

Quantum Effects and Statistics in Chemical Reactions at Surfaces

Matthias Scheffler

Fritz-Haber-Institut der Max-Planck-Gesellschaft, Berlin

New methods:

- *ab initio* molecular dynamics
- *ab initio* quantum dynamics

New results: for example

- Catalytic oxidation of CO
- Dissociative adsorption of H₂
 - a) at Pd (100)
 - b) poisoning by adsorbed sulfur

Density Functional Theory

The energy of the ground state of a many-electron system : $E_0(\{\mathbf{R}_I\}) = \text{Min}_{\Psi} \langle \Psi | H^e | \Psi \rangle$

Hohenberg and Kohn (1964): The functional

$$n(\mathbf{r}) = n[\Psi] = \langle \Psi | \sum_i \delta(\mathbf{r} - \mathbf{r}_i) | \Psi \rangle \quad (1)$$

can be inverted, i.e.,

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = \Psi[n(\mathbf{r})]$$

which implies:

$$E_0(\{\mathbf{R}_I\}) = \text{Min}_{n(\mathbf{r})} E_{\{\mathbf{R}_I\}}[n]$$

$$E_{\{\mathbf{R}_I\}}[n] = T_s[n] + \int d^3r \ v_{\{\mathbf{R}_I\}}^{\text{nuc}}(\mathbf{r})n(\mathbf{r})$$

$$+ \frac{1}{2} \int \int d^3rd^3r' \ \frac{n(\mathbf{r})n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + E^{\text{xc}}[n]$$

with **local-density approximation**
 or **generalized gradient approximation**

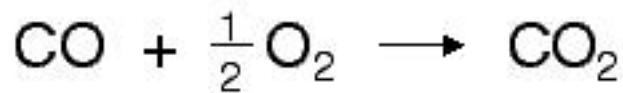
Accuracy of geometries is better than 0.1 Å. Accuracy of calculated energies (relative) is better than 0.1 eV [for special cases better than 0.01 eV].

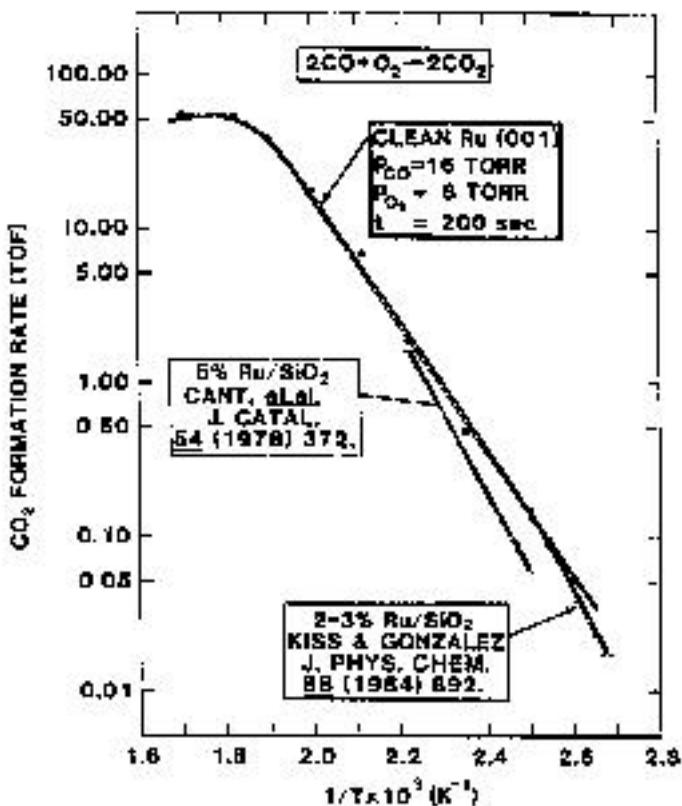
Density functional theory

Methods

- *ab initio* pseudopotential -- *M. Bockstedte et al., Comp. Phys. Commun. XX (1998)*
<http://www.fhi-berlin.mpg.de/th/th.html>
- full-potential linear augmented plane wave
-- *P. Blaha et al., Comp. Phys. Commun. 59 (1990); M. Petersen et al., Comp. Phys. Commun. XX (1998)*

unbiased, systematically improvable basis set
accurate forces; electronic self-consistency
and optimization of the geometry





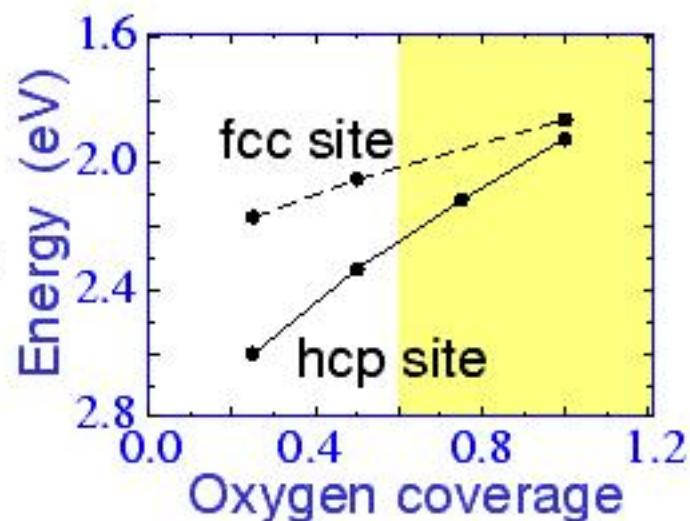
CO₂ formation
at Ru supported
catalysts and
Ru single crystals

At UHV condi-
tions Ru is least
active for CO
oxidation. At
high-pressure
conditions it is
best.

Catalytic Oxidation of CO

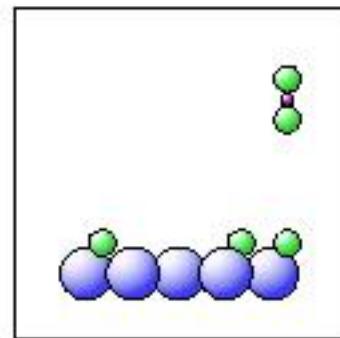
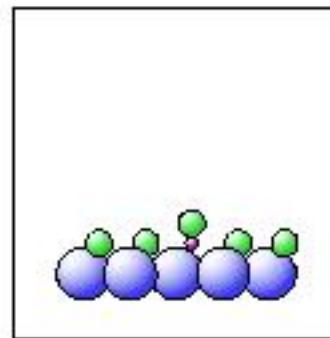
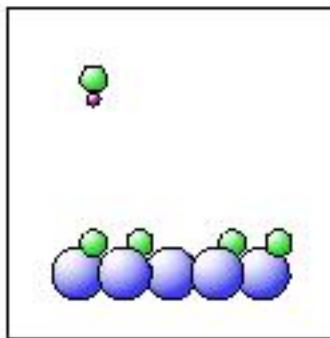
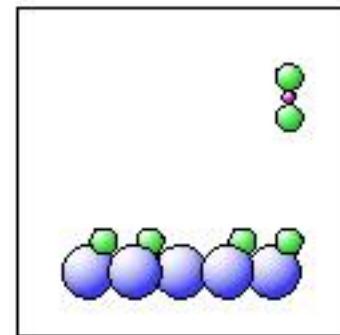
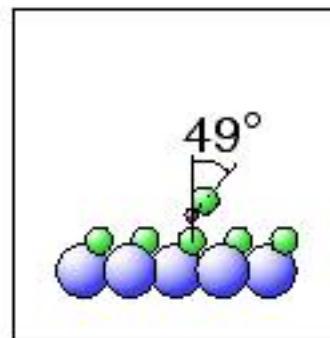
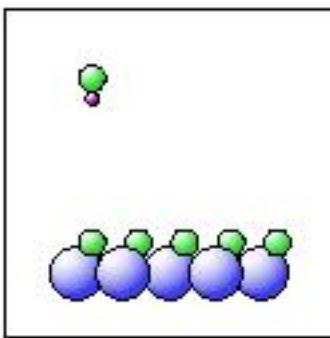
Example: the high efficiency of Ru

Energy of
O/Ru(0001) with
respect to O₂ gas
C. Stampfl, M.S.,
PRB 53 (1996).



kinetically hindered region == oxygen phase
with atypically weak O - metal bond.

C. Stampfl et al., PRL 77 (1997)

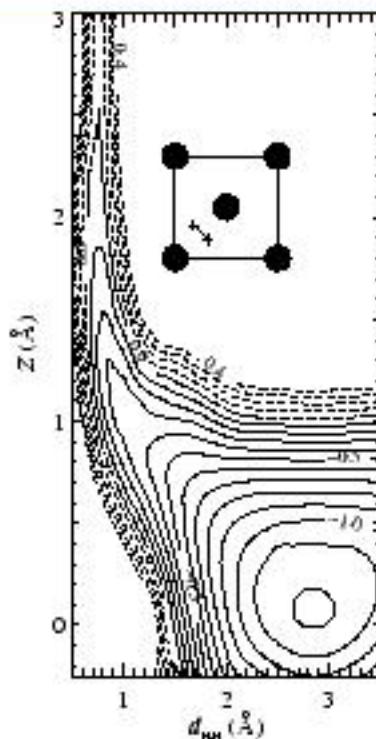


Trends of the Chemical Activity

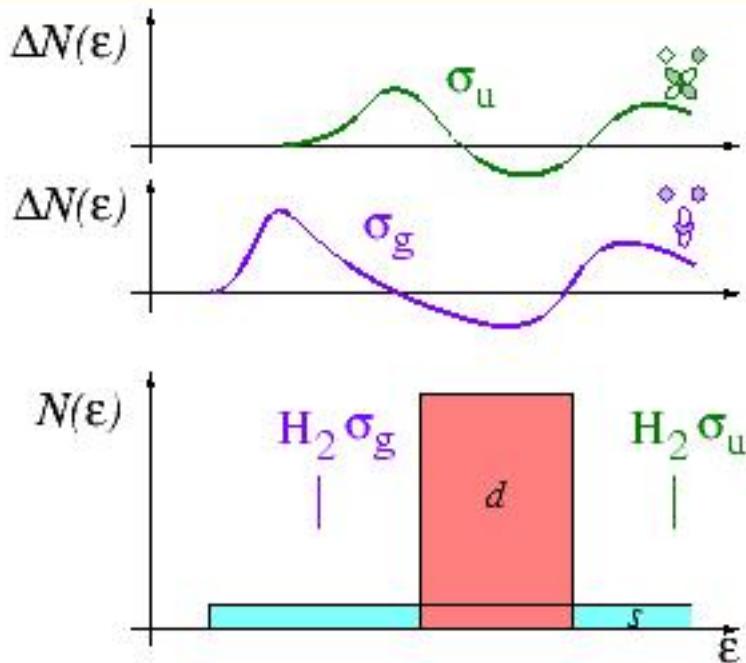
- e.g. for $\text{H}_2 / \text{Pd}(100)$:
no energy barrier

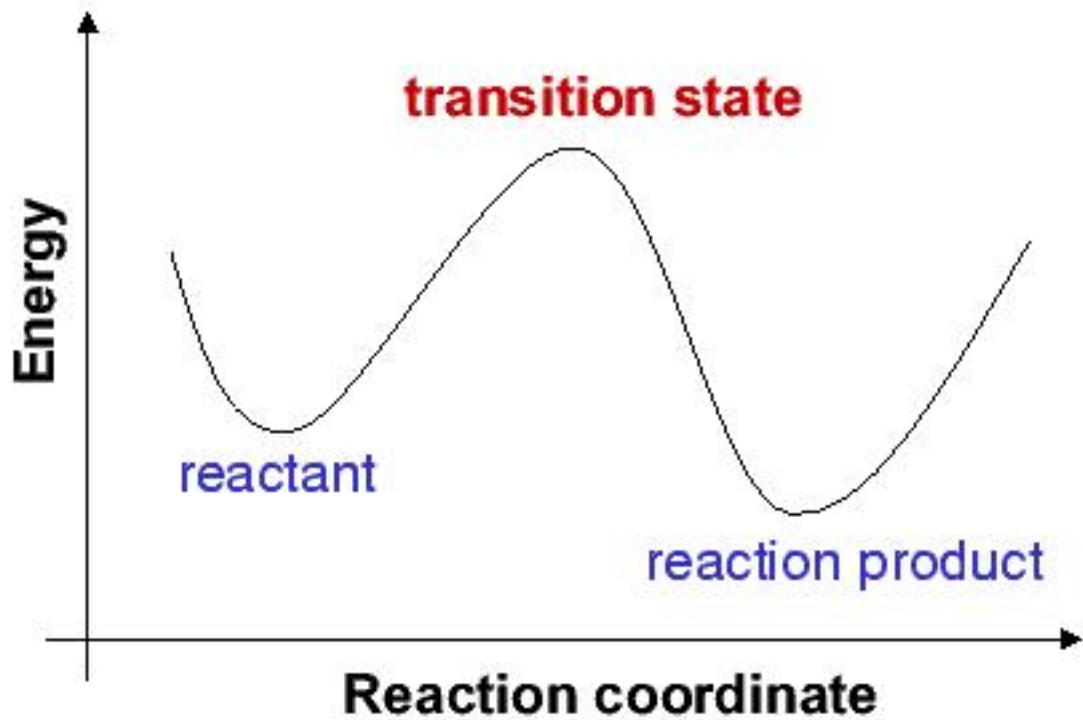
S. Wilke, M.S.,
Surf. Sci. (1995)

- e.g. for Cu(111):
energy barrier = 0.5 eV
at $Z = 1.3 \text{ \AA}$, $d = 1.08 \text{ \AA}$,
i.e. in the “exit channel”



The Nature of the Interaction at the Transition State





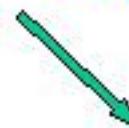
Electronic Structure Theory

(Density Functional Theory)

Potential Energy Surface



Dynamics of the Nuclei
along this **PES**



Thermal
Equilibrium
Structures

Statistical Mechanics



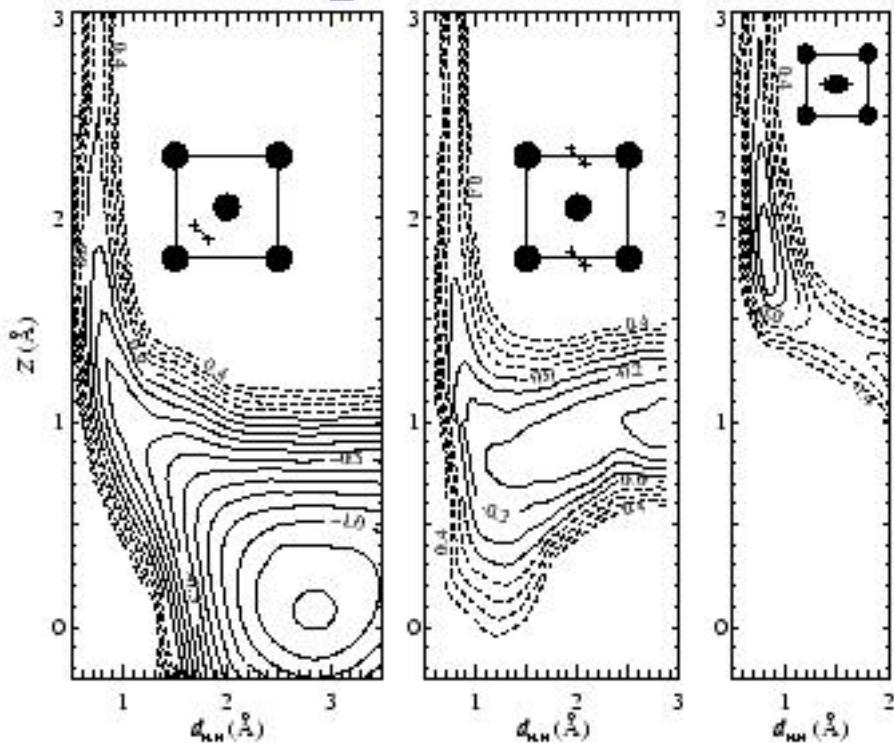
Real Life

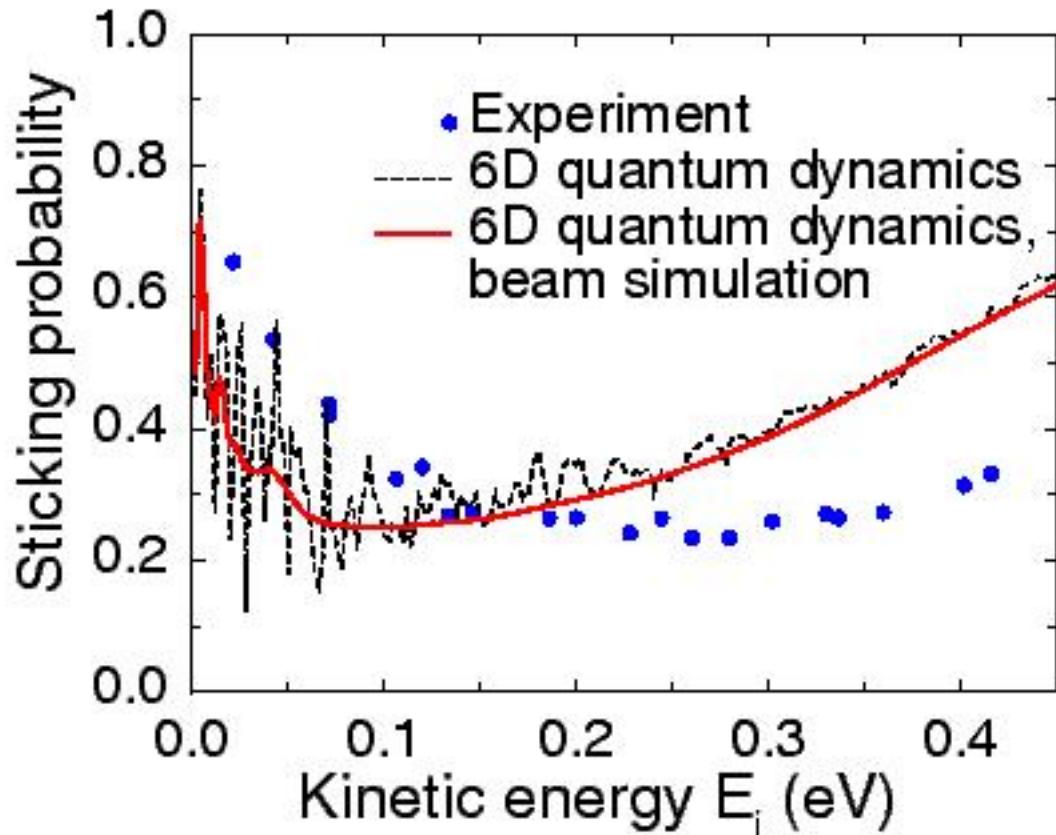
- 1) Calculate the potential energy of the interaction of H_2 and the surface on a grid using DFT-GGA \rightarrow PES, dimension > 6
- 2) Find a representation of this PES
- 3a) Solve Newton equations of motion of the nuclei moving on such a PES (*ab initio* molecular dynamics)
- 3b) Solve Schrödinger equ. of the nuclei with this PES (*ab initio* quantum dynamics)

$$\left\{ \sum_I \frac{-\hbar^2}{2M_I} \nabla_{R_I}^2 + V(Z, d; X, Y, \theta, \phi) \right\} \chi = E \chi$$

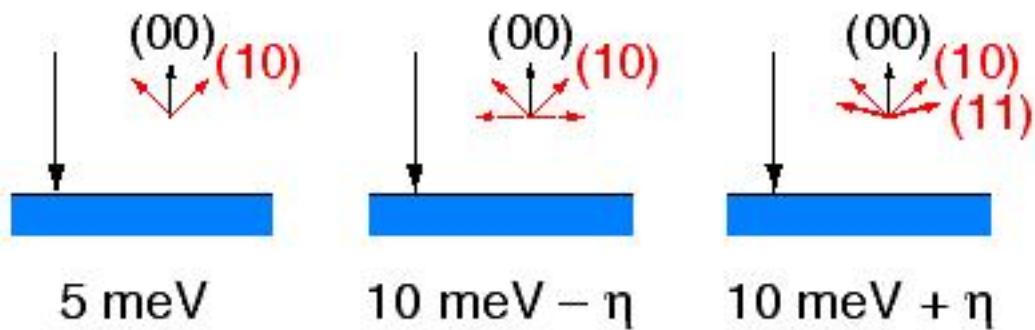
A. Gross, S. Wilke, M.S., PRL 75, (1995); PRB 57, Jan. 1998

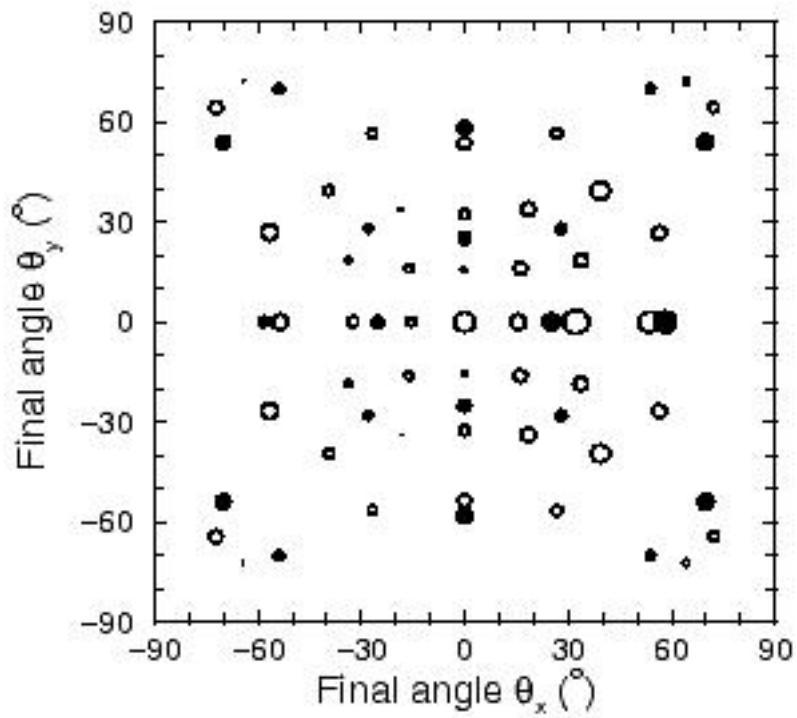
Ab initio potential-energy surface (PES) of H₂ at Pd(100)





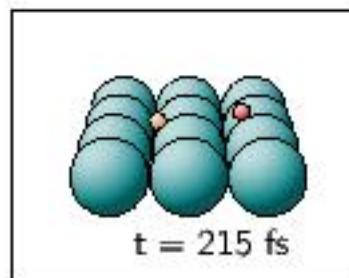
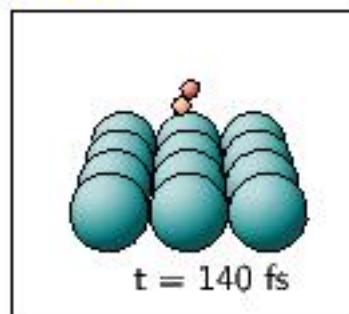
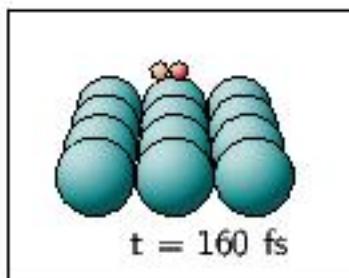
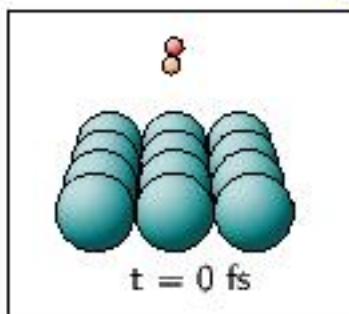
Oscillations reflect the thresholds
e.g., exit conditions of reflected beams



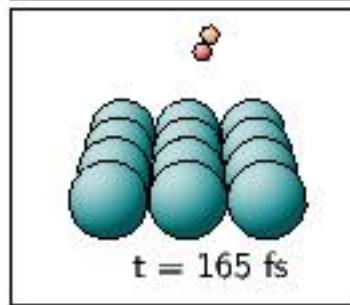
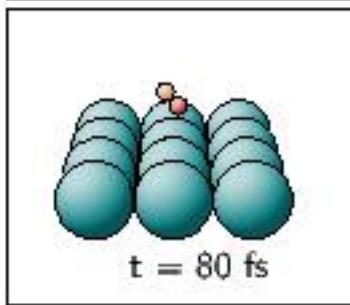
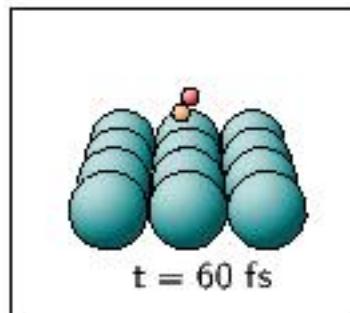
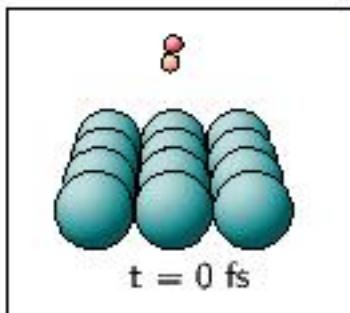


Molecular Dynamics Snapshots of $H_2 \rightarrow Pd(100)$

Steering effect: aligning the incoming molecule
(at low energies; here: $E_i = 0.01 \text{ eV}$)



$E_t = 0.12 \text{ eV}$

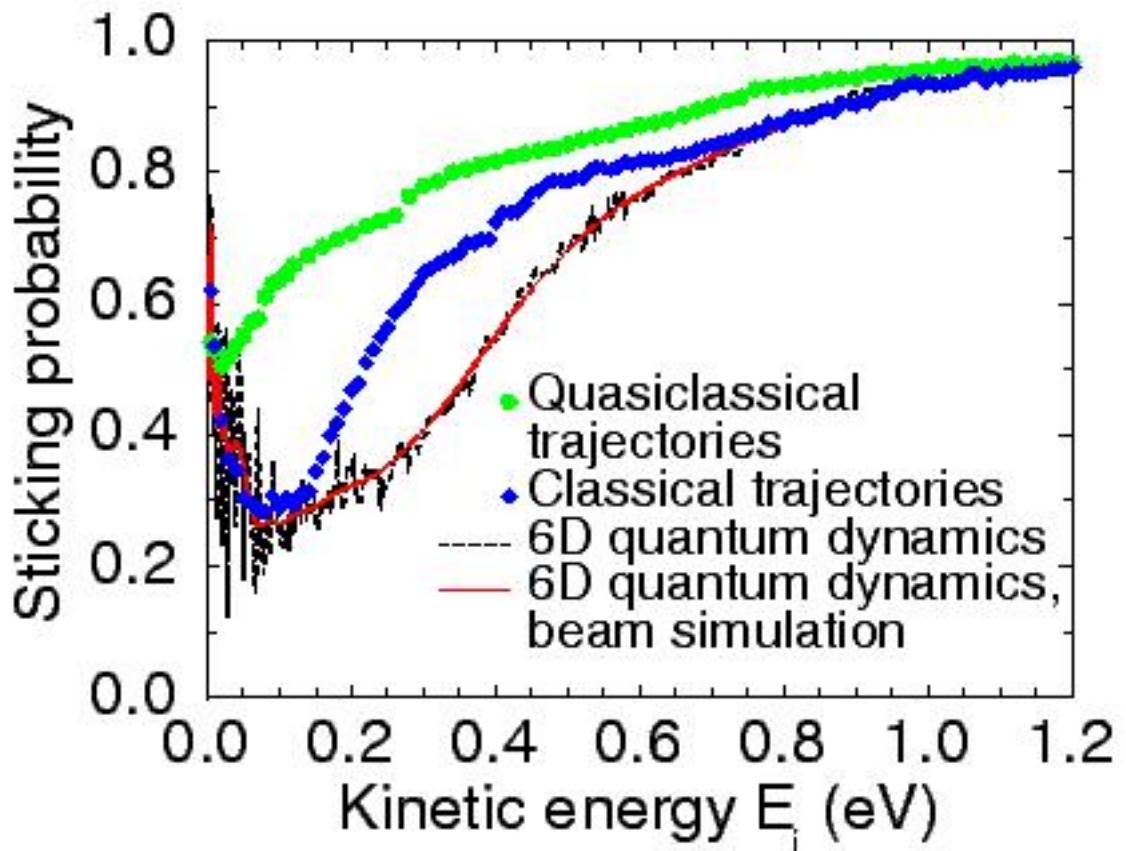


Comparison of quantum dynamics

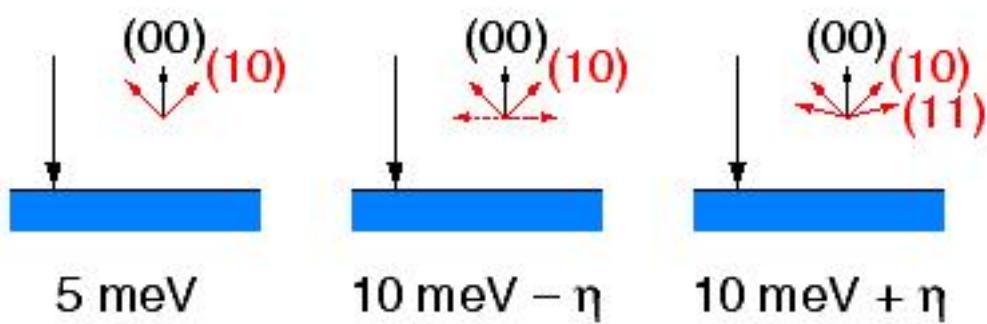
and

molecular dynamics

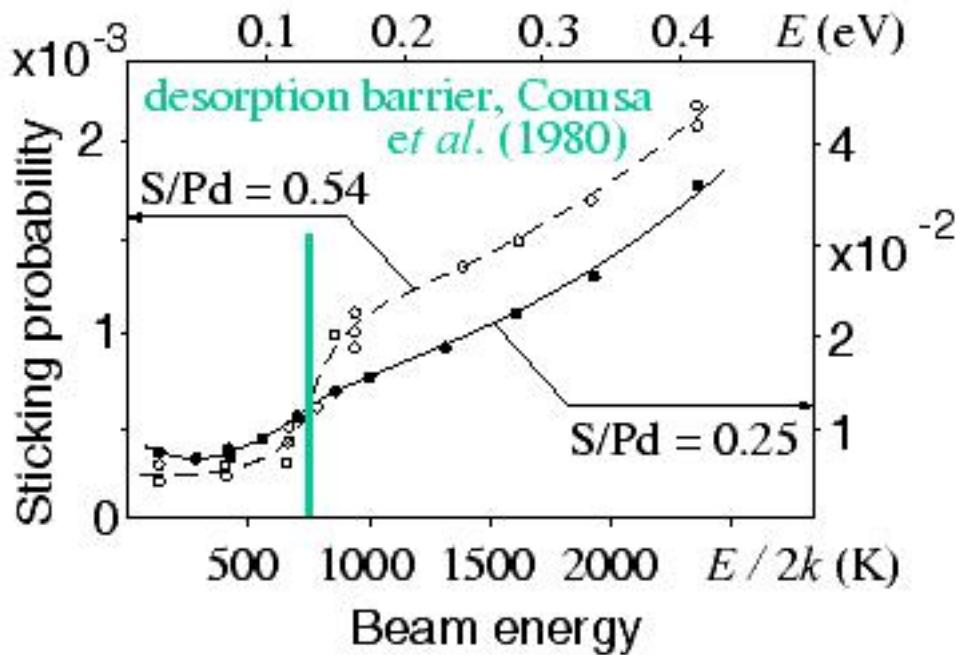
using the same *ab initio* PES



Oscillations reflect the thresholds
e.g., exit conditions of reflected beams



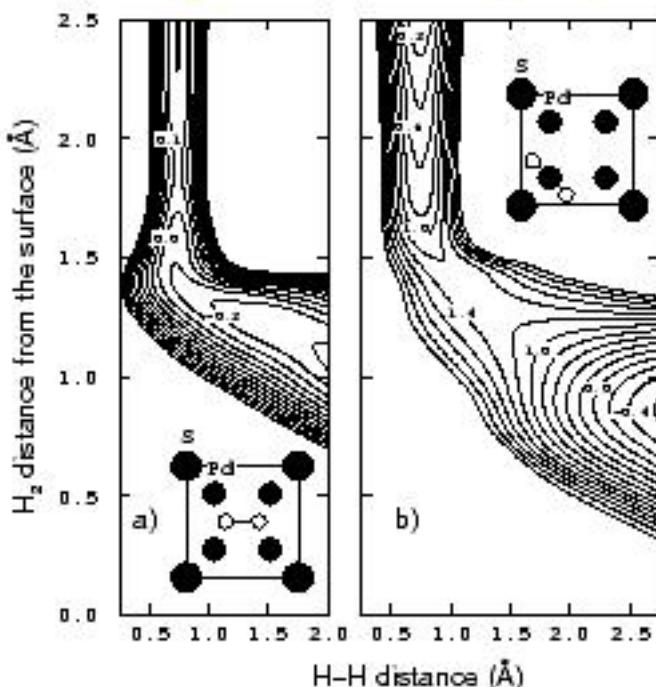
Adsorbed sulfur poisons the H₂ dissociation at Pd (100)



Rendulic *et al.* (1989)

$H_2 \rightarrow S - Pd (100)$

?_S = 0.25



**adsorption
of H is only
weakly
affected
by sulfur**

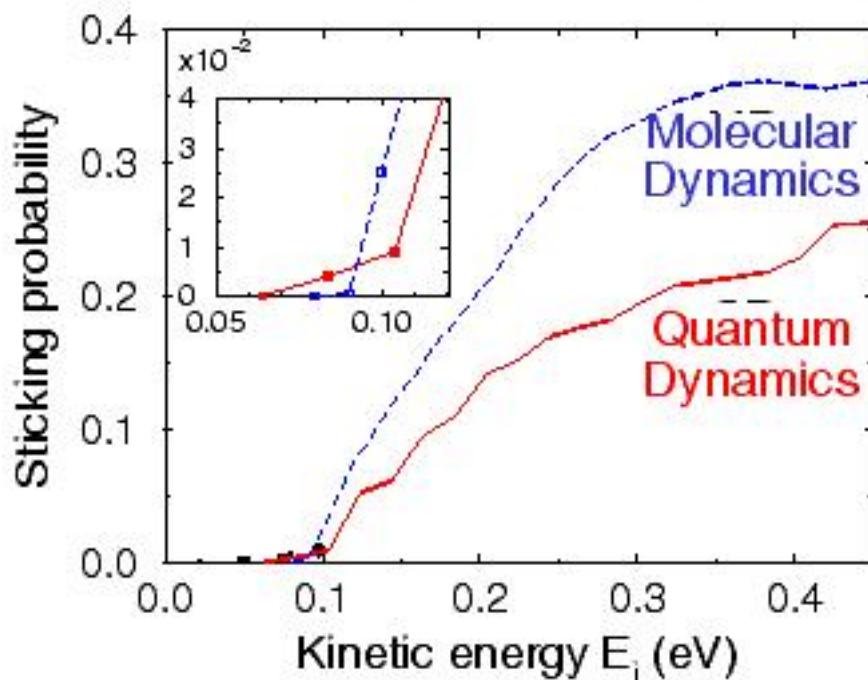
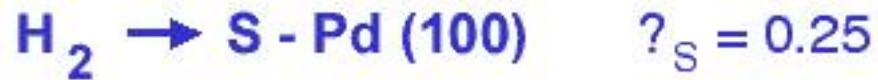
S. Wilke and M. Scheffler, PRL **76** (1996)

C.M. Wei, A. Gross, and M. Scheffler, submitted

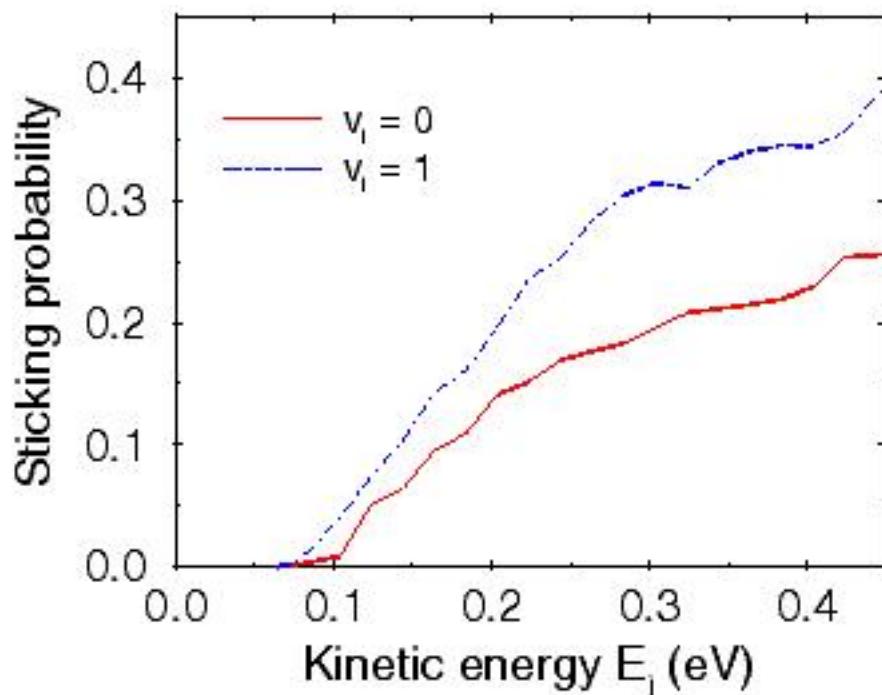
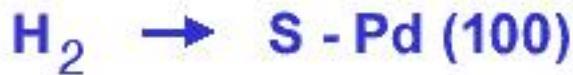
"Established" concepts

Energy barriers in the entrance channel are effective, because the H-H bond is not yet weakened when the molecule meets the barrier.

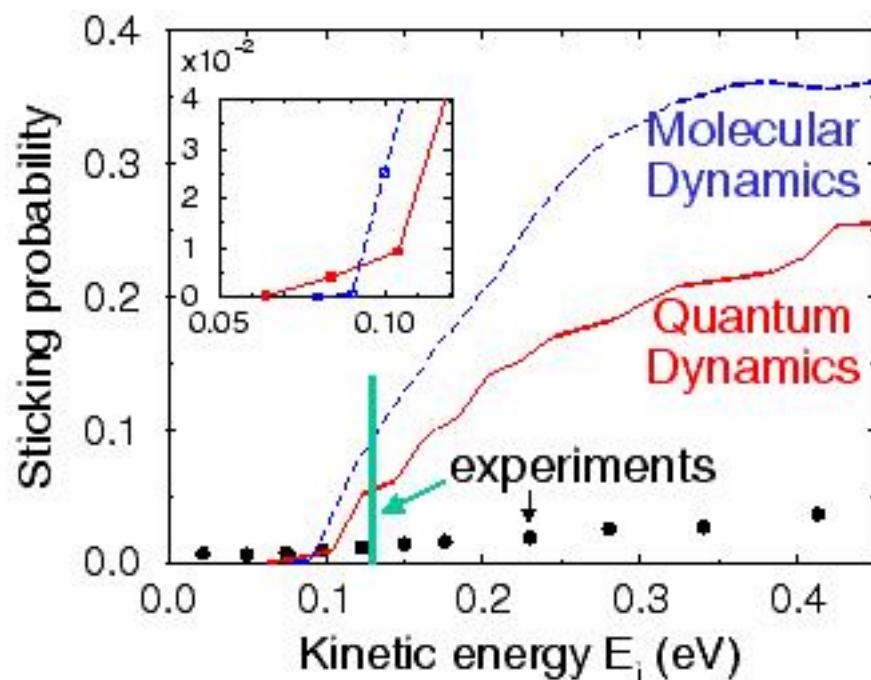
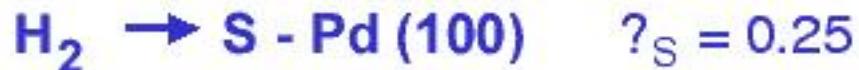
Vibrational excitation of the impinging molecules does not help the dissociation very much; desorbing molecules are vibrationally cool.



A. Gross, C.-M. Wei, and M. Scheffler, Phys. Rev. B (1998)



A. Gross, C.-M. Wei, and M. Scheffler (1998)



A. Gross, C.-M. Wei, and M. Scheffler (1998)

Summary:

- Efficient and accurate DFT methods for big systems
<http://www.fhi-berlin.mpg.de/th/th.htm>
- *ab initio* molecular dynamics with more than 100,000 trajectories
- *ab initio* quantum dynamics

we speculate that subsurface adsorbates play a bigger role than hitherto anticipated.

Fotos of
Axel, Cathy, Steffen, Ching-Ming