

Big-Data Analytics for Materials Science: Concepts, Challenges, and Hype

Matthias Scheffler^(*)

Fritz-Haber-Institut der Max-Planck-Gesellschaft, Berlin; http://th.fhi-berlin.mpg.de/

From *the periodic table of the elements* to *a chart (a map) of materials*: Organize materials according to their properties and functions.

is.

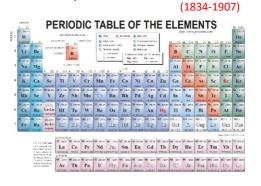
Dmitri Mendeleev

- o figure of merit of thermoelectrics (as function of T)
- turn-over frequency of catalytic materials (as function of *T* and *p*)
- efficiency of photovoltaic systems

o etc.



(*) Work performed in collaboration with Luca Ghiringhelli, Jan Vybiral, Claudia Draxl, et al.



Materials Genome Initiative for Global Competiveness



To help business discover, develop, and deploy new materials twice as fast, we're launching what we call the Materials Genome Initiative. The invention of silicon circuits and lithium ion batteries made computers and iPods and iPads possible, but it took years to get those technologies from the drawing boards to the market place. We can do it faster.

President Obama Carnegie Mellon University, June 2011



"twice as fast, at a fraction of the cost"

Materials Genome Initiative for Global Competiveness



To help business discover, develop, and deploy new materials twice as fast, we're launching what we call the Materials Genome Initiative. The invention of silicon circuits and lithium ion batteries made computers and iPods and iPads possible, but it took years to get those technologies from the drawing boards to the market place. We can do it faster.

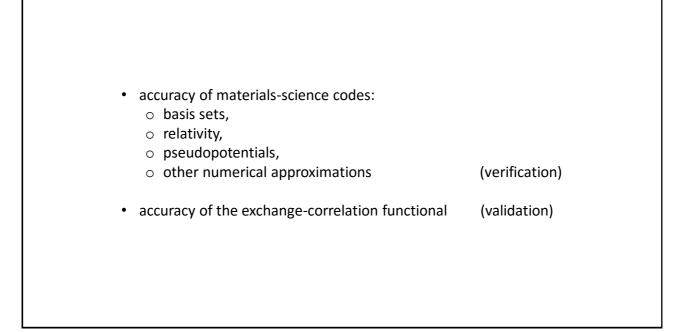
President Obama Carnegie Mellon University, June 2011 Compute or measure the basic properties ("genes") of many (ten thousand) materials and disseminate that information to the materials community to enable rapid searches and design.



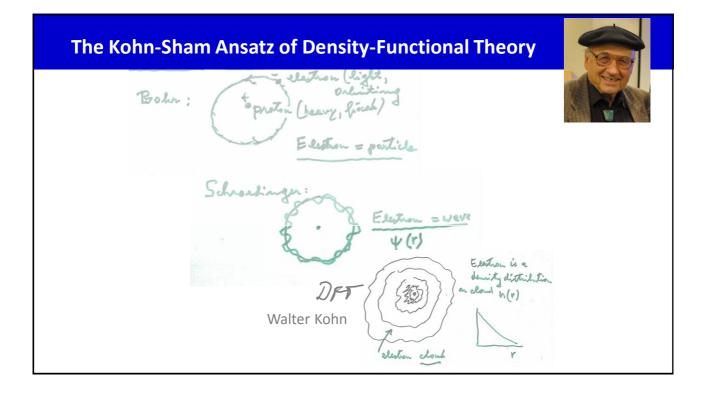
"twice as fast, at a fraction of the cost"

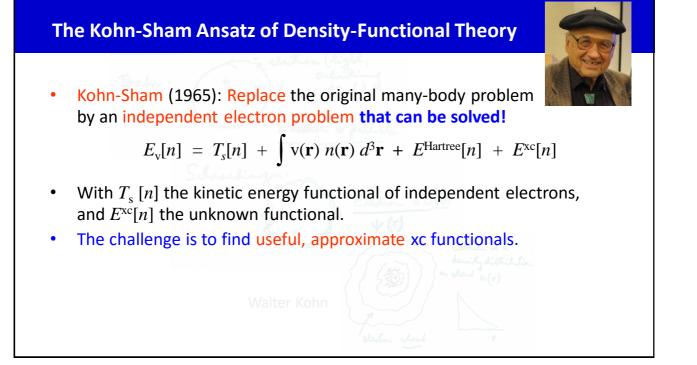
What is "Computational Materials Science"

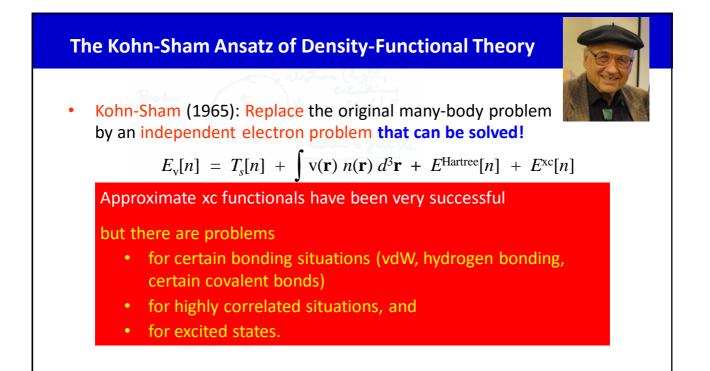
what is meant by "first-principles (*ab initio*) calculations"

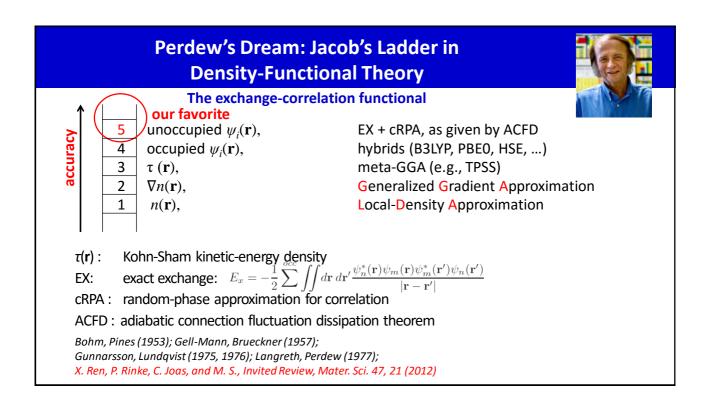


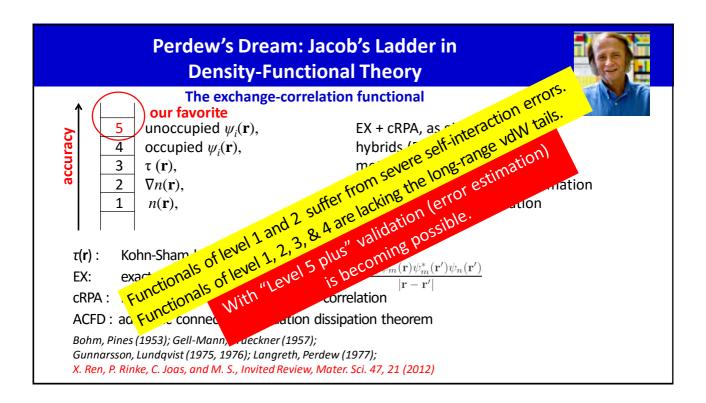
Code	Version	Basis	Electron treatment	∆-value	Authors
WIEN2k 🗗	13.1	LAPW/APW+lo	all-electron	0 meV/atom	S. Cottenier
FHI-aims 🗗	081213	tier2 numerical orbitals	all-electron (relativistic atomic_zora scalar)	0.2 meV/atom	ASE [2]
Exciting 🗗	development version	LAPW+xlo	all-electron	0.2 meV/atom	Exciting [10]
FHI-aims 🗗	081213	tier2 numerical orbitals	all-electron (relativistic zora scalar 1e-12)	0.4 meV/atom	ASE [2]
CASTEP	8.0	plane waves	OTFG CASTEP 8.0	0.5 meV/atom	CASTEP [7]
ABINIT	7.7.3	plane waves	PAW JTH v0.2	0.6	F. Jollet and M Torrent

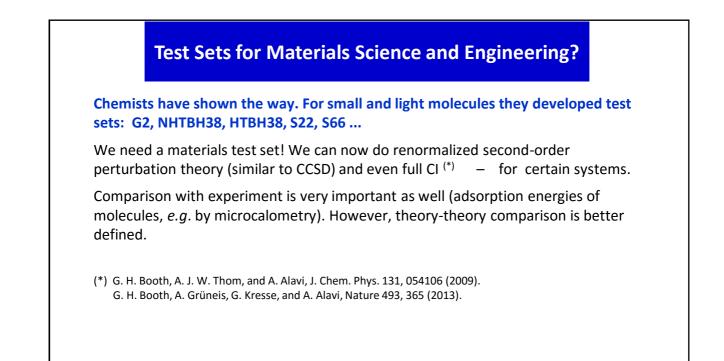








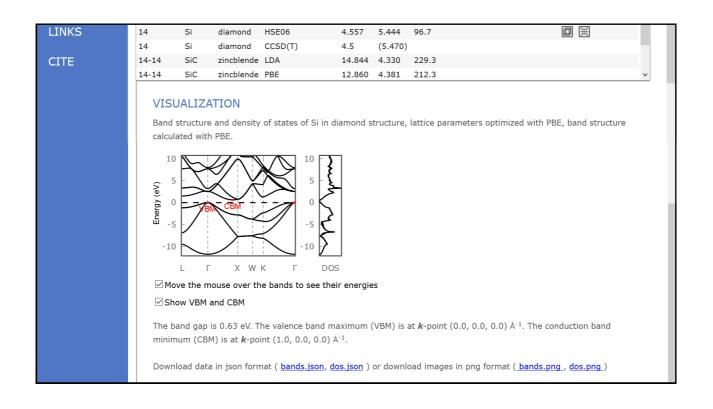




Test set for materials science and engineering Light main group elements н He Li Be в С Ν 0 F Ne Na A1 Si Ρ S Cl Mg Ar к Ca Ge Se Br 7 elements and 12 binaries Ga As Kr with cubic structure Rb \mathbf{Sr} In \mathbf{Sn} Sb Te Ι Xe Ba (for the start) Cs Ne, Ar, Al (fcc); Li, Na (bcc); C, Si (diamond); LiH, LiF, LiCl, NaF, NaCl, MgO, MgS (rocksalt); BeS, BP, AlP, SiC, BN (zincblende) MSE properties: cohesive, electronic, elastic and vibrational Representative for cubic metals, semiconductors, and insulators Numerically accurate reference values from theory, incl. MP2, RPA, CCSD(T)

	Search									
	TEST S	SET FO	R MATE	RIALS SCIE	NCE AND E	NGIN	ERING			
IESI SEI	Group	Mater	ial Structur	e Method	E _{coh} (e.	. <i>a</i> ₀ (Å)	B (GPa) E _{Yo}	_{ung} (GP v	Poiss… YGr	ünei…
	1	Li	bcc	LDA	1.802	3.365	15.1			^
ABOUT	1	Li	bcc	PBE	1.608	3.437	14.0			
	1	Li	bcc	PBEsol	1.682	3.435	13.8			
SEARCH	1	Li	bcc	HSE06	1.556	3.471	13.2			
	1	Na	bcc	LDA	1.248	4.055	8.8			
LINKS	1	Na	bcc	PBE	1.084	4.204	7.7			
	1	Na	bcc	PBEsol	1.161	4.176	7.8			
CITE	1	Na	bcc	HSE06						~
	<									>
	VIS	UALIZ	ATION							
), band struct			

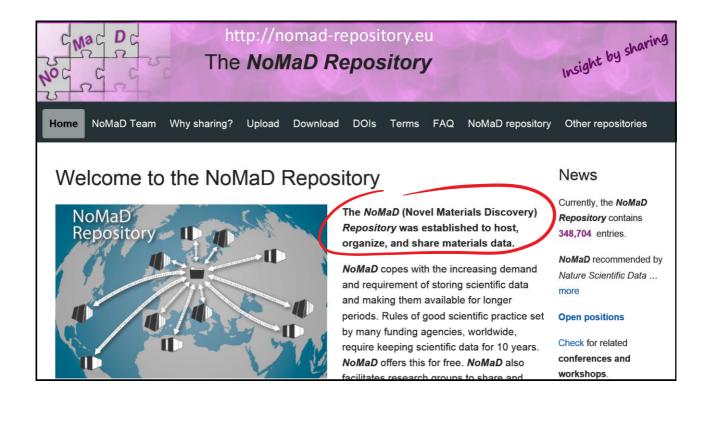
Manager and Company Co	mpg.de/index.h	ntml				Q. Sea	irch	☆	Ê		+	Â	
Most visitedFHI	Theory FHI 🧷	MPG											
ISE	Si TEST S			ALS SCIENCE		NGINE	FRING						
EST SET	Group		l Structure	Method	E _{coh} (e		B (GPa) E _{Young} (GP v _{Polss} y _{Grünel} .	. CS	BS	VIB (CONV		
	14	Si	diamond	LDA	5.325	5.402	86.1					^	
ABOUT	14	Si	diamond	PBE	4.585	5.471	89.1						
	14	Si	diamond	PBE+vdW(TS)	4.868	5.448	91.4						
SEARCH	14	Si	diamond	PBE+vdW(MBD)	4.844	5.434	93.4						
	14	Si	diamond	PBEsol	4.972	5.434	94.2						
INKS	14	Si	diamond	HSE06	4.557	5.444	96.7						
	14	Si	diamond	CCSD(T)	4.5	(5.470)							
CITE	14-14	SiC	zincblende	LDA	14.844	4.330	229.3						
	14-14	SiC	zincblende	PBE	12.860	4.381	212.3					\sim	
	Band	ated with	and density	of states of Si in d	liamond s	tructure,	lattice parameters optimized with P	BE, t	band s	structi	ure		

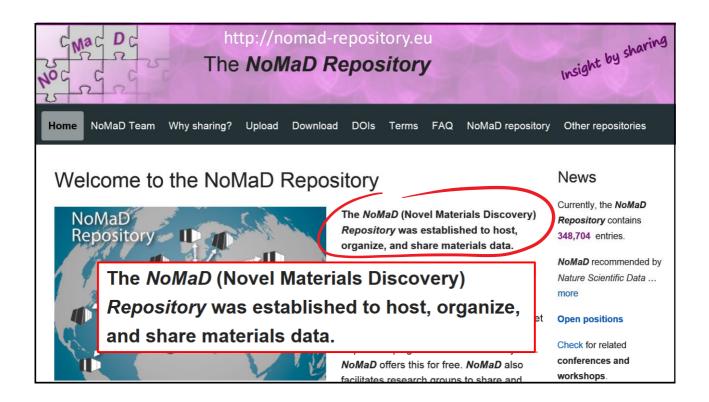




NoNaD

The Novel Materials Discovery Repository







by many funding agencies, worldwide, require keeping scientific data for 10 years.

NoMaD offers this for free. NoMaD also facilitates research groups to share and

exchange their results, inside a single group or between two or more, and to recall what was actually done some years ago.

The NoMaD Repository enables the confirmatory analysis of materials data, their reuse, and repurposing.

Upload of data is possible without any barrier. Results are accepted in their raw format as produced by the underlying code. The only condition is that the list of authors is provided, and code and code version can be retrieved from the uploaded files. These data can be restricted to the owner or made available to other people (selected by the owner). They can be updated and downloaded at any time.

Read more details concerning the upload. Please, register or login to participate.

At present, the repository contains ab initio electronic-structure data from density-functional theory and methods beyond. At a later stage, it will be extended by force-field studies and by experimental data. We also give an outlook on the NoMaD Laboratory that will be dedicated to a Materials Encyclopaedia, as the basis for complex queries and the development of various data-analytics tools.



Check for related conferences and workshops.

We are making NoMaD more powerful and apologize for any possible instability during this time.

The NoMaD Repository is about joining eudat.

Financial Support



by many funding agencies, worldwide, require keeping scientific data for 10 years. NoMaD offers this for free. NoMaD also facilitates research groups to share and

exchange their results, inside a single group or between two or more, and to recall what was actually done some vears ado.

The NoMaD Repository enables the confirmatory analysis of materials data, their reuse, and repurposing.

workshops. We are making NoMaD more powerful and

apologize for any possible

Check for related

conferences and

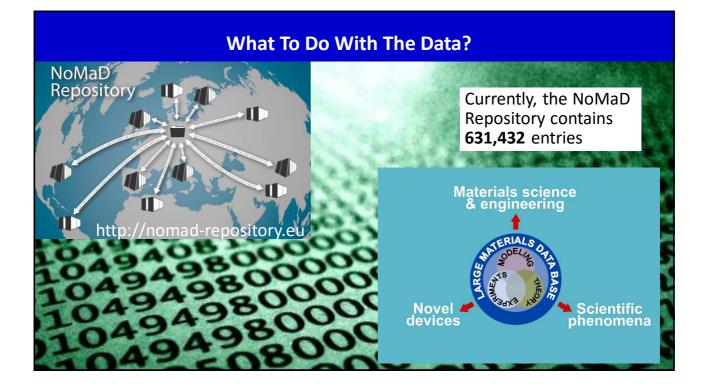
The *NoMaD Repository* enables the confirmatory analysis MaD Repository is ining eudat. of materials data, their reuse, and repurposing. al Support

Read more details concerning the upload. Please, register or login to participate.

At present, the repository contains ab initio electronic-structure data from density-functional theory and methods beyond. At a later stage, it will be extended by force-field studies and by experimental data. We also give an outlook on the NoMaD Laboratory that will be dedicated to a Materials Encyclopaedia, as the basis for complex queries and the development of various data-analytics tools.



instability during this time.



The Four V of Big Data and an A



The Four V of Big Data and an A

Data – data – data (analog to Moore's law) (so far: most data are not used and even thrown away)



Big-Data Challenge: "four V": Volume (amount of data), Variety (heterogeneity of form and meaning of data), Velocity at which data may change or new data arrive, Veracity (uncertainty of quality).



The Four V of Big Data and an A

Data – data – data (analog to Moore's law) (so far: most data are not used and even thrown away)



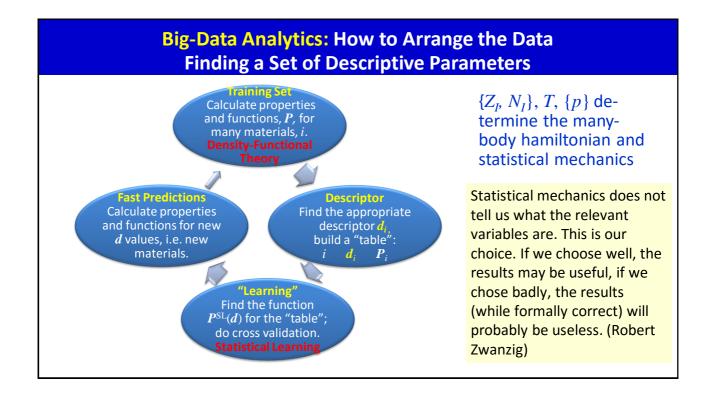
Big-Data Challenge: "four V": Volume (amount of data), Variety (heterogeneity of form and meaning of data), Velocity at which data may change or new data arrive, Veracity (uncertainty of quality). Query and read out what was stored; high-throughput screening.

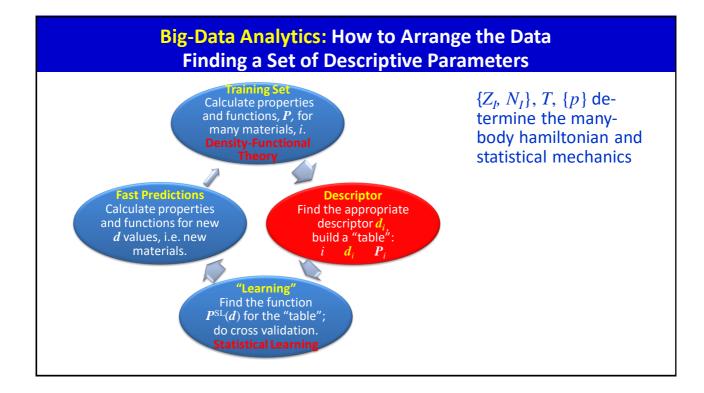
Shouldn't we do more?!

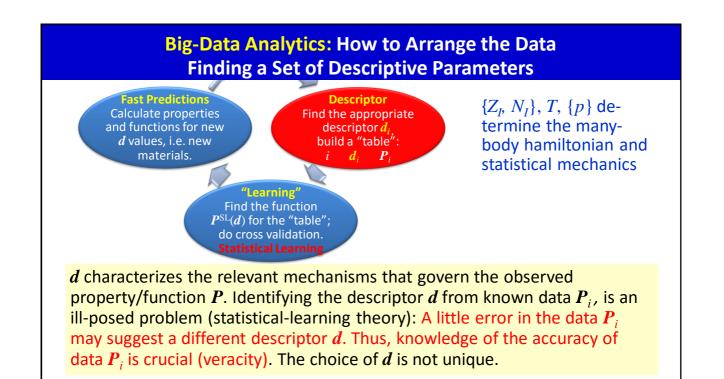


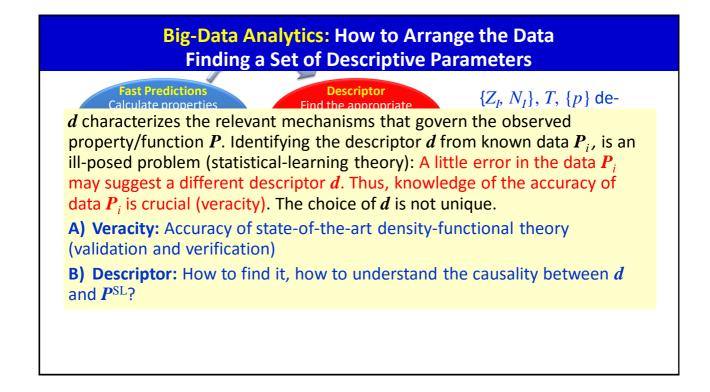
The four V should be complemented by an "**A**", **Big-Data Analytics**:

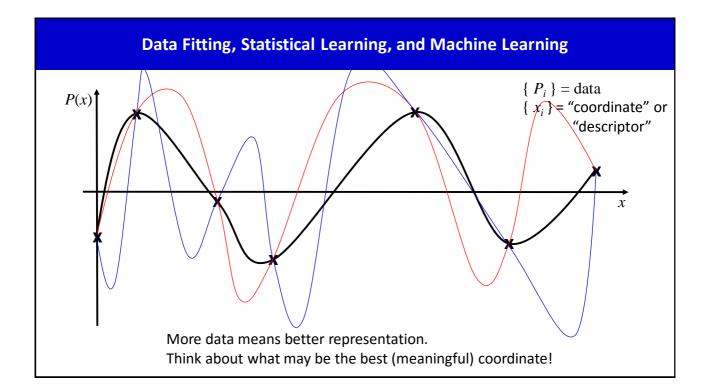
- identify (so far) hidden trends,
- What is the next most promising candidate that should be studied?
- identify anomalies,
- identify the mechanisms behind a certain material property/function.





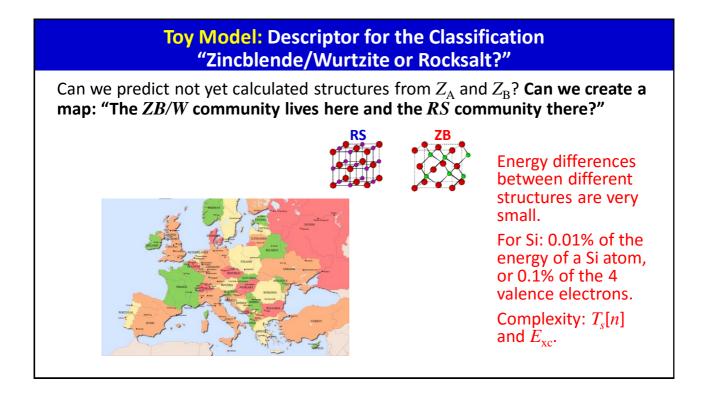






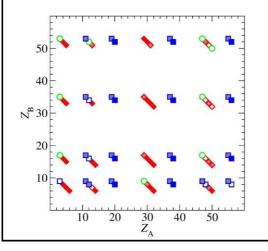
1/	· ·	
Kerne	Regression	1
	Incel coolor	1

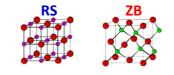
We have data $\{P_i\}$ at "coordinates" $\{x_i\}$ x_i = set of descriptive parameters (descriptor) $P_i \stackrel{!}{=} P^{SL}(x_i) = \sum_{k=1}^N c_k K(x_i, x_k)$ Linear regression: $K(x_i, x_k) = x_i \cdot x_k$ $P^{SL}(x_i) = x_i \cdot c^*$ Polynomial kernel $K(x_i, x_k) = (x_i \cdot x_k + c)^d$ Gaussian kernel $K(x_i, x_k) = \exp(-\sum_j (x_i - x_k)^2 / 2\sigma_j^2)$ More data means better representation. Do we "learn" anything? For successful learning, we need a "good" descriptor: $\{x_i\} \rightarrow \{d_i\}$

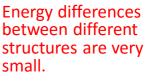


Toy Model: Descriptor for the Classification "Zincblende/Wurtzite or Rocksalt?"

Can we predict not yet calculated structures from Z_A and Z_B ? Can we create a map: "The *ZB/W* community lives here and the *RS* community there?"

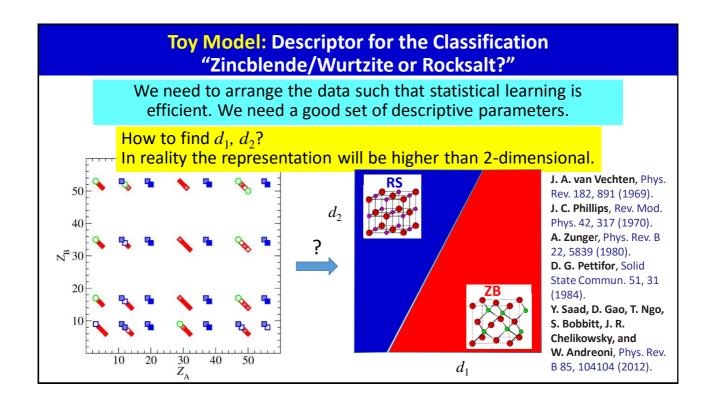






For Si: 0.01% of the energy of a Si atom, or 0.1% of the 4 valence electrons.

Complexity: $T_s[n]$ and E_{xc} .



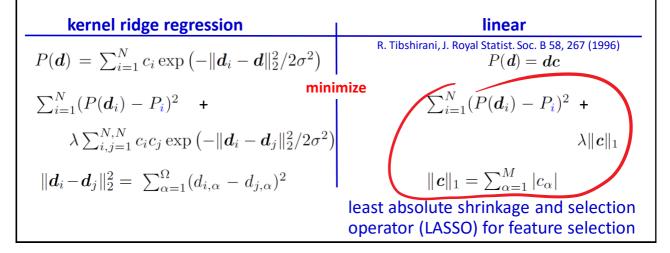
Statistical Learning (Machine Learning)

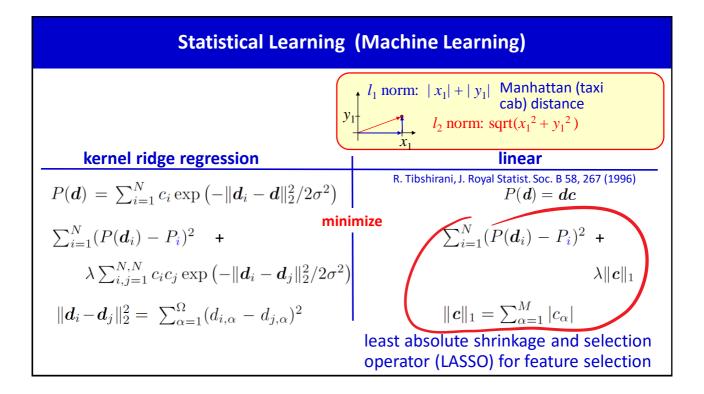
fit and/or interpolation of known data points { P_i } and building a function P(d) the key scientific challenge: find a reliable, low dimensional descriptor d.

kernel ridge regression	linear
$P(\boldsymbol{d}) = \sum_{i=1}^{N} c_i \exp\left(-\ \boldsymbol{d}_i - \boldsymbol{d}\ _2^2 / 2\sigma^2\right)$	P(d) = dc

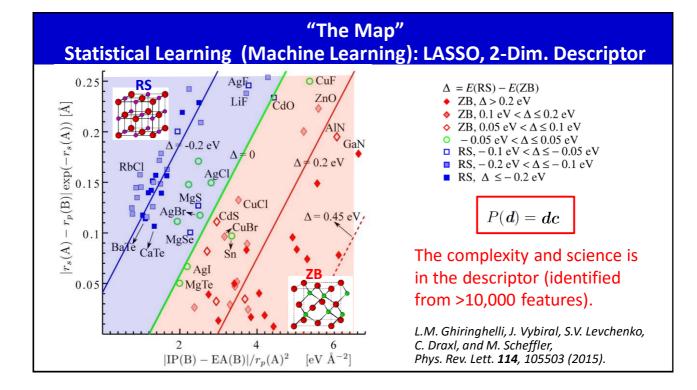
Statistical Learning (Machine Learning)

fit and/or interpolation of known data points { P_i } and building a function P(d) the key scientific challenge: find a reliable, low dimensional descriptor d.





1) Primary Features, 2) Feature Space, 3) Descriptors								
	ID	Description free atoms	Symbols	#				
	A1	Ionization Potential (IP) and Electron Affinity (EA)	IP(A) EA(A) IP(B) EA(B) [1]	4				
	A2 Highest occupied (H) and lowest unoccupied (L) H(A) L(A) H(B) L(B) 4 Kohn-Sham levels 4							
1)	$\begin{array}{c c c c c c c c c c c c c c c c c c c $							
	ID	Description free dimers	Symbols	#				
	A4	Binding energy	$E_b(AA) E_b(BB) E_b(AB)$	3				
	A5 HOMO-LUMO KS gap HL(AA) HL(BB) HL(AB) 3							
	A6	Equilibrium distance	$d(AA) \ d(BB) \ d(AB)$	3				
2) We start with 23 primary features and build > 10,000 non linear combinations								
3)	LASS	O finds the descriptors: $\frac{IP(B) - EA(B)}{r_p(A)^2}$,	$\frac{ r_s(\mathbf{A}) - r_p(\mathbf{B}) }{\exp(r_s(\mathbf{A}))}, \frac{ r_p(\mathbf{B}) - r_s(\mathbf{H}) }{\exp(r_d(\mathbf{A}) + r_s)}$	$\frac{ \mathbf{B} }{ \mathbf{B} }$				



Statistical Learning (Machine Learning): Descriptor

Mean absolute error (MAE), and maximum absolute error (MaxAE), in eV, (first two lines) and for a leave-10%-out cross validation (CV), averaged over 150 random selections of the training set (last two lines). For (Z_A^* , Z_B^*), each atom is identified by a string of three random numbers.

	$Z_{\rm A}, Z_{\rm B}$	$Z_{\rm A}^*, Z_{\rm B}^*$	1D	2D	3D	5D
MAE MaxAE	1*10 ⁻⁴ 8*10 ⁻⁴	3*10 ⁻³ 0.03	0.12 0.32	0.08 0.32	0.07 0.24	$0.05 \\ 0.20$
MAE, CV	0.13	0.14	0.12	0.02	0.07	0.05
Marae, CV MaxAE, CV	0.43	0.42	0.12	0.09	0.16	0.03

Statistical Learning (Machine Learning): Descriptor

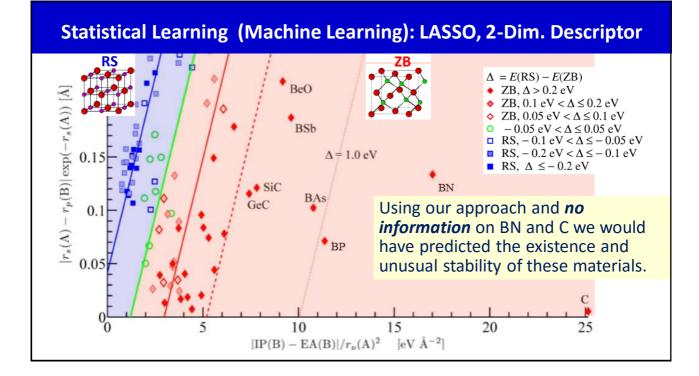
Mean absolute error (MAE), and maximum absolute error (MaxAE), in eV, (first two lines) and for a leave-10%-out cross validation (CV), averaged over 150 random selections of the training set (last two lines). For (Z_A^* , Z_B^*), each atom is identified by a string of three random numbers.

Descriptor	$Z_{\rm A}, Z_{\rm B}$	$Z_{\rm A}^*, Z_{\rm B}^*$	1D	2D	3D	5D
MAE	1*10 ⁻⁴	3*10 ⁻³	0.12	0.08	0.07	0.05
MaxAE	8*10 ⁻⁴	0.03	0.32	0.32	0.24	0.20
MAE, CV	0.13	0.14	0.12	0.09	0.07	0.05
MaxAE, CV	0.43	0.42	0.27	0.18	0.16	0.12

Statistical Learning (Machine Learning): Descriptor

Mean absolute error (MAE), and maximum absolute error (MaxAE), in eV, (first two lines) and for a <u>leave-10%-out cross validation (CV)</u>, averaged over <u>150 random</u> <u>selections</u> of the training set (last two lines). For (Z_A *, Z_B *), each atom is identified by a string of three random numbers.

MAE 1*10 ⁻⁴ 3*10 ⁻³ 0.12 0.08 0.07 0.05 MaxAE 8*10 ⁻⁴ 0.03 0.32 0.32 0.24 0.20 MAE, CV 0.13 0.14 0.12 0.09 0.07 0.05 MaxAE 0.43 0.42 0.27 0.18 0.16 0.12	Descriptor	$Z_{\rm A}, Z_{\rm B}$	$Z_{\rm A}^*, Z_{\rm B}^*$	1D	2D	3D	5D
				0.12			
MaxAE, CV 0.45 0.42 0.27 0.18 0.10 0.12	MAE, CV MaxAE, CV	0.13 0.43	0.14 0.42	0.12	0.09 0.18	0.07 0.16	0.05 0.12



Big-Data-Driven Science vs. Model-Driven Science

Traditional approach in the empirical sciences (e.g. physics, chemistry):

- Study a few systems
- Build a model,
- Improve the model when needed

(e.g. strength of transition metals Ti, ... Fe, ... Cu: *d*-band occupation, etc.).

The new option offered by Big-Data Analytics (and big-data-driven science):

- Find structure in big data that is probably invisible for humans.
- Offer many (thousands) of optional models, and
- employ applied math/information theory to find out which model is best (e.g. compressed sensing, statistical learning).

Drawing Causal Inference from Big Data (Scientific Insight) -- can we trust a prediction? --

Correlation between *d* and *P*, i.e. *P* is a function of *d*, *P*(*d*), reflects causal inference if it is based on sufficient information^(*)

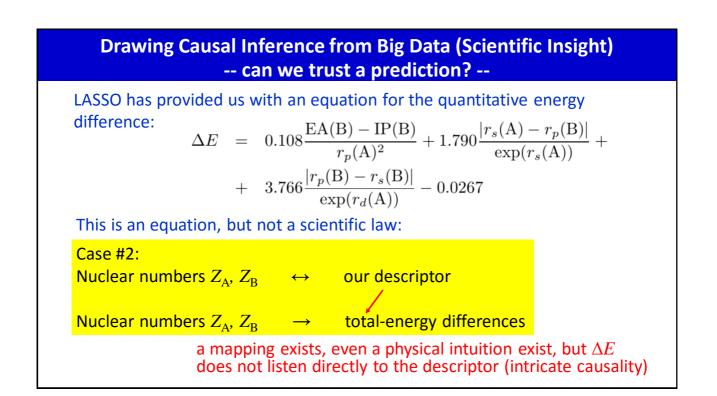
There are four possibilities (types of causality) behind P(d):

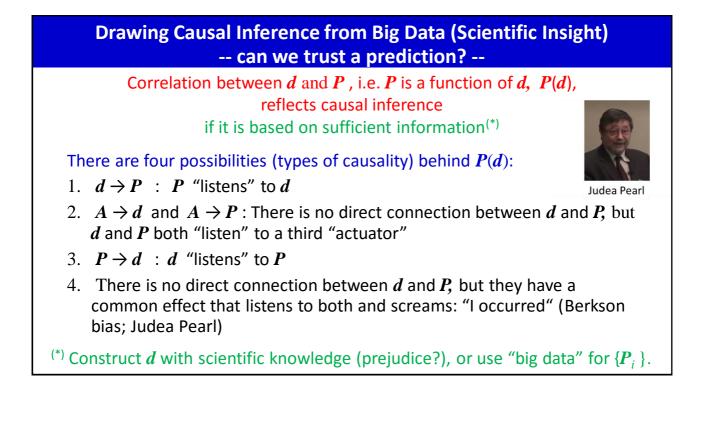
- 1. $d \rightarrow P$: P "listens" to d
- 2. $A \rightarrow d$ and $A \rightarrow P$: There is no direct connection between d and P, but d and P both "listen" to a third "actuator"
- 3. $P \rightarrow d$: d "listens" to P
- 4. There is no direct connection between *d* and *P*, but they have a common effect that listens to both and screams: "I occurred" (Berkson bias; Judea Pearl)

^(*) Construct d with scientific knowledge (prejudice?), or use "big data" for $\{P_i\}$.



Judea Pearl





Drawing Causal Inference from Big Data (Scientific Insight) -- can we trust a prediction? --

ROMEO: "It was the lark, the bird that sings at dawn, not case # 3 the nightingale. Look, my love, what are those streaks of light in the clouds parting in the east? Night is over, and day is coming. ... "

The *singing of the lark* is a good descriptor for *"the sun will rise soon"*. The *singing of the lark* is not the actuator of (the mechanism behind) the sunrise.



Conclusion / Suggestion: Accept "larks" (not just scientific laws) to predict materials properties.

Summary and Outlook

- Machine learning *may* find structure in "big data" that is invisible to humans.
- Correlation reflects causal inference (if based on sufficient information).
- The causality may be intricate and complex.
- Causal models, i.e. employing causal descriptors, are able to provide scientific insight and understanding.

