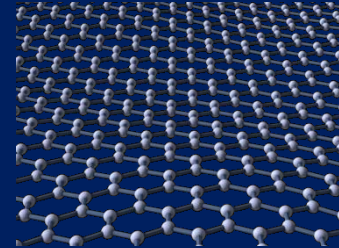


Ultrafast relaxation dynamics in graphene

*Impact of carrier-phonon and
carrier-carrier scattering*



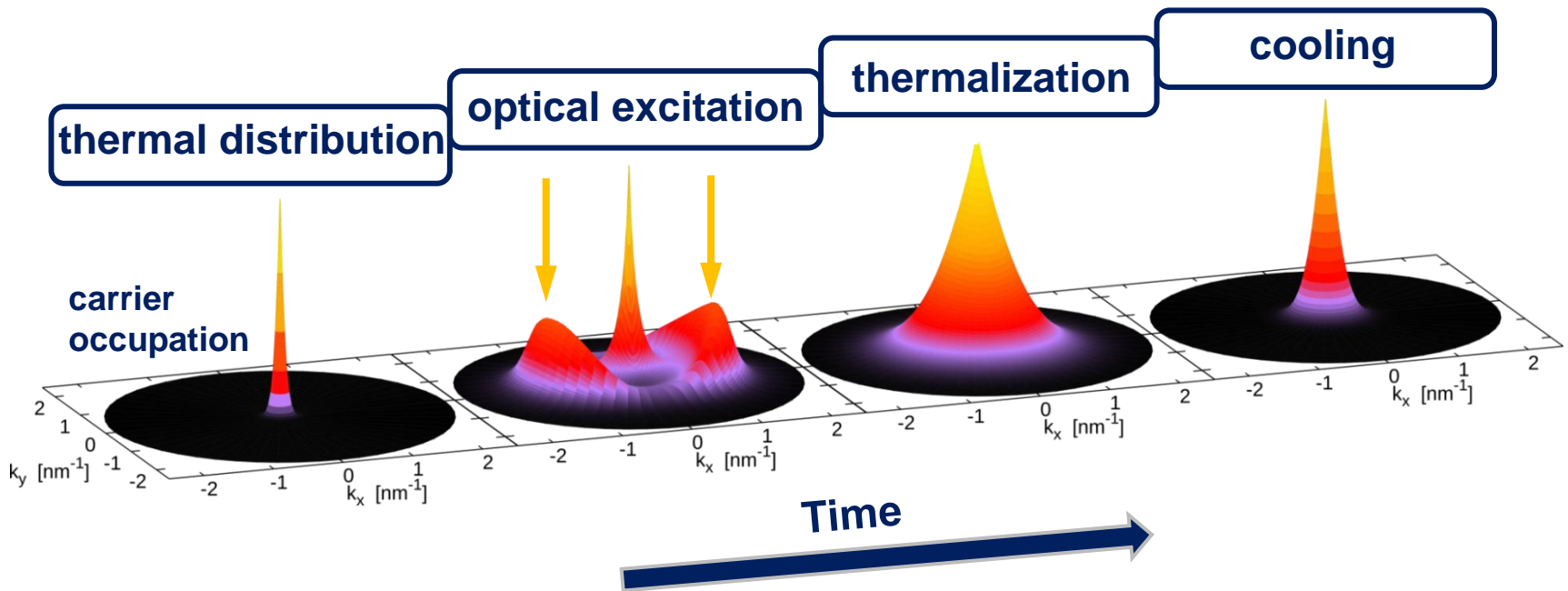
Ermin Malić, Torben Winzer, and Andreas Knorr

Technische Universität Berlin, Department for Theoretical Physics

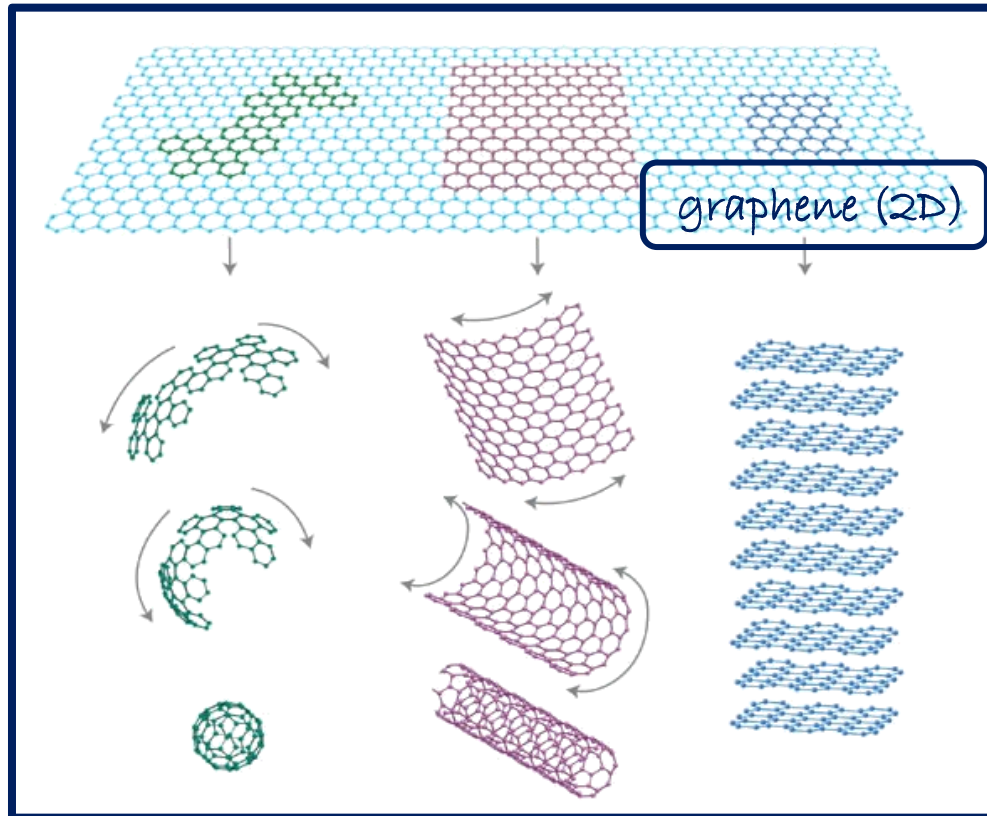
CECAM workshop on vibrational coupling, Lausanne, November 9, 2012

Motivation

- **Density matrix formalism** offers microscopic access to **non-equilibrium dynamics** after a short-pulse excitation
- It is possible to track the way of excited carriers down to equilibrium **resolved in time, energy, and momentum**



Carbon nanostructures

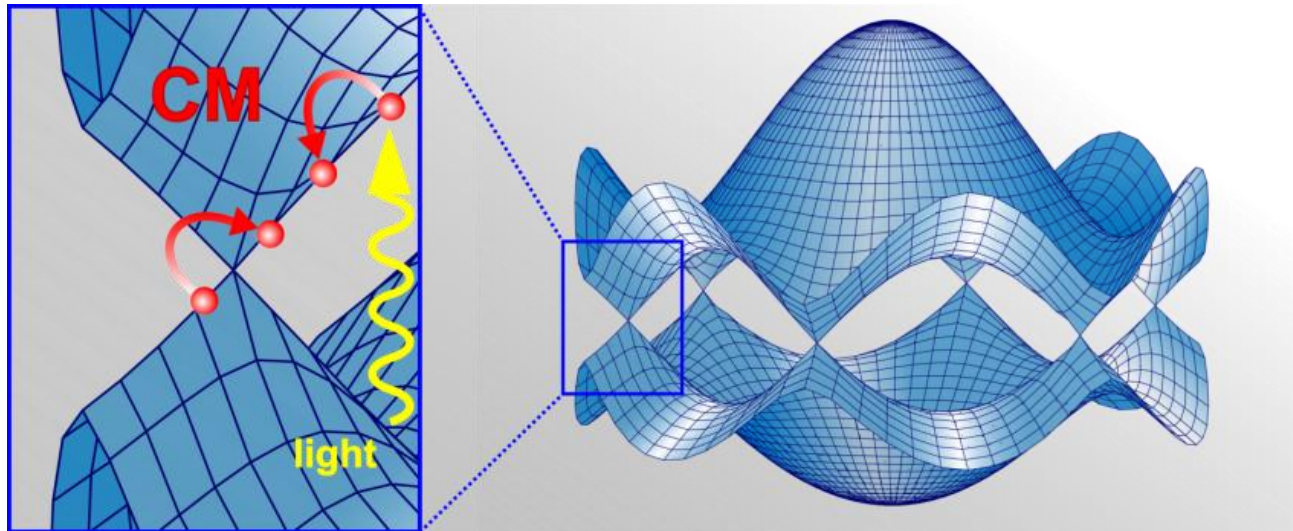


buckyball (0D)

nanotube (1D)

graphite (3D)

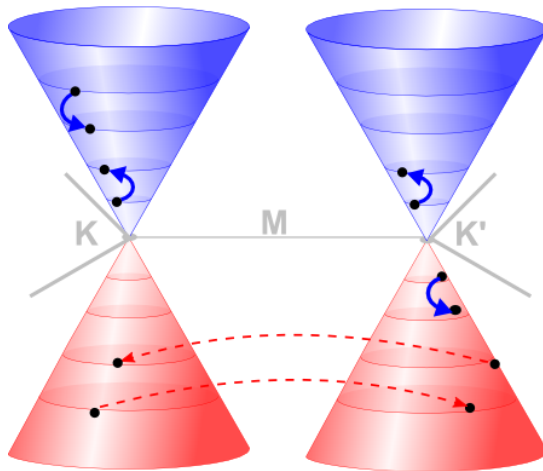
Graphene



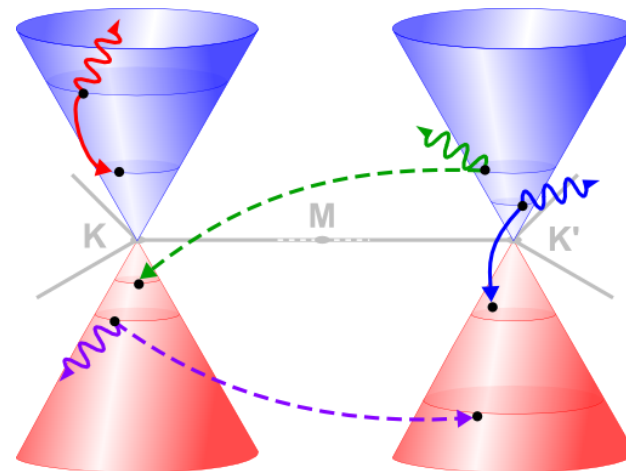
- **Graphene** is an ideal 2-dim structure to study relaxation dynamics due to its **zero-bandgap** and **linear dispersion**
- **Microscopic insights** are crucial for graphene-based **applications**

Relaxation channels

Carrier-carrier scattering

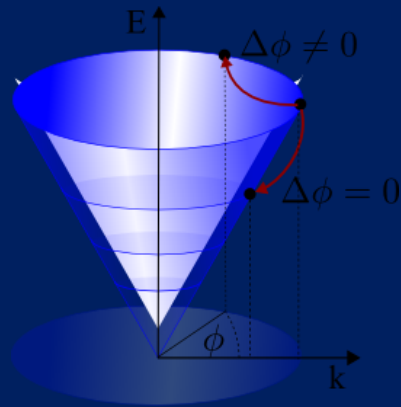


Carrier-phonon scattering



- **Intra- and interband scattering** channels are important
- **Auger processes** need to be taken into account
- **Intervalley scattering** via optical K phonons is efficient

Theoretical approach



Microscopic quantities

- **Microscopic polarization**
(transition probability)

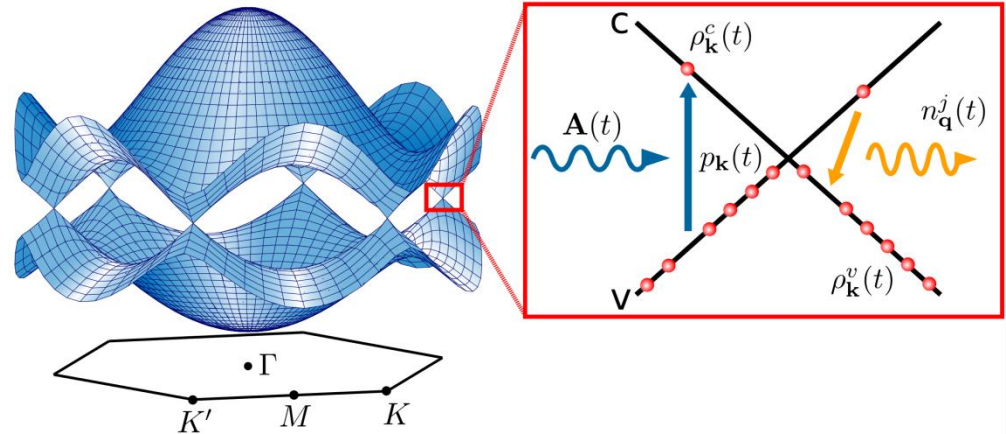
$$p_{\mathbf{k}}(t) = \langle a_{c\mathbf{k}}^+ a_{v\mathbf{k}} \rangle$$

- **Occupation probability**

$$\rho_{\mathbf{k}}^\lambda(t) = \langle a_{\lambda\mathbf{k}}^+ a_{\lambda\mathbf{k}} \rangle$$

- **Phonon occupation**

$$n_{\mathbf{q}}^j(t) = \langle b_{j\mathbf{q}}^+ b_{j\mathbf{q}} \rangle$$



- **Second quantization** with creation and annihilation operators a^+ , a and b^+ , b

- Temporal evolution of quantity $O(t)$ is determined via **Heisenberg equation** of motion

$$i\hbar \frac{d}{dt} O(t) = [O(t), \boxed{H}] \leftarrow \text{Hamilton operator}$$



Graphene Bloch equations $\dot{\rho}_{\mathbf{k}}^\lambda, \dot{p}_{\mathbf{k}}, \dot{n}_{\mathbf{q}}^j$

Hamilton operator

$$H = H_0 + H_{c-l} + H_{c-c} + H_{c-ph}$$

free-particle

carrier-light interaction

carrier-carrier interaction

$$= \sum_l \boxed{\epsilon_l} a_l^\dagger a_l + \frac{ie_0\hbar}{m_0} \sum_{l,l'} \boxed{M_{l,l'}} \cdot \mathbf{A}(t) a_l^\dagger a_{l'} + \frac{1}{2} \sum_{l_1,l_2,l_3,l_4} \boxed{V_{l_3,l_4}^{l_1,l_2}} a_{l_1}^\dagger a_{l_2}^\dagger a_{l_4} a_{l_3}$$

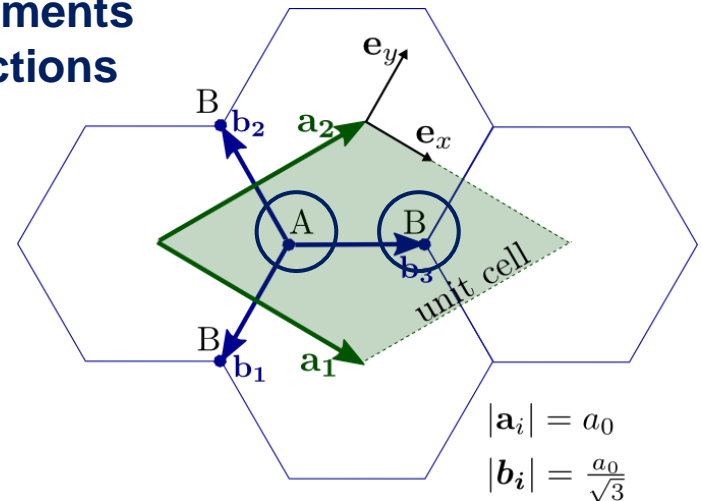
$$+ \sum_i \boxed{\hbar\omega_i} b_i^\dagger b_i + \sum_{l,l'} \sum_i \boxed{g_{l,l'}^i} a_l^\dagger b_i a_{l'} + h.c.) \quad \text{carrier-phonon interaction}$$

- The required **band structure** and **matrix elements** are calculated with **tight-binding wave functions**

$$\psi_{\mathbf{k}}^\lambda(\mathbf{r}) = C_{\mathbf{k},A}^\lambda \Phi_{\mathbf{k},A}(\mathbf{r}) + C_{\mathbf{k},B}^\lambda \Phi_{\mathbf{k},B}(\mathbf{r})$$

$$\Phi_{\mathbf{k},i}(\mathbf{r}) = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}_j} e^{i\mathbf{k} \cdot \mathbf{R}_j} \phi_j(\mathbf{r} - \mathbf{R}_j)$$

with **2p_z-orbital** functions $\phi_j(\mathbf{r} - \mathbf{R}_j)$

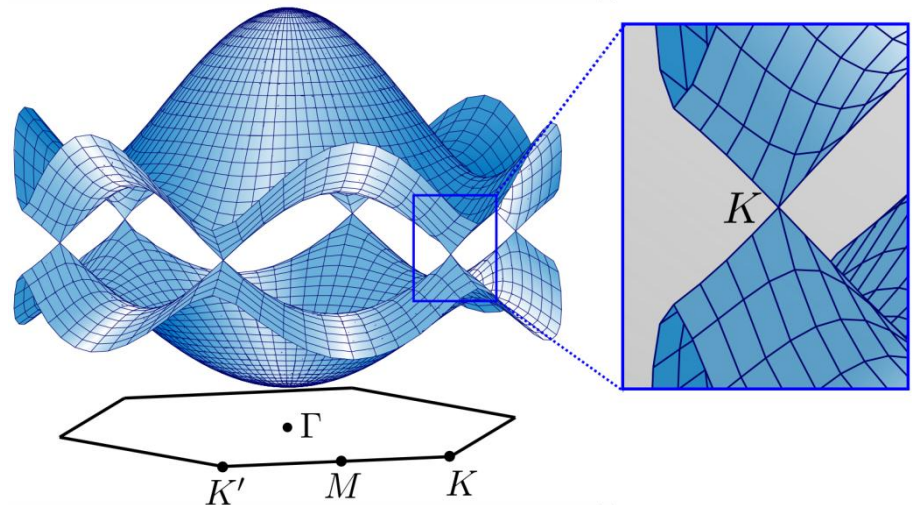


Band structure

- Solution of the **Schrödinger equation** $H\psi_{\mathbf{k}}^{\lambda}(\mathbf{r}) = \varepsilon_{\mathbf{k}}^{\lambda} \psi_{\mathbf{k}}^{\lambda}(\mathbf{r})$ gives the **eigenfunction** $\psi_{\mathbf{k}}^{\lambda}(\mathbf{r})$ including the coefficients $C_{\mathbf{k},i}^{\lambda}$ and the eigenvalues $\varepsilon_{\mathbf{k}}^{\lambda}$
- Considering contributions of **nearest-neighbor** carbon atoms yields:

$$\varepsilon_{\mathbf{k}}^{\lambda} \approx \pm \hbar v_F |\mathbf{k}|$$

with the Fermi velocity $v_F \approx c/300$, which can be extracted from experiment

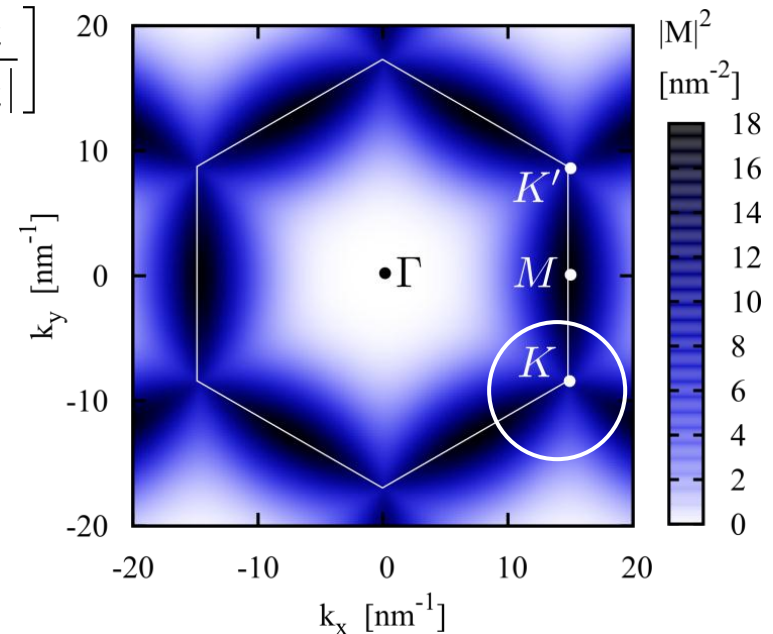


Optical matrix element

- The optical matrix element $M_{l,l'} = \langle \Psi_l(\mathbf{r}) | \nabla | \Psi_{l'}(\mathbf{r}) \rangle$ can be analytically evaluated within the **nearest-neighbor tight-binding** approximation:

$$M_{\mathbf{k},\mathbf{k}'}^{\lambda\lambda'} = \delta_{\mathbf{k},\mathbf{k}'} \frac{M}{|e(\mathbf{k})|} \Re \left[e^*(\mathbf{k}) \sum_{i=1}^3 e^{i\mathbf{k}\cdot\mathbf{b}_i} \frac{\mathbf{b}_i}{|\mathbf{b}_i|} \right]$$

- Carrier-light coupling** is strongly **anisotropic** around the Dirac point
- Maximal** carrier-light interaction is found at the **M point** (saddle point)



Coulomb matrix element

- The Coulomb matrix element reads (with compound indices $l_i=k_i, \lambda_i$)

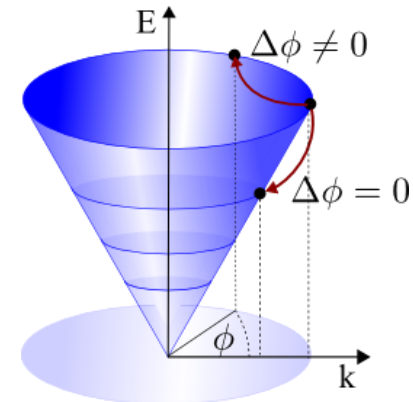
$$V_{l_3, l_4}^{l_1, l_2} = \int d\mathbf{r} \int d\mathbf{r}' \Psi_{l_1}^*(\mathbf{r}) \Psi_{l_2}^*(\mathbf{r}') V(\mathbf{r} - \mathbf{r}') \Psi_{l_4}(\mathbf{r}') \Psi_{l_3}(\mathbf{r})$$

- Within the **nearest-neighbor tight-binding** approximation, we obtain

$$V_{l_3, l_4}^{l_1, l_2} = \frac{e_o^2}{2\epsilon_0 q} \left[\left(\frac{q a_B}{Z_{eff}} \right)^2 + 1 \right]^{-6} \alpha_{l_3, l_4}^{l_1, l_2} \boxed{\delta_{q, k_3 - k_1} \delta_{q, k_4 - k_2}} \quad \leftarrow \text{momentum conservation}$$

with TB-coefficients $\alpha_{l_3, l_4}^{l_1, l_2} = \frac{1}{4} \left(1 + c_{\lambda_1 \lambda_3} \frac{e^*(\mathbf{k}_1) e(\mathbf{k}_3)}{|e(\mathbf{k}_1) e(\mathbf{k}_3)|} \right) \left(1 + c_{\lambda_2 \lambda_4} \frac{e^*(\mathbf{k}_2) e(\mathbf{k}_4)}{|e(\mathbf{k}_2) e(\mathbf{k}_4)|} \right)$

- Coulomb processes with **large momentum transfer** are **strongly suppressed** (decay scales with $1/q^{13}$)
- Coulomb interaction $V \propto 1 \pm e^{i\Delta\phi}$ **prefers parallel intraband scattering** along the Dirac cone



Carrier-phonon matrix element

- Focus on **strongly coupling optical phonons** (ΓLO , ΓTO , K)

- Carrier-phonon **matrix elements**

$$g_{\mathbf{q},j}^{\mathbf{k}\mathbf{k}',\lambda\lambda'} = \langle \Psi_{\mathbf{k},\lambda}(\mathbf{r}) | \Delta V_{\mathbf{q},\gamma} | \Psi_{\mathbf{k}',\lambda'}(\mathbf{r}) \rangle$$

can be expressed as (Mauri et al.):

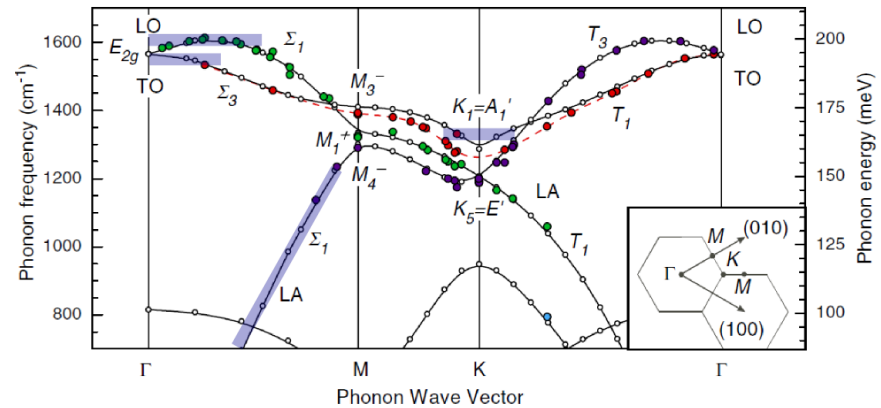
$$|g_{\mathbf{q}\Gamma j}^{\mathbf{k}\mathbf{k}',\lambda\lambda'}|^2 = \frac{a_0\sqrt{3}}{2A} \tilde{g}_{\Gamma}^2 \left(1 + c_j^{\lambda\lambda'} \cos(\phi + \phi') \right)$$

$$|g_{\mathbf{q}K}^{\mathbf{k}\mathbf{k}',\lambda\lambda'}|^2 = \frac{a_0\sqrt{3}}{2A} \tilde{g}_K^2 \left(1 - c_K^{\lambda\lambda'} \cos(\phi - \phi') \right)$$

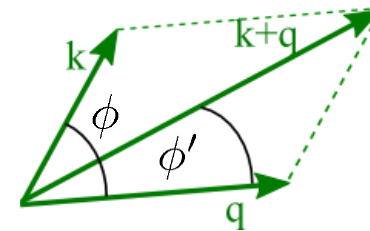
with $\tilde{g}_{\Gamma}^2 = 0.0405 eV^2$, $\tilde{g}_K^2 = 0.0994 eV^2$

which can be extracted from experiment exploiting **Kohn anomalies**

- Phonon-induced intra- ($\lambda = \lambda'$) and interband ($\lambda \neq \lambda'$) scattering shows a distinct **angle-dependence** for different phonon modes



J. Maultsch et al., PRL 92, 75501 (2004)



S. Piscanec et al., PRL 93, 185503 (2004)

Correlation expansion

- Hamilton operator H is known \rightarrow derivation of **Bloch equations** $\dot{\rho}_k^\lambda, \dot{p}_k, \dot{n}_q^j$ applying the Heisenberg equation $i\hbar\dot{\rho}_k^\lambda = [\rho_k^\lambda, H]$
- Many-particle interaction leads to a **hierarchy problem** (system of equations is not closed)

$$\begin{aligned}\frac{d}{dt}\langle a_1^+ a_2 \rangle &\propto \langle a_A^+ a_B^+ a_C a_D \rangle \\ \frac{d}{dt}\langle a_A^+ a_B^+ a_C a_D \rangle &\propto \langle a_1^+ a_2^+ a_3^+ a_4 a_5 a_6 \rangle \dots\end{aligned}$$

- Solution by applying the **correlation expansion** and systematic truncation
Example: **Hartree-Fock** factorization (single-particle quantities only)

$$\langle a_A^+ a_B^+ a_C a_D \rangle = \langle a_A^+ a_D \rangle \langle a_B^+ a_C \rangle - \langle a_A^+ a_C \rangle \langle a_B^+ a_D \rangle + \langle \cancel{a_A^+ a_B^+ a_C a_D} \rangle^c$$

\rightarrow **closed system of equations** (already sufficient for description of **excitons**)

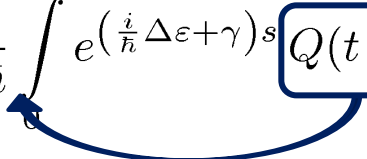
Markov approximation

- For description of **scattering processes**, dynamics of two-particle quantities is necessary $\sigma_{ABCD} = \langle a_A^+ a_B^+ a_C a_D \rangle$ (**second-order Born**)

$$\frac{d}{dt} \sigma_{ABCD}(t) = \frac{i}{\hbar} \Delta \varepsilon \sigma_{ABCD}(t) + \frac{i}{\hbar} Q(t) - \gamma \sigma_{ABCD}(t)$$

with the scattering term $Q(t)$ including only single-particle quantities

- Für 2-dim systems, such as graphene with $A = (k_x, k_y, \lambda)$, the evaluation of equations is a **numerical challenge** (memory, CPU time)
- Markov approximation** neglects quantum-kinetic memory effects:

$$\sigma_{ABCD}(t) = \frac{i}{\hbar} \int_0^\infty e^{(i/\hbar \Delta \varepsilon + \gamma)s} Q(t-s) ds \approx -i\pi Q(t) \delta(\Delta \varepsilon) \quad (\gamma \rightarrow 0)$$


→ **closed system of equations**

Graphene Bloch equations

- Dynamics of **carriers**, **phonons**, and microscopic **polarization** within **second order Born-Markov** approximation

$$\dot{\rho}_{\mathbf{k}}^{\lambda}(t) = 2\text{Im}(\Omega_{\mathbf{k}}^*(t)p_{\mathbf{k}}(t)) + \Gamma_{\mathbf{k},\lambda}^{in}(t)(1 - \rho_{\mathbf{k}}^{\lambda}(t)) - \Gamma_{\mathbf{k},\lambda}^{out}(t)\rho_{\mathbf{k}}^{\lambda}(t)$$

$$\dot{p}_{\mathbf{k}}(t) = -i\omega_{\mathbf{k}}p_{\mathbf{k}}(t) - i\Omega_{\mathbf{k}}(t)(\rho_{\mathbf{k}}^c(t) - \rho_{\mathbf{k}}^v(t)) - \gamma_{2,\mathbf{k}}(t)p_{\mathbf{k}}(t) + \tilde{\gamma}_{2,\mathbf{k}'}(t)$$

$$\dot{n}_{\mathbf{q}}^j(t) = \Gamma_{j,\mathbf{q}}^{out}(t)(n_{\mathbf{q}}^j(t) + 1) - \Gamma_{j,\mathbf{q}}^{in}(t)n_{\mathbf{q}}^j(t) - \gamma_j(n_{\mathbf{q}}^j(t) - n_0)$$

$$H = H_0 + H_{c-l} + H_{c-c} + H_{c-ph}$$

- **Carrier-light** coupling describes the generation of **optically excited non-equilibrium** carrier distribution

Graphene Bloch equations

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- Time-, momentum-, and angle-resolved** Coulomb and phonon

scattering rates $\Gamma_{\mathbf{k},\lambda}^{in} = \Gamma_{\mathbf{k},\lambda}^{in,cc} + \Gamma_{\mathbf{k},\lambda}^{in,cp}$

$$\Gamma_{\mathbf{k},\lambda}^{in,cc} = \frac{2\pi}{\hbar} \sum_{l_1, l_2, l_3} W_{l_2 l_3}^{k\lambda l_1*} (2W_{l_2 l_3}^{k\lambda l_1*} - W_{l_3 l_2}^{k\lambda l_1*}) \rho_{l_2} \rho_{l_3} (1 - \rho_{l_1}) \delta(\varepsilon_{k\lambda} + \varepsilon_{l_1} - \varepsilon_{l_2} - \varepsilon_{l_3})$$

screened Coulomb matrix elements

Pauli blocking

Graphene Bloch equations

- Dynamics of **carriers**, **phonons**, and microscopic **polarization** within **second order Born-Markov** approximation:

$$\dot{\rho}_{\mathbf{k}}^{\lambda}(t) = 2\text{Im}(\Omega_{\mathbf{k}}^*(t)p_{\mathbf{k}}(t)) + \Gamma_{\mathbf{k},\lambda}^{in}(t)(1 - \rho_{\mathbf{k}}^{\lambda}(t)) - \Gamma_{\mathbf{k},\lambda}^{out}(t)\rho_{\mathbf{k}}^{\lambda}(t)$$

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- Time-, momentum-, and angle-resolved** Coulomb and phonon

scattering rates $\Gamma_{\mathbf{k},\lambda}^{in} = \Gamma_{\mathbf{k},\lambda}^{in,cc} + \Gamma_{\mathbf{k},\lambda}^{in,cp}$

$$\Gamma_{\mathbf{k},\lambda}^{in,cp} = \sum_{\mathbf{q},j,\lambda'} |g_{\mathbf{q},j}^{\mathbf{k}\mathbf{k}',\lambda\lambda'}|^2 f_{\mathbf{k}+\mathbf{q}}^{\lambda'} \left((n_{\mathbf{q}}^j + 1) \delta(\varepsilon_{\mathbf{k}+\mathbf{q},\lambda'} - \varepsilon_{\mathbf{k},\lambda} - \hbar\omega_{\mathbf{q},j}) + n_{\mathbf{q}}^j \delta(\varepsilon_{\mathbf{k}+\mathbf{q},\lambda'} - \varepsilon_{\mathbf{k},\lambda} + \hbar\omega_{\mathbf{q},j}) \right)$$

phonon emission

phonon absorption

Graphene Bloch equations

- Dynamics of **carriers**, **phonons**, and microscopic **polarization** within **second order Born-Markov** approximation:

$$\dot{\rho}_{\mathbf{k}}^{\lambda}(t) = 2\text{Im}(\Omega_{\mathbf{k}}^*(t)p_{\mathbf{k}}(t)) + \Gamma_{\mathbf{k},\lambda}^{in}(t)(1 - \rho_{\mathbf{k}}^{\lambda}(t)) - \Gamma_{\mathbf{k},\lambda}^{out}(t)\rho_{\mathbf{k}}^{\lambda}(t)$$

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- **Time-, momentum-, and angle-resolved** Coulomb and phonon

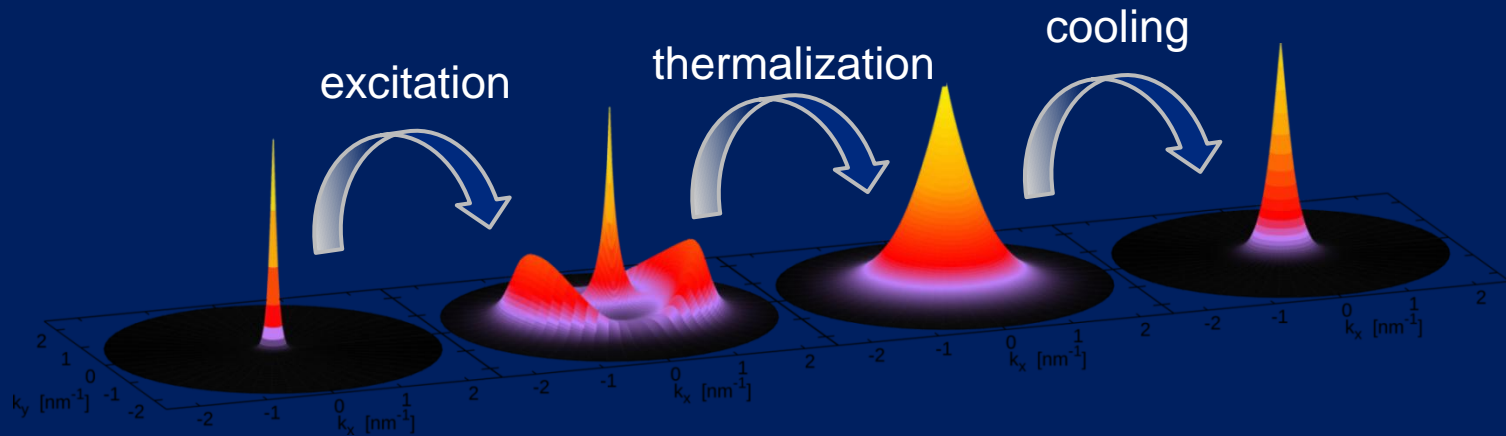
scattering rates $\Gamma_{\mathbf{k},\lambda}^{in} = \Gamma_{\mathbf{k},\lambda}^{in,cc} + \Gamma_{\mathbf{k},\lambda}^{in,cp}$

- **Diagonal and off-diagonal dephasing** of the microscopic polarization

$$\gamma_{2,\mathbf{k}}(t) = \frac{1}{2} \sum_{\lambda} \left(\Gamma_{\mathbf{k},\lambda}^{in}(t) + \Gamma_{\mathbf{k},\lambda}^{out}(t) \right), \quad \tilde{\gamma}_{2,\mathbf{k}}(t) = \sum_{\mathbf{k}'} \left(T_{\mathbf{k}\mathbf{k}'}^a(t)p_{\mathbf{k}'}(t) + T_{\mathbf{k}\mathbf{k}'}^b(t)p_{\mathbf{k}'}^*(t) \right)$$

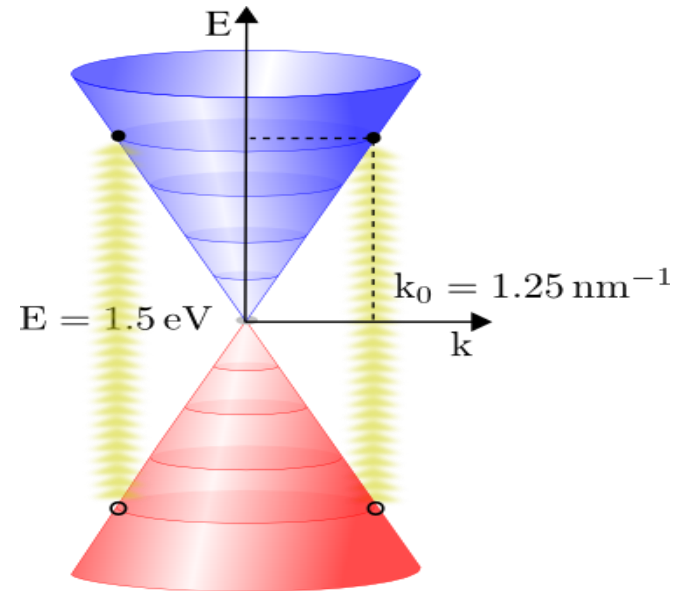
Outline

- Anisotropy, thermalization, and cooling
- Auger-induced carrier multiplication
- Transient optical gain



Generation of a non-equilibrium

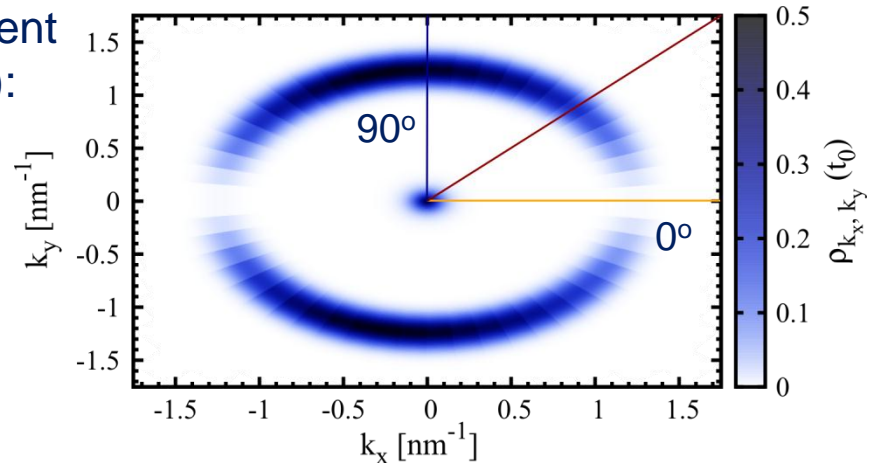
- **Optical excitation** according to a recent experiment (T. Elsaesser, MBI Berlin):
 - pulse width **10 fs**
 - excitation energy **1.5 eV**
 - pump fluence **1 μJcm^{-2}**
 - **linear** polarization (x-axis)



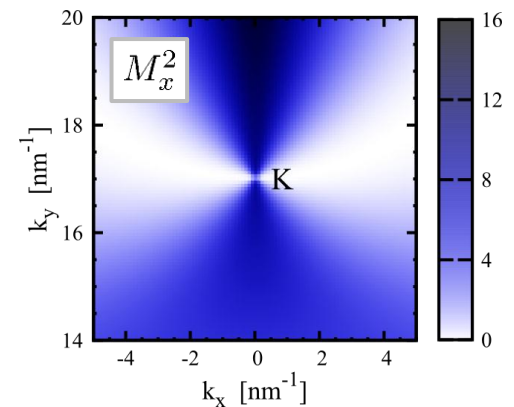
Generation of a non-equilibrium

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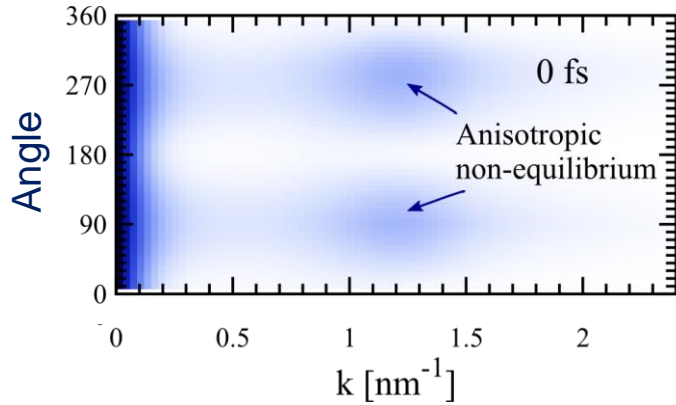
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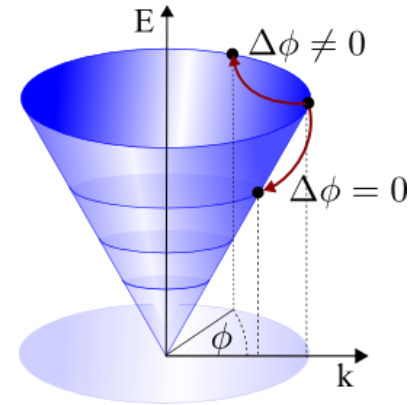
- Generation of an **anisotropic non-equilibrium** carrier distribution
- **Maximal occupation** perpendicular to polarization of excitation pulse (**90°**)
- Origin lies in the **anisotropy** of the **carrier-light coupling** element



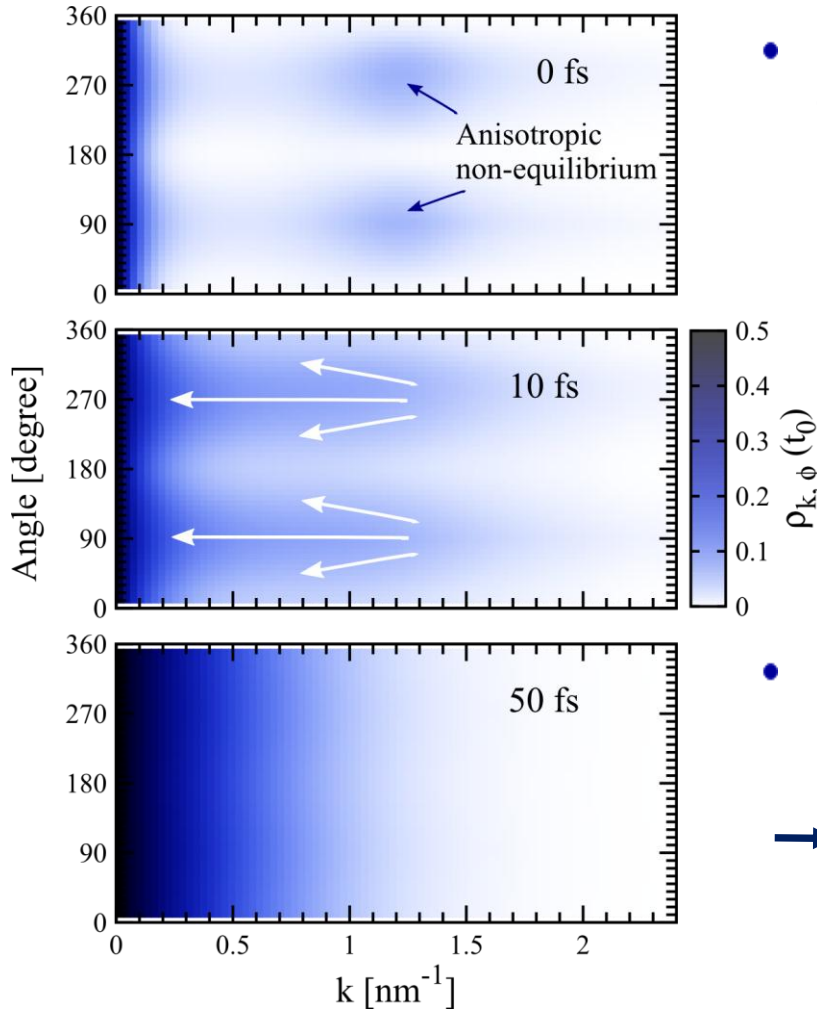
Anisotropic non-equilibrium



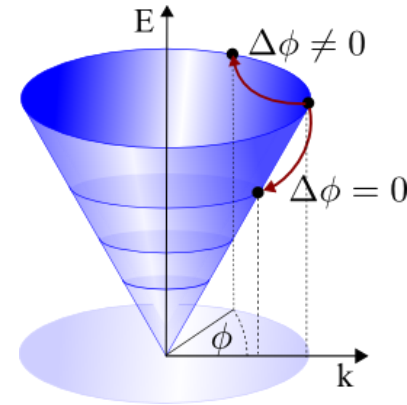
- Generation of an **anisotropic non-equilibrium** carrier distribution



Orientalional relaxation



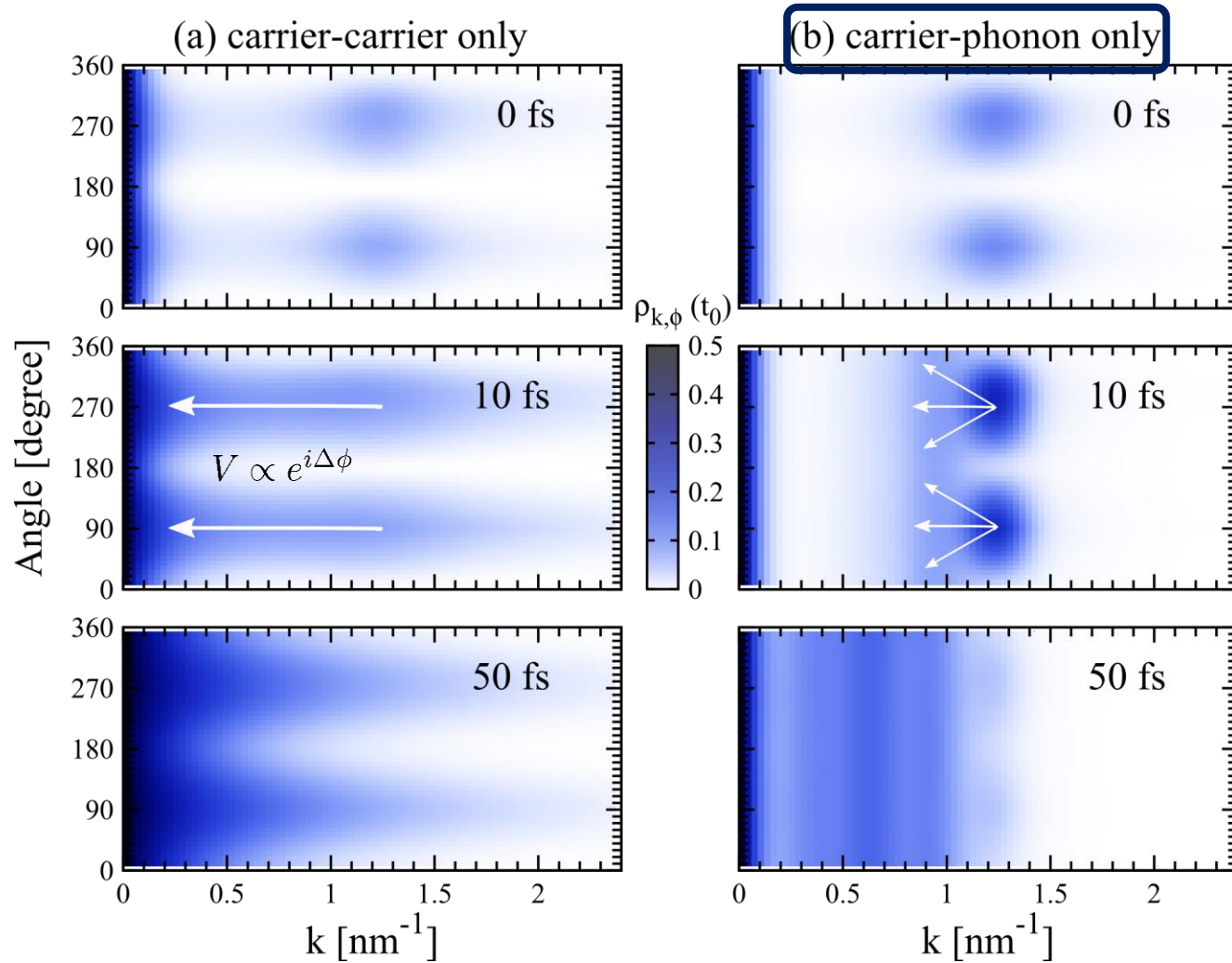
- Generation of an **anisotropic non-equilibrium** carrier distribution



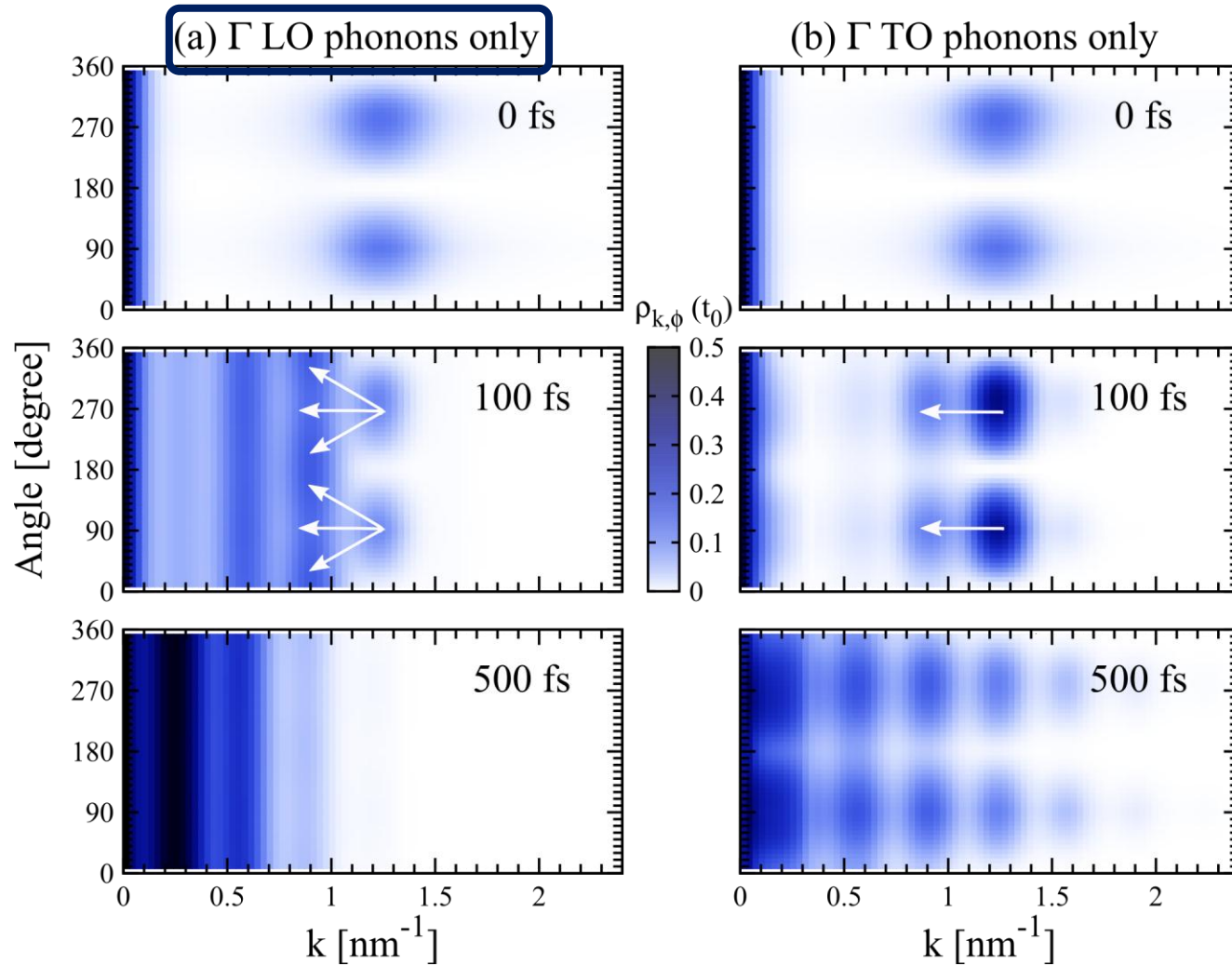
- **Orientalional relaxation** accounts for **isotropy** already after **50 fs**

→ Good **agreement** with recent **experiments**
(H. Kurz, RWTH Aachen
A. Hartschuh, LMU München)

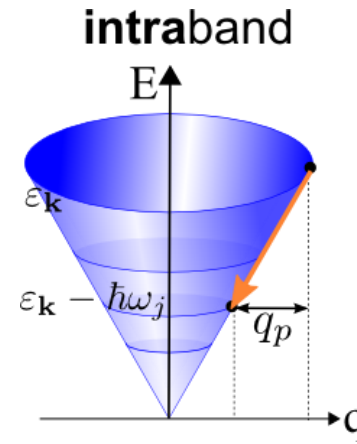
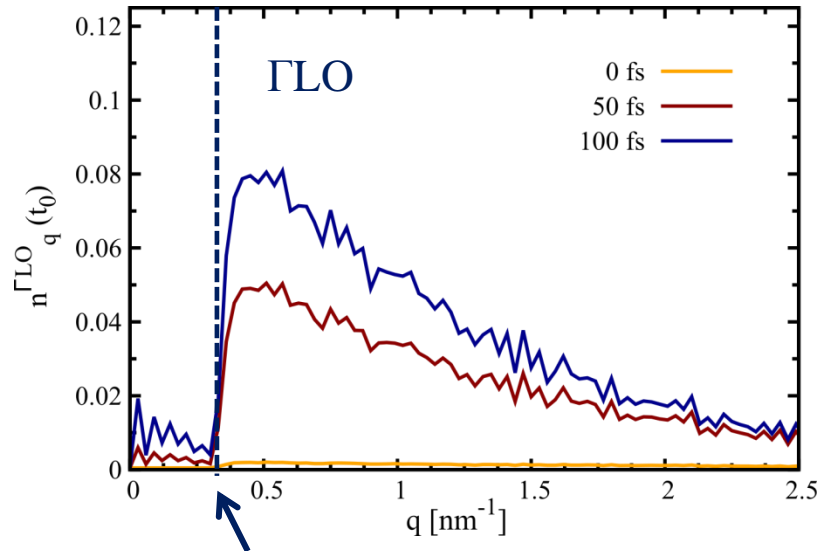
Carrier-carrier vs. carrier-phonon



Different phonon modes

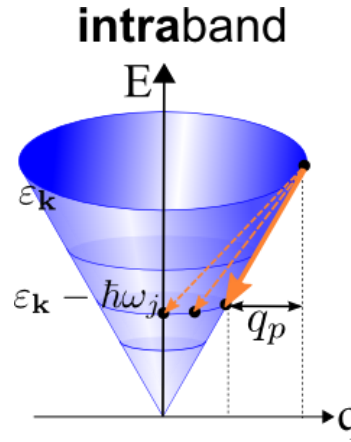
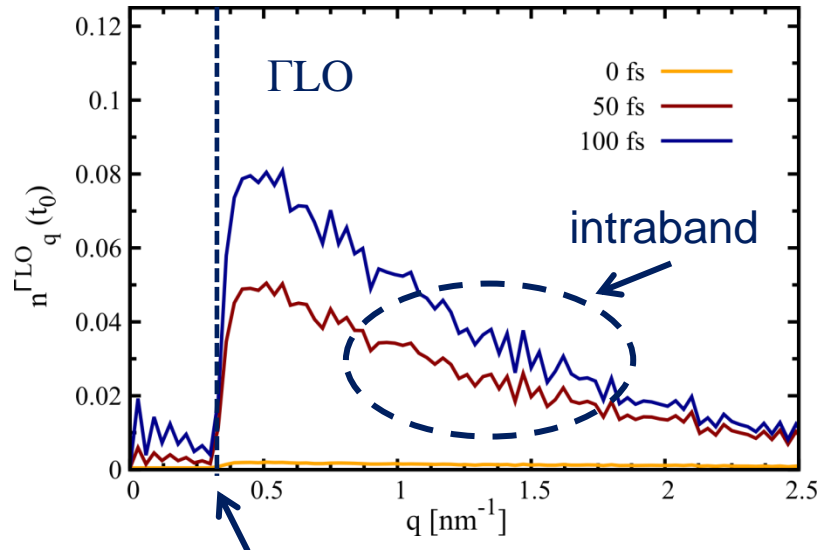


Phonon dynamics



$q_p \parallel \mathbf{k}$: parallel scattering with $q_p = \frac{\omega_{\Gamma LO}}{v_F}$
 (conserves anisotrop)

Phonon dynamics

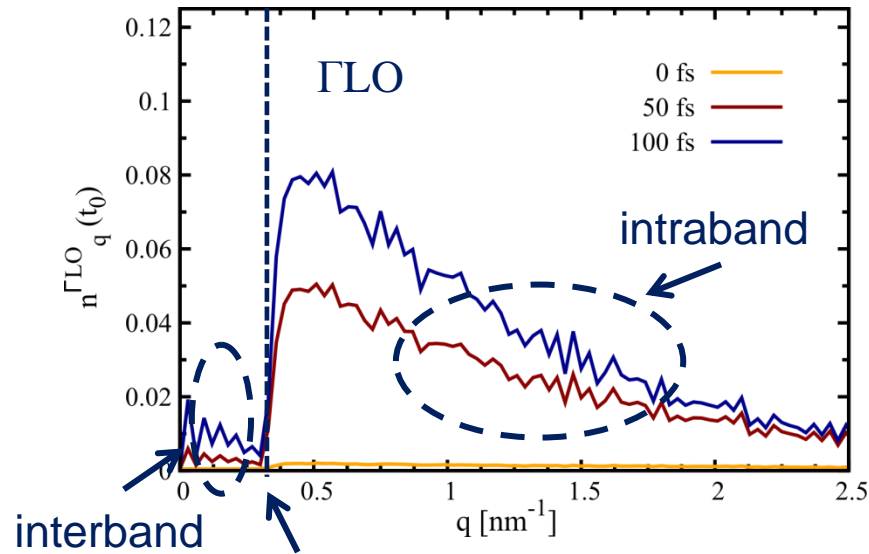


$$|g_{\mathbf{q}\Gamma LO}^{\mathbf{k}\mathbf{k}',\lambda\lambda}|^2 = \frac{a_0\sqrt{3}}{2A} \tilde{g}_\Gamma^2 (1 - \cos(\phi + \phi'))$$

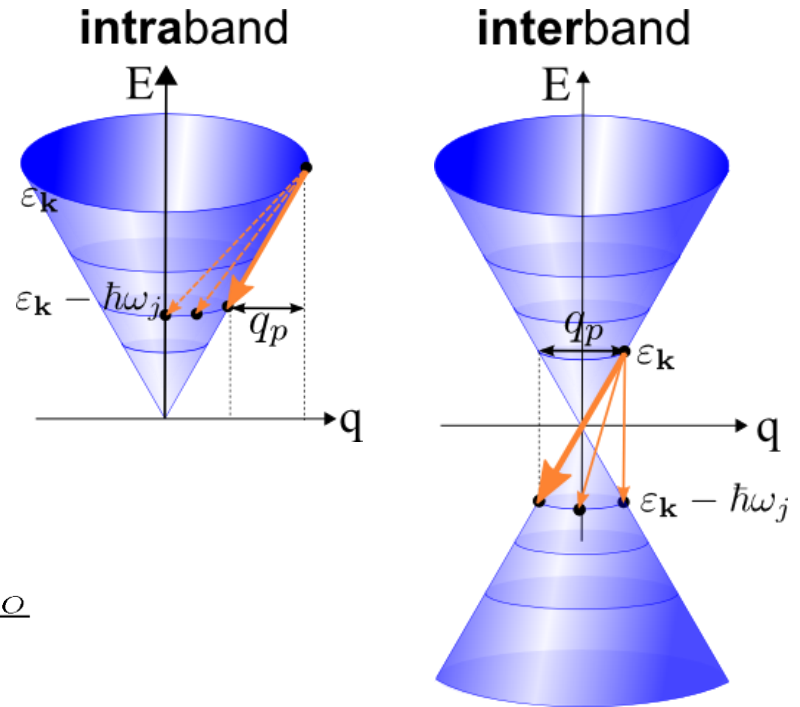
$q_p \parallel \mathbf{k}$: parallel scattering with $q_p = \frac{\omega_{\Gamma LO}}{v_F}$
(conserves anisotropy)

- **Γ LO phonons prefer intraband scattering** across the Dirac cone with $q > q_p$
→ **isotropic** carrier distribution

Phonon dynamics

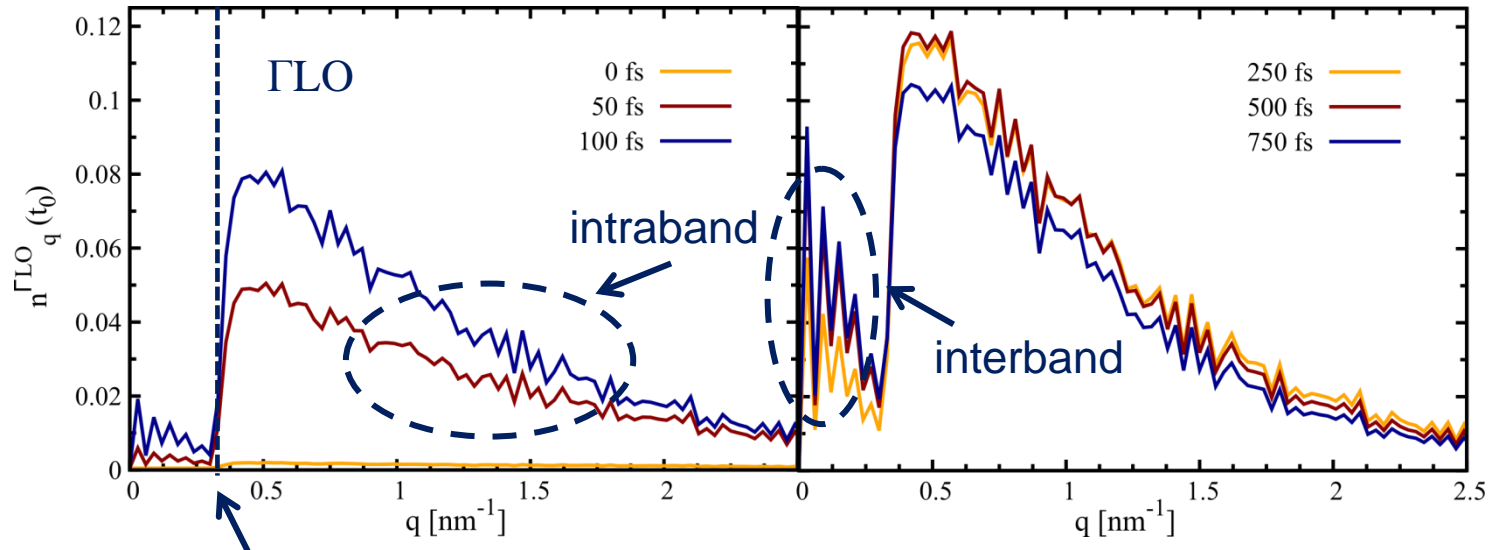


$q_p \parallel k$: parallel scattering with $q_p = \frac{\omega_{\Gamma LO}}{v_F}$
(conserves anisotropy)



- **ΓLO phonons prefer intraband scattering** across the Dirac cone with $q > q_p$
→ **isotropic carrier distribution**

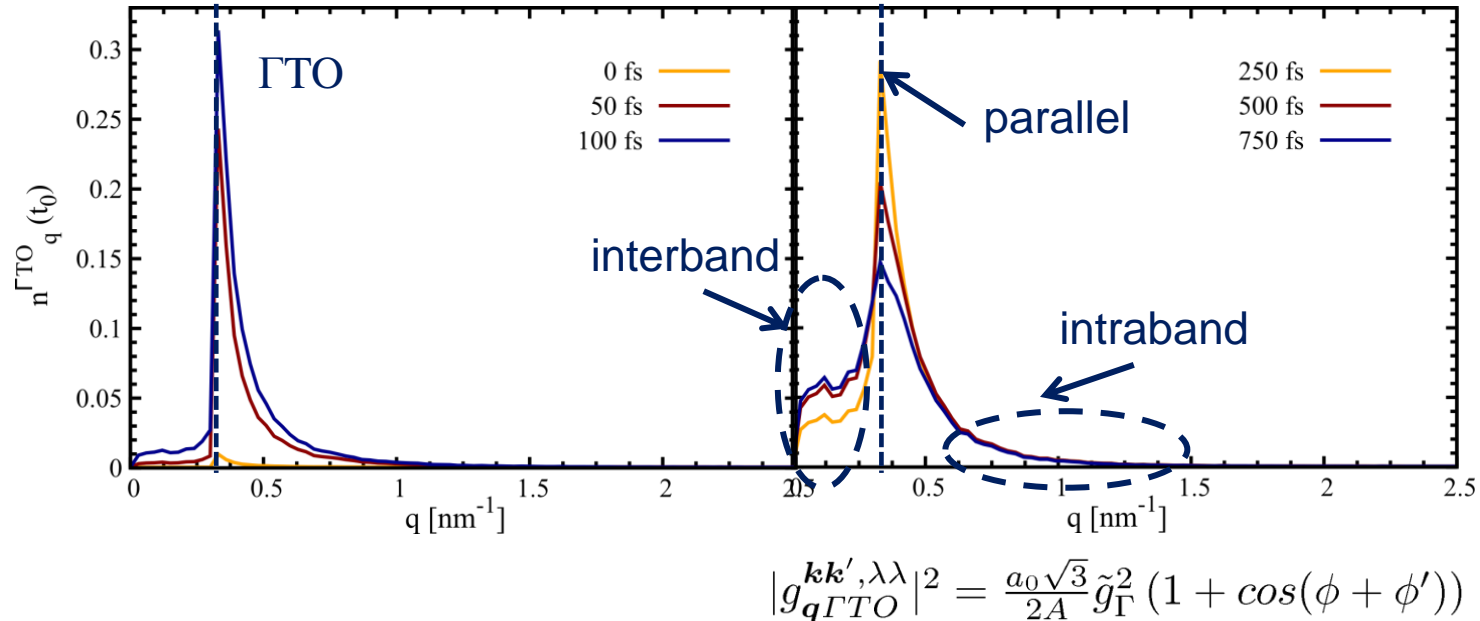
Phonon dynamics



$q_p \parallel \mathbf{k}$: parallel scattering with $q_p = \frac{\omega_{\Gamma LO}}{v_F}$
(conserves anisotropy)

- **ΓLO phonons prefer intraband scattering** across the Dirac cone with $q > q_p$
→ isotropic carrier distribution
- **Interband processes** with $q < q_p$ important **at later times** (carrier recombination)

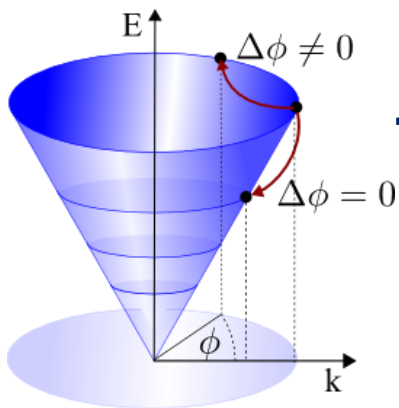
Phonon dynamics



- **Γ TO phonons** strongly prefer **parallel scattering** along the Dirac cone conserving the initial **anisotropy**
- They contribute to an ultrafast **carrier thermalization** and **cooling**

Phonons account for isotropy

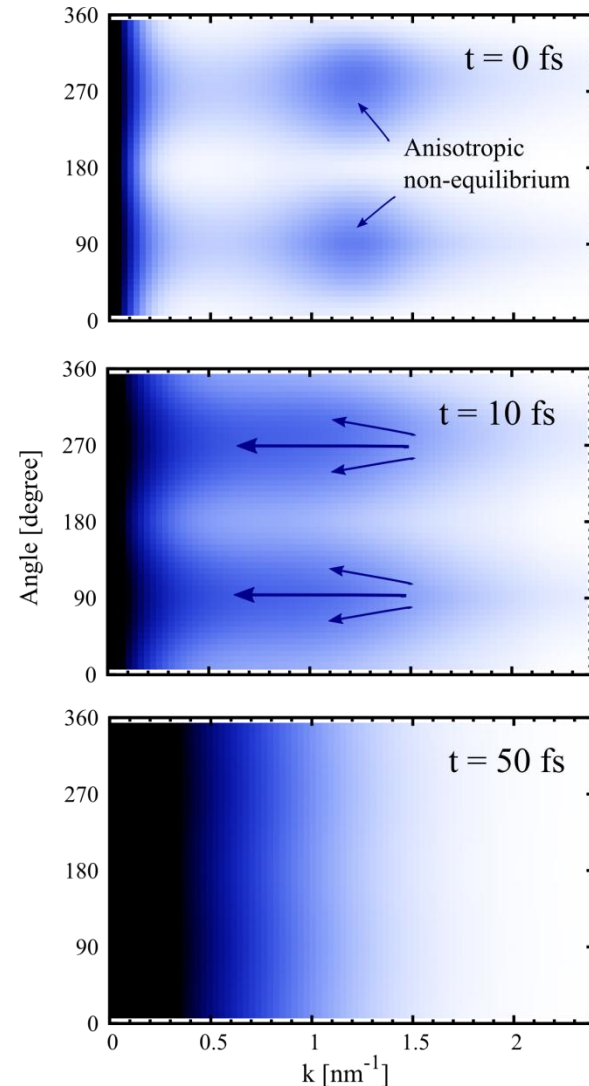
- **Carrier-phonon** coupling is **efficient** for scattering **across** the Dirac cone $\Delta\phi \neq 0$
→ **isotropic** distribution
- Carrier-carrier and carrier-phonon **channels in competition** for scattering **along** the Dirac cone $\Delta\phi = 0$
→ **thermalization**



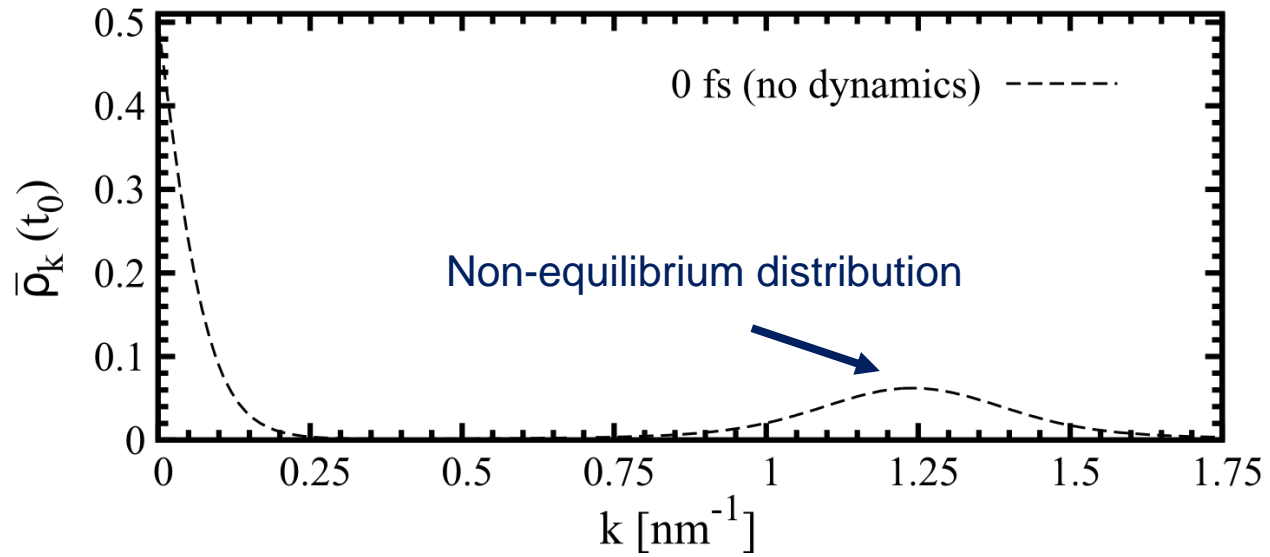
→ **Challenge:**

Impact of **Non-Markov** scattering processes

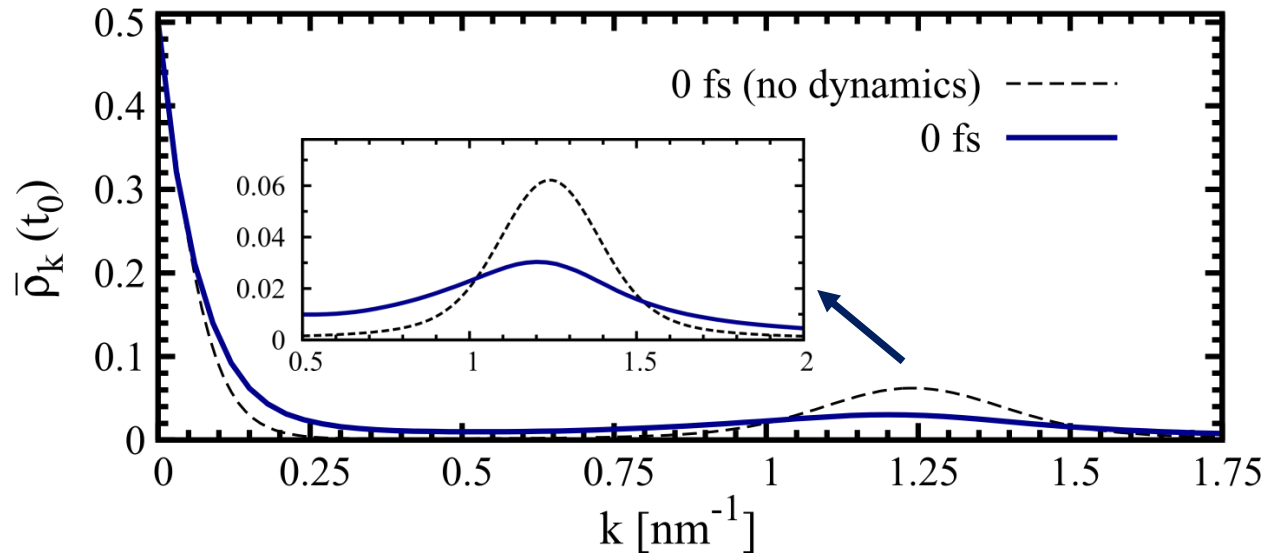
APL, in press (2012), PRB 84, 205406 (2011)



Thermalization

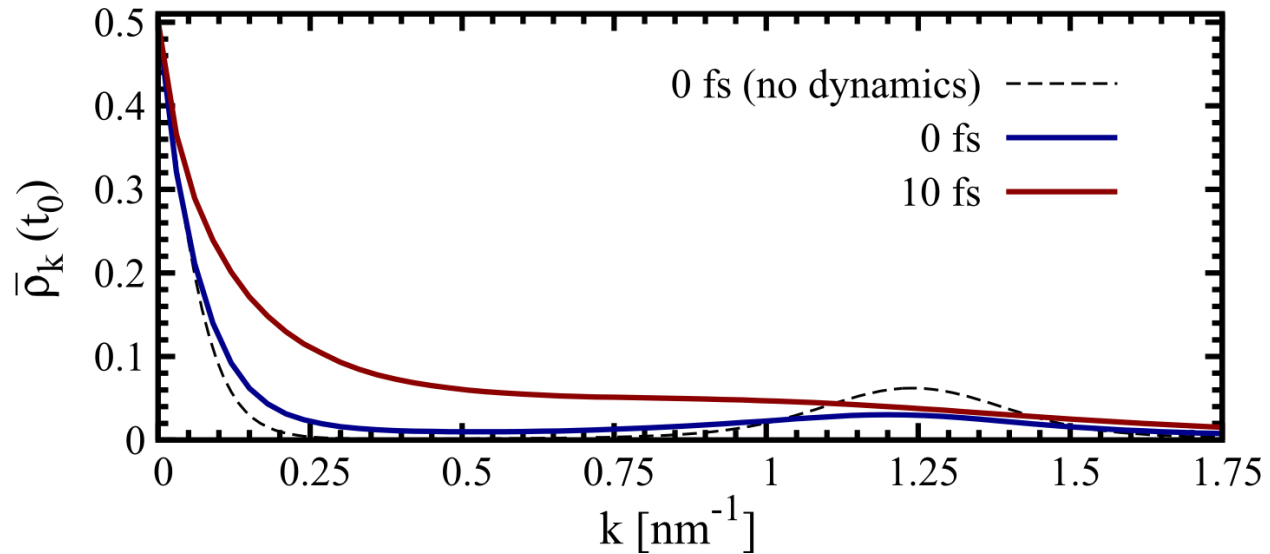


Thermalization



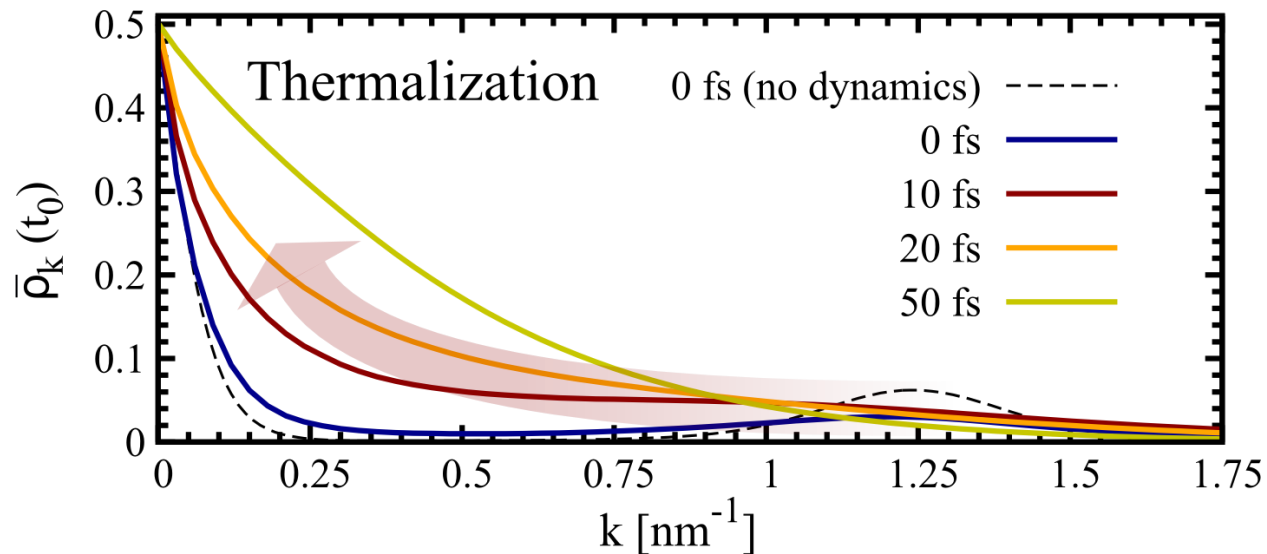
- Significant **relaxation** takes place already **during** the excitation **pulse**

Thermalization



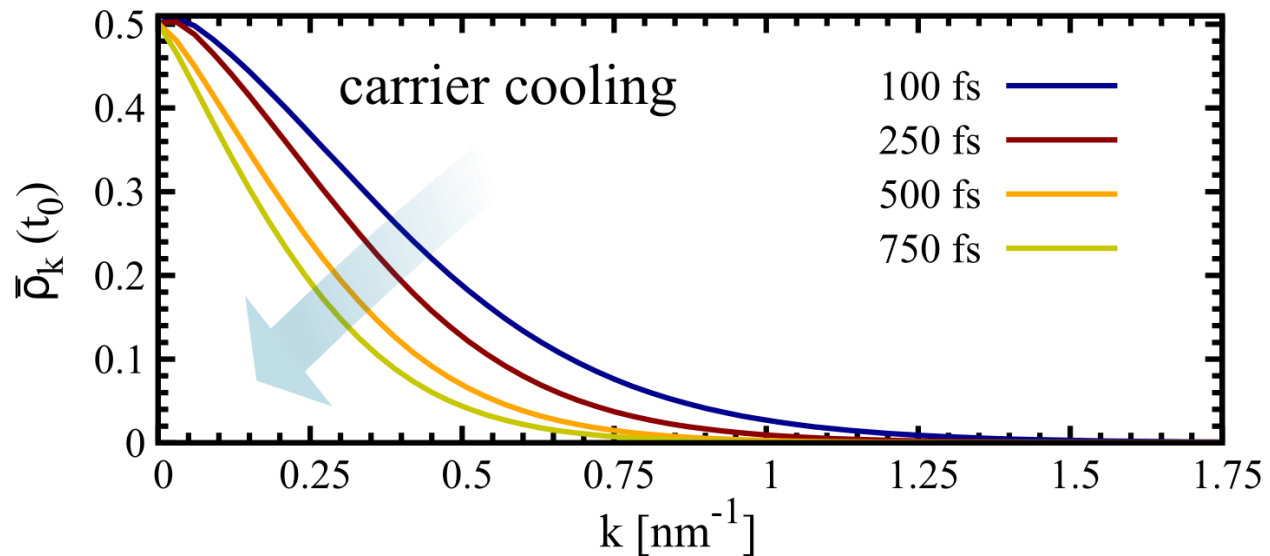
- Significant **relaxation** takes place already **during** the excitation **pulse**
- Carrier-carrier and carrier-phonon scattering are in direct **competition**

Thermalization



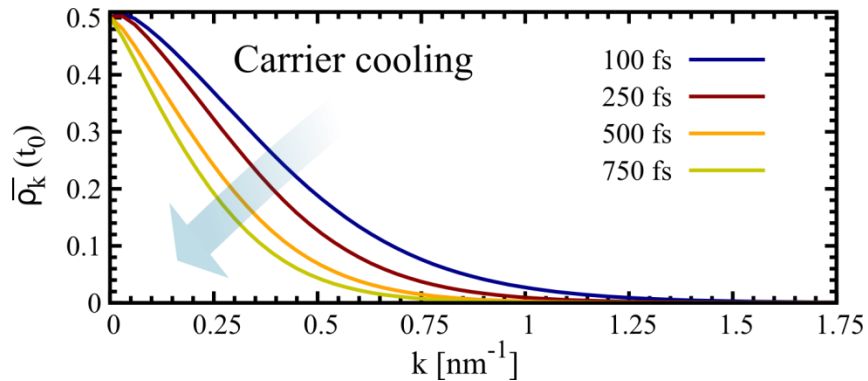
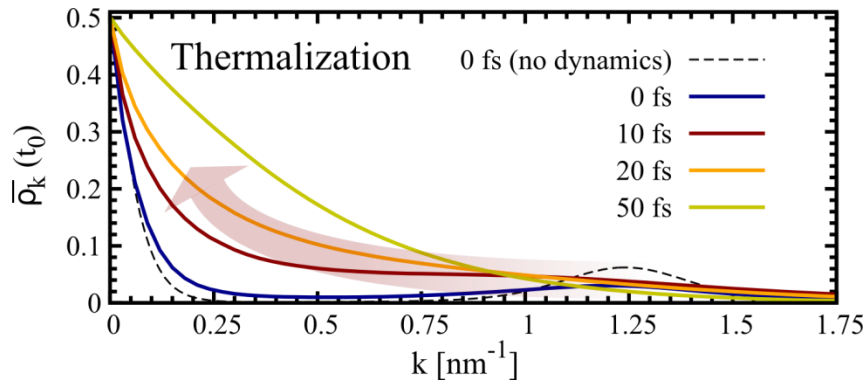
- Significant **relaxation** takes place already **during** the excitation **pulse**
- Carrier-carrier and carrier-phonon scattering are in direct **competition**
- **Thermalized distribution** reached within the first **50-100 fs**

Carrier cooling



- **Carrier cooling** takes place on a **picosecond time scale**
- **Optical phonons** (in particular Γ LO, Γ TO and K phonons) are more **efficient** than acoustic phonons

Carrier dynamics



Carrier dynamics is characterized by **two processes**:

- Carrier-carrier and carrier-phonon scattering leads to **thermalization** on **fs time scale**
- Phonon-induced **carrier cooling** occurs on **ps time scale**

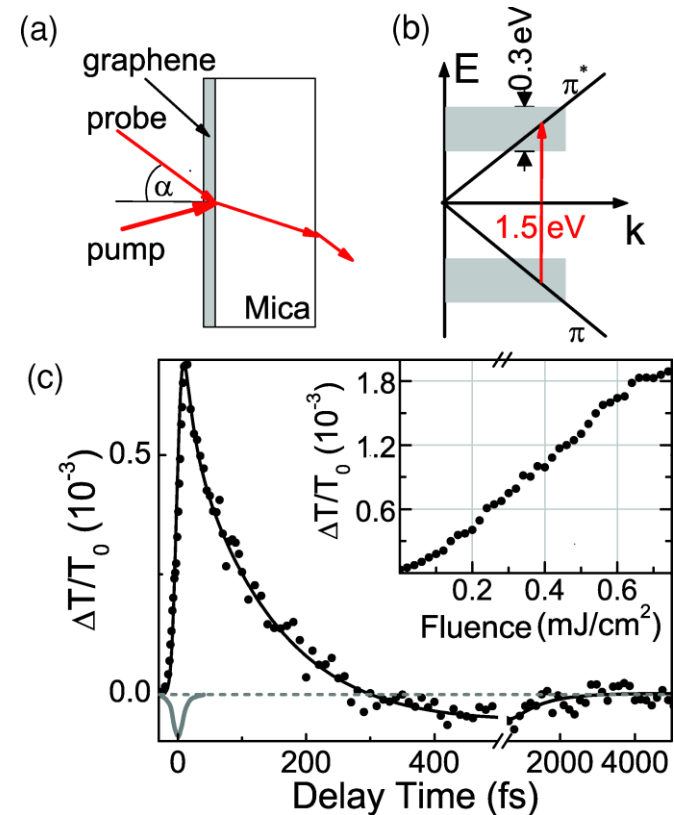
PRB 84, 205406 (2011)

Nano Lett. 10, 4839 (2010)

Experiment in the infrared regime

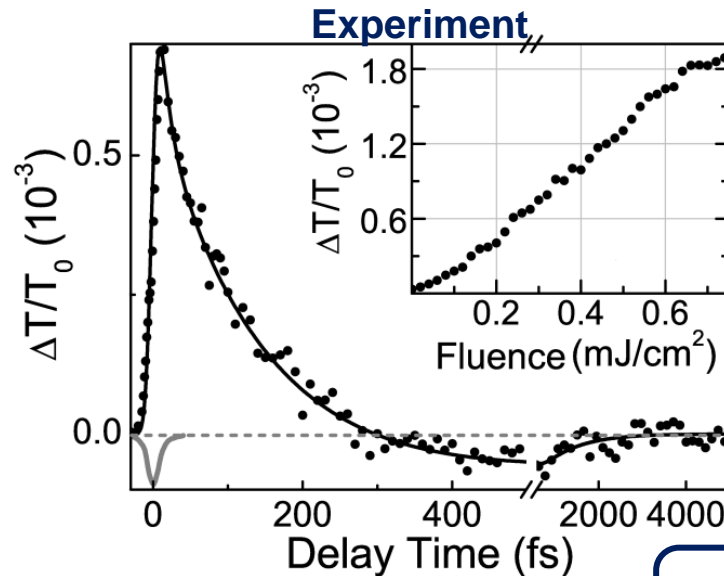
- **High-resolution pump-probe** experiment to measure differential transmission in graphene
- **Excitation energy** in the infrared region at **1.5 eV**
- Temporal resolution is **10 fs**
- Initial increase of transmission is due to the **absorption bleaching**
- Flowing **decay** is characterized by **two time constants**:

$$\tau_1 = 140 \text{ fs}; \quad \tau_2 = 0.8 \text{ ps}$$



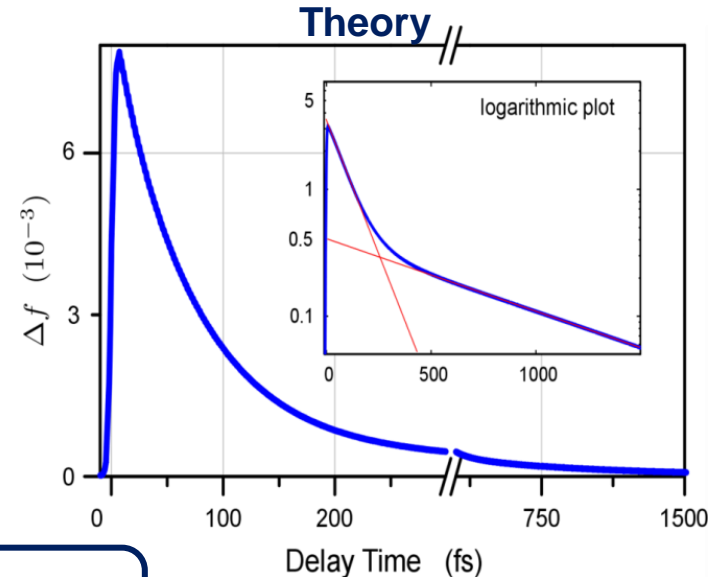
T. Elsaesser (Max-Born Institut, Berlin)

Theory-experiment comparison



$\tau_1 = 140 \text{ fs}; \tau_2 = 800 \text{ fs}$

Two time constants



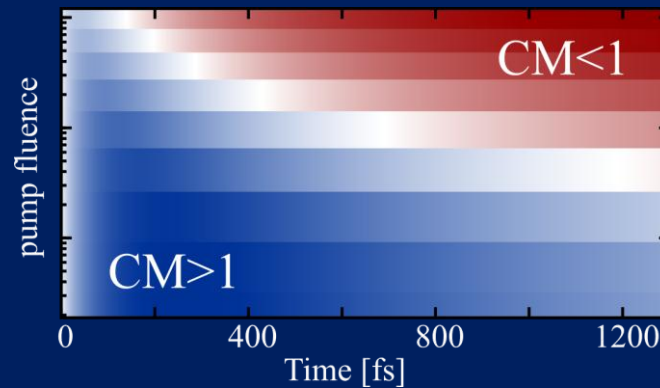
$\tau_1 = 104 \text{ fs}; \tau_2 = 709 \text{ fs}$

- Theory is in **good agreement** with experiment:
 τ_1 corresponds to **thermalization**, τ_2 described **carrier cooling**
- Observed **negative DTS** still under debate in literature

→ **Challenge:** **Impact of the substrate**

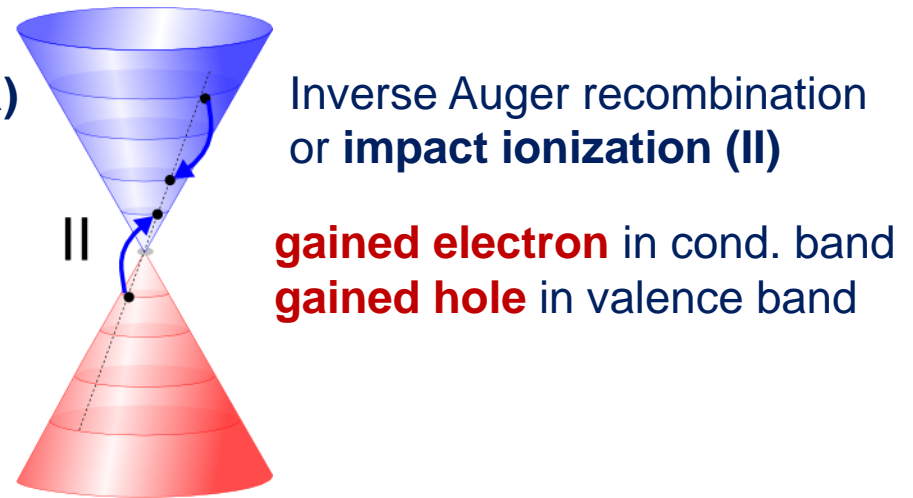
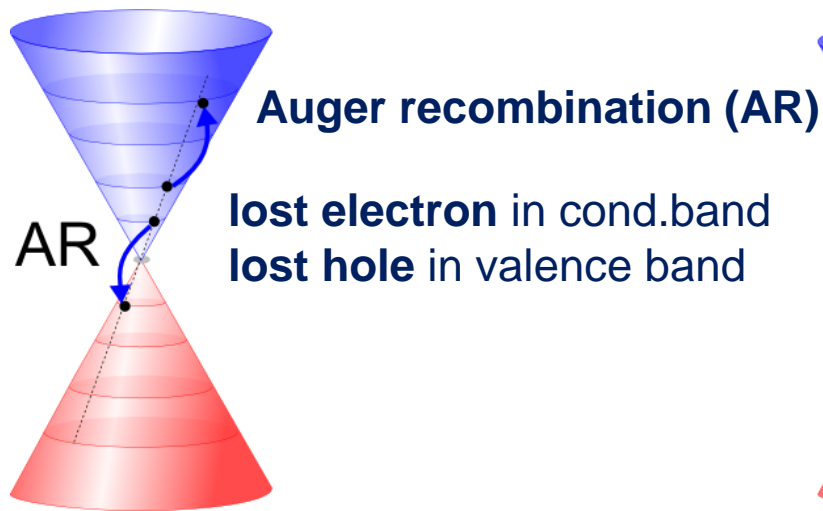
Outline

- Anisotropy, thermalization, and cooling
- Auger-induced carrier multiplication
- Transient optical gain



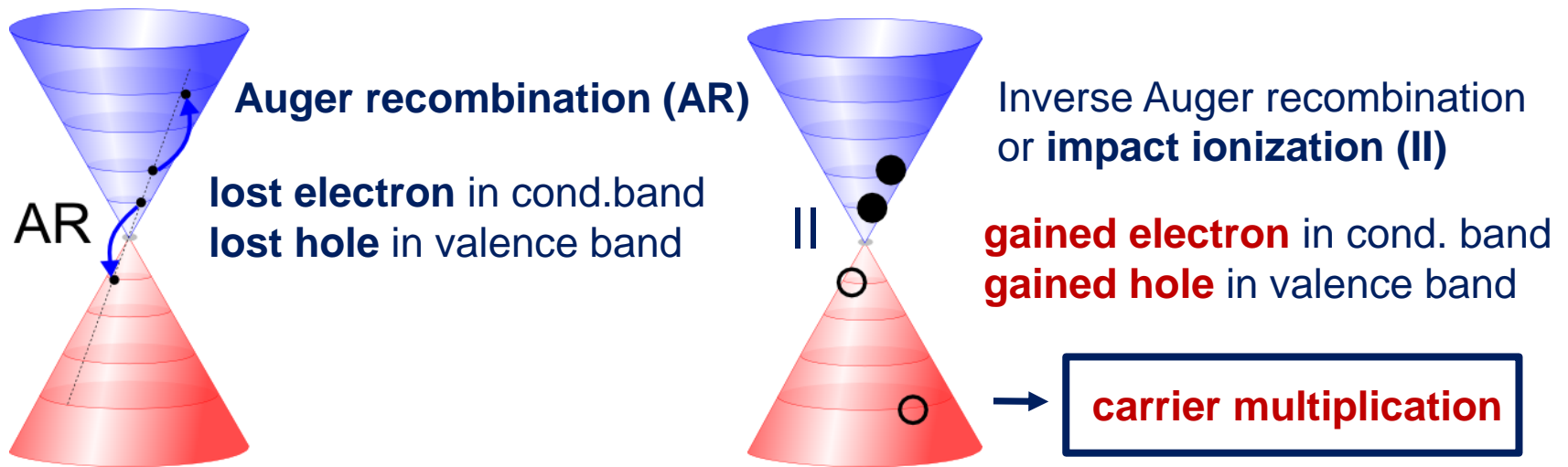
Auger scattering

- Auger scattering **changes** the number of charge **carriers in the system**



Impact ionization

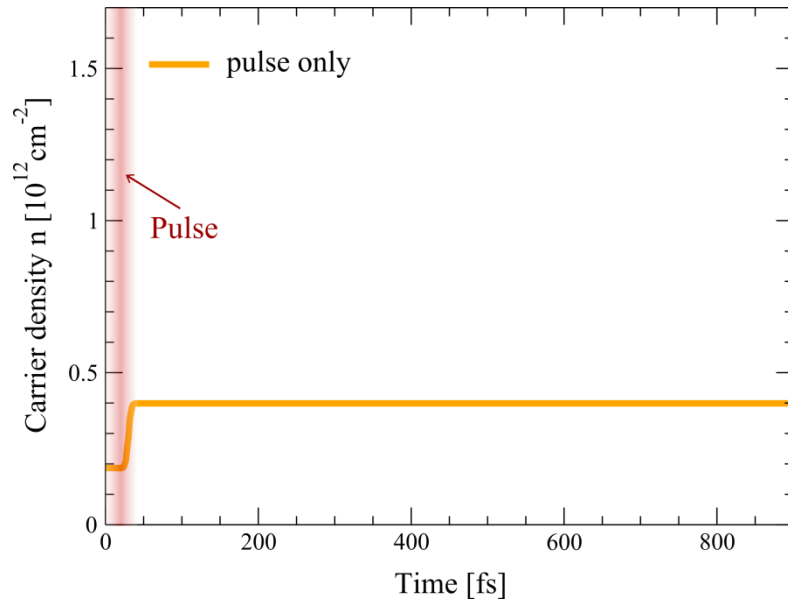
- Auger scattering **changes** the number of charge **carriers in the system**



- In **conventional semiconductors** (band gap, parabolic band structure) Auger scattering is **inefficient** due to energy and momentum conservation

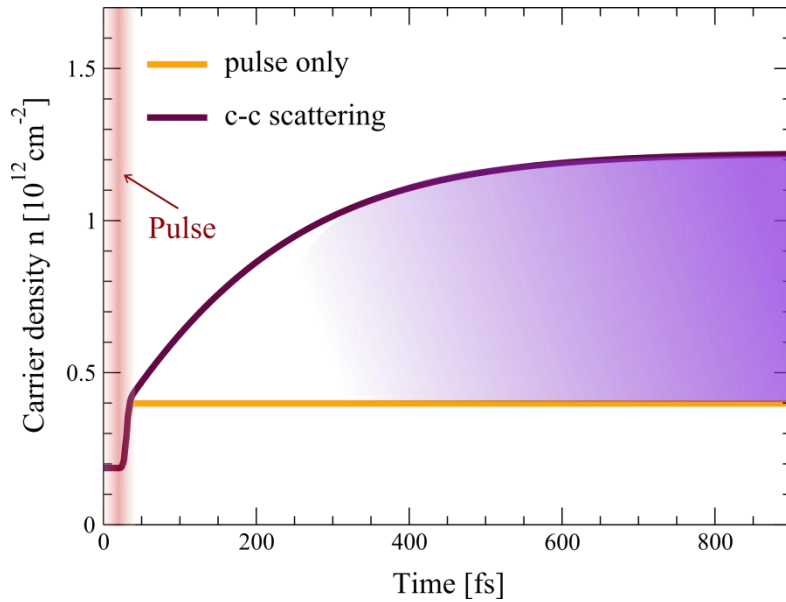
And in graphene?

Carrier density



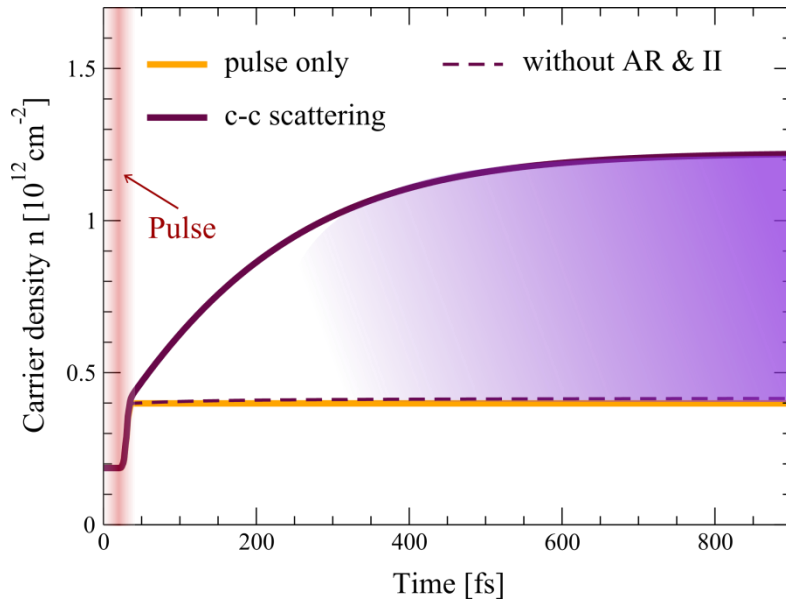
- **Carrier density increases** during the excitation pulse

Carrier multiplication



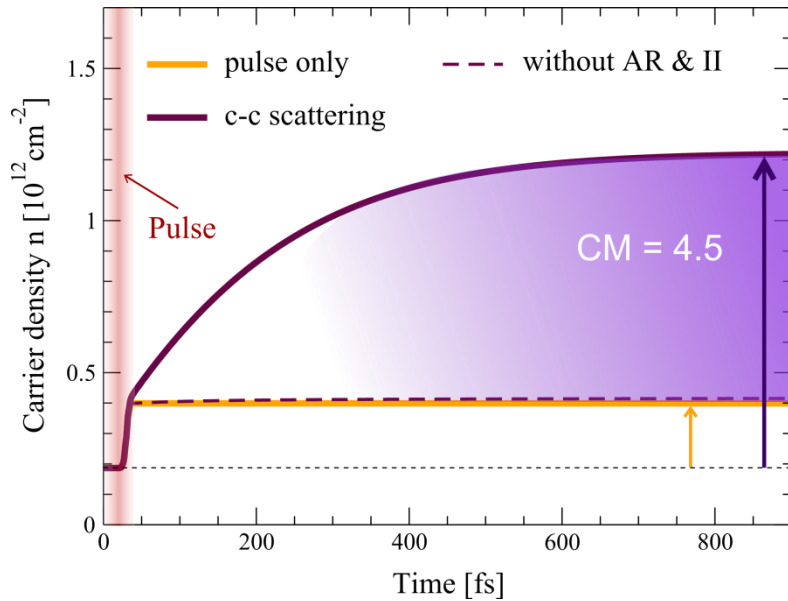
- Auger scattering leads to **carrier multiplication (CM)**

Carrier multiplication

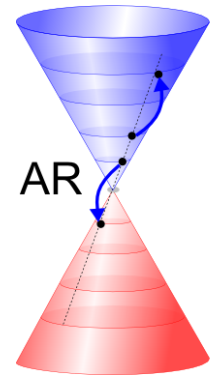
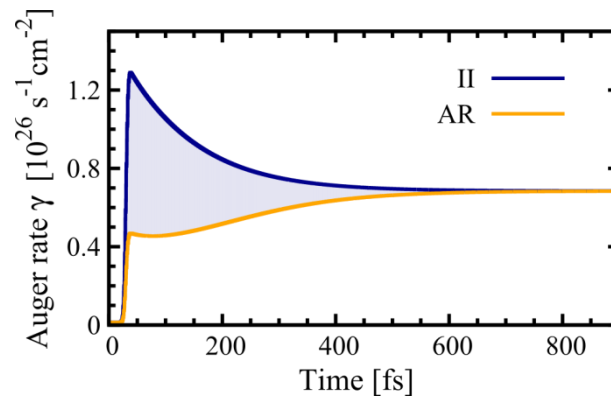


- Auger scattering leads to **carrier multiplication (CM)**

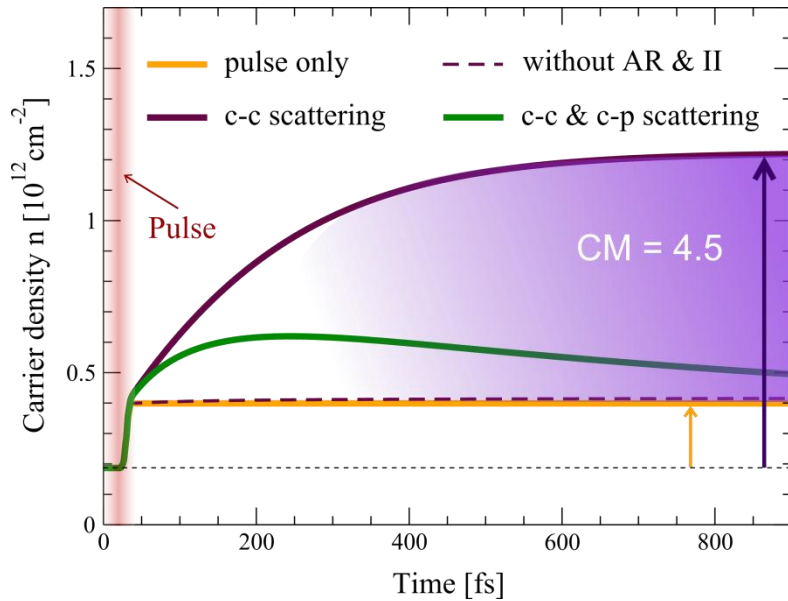
Carrier multiplication



- Auger scattering leads to **carrier multiplication (CM)**
- **Asymmetry** between II and AR in favor of **impact ionization (II)**

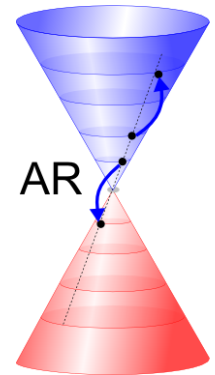
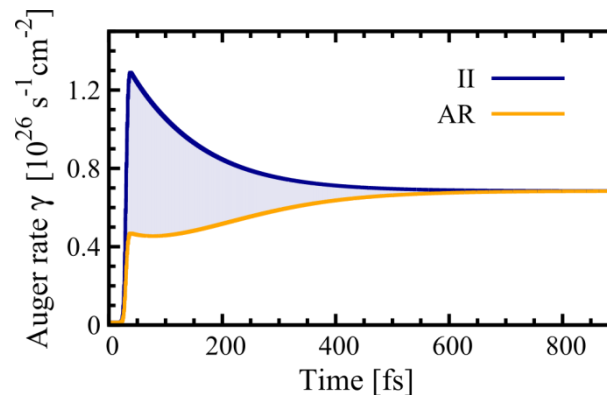


Impact of phonons

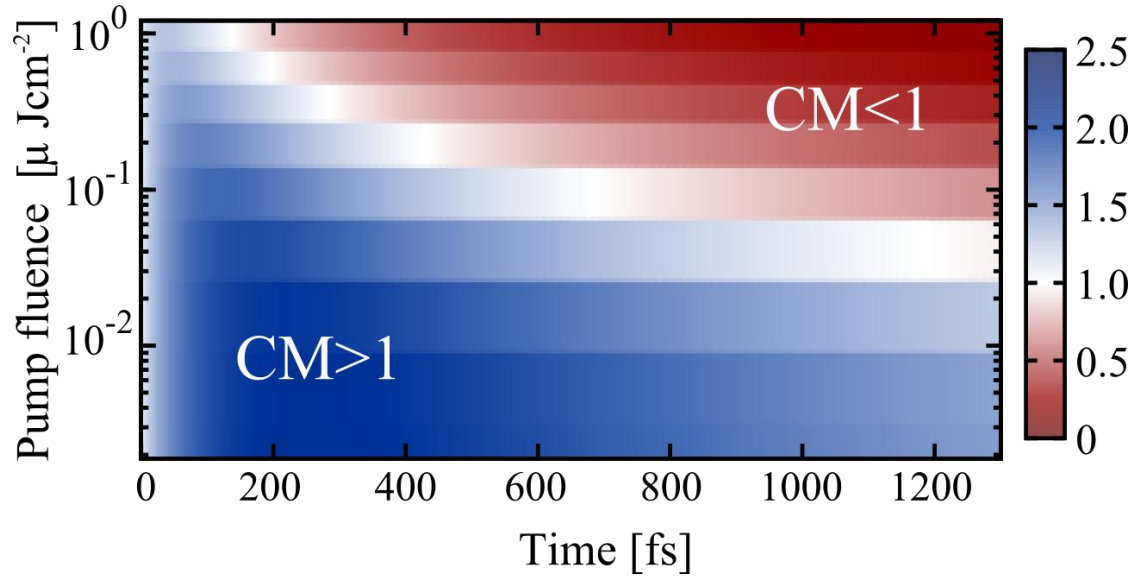


- **Carrier-phonon scattering reduces CM on a ps time scale**

- Auger scattering leads to **carrier multiplication (CM)**
- **Asymmetry** between II and AR in favor of **impact ionization (II)**



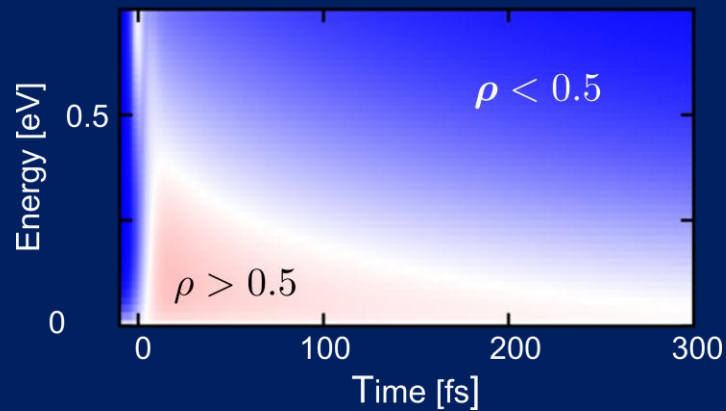
Carrier multiplication



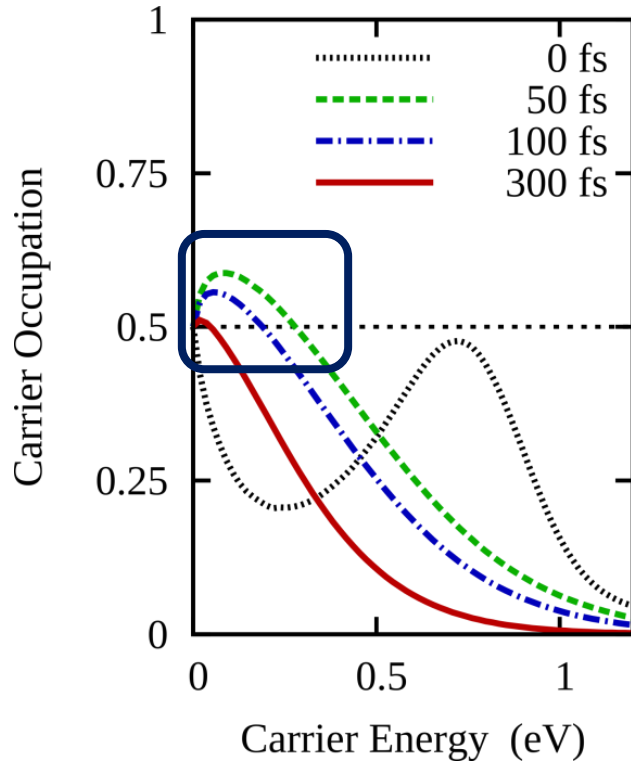
- Carrier multiplication shows a clear **dependence** on the **pump fluence**
- Two regions with $\text{CM} > 1$ and $\text{CM} < 1$ with **phonon-induced cross-over**
- CM remains **stable** on a **ps time scale** → **application** potential
- **Experimental confirmation** of CM by Kurz (RWTH Aachen)

Outline

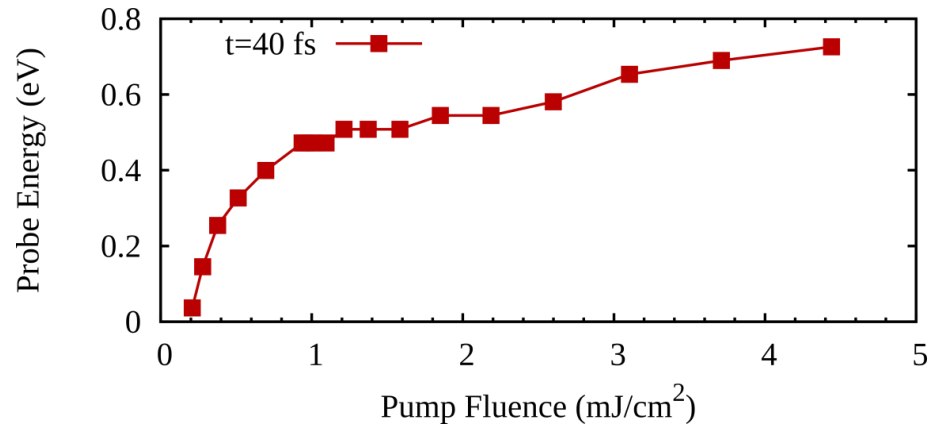
- Anisotropy, thermalization, and cooling
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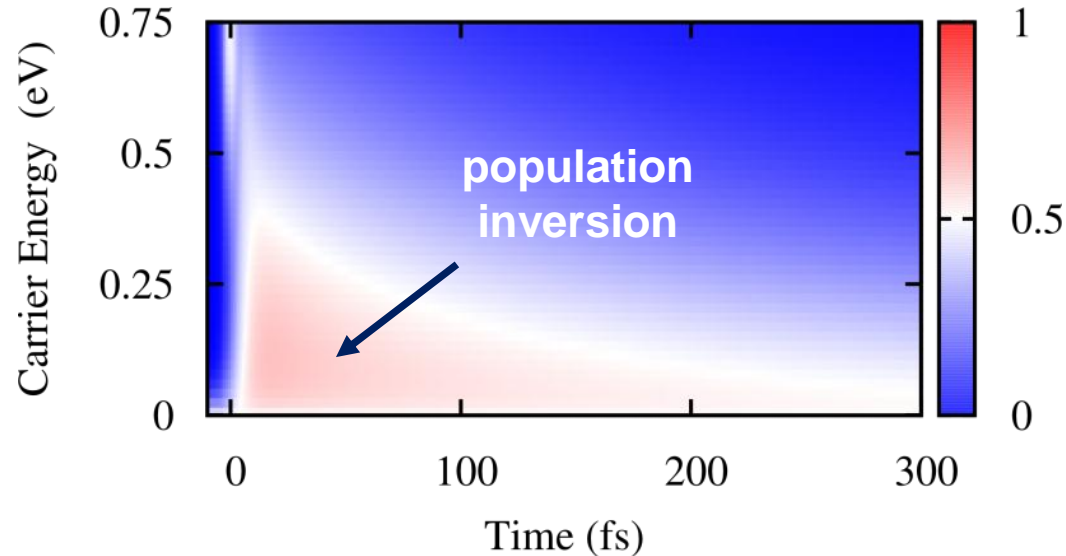
Transient population inversion



- **Population inversion** occurs in the **high-excitation regime** ($>0.2 \text{ mJcm}^{-2}$)
- **Spectrally and temporally limited** depending on pump fluence



Transient population inversion



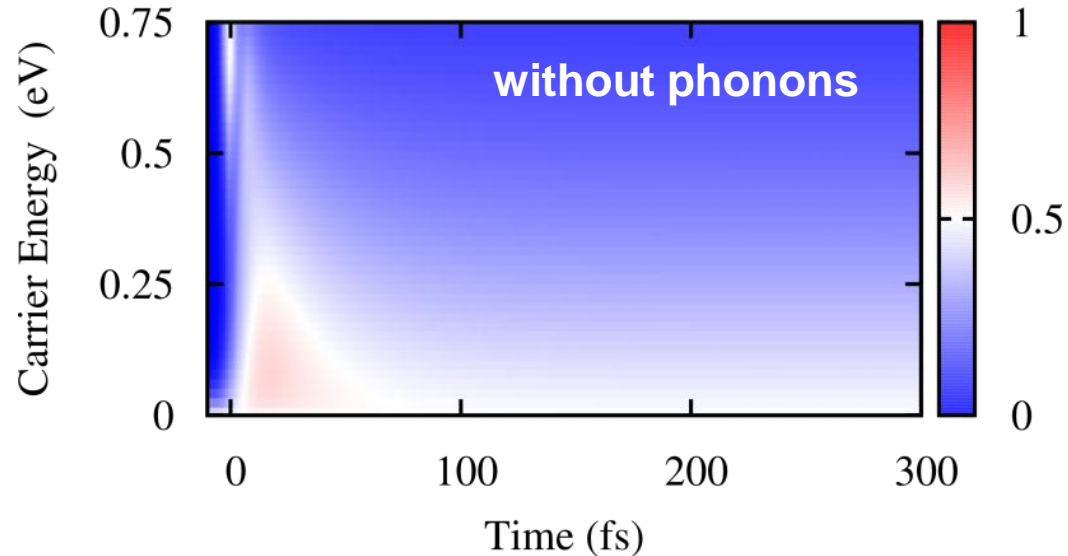
- For a pump fluence of **2.5 mJcm⁻²**, population **inversion** found in the first **300 fs** up to a carrier energy of **400 meV**

→ **Good agreement** with a recent **experiment**: *PRL 108, 167401 (2012)*

Optical gain manifested in **negative conductivity**

(fluences > 2 mJcm⁻², first 200 fs, energy up to 550 meV)

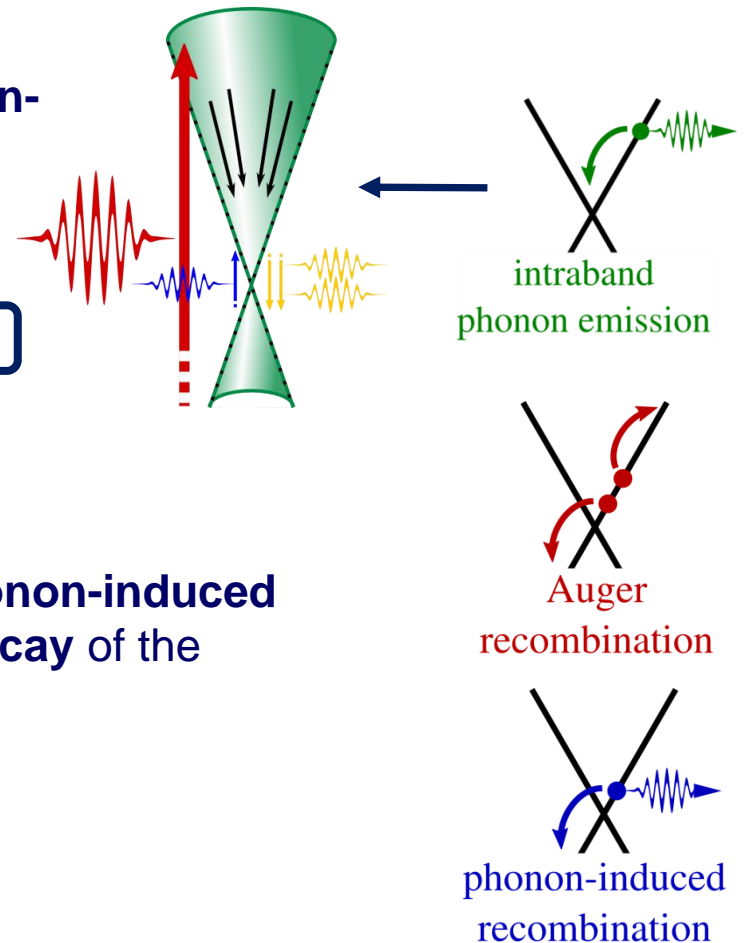
Impact of phonons



- For a pump fluence of **2.5 mJcm⁻²**, population **inversion** found in the first **300 fs** up to a carrier energy of **400 meV**
- **Intraband** scattering with **phonons** plays a crucial role: the gain region is strongly reduced without phonons

Microscopic mechanism

- Excited carriers scatter down via **phonon-induced intraband** processes
- **Vanishing density of states** at the Dirac point → **population inversion**
- Efficient **Auger recombination** and **phonon-induced interband** scattering give rise to a **fs-decay** of the population inversion



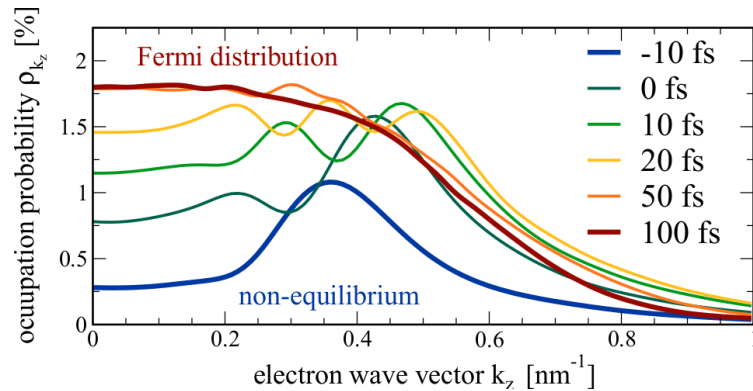
Relaxation dynamics in graphene

**Relaxation dynamics
in graphene**

Future challenges

- **Non-Markov** relaxation dynamics including **quantum-kinetic memory effects**

Calculations for CNTs reveal **oscillations** in occupation



- **Impact of the substrate** is unknown and so far widely neglected in literature

- Number of relaxation channels strongly reduced close to Dirac point

Prediction of “supercollisions“: **disorder-assisted carrier-phonon scattering**

