

Studying nucleation processes with computer simulations:

Neural networks for force calculation and structure recognition

Christoph Dellago

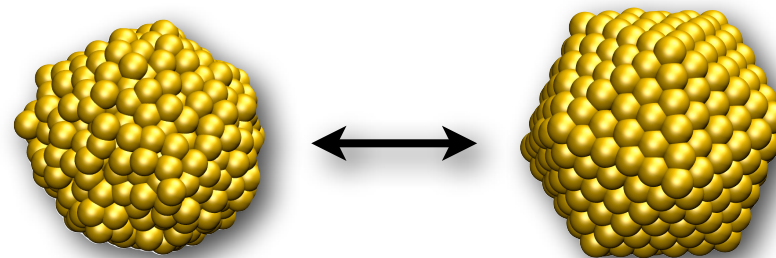
Faculty of Physics
University of Vienna
Austria

Jörg Behler (U Bochum)

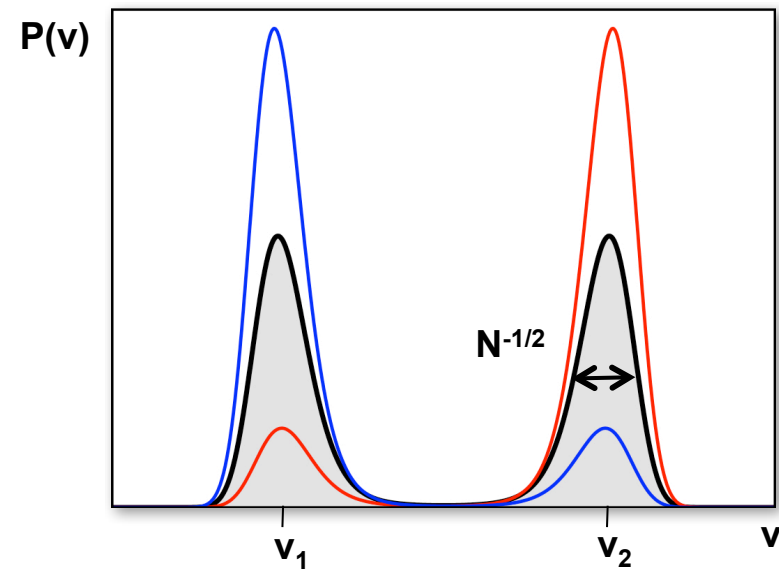
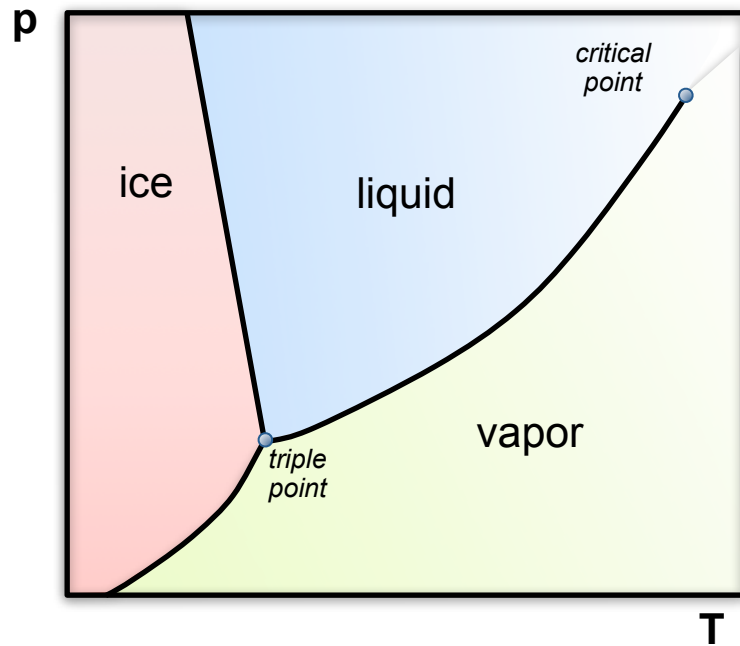
Andreas Singraber (U Vienna)

Philipp Geiger (U Vienna)

Ernesto Borrero (U Vienna)

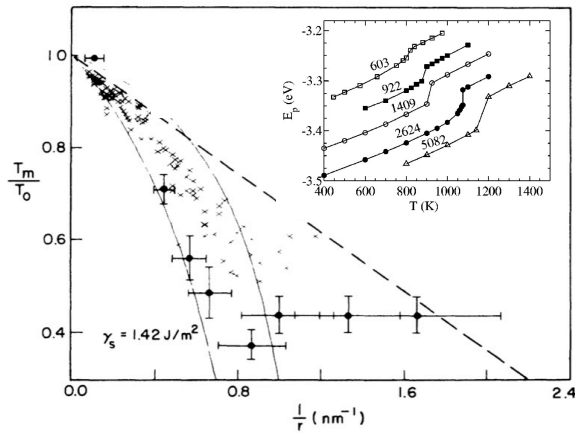


Rounding of phase transition



Phase transitions in small systems

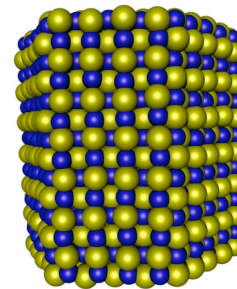
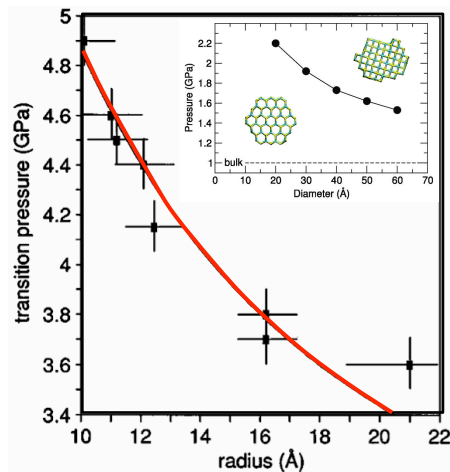
Melting point depression of Au nanoparticles



$$\gamma_l < \gamma_s$$

Y. Wang, S. Teitel, and C. Dellago, JCP 122, 214722 (2005)
 T. Castro, R. Reifenger, E. Choi, and R. P. Andres, PRB 42, 8548 (1990)

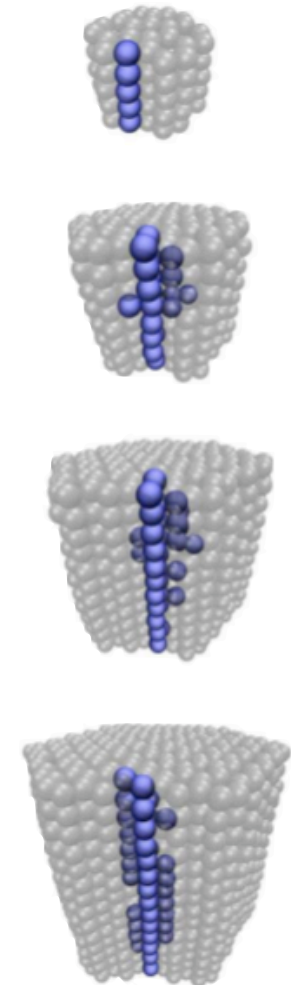
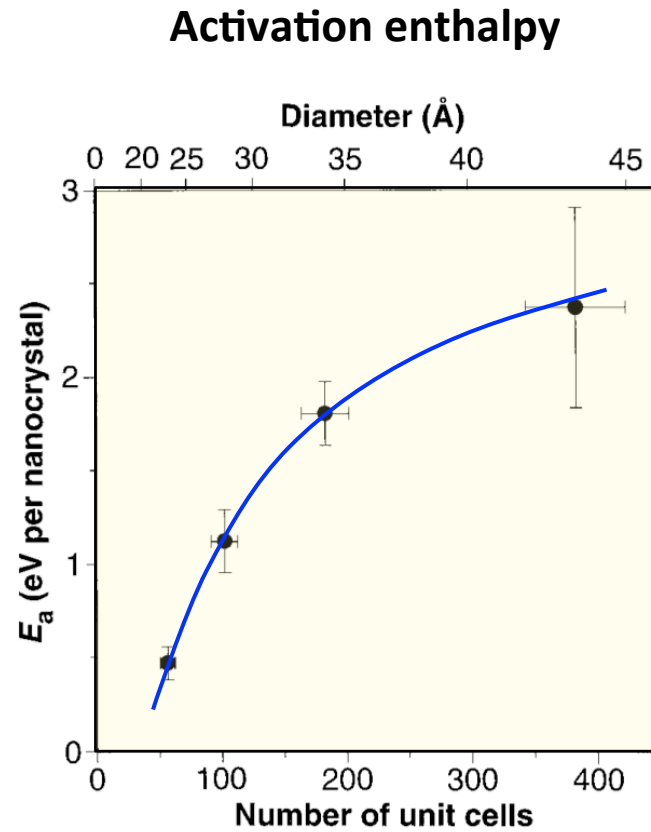
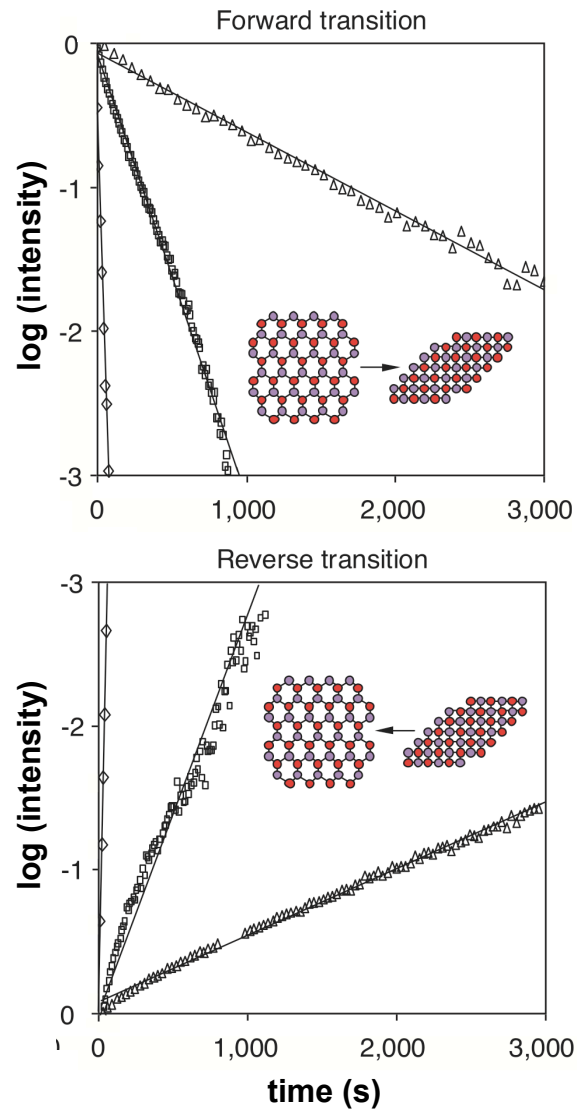
Transition pressure elevation in CdSe nanocrystals



$$\gamma_{WZ} < \gamma_{RS}$$

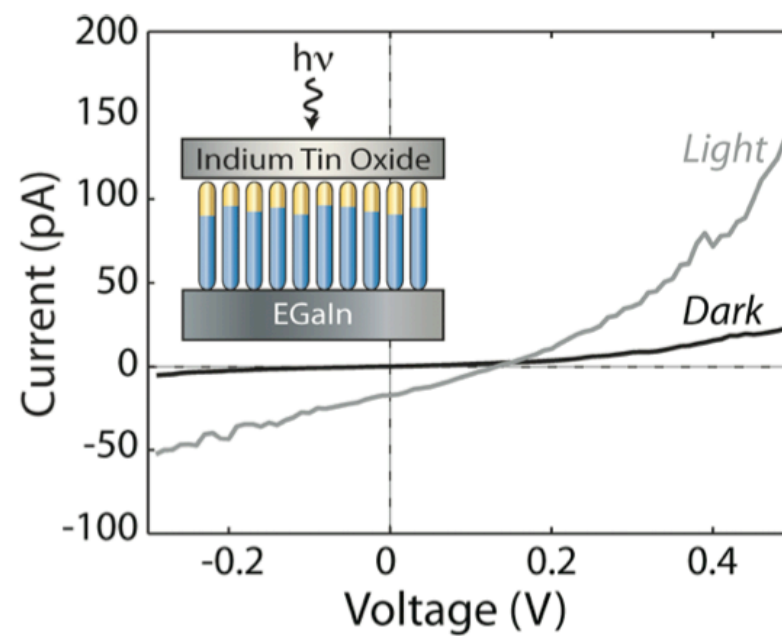
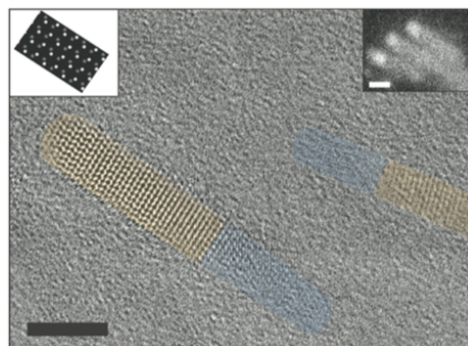
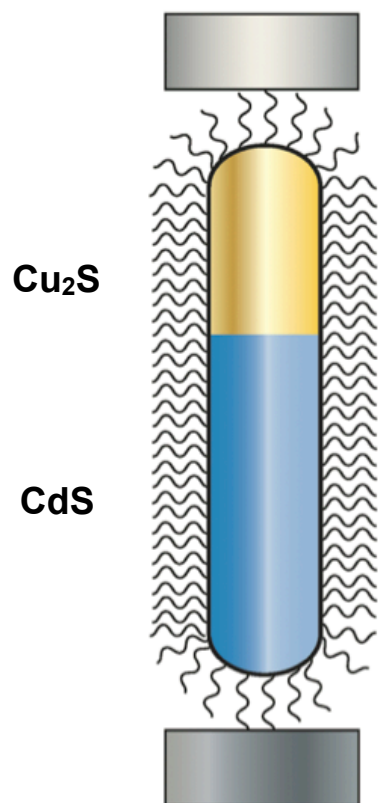
M. Grünwald and C. Dellago, Nano Letters 9, 2099 (2009)
 S. H. Tolbert and A. P. Alivisatos, Science 265, 373 (1994)

Transformation kinetics and mechanism



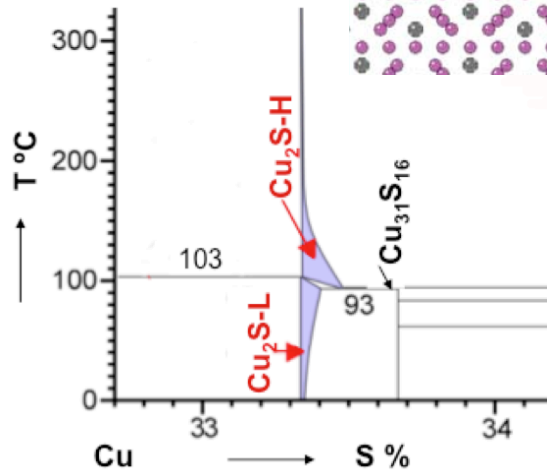
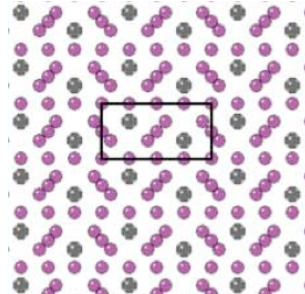
K. Jacobs, D. Zaziski, E. C. Scher, A. B. Herhold, and A. P. Alivisatos, *Science* 293, 1803 (2001)
M. Grünwald and C. Dellago, *Nano Letters* 9, 2099 (2009)

Cu₂S nanorods

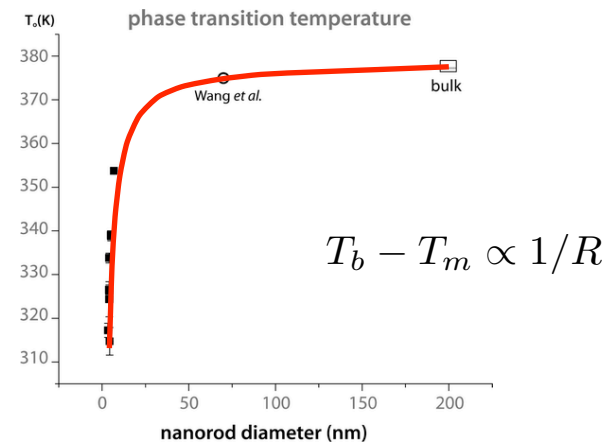
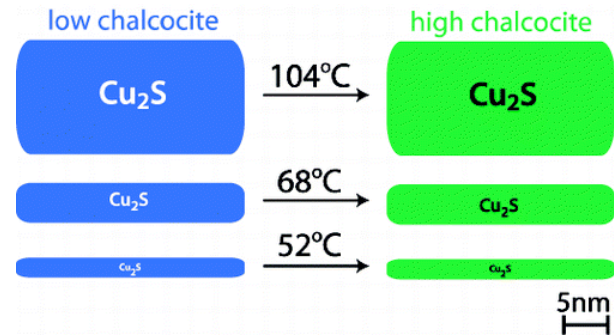
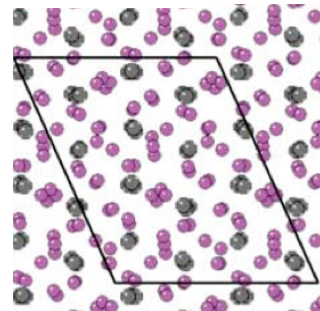


Low-to-high chalcocite transition in Cu₂S nanorods

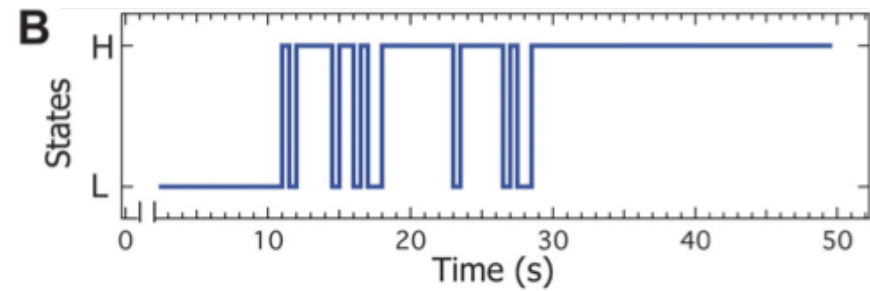
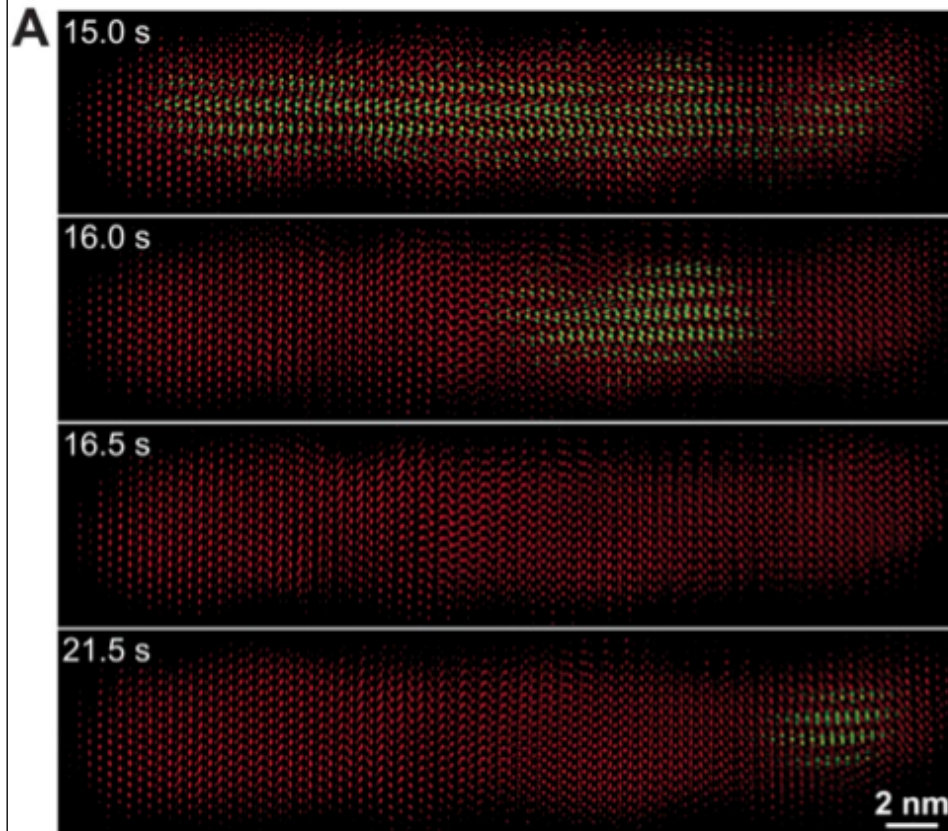
high chalcocite



low chalcocite



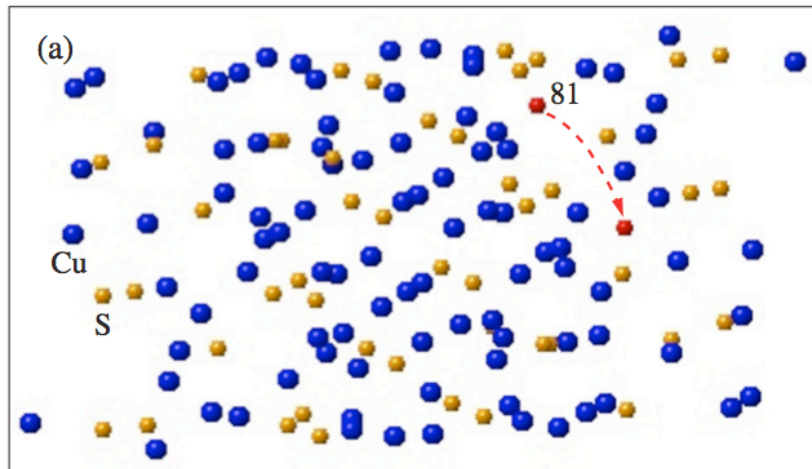
Low- to high-chalcocite transition



$$P(\text{LC}) = \frac{1}{1 + \exp \left\{ \frac{\Delta E}{k_B T} \frac{(T - T_{\text{LH}})}{T_{\text{LH}}} \right\}}$$

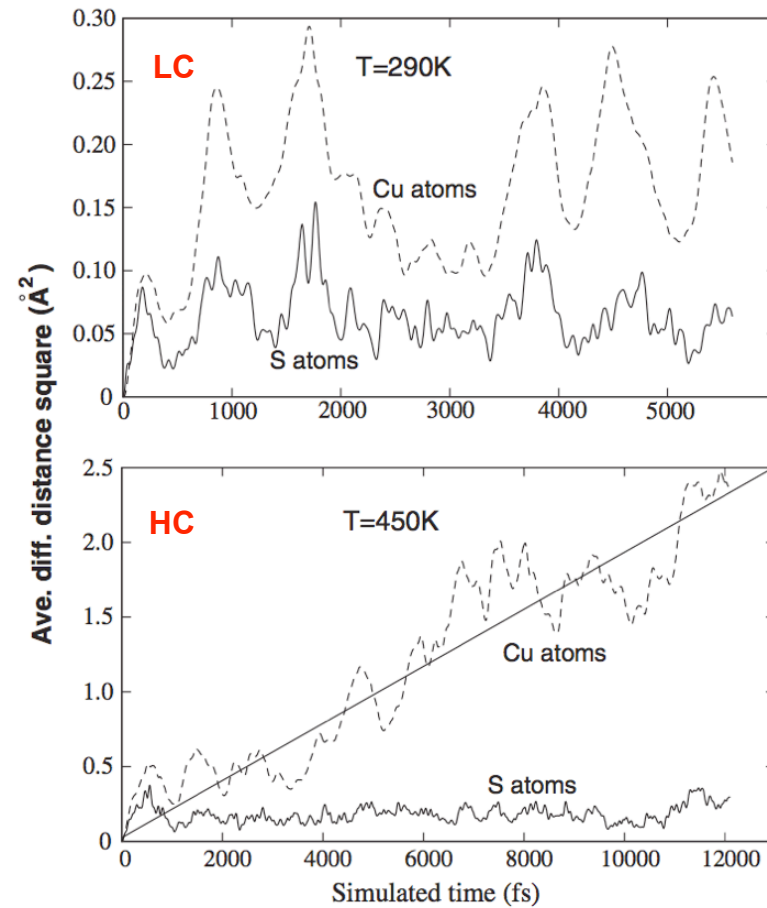
$$\Delta T = \frac{4k_B T_m^2}{\Delta E} \approx 0.2 \text{ K}$$

Cu₂S ab initio simulations

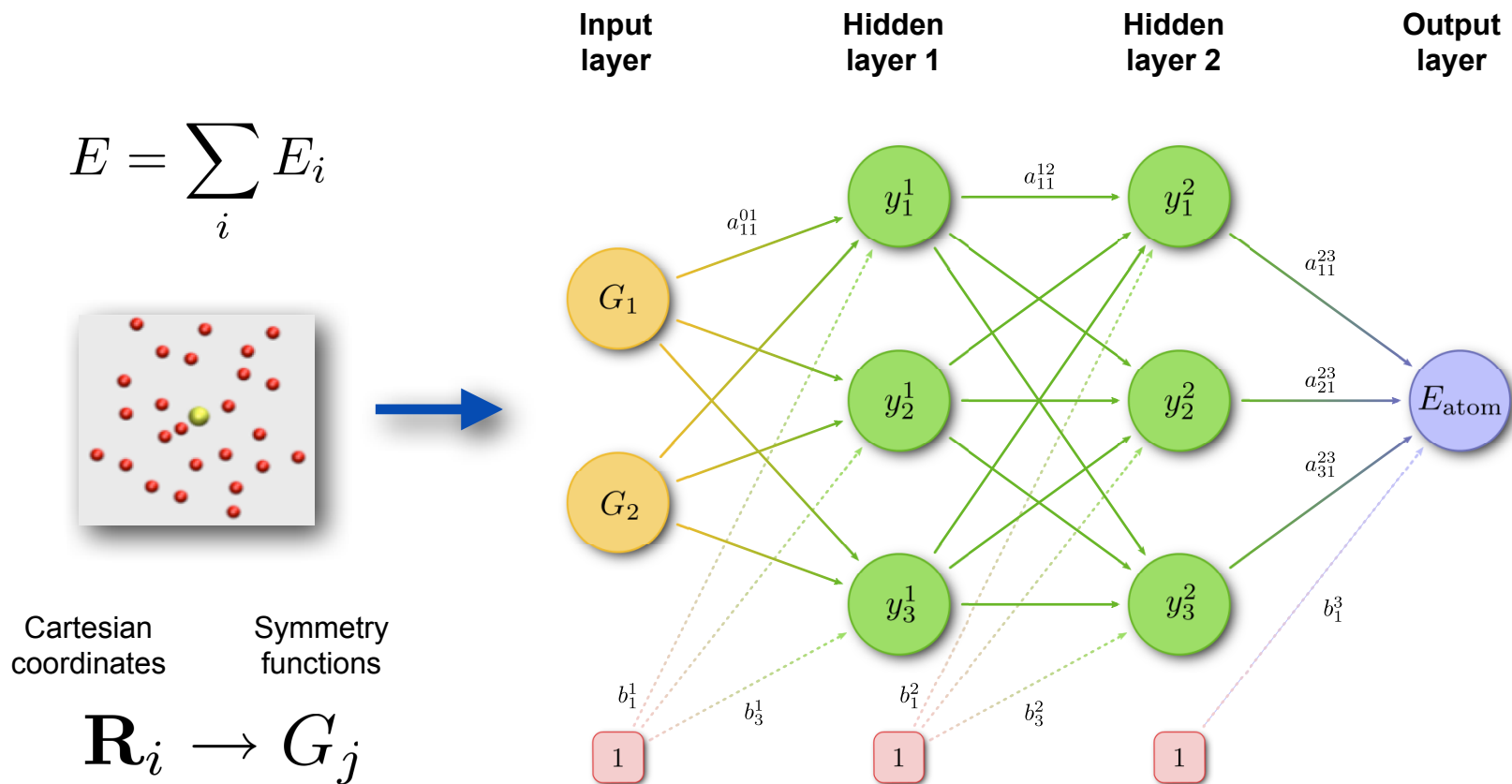


High chalcocite: N=48, 12 ps, T=450 K

Low chalcocite: N=144, 6 ps, T=290 K



Representing energy surfaces with neural networks

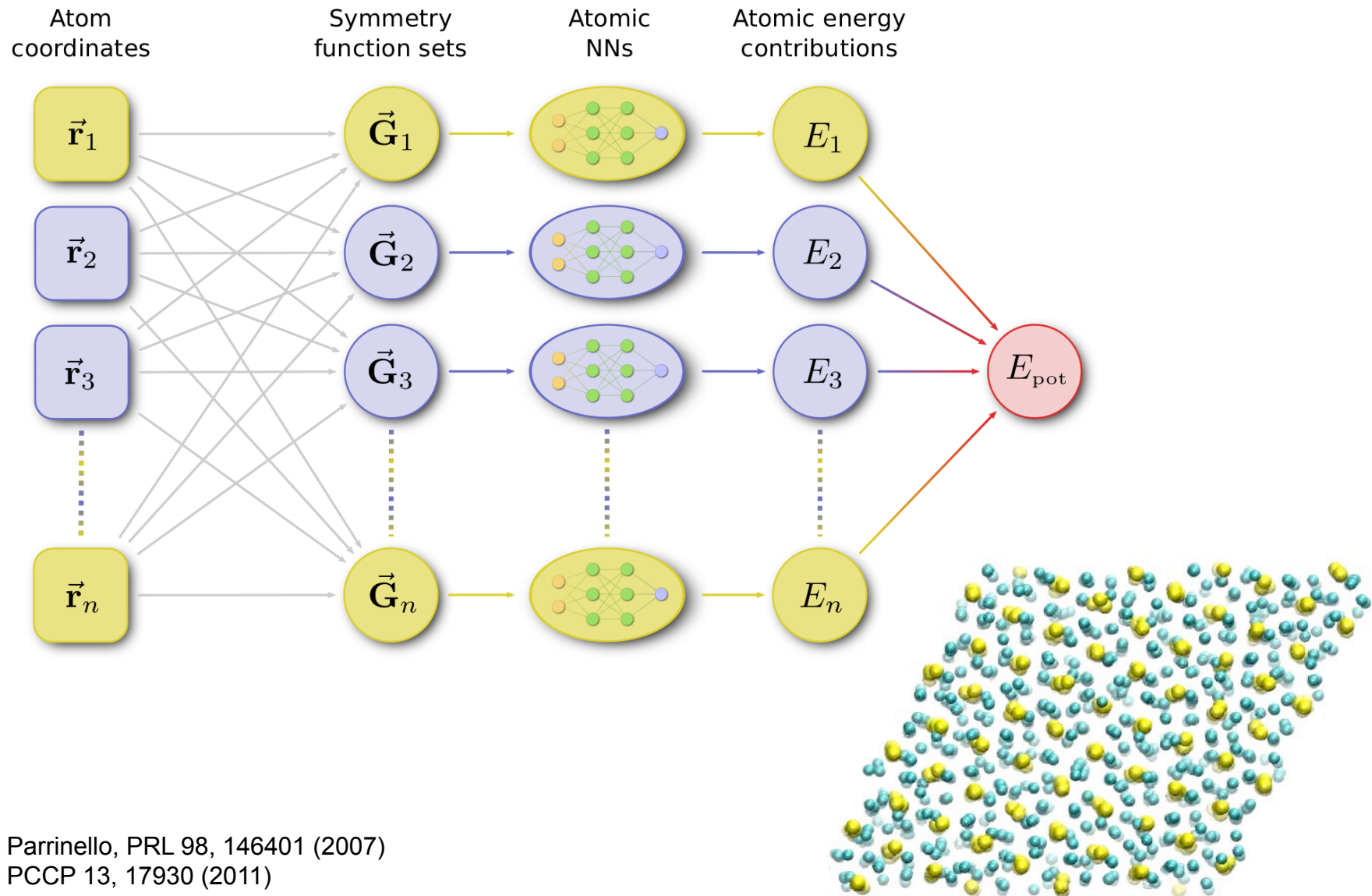


$$E_{\text{atom}} = \tilde{f} \left(b^3 + \sum_n a_n^{23} f \left(b_m^2 + \sum_m a_{mn}^{12} f \left(b_l^1 + \sum_l a_{lm}^{01} G_l \right) \right) \right)$$

Behler, Parrinello, PRL 98, 146401 (2007)
 Behler, Lorenz, Reuter, JCP 127, 014705 (2007)
 Behler, PCCP 13, 17930 (2011)

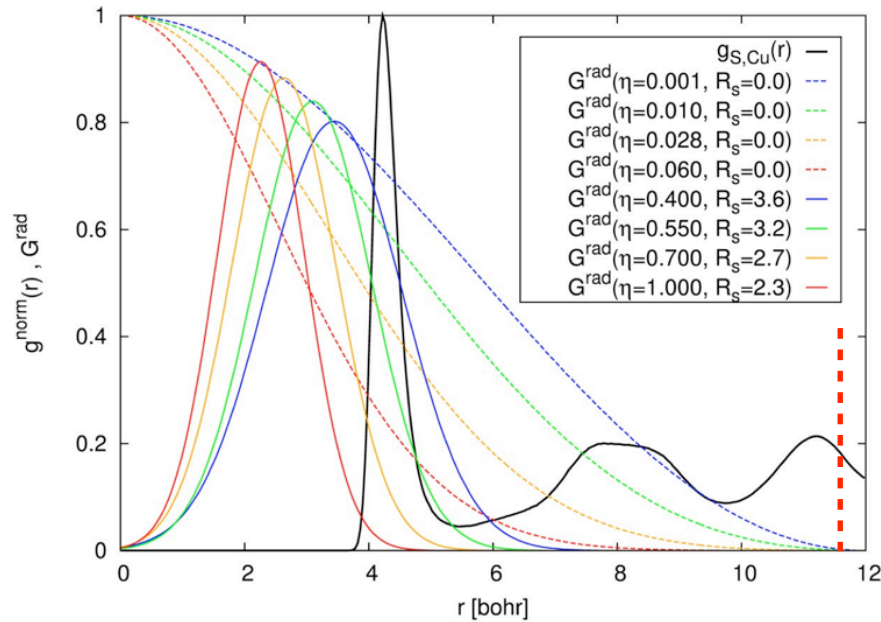
Al, Si, Na, Cu, ZnO, H₂O

One neural network per atom species



Behler, Parrinello, PRL 98, 146401 (2007)
Behler, PCCP 13, 17930 (2011)

Symmetry functions



cutoff = 6 Angstroem

$$G_i^1 = \sum_{j \neq i} f_c(R_{ij}),$$

$$G_i^2 = \sum_{j \neq i} e^{-\eta(R_{ij}-R_s)^2} f_c(R_{ij}),$$

$$G_i^3 = \sum_{j \neq i} \cos(\kappa R_{ij}) f_c(R_{ij}),$$

$$G_i^4 = 2^{-\zeta} \sum_{j,k \neq i} (1 + \lambda \cos \theta_{ijk})^\zeta \\ \times e^{-\eta(R_{ij}^2 + R_{ik}^2 + R_{jk}^2)} f_c(R_{ij}) f_c(R_{ik}) f_c(R_{jk}),$$

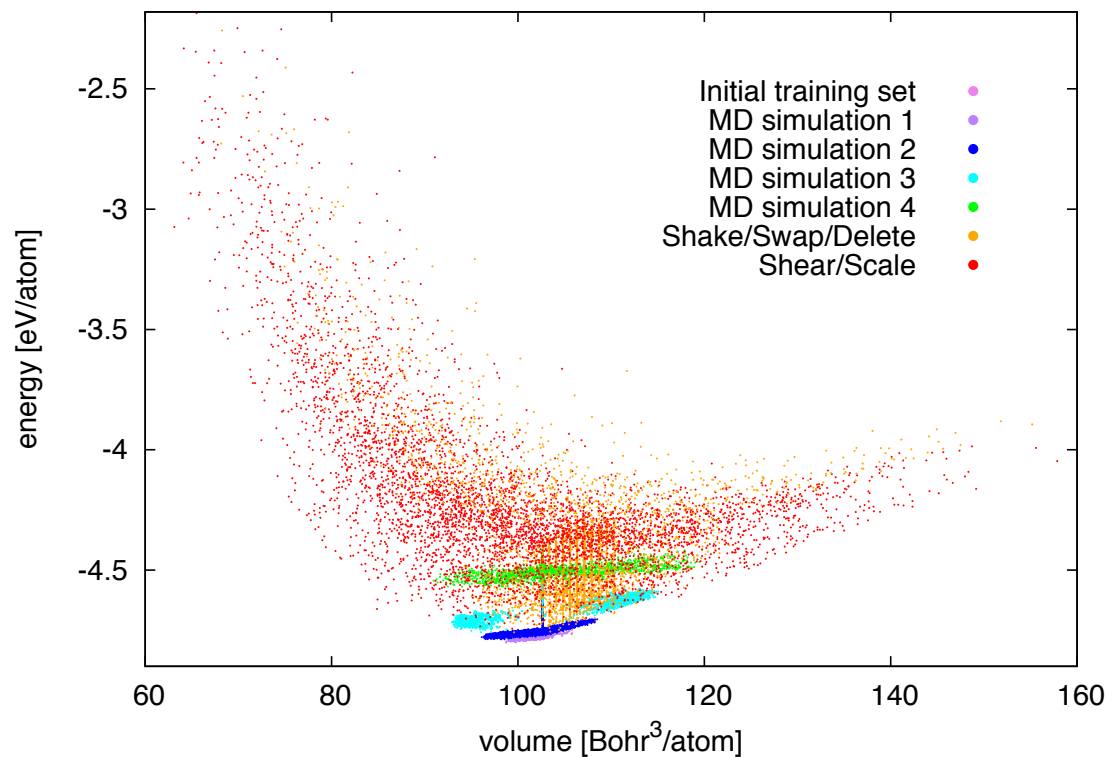
$$G_i^5 = 2^{-\zeta} \sum_{j,k \neq i} (1 + \lambda \cos \theta_{ijk})^\zeta \\ \times e^{-\eta(R_{ij}^2 + R_{ik}^2)} f_c(R_{ij}) f_c(R_{ik}),$$

$$G_i^6 = 2^{-\zeta} \sum_{j,k \neq i} (1 + \lambda \cos \theta_{ijk})^\zeta f_a(R_{ij}) f_a(R_{ik}),$$

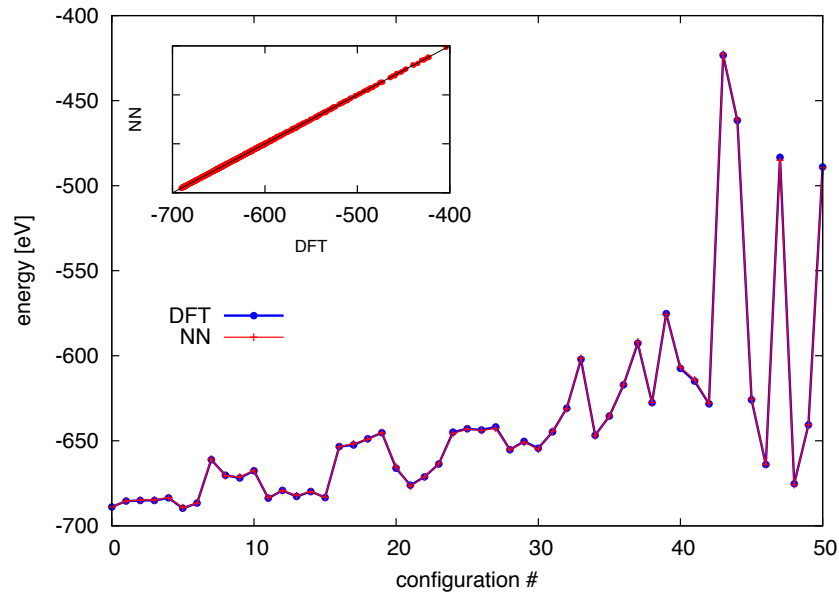
$$G_i^7 = \frac{1}{2} \sum_{j,k \neq i} \sin[(\theta_{ijk} - \alpha)\eta] f_b(R_{ij}) f_b(R_{ik}),$$

Training set

- Perfect crystals (distorted)
- MD simulations NpT, NVT (different T and p)
- Shear + scale
- Displace + exchange + delete
- Reference energies and forces ab initio
- 21.000 training configurations

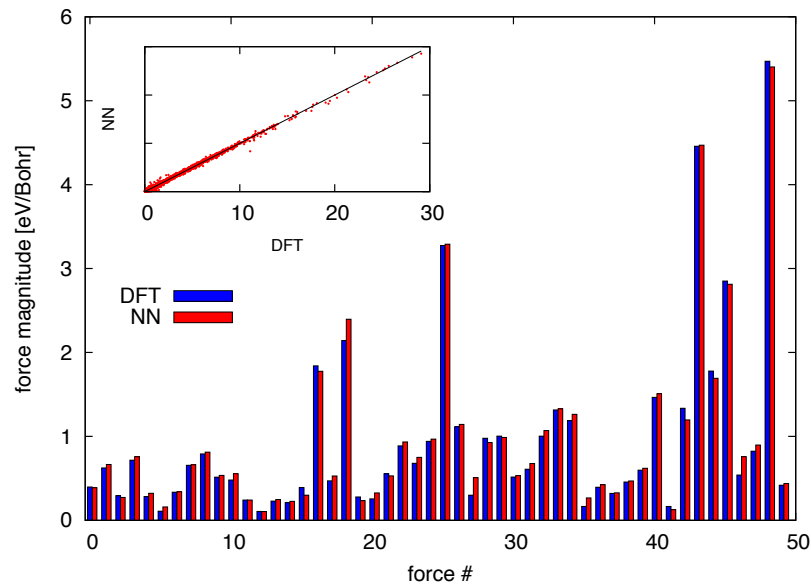


Energy and force prediction from NN



Neural Network

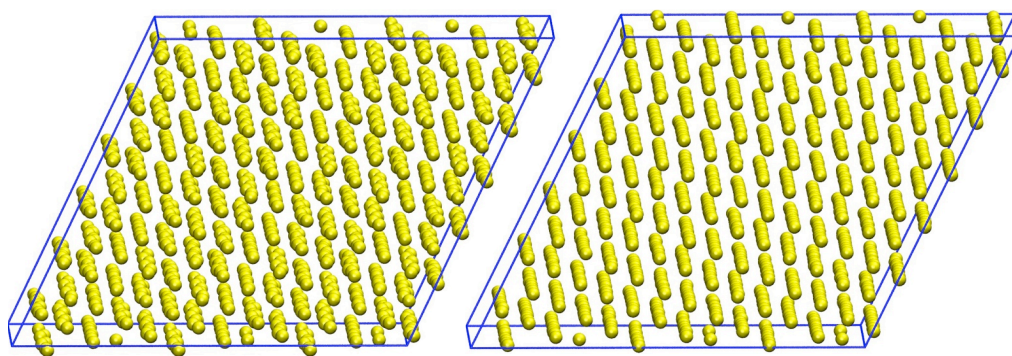
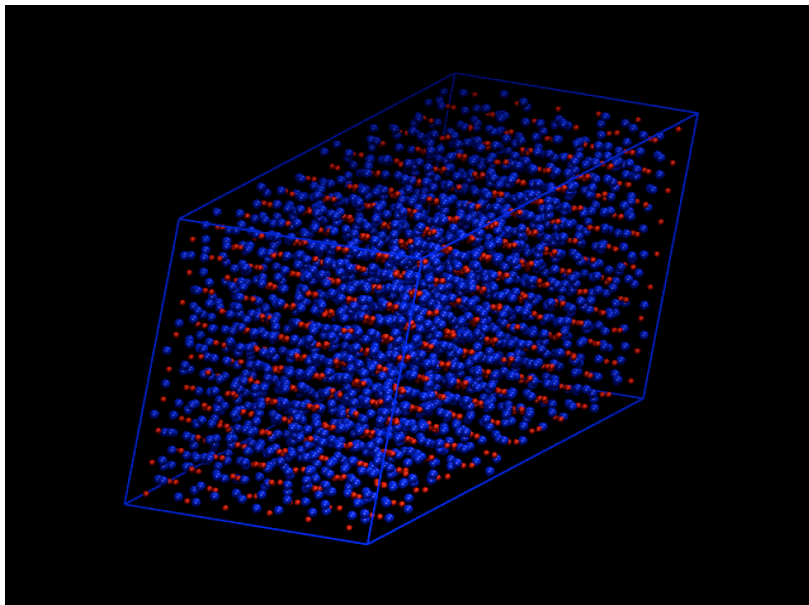
- 50 symmetry functions (Cu, S)
- 2000 weights
- 2 hidden layers 25 nodes
- cutoff: 6 Å
- training: Kalman filter
- minimize errors in forces and energies



Errors

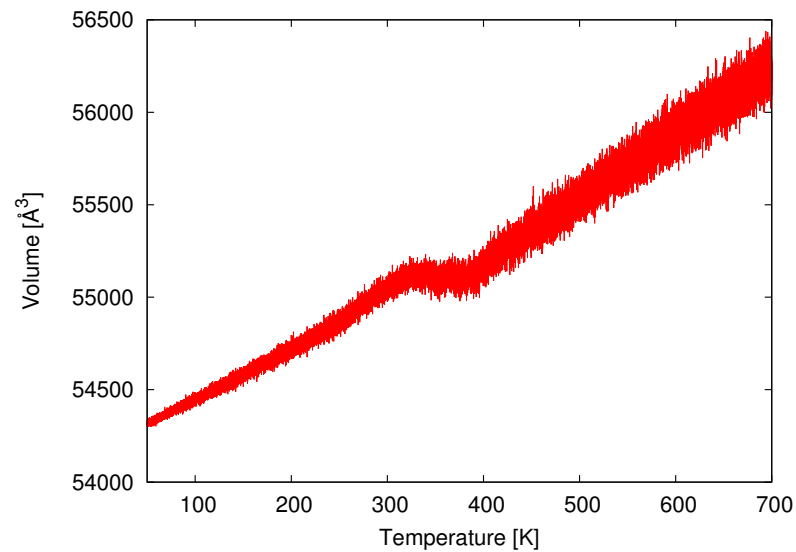
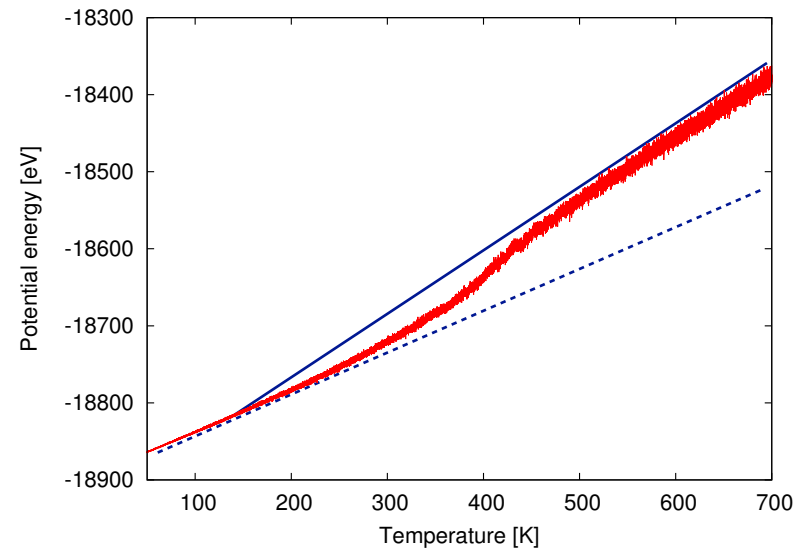
- Energies: 3 meV/atom
- Forces: 80 meV/Bohr

Low- to high-chalcocite transition in Cu_2S



low chalcocite

high chalcocite

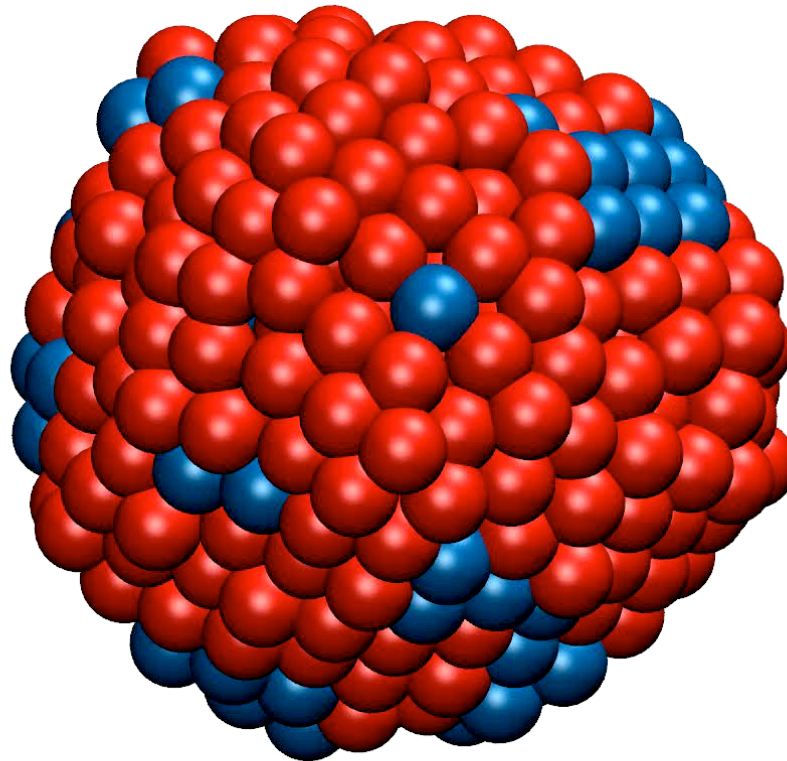


Melting/freezing of Au nanoparticles

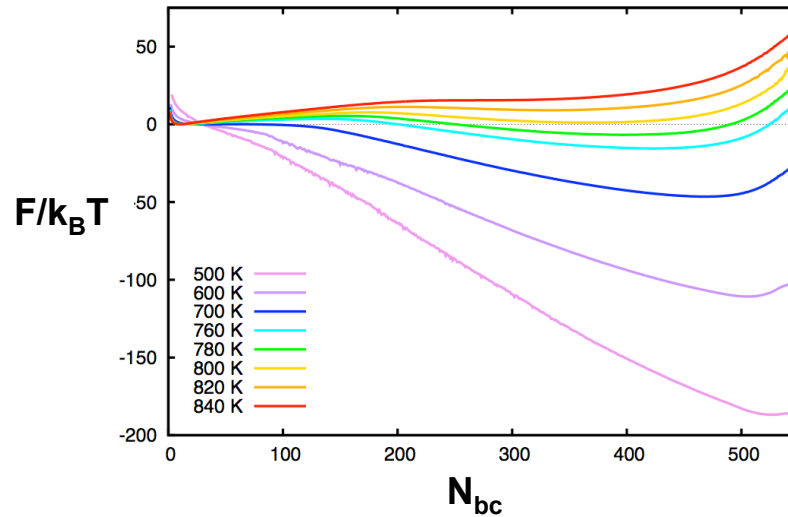
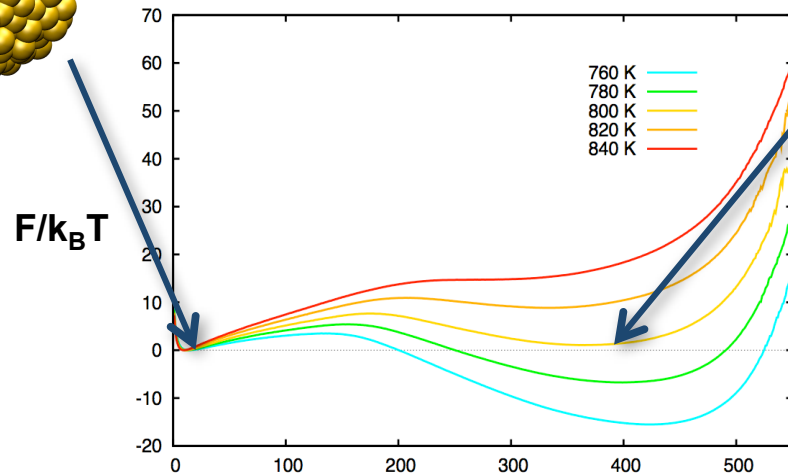
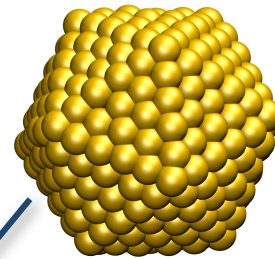
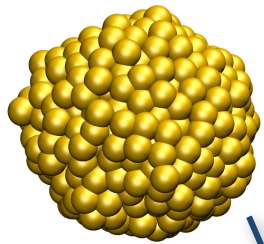
T=760 K, N=561

blue = crystalline

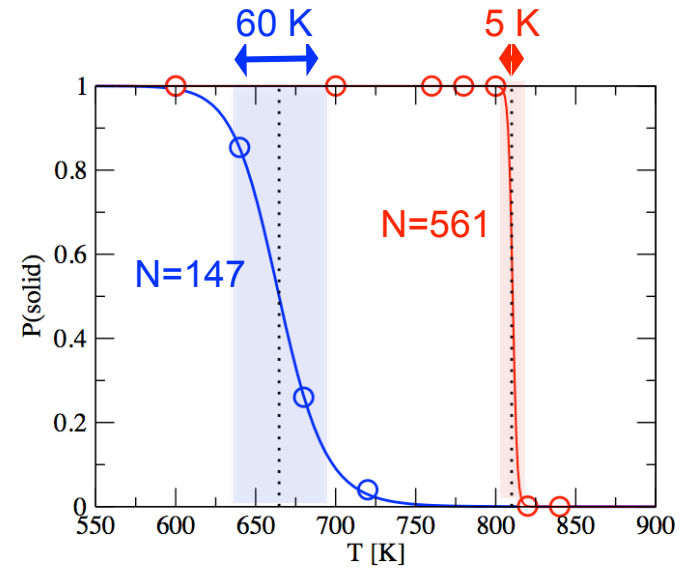
red=liquid



Free energy profiles



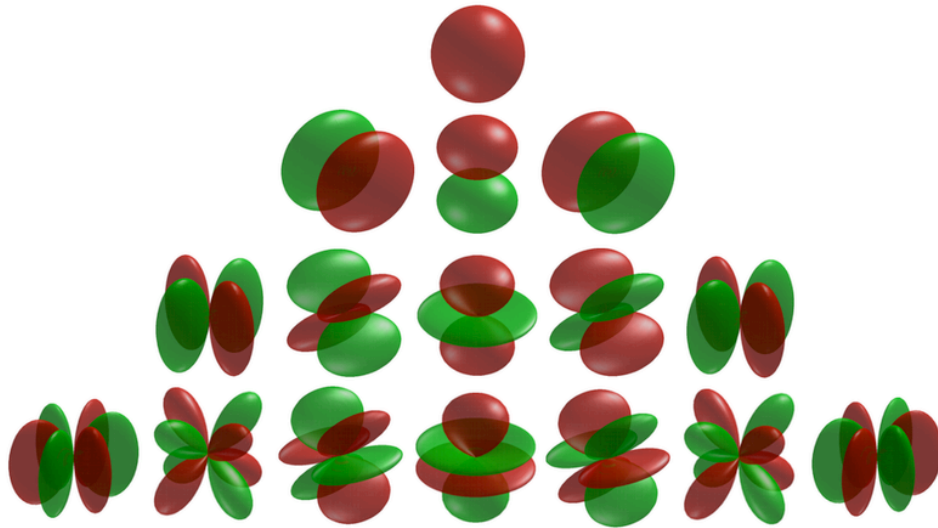
$$\Delta T = \frac{4k_B T_m^2}{\Delta E}$$



$$P(\text{solid}) = \frac{1}{1 + \exp \left\{ \frac{\Delta E}{k_B T_m} \frac{(T - T_m)}{T} \right\}}$$

Local structure determination

Steinhardt bond order parameters



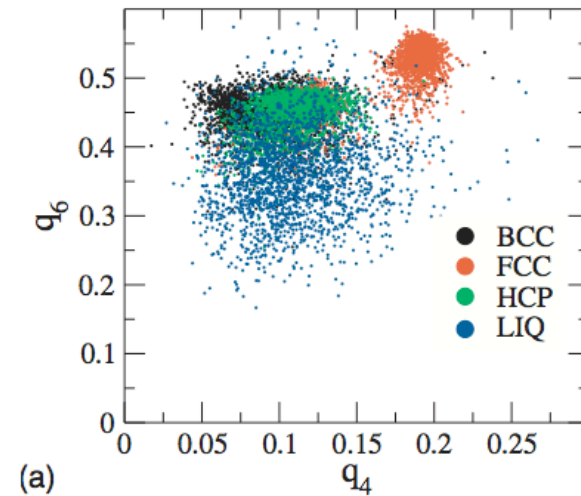
$$q_{lm}(i) = \frac{1}{N_b(i)} \sum_{j=1}^{N_b(i)} Y_{lm}(\mathbf{r}_{ij}) \quad q_l(i) = \sqrt{\frac{4\pi}{2l+1} \sum_{m=-l}^l |q_{lm}(i)|^2}$$

correlation
sign of crystallinity

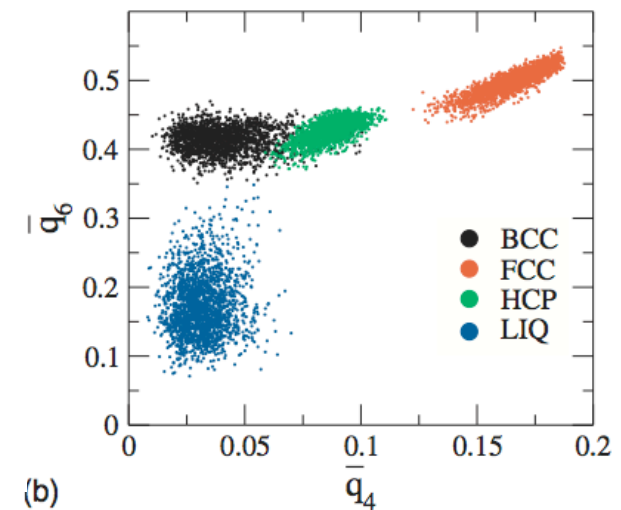
$$S_{ij} = \sum_{m=-6}^6 q_{6m}(i) q_{6m}^*(j)$$

P. Steinhardt, D. R. Nelson, and M. Ronchetti, PRB 28, 784 (1983)
 Ten Wolde, Ruiz-Montero, Frenkel, JCP 110, 1591 (1999)
 W. Lechner and C. Dellago, JCP 129, 114707 (2008)

Lennard-Jones, 20% undercooling

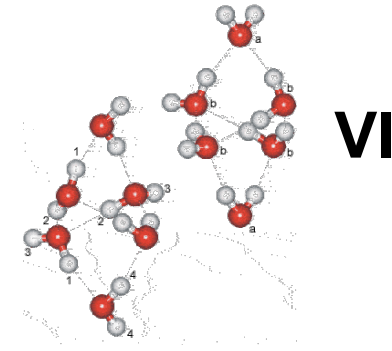
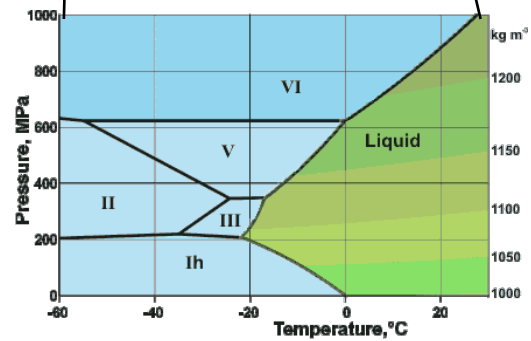
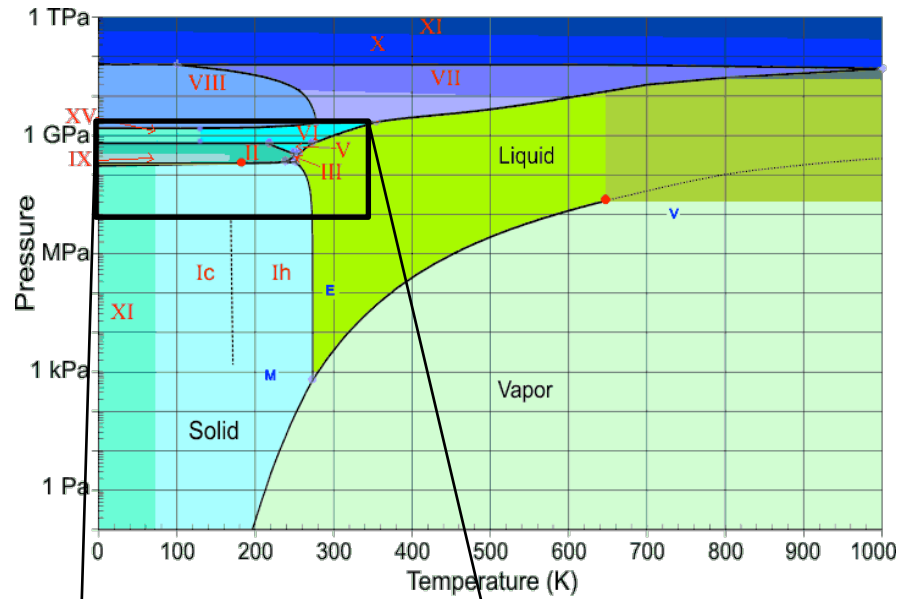


(a)

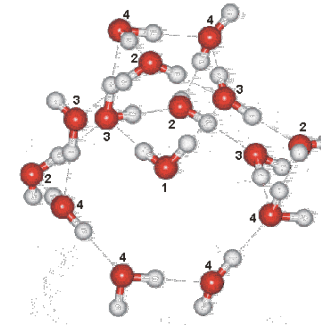


(b)

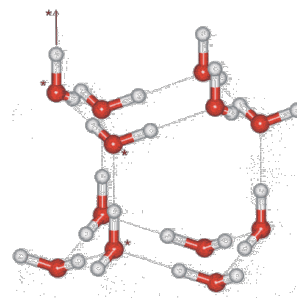
Liquid water and ice



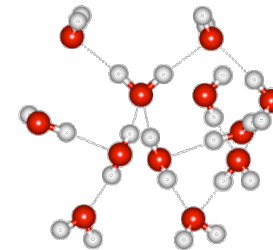
VI



V



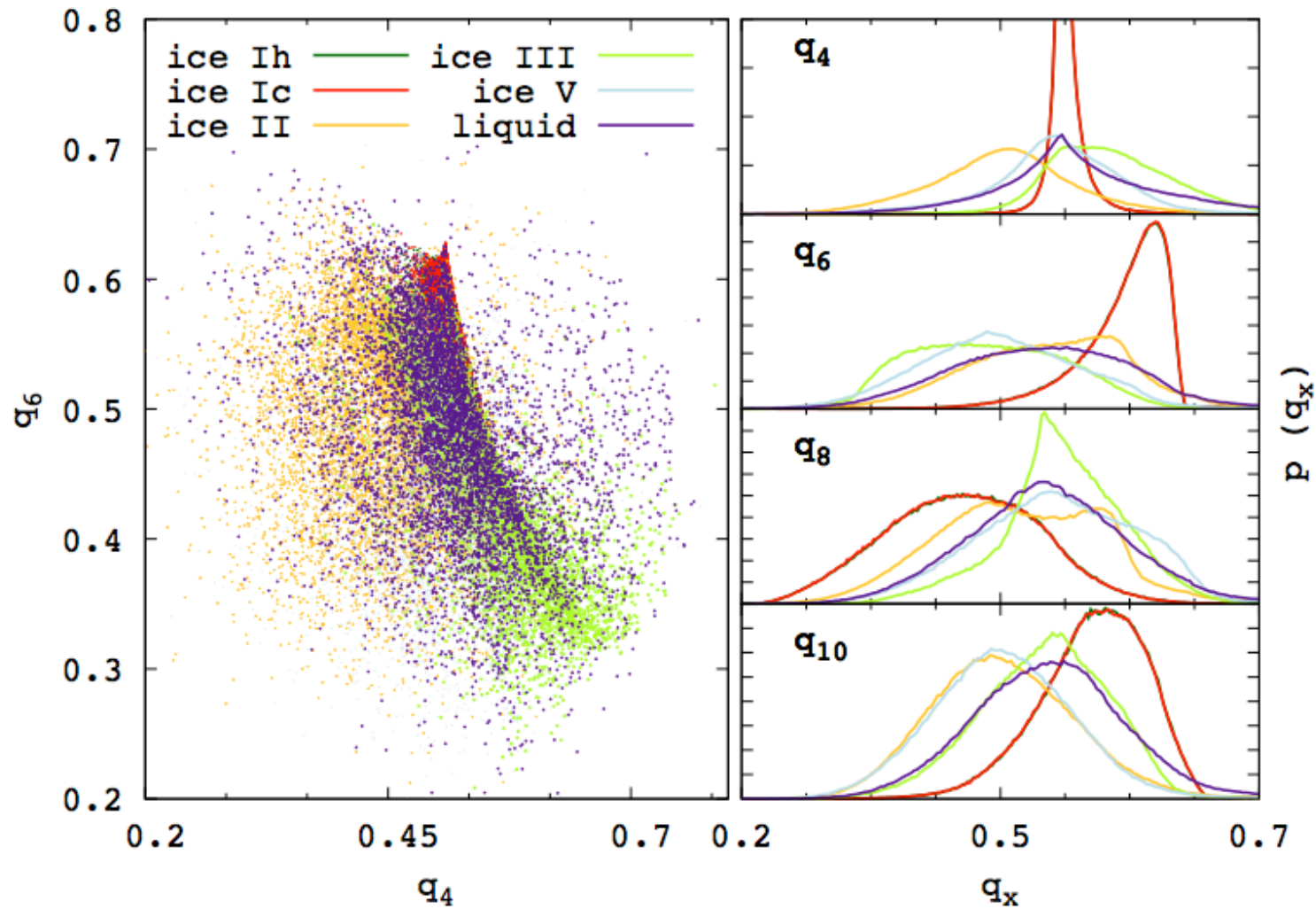
Ih



III

T. Lörting (Univ. Innsbruck)

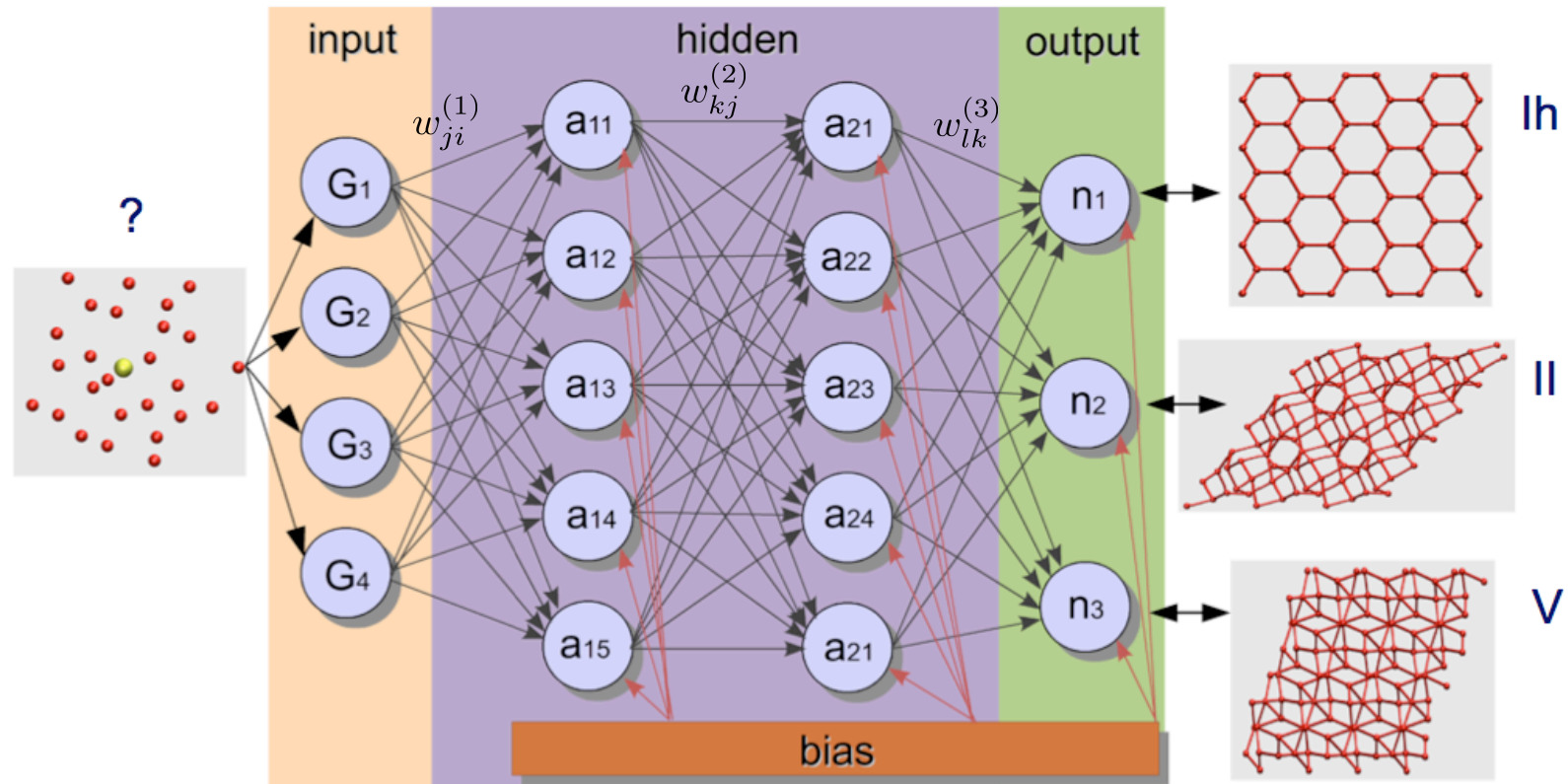
Do bond order parameters work for ice?



Ideal properties of order parameters

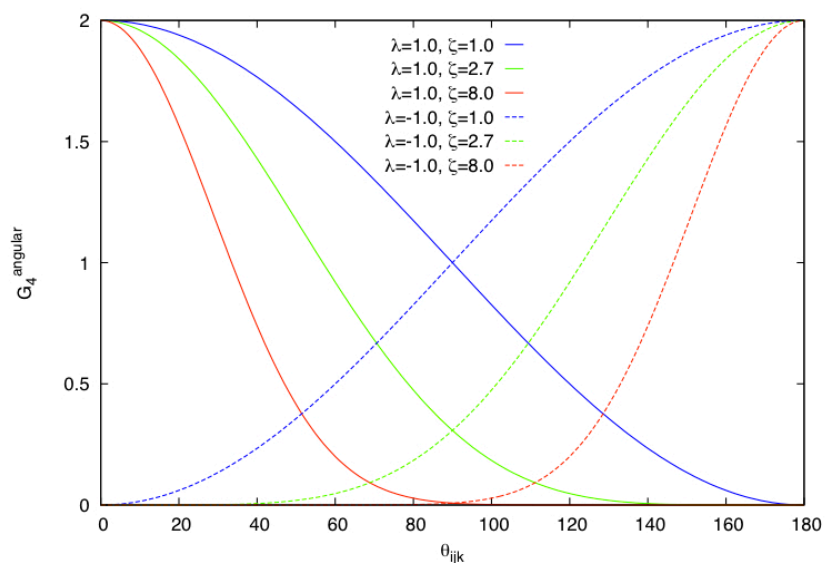
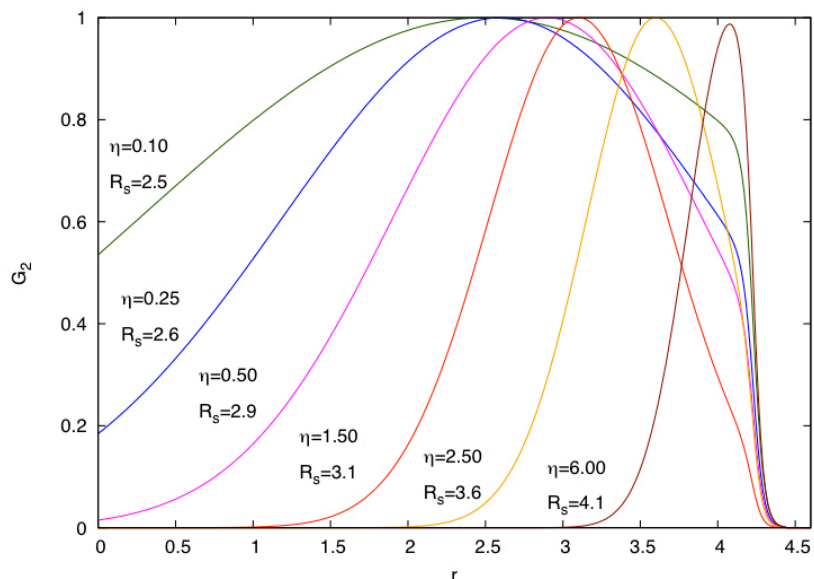
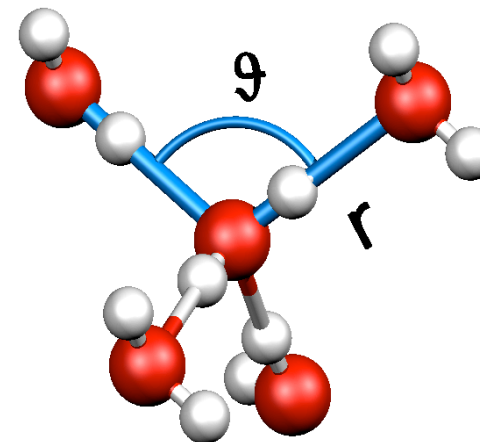
- ▶ **Accurate assignment of structures**
- ▶ **Invariant with respect to rotations, translations, permutations**
- ▶ **Works over wide range of p & T**
- ▶ **Robust with respect to thermal fluctuations & elastic distortions**
- ▶ **Recognize defects and structures at interfaces**
- ▶ **Flexible**
- ▶ **Computationally inexpensive**

Neural network for structure recognition



- 30 symmetry functions, 2x30 hidden nodes, 5 output nodes
- ≈ 2000 weights
- Training with global extended Kalman filter to find optimum weights
- Neural network training on GPU (takes a few days)
- Improvement of symmetry functions by sensitivity analysis

Symmetry functions



$$G_i^1 = \sum_{j \neq i} f_c(R_{ij}),$$

$$G_i^2 = \sum_{j \neq i} e^{-\eta(R_{ij}-R_s)^2} f_c(R_{ij}),$$

$$G_i^3 = \sum_{j \neq i} \cos(\kappa R_{ij}) f_c(R_{ij}),$$

$$G_i^4 = 2^{-\zeta} \sum_{j, k \neq i} (1 + \lambda \cos \theta_{ijk})^\zeta \times e^{-\eta(R_{ij}^2 + R_{ik}^2 + R_{jk}^2)} f_c(R_{ij}) f_c(R_{ik}) f_c(R_{jk}),$$

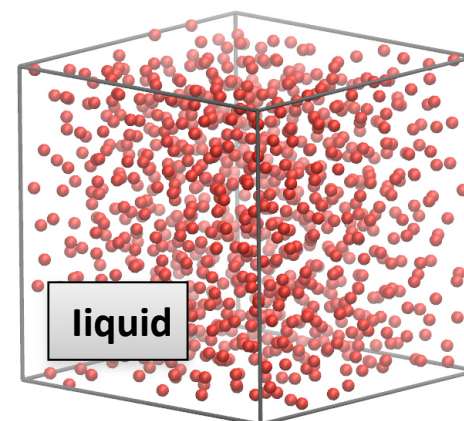
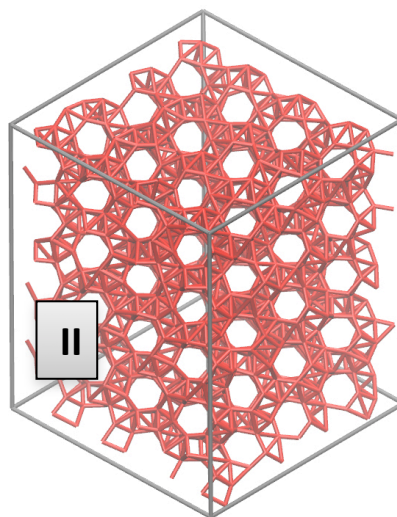
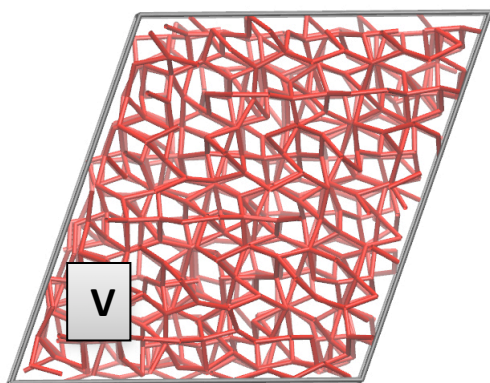
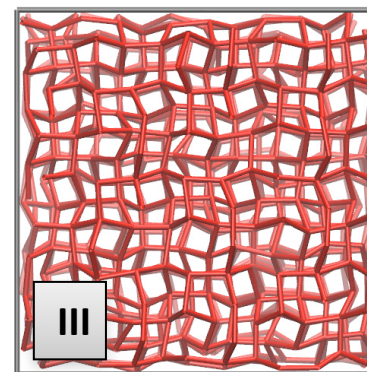
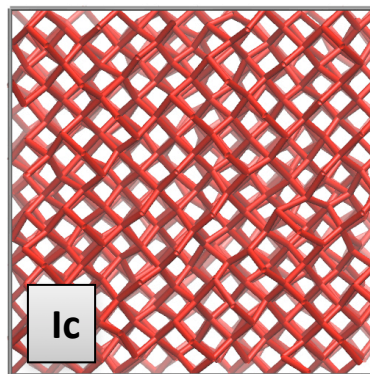
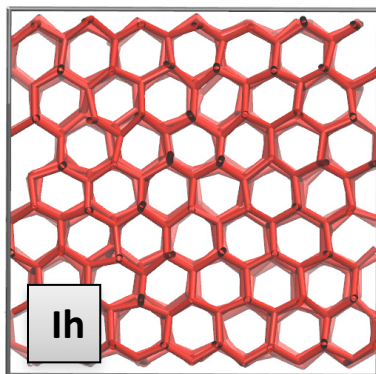
$$G_i^5 = 2^{-\zeta} \sum_{j, k \neq i} (1 + \lambda \cos \theta_{ijk})^\zeta \times e^{-\eta(R_{ij}^2 + R_{ik}^2)} f_c(R_{ij}) f_c(R_{ik}),$$

$$G_i^6 = 2^{-\zeta} \sum_{j, k \neq i} (1 + \lambda \cos \theta_{ijk})^\zeta f_a(R_{ij}) f_a(R_{ik}),$$

$$G_i^7 = \frac{1}{2} \sum_{j, k \neq i} \sin[(\theta_{ijk} - \alpha)\eta] f_b(R_{ij}) f_b(R_{ik}),$$

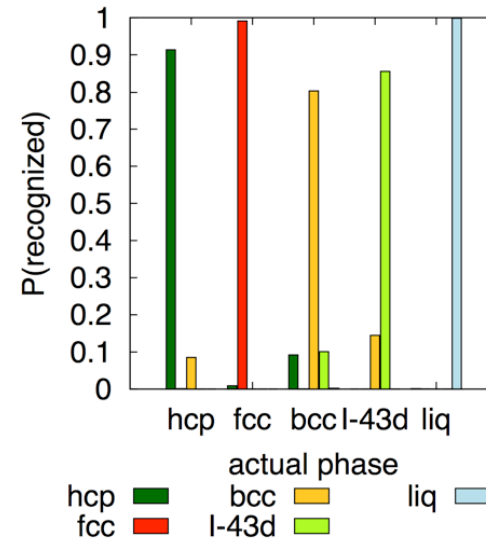
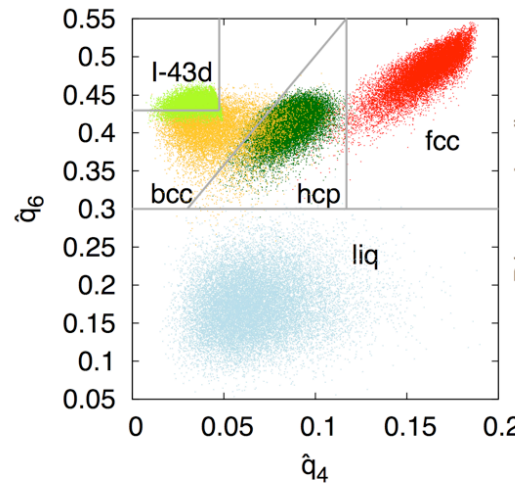
Training set & test set

- Run MD simulations at **different p and T** for the **pure phases of H₂O**
- Pick 30.000 **uncorrelated** local environments from MD configurations

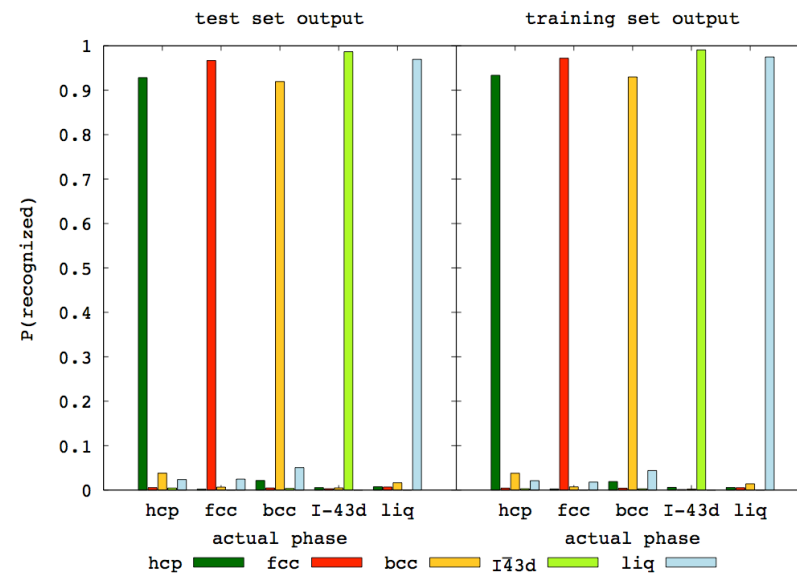


Structure prediction for Lennard-Jonesium

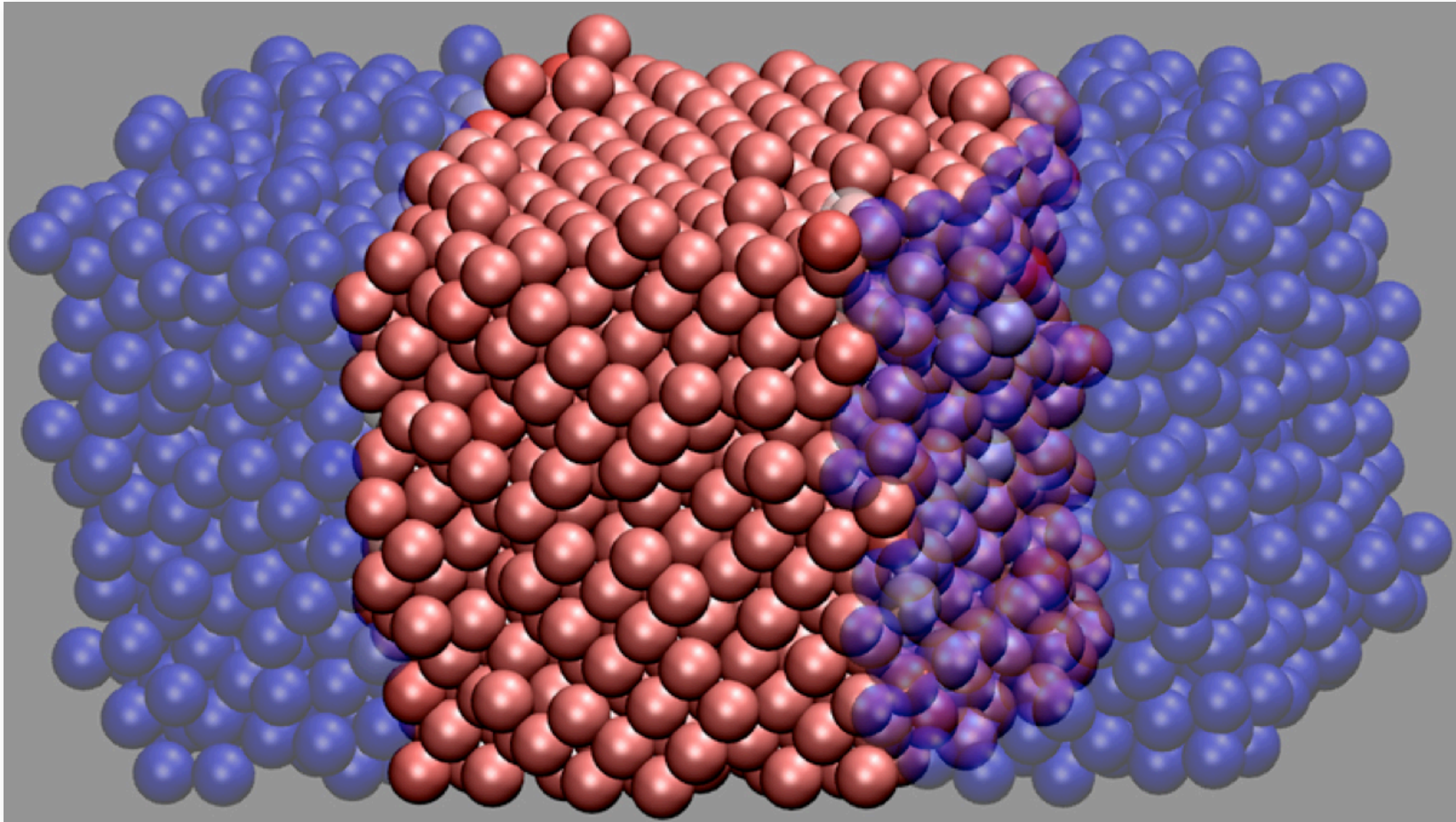
bond order parameters



neural network

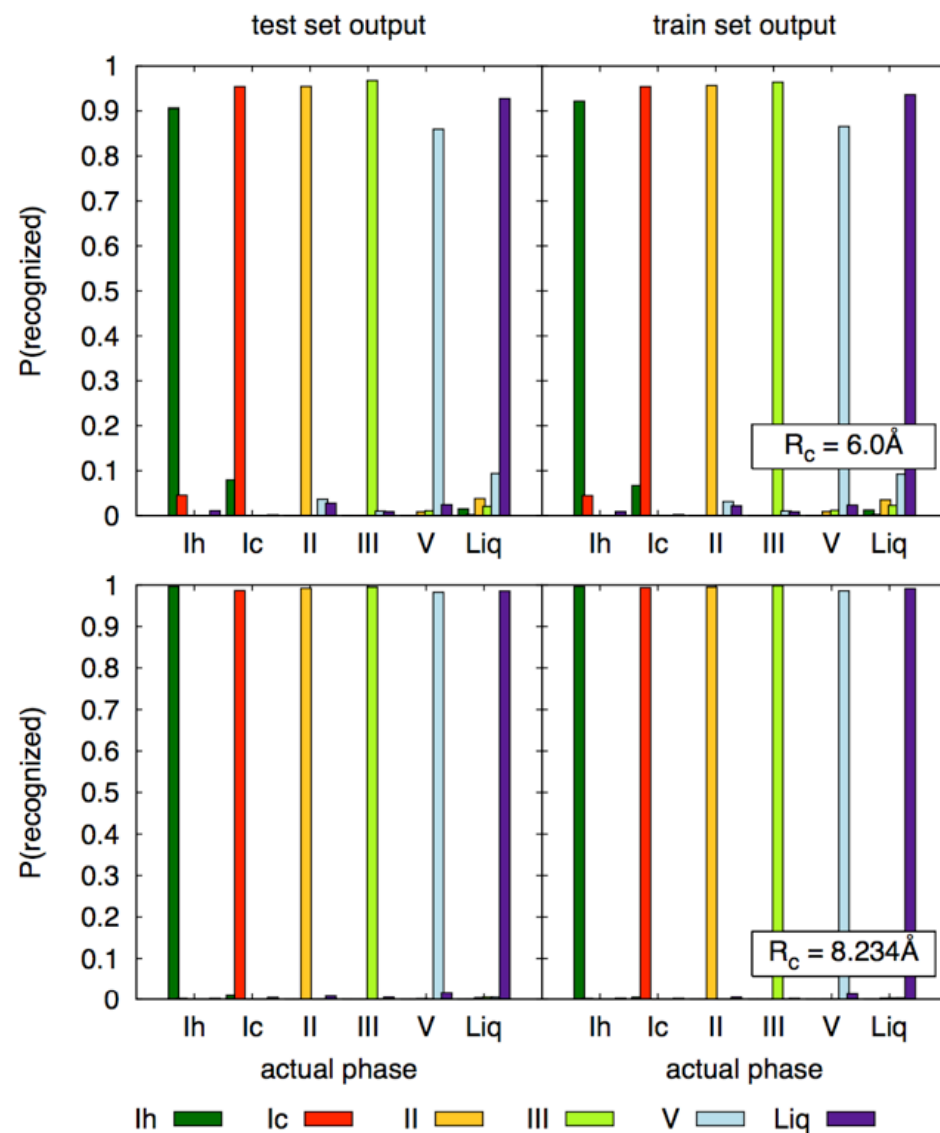


fcc slab in Lennard-Jones liquid



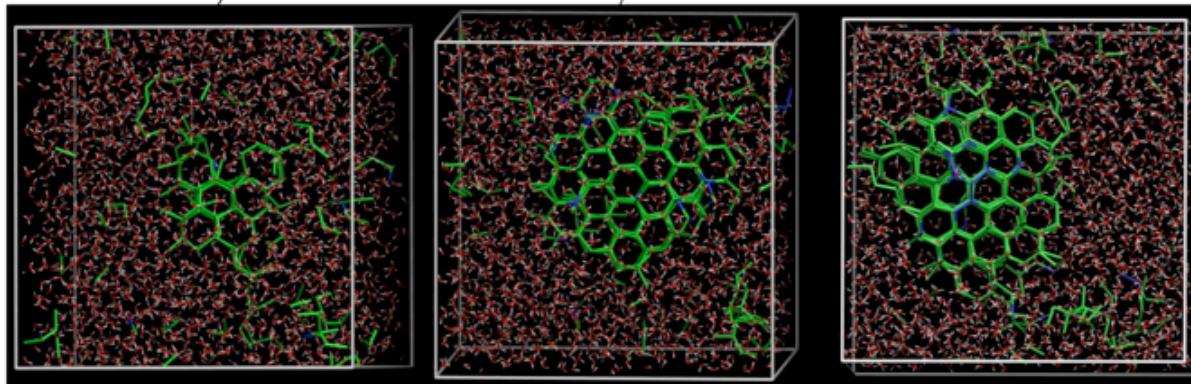
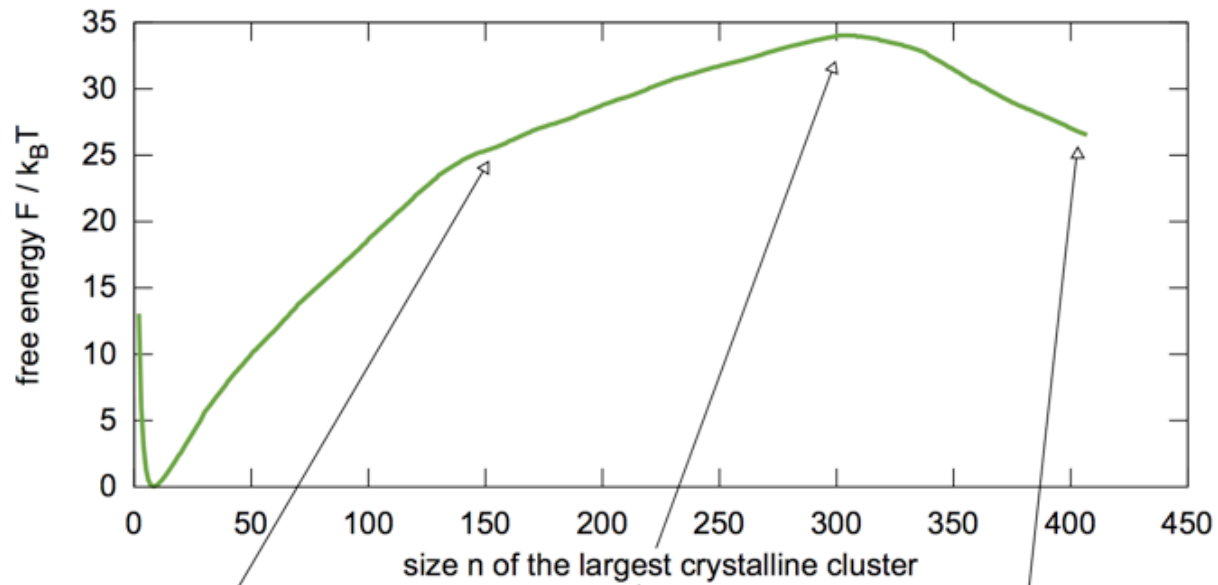
Structure Prediction for Water and Ice

T=270K, various pressures, 30.000 training structures



Nucleation of Ice

TIP4P/ice, T=235K, umbrella sampling + replica exchange



Pro and cons of neural networks

- * Flexible, no assumptions
- * Accuracy of DFT at fraction of cost
- * Accurate structure recognition
- * Need new NN for every new material
- * Unclear where information resides in NN (black box)