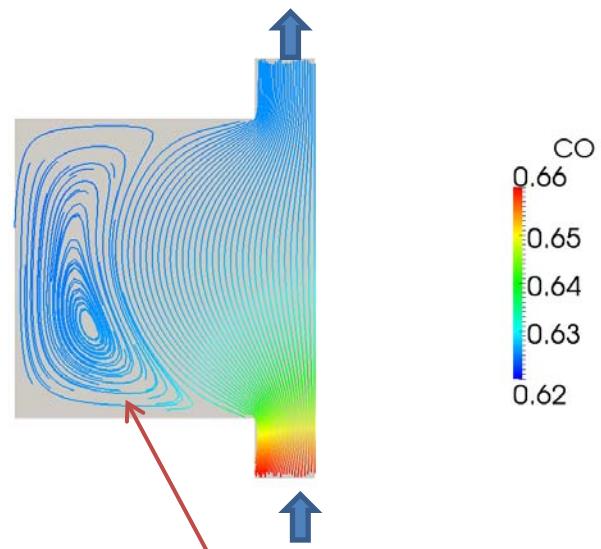


O<sub>2</sub>  
0.34  
0.33  
0.32  
0.317866



CO<sub>2</sub>  
0.063  
0.06  
0.04  
0.02  
0

Stream lines



Strong  
recirculations

Catalytic Wall  
Catalyst: Ru<sub>2</sub>O

# Conclusions & perspectives

- ✓ Efficient coupling between heterogeneous microkinetic models and computational fluid dynamics (complex and fundamental chemistry with complex and general geometries)
- ✓ Description of the solid phase (diffusion/conduction and reaction within the solid: assessment of internal mass transfer limitation)
- ✓ Implementation of the interpolated kMC methodology in catalyticFOAM (in collaboration with K. Reuter/S. Matera, TUM)
- ✓ Multiscale framework for the first-principles analysis of catalytic processes

refox ▾

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www.catalyticfoam.polimi.it

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# CatalyticFOAM

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## Highlights

CHEMICAL ENGINEERING SCIENCE

CATALYTICFOAM ON CHEMICAL ENGINEERING SCIENCE

A recent publication on Chemical Engineering Science describes the CatalyticFOAM solver and shows several examples of applications to heterogeneous reacting systems!

II ● ● ● ●

★ Disclaimer

catalyticFOAM is an academic initiative on the extension of OpenFOAM®.

In particular, it is neither approved nor endorsed by ESI Group, the producer of OpenFOAM® software and owner of the OpenFOAM® trademark.

You find the official web-site of the OpenFOAM® foundation at [www.openfoam.org](http://www.openfoam.org)

Workshop on HPC

## Welcome to CatalyticFOAM

We have developed a new solver for OpenFOAM, that allows for the solution of Navier-Stokes equations for complex and general geometries for reacting flows at surfaces, based on microkinetic descriptions of the surface reactivity. The CatalyticFOAM solver exploits the operator-splitting technique in order to make possible the simulation of multidimensional systems with complex kinetic mechanisms. Such an approach represents an important step towards the first-principles based multiscale analysis of catalytic processes, and it is intended towards the rational understanding and development of new reaction concepts.

Detailed kinetics for homogeneous and heterogeneous

Download the Code

CatalyticFOAM is distributed for free and it is fully compatible with the most recent version of OpenFOAM 2.x.

Click [here](#) to download the code.

Join the catalyticFOAM team!

Are you interested in joining the CatalyticFOAM team for your thesis or post-graduate research? [Contact us!](#)

CO<sub>2</sub> + CH<sub>4</sub> → CO +

[www.catalyticfoam.polimi.it](http://www.catalyticfoam.polimi.it)

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**CatalyticFOAM @polimi.it**

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S. Goisis, A. Osio, M. Calonaci, F. Furnari, B. Baran, Y. Niyazi**



Alexander von Humboldt  
Stiftung / Foundation



Laboratory  
of Catalysis and  
Catalytic Processes | LCCP



Thank you for your  
attention!

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Raffaello, The school of Athens, 1509, Apostolic Palace, Roma

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