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Femtosecond laser pulse shaping for enhanced ionization

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Abstract – We demonstrate how the shape of femtosecond laser pulses can be tailored in order to obtain maximal ionization of atoms or molecules. For that purpose, we have overlayed a direct-optimization scheme on top of a fully unconstrained computation of the three-dimensional time-dependent Schrödinger equation. The procedure looks for pulses that maintain the same total length and integrated intensity or fluence as a given pulse that serves as an initial guess. It allows, however, for changes in frequencies —within a certain, predefined range— and overall shape, leading to enhanced ionization. We illustrate the scheme by calculating ionization yields for the ${\rm H}_2^+$ molecule when irradiated with short (≈ 5 fs), high-intensity laser pulses. The magnitude of the obtained enhancement, as well as the shape of the solution optimal field depend strongly on the constrains imposed on the search space. In particular, when only small frequencies are allowed, the solution merely increases the peak intensity through temporal compression, as expected from a simple tunneling picture. If larger frequencies are allowed the structure of the solution field is more complicated.

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Introduction. – When atoms or molecules are irradiated with laser fields that are intense enough to induce nonlinear effects, a wealth of fascinating phenomena may be observed [1]. This applies even to deceitfully "uninteresting" systems such as the simplest molecule, H_2^+ [2]: above-threshold or tunneling ionization [3], bond softening [4], bond hardening (light-induced bound states or vibrational trapping) [5], charge resonance enhanced ionization [6,7], above-threshold dissociation [8], high-harmonic generation [9], etc.

This very same complexity in the molecular reaction, however, is what permits to envision the possibility of *controlling* molecules with short (femtosecond time scale) and intense $(10^{11}-10^{15} \text{ W/cm}^2)$ laser pulses [10]. The short

durations allow for coherent control: the systems evolve uncoupled to the environment, and can be steered towards the desired outcomes without relying on the more traditional control parameters, i.e., average, thermodynamic functions such as the temperature. The high intensities trigger the strongly nonlinear, even non-perturbative, response of the systems. An essential ingredient to realize the molecular control is the capability of shaping the laser pulses —a technological area that has witnessed spectacular advances in the recent years [11].

Yet this complexity implies the need for challenging theoretical models. Not surprisingly, ionization is the first and most studied process, mainly because it could already be studied for atoms [12], and because in this intensity regime it almost always occurs, be it accompanied or not by other phenomena. Even in the absence of influence from nuclear dynamics, the ionization of molecules is

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significantly more complex than that of atoms, due to the electron emission from different atomic centers [13,14]. Two rather successful models for molecular ionization that have recently been suggested are the so-called molecular-orbital strong-field approximation [13] and the molecular extension of the Ammosov-Delone-Kraine (ADK) approximation [15]. However, these approaches are insufficient as general tools [16].

A common feature of the approaches mentioned above is the use of the single-active-electron (SAE) approximation. One-electron molecular systems such as the hydrogen molecular ion ${\rm H}_2^+$ are therefore perfect candidates to isolate the error introduced by the SAE approximation from further simplifications. Electron correlation originates difficult and interesting phenomena such as non-sequential ionization [17].

In order to properly investigate the interaction of short and intense laser fields with molecules, one needs to perform explicitly time-dependent calculations, even if it might imply a heavy computational burden. Calculations of this kind, that propagate the time-dependent Schrödinger equation (TDSE), have been presented for ${\rm H}_2^+$ in the past, for example with the purpose of understanding the presence of maxima in the ionization yield for particular internuclear separations [18], or in order to disentangle the relationship between ionization and dissociation [7,19]. Recently, Selstø et al. [20] and Kjeldsen et al. [21] have reported calculations on the orientation dependence of the ionization yield —lifting the commonly used assumption of a molecular axis parallel to the light polarization.

In this work we take a further step, and focus on the possibility of theoretically designing, via fixed-nuclei three-dimensional (3D) TDSE calculations, laser pulses able to control (in particular, significantly enhance) the ionization yields, taking H₂⁺ as an example system. Some recent experimental breakthroughs on this area have triggered our interest. For example, Suzuki et al. [22] demonstrated the control of the multiphoton ionization channels of I₂ molecules by making use of a pulse shaping system capable of varying in time the polarization directions. Simultaneously, Brixner et al. [23] have made use of a similar polarization-shaping system to enhance ionization yields of diatomic molecules (K_2) . Our focus is, however, on linearly polarized ultrashort pulses ($\approx 5 \, \mathrm{fs}$), so rapid that the nuclear movement does not play a role during the pulse action —in contrast to the studies in which the ionization is studied as the internuclear distance changes, leading to possible resonances.

Methodology. – The optimization problem can be formulated in the language of quantum optimal-control theory (QOCT) [10,24]. QOCT answers the following question: A system is driven, during some time interval, by one or various external fields whose temporal dependence is determined by a set of "control" functions. Given an objective (e.g., to maximize the transition probability to a prescribed final state, the so-called target state), what

are the control functions that best achieve this objective? In most cases (as in this work), the control function is the time-dependent electric field of a laser pulse, and the objective is typically expressed as the maximization of a target operator \hat{O} : The value of the expectaction value of this operator as a function of the parameters that determine the control function is to be maximized. The computation of the gradient of this function implies a set of equations —some forward and backward time-dependent propagations of the Schrödinger equations. Over the years, a number of suitable, iterative algorithms have been provided to cope with these equations [24].

In order to enhance ionization, one would just define \hat{O} as the projection onto unbound states, or, alternatively, the identity minus the projection onto the bound states:

$$\hat{O} = \hat{1} - \sum_{i}^{\text{bound}} |\varphi_i\rangle\langle\varphi_i|. \tag{1}$$

However, we have experienced numerical difficulties when attempting to solve the QOCT equations for this particular operator: The forward-backward propagations that must be performed in order to solve the QOCT equations proved to be, for our particular implementation, numerically unfeasible when using the operator given in eq. (1) to define the target. This was due to the appearance of fields with unrealistically high frequencies and/or amplitudes. We believe that the reason lies in the fact that the backward propagation must be performed after acting with the operator \hat{O} on the previously propagated wave function. This eliminates the smooth, numerically friendly part of the wave function, enhancing, on the contrary, the high frequency components. This procedure is repeated at each iteration, eventually making the propagation impossible. We do not claim, however, that any other numerical implementation will not be able to succeed.

Therefore, we have employed and present here, a direct optimization scheme, which is in fact much closer in spirit to the techniques utilized by the experimentalists [25]. In this scheme, we construct a merit function by considering the expectation value of the operator defined in eq. (1) at the end of the propagation:

$$F(x) = \langle \Psi_x(T) | \hat{O} | \Psi_x(T) \rangle, \tag{2}$$

where x is the set of parameters that define the laser pulse, and $|\Psi_x(T)\rangle$ is the wave function that results from performing the propagation with the laser determined by x, at the final time T. Of course, the sum over the bound states has to be truncated; for the calculations presented below, we find it sufficient to include the lowest ten states. The merit function is calculated by performing consecutive TDSE propagations: The resulting function values are fed into a recently developed derivative-free algorithm called NEWUOA [26]. This algorithm seeks the maximum of any merit function F(x) depending on N variables x, and does not necessitate the gradient ∇F . It is very effective for N

larger than ten and smaller than a few hundred, which is the case considered here. In all our runs, we necessitated around two hundred iterations to converge the ionization yields to within 1%.

The system and the TDSE propagations are modeled in our homegrown Octopus code [27]. We represent the wave functions on a real-space rectangular regular grid, and fix the nuclear position at their equilibrium distance. The small length of the pulses used here justifies this simplification. We perform calculations setting the polarization direction both parallel to the molecular axis and perpendicular to it. The size of the simulation box is selected large enough to ensure that very little of the electronic density has reached the grid boundaries at the end of the laser pulse. Nevertheless, we add absorbing boundaries to remove this charge; if the propagation is pursued after the pulse, part of the density will "abandon" the simulation box; the remaining integrated density should approach (as it does) one minus the ionization probability calculated as the expectation value of eq. (1).

We fix the nuclei to their equilibrium internuclear distance, 2.00 atomic units. The nucleus-electron interaction is not the pure Coulomb interaction, but a smoothed expression that avoids the singularity at the origin; this expression is a norm-conserving Troullier-Martins pseudopotential [28] that preserves both the scattering properties and the eigenvalue of the Hydrogen atom.

The laser pulse is taken in the dipolar approximation, and represented in the length gauge. The temporal shape of the pulse is given by a function f(t), which we expand in a Fourier series:

$$f(t) = f_0 + \sum_{n=1}^{N} \left[f_n \sqrt{\frac{2}{T}} \cos(\omega_n t) + g_n \sqrt{\frac{2}{T}} \sin(\omega_n t) \right],$$
(3)

with $\omega_n = 2\pi n/T$. In order to ensure a physically meaningful laser pulse [29], we must have $\int_0^T \mathrm{d}t f(t) = 0$, which implies $f_0 = 0$. Moreover, we must have f(0) = f(T) = 0, where T is the total propagation time. This poses the following constraint:

$$\sum_{n=1}^{N} f_n = 0. (4)$$

The sum over frequencies is truncated according to physical considerations: Any pulse shaper has a predefined range of frequencies it can work with. The feasibility of the numerical scheme depends on the possibility of truncating the previous expression at a reasonably low number. In the cases considered here, due to the short duration of the pulses (around 5 fs), we obtain no more than 20 degrees of freedom by setting the maximum frequency to 1 Ha.

Evidently, by increasing the intensity of a pulse one can enhance the ionization yield. Our wish is to improve this yield by changing the pulse shape, and not simply by lasing with larger intensity. Therefore, to ensure the *fairness* in the optimization search, we constrain the search to

laser pulses whose time-integrated intensity (fluence) is predefined to some value F_0 :

$$F_0 = \int_0^T f^2(t) \, \mathrm{d}t = \sum_{n=1}^N (f_n^2 + g_n^2). \tag{5}$$

The search space $\{f_n, g_n\}$ is thus constrained to the hypersphere defined by the previous equation. But we must add the condition given by eq. (4), which further restricts the search space to a hyper-ellipsoid. By performing the appropriate unitary transformation, this can be brought again into a hypersphere:

$$F_0 = \sum_{n=1}^{2N-1} \xi_n^2. \tag{6}$$

This equal-fluence condition can be guaranteed if we perform a new transformation to hyperspherical coordinates:

$$\xi_{1} = F_{0}^{1/2} \cos(\theta_{1}),$$

$$\xi_{2} = F_{0}^{1/2} \sin(\theta_{1}) \cos(\theta_{2}),$$

$$\dots = \dots$$

$$\xi_{2N-2} = F_{0}^{1/2} \sin(\theta_{1}) \dots \sin(\theta_{2N-3}) \cos(\theta_{2N-2}),$$

$$\xi_{2N-1} = F_{0}^{1/2} \sin(\theta_{1}) \dots \sin(\theta_{2N-3}) \sin(\theta_{2N-2}).$$
(7)

The set of angles $\{\theta_j\}_{j=1}^{2N-2}$ constitute the 2N-2 variables that define our search space.

Results. – The initial laser field before the optimization is a linearly polarized pulse having a sinusoidal envelope, fixed peak intensity, and wavelength of $\lambda = 400\,\mathrm{nm}$ —a typical value for frequency-doubled titanium-sapphire lasers. Correspondingly, the initial frequency is $\omega_0 = 0.114\,\mathrm{Ha}$, and the total pulse duration is 5.3 fs, which corresponds to eight cycles. The maximum allowed frequency of the *optimized* pulse is set to $\omega_{\mathrm{max}} = 2\,\omega_0$. The pulse polarization is fixed to be parallel or perpendicular to the molecular axis. During the QOCT procedure, the polarization and the fluence F_0 are kept fixed, but the peak intensity may change from the initial value, which is selected in the range $I=0.5,0.75,\ldots,2\times10^{15}\,\mathrm{W/cm}^2$.

Figure 1 shows the ionization probabilities as a function of the peak intensity (of the initial guess pulse) for the initial and optimized pulses polarized parallel (a) and perpendicular (b) to the molecular axis, respectively. Overall, the pulse optimization leads to a significant increase in the ionization. As expected, the ionization yield is slightly larger for pulses polarized parallel to the molecular axis.

To get more insight into the optimized ionization process, we plot in fig. 2 the initial and optimal laser pulses and the occupations of some single-electron states during the pulse interaction. The peak intensity of the initial pulse is $I = 2 \times 10^{15} \,\mathrm{W/cm^2}$. Optimized pulses of both parallel (a) and perpendicular (c) polarization have large peaks near the end of the pulse. According to the corresponding occupations shown in figs. 2(b) and (d), these

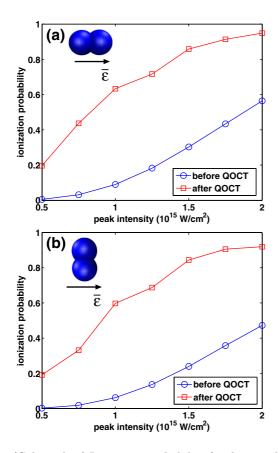


Fig. 1: (Color online) Ionization probability for the initial pulse (circles) and for the optimized pulse (squares) as a function of the peak intensity of the initial pulse. The polarization of the pulse is (a) parallel and (b) perpendicular to the molecule.

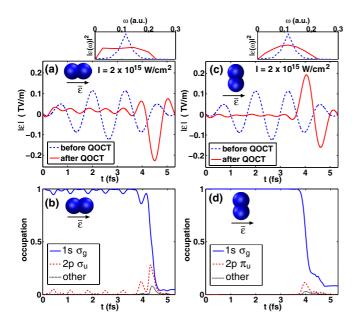


Fig. 2: (Color online) (a) Initial and optimized pulses (parallel polarization) and their power spectra (in arbitrary units) and (b) the occupation of selected single-electron states in the optimized ionization process, when $I=2\times 10^{15}\,\mathrm{W/cm^2}$. (c), (d) Same as (a), (b) but for perpendicular polarization.

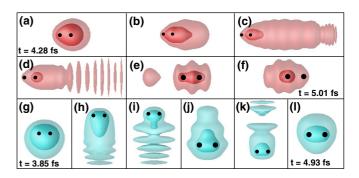


Fig. 3: (Color online) (a)–(f) Snapshots of the electron densities in the optimized ionization process when the pulse polarization is parallel to the molecular axis and the intensity is $I=2\times 10^{15}\,\mathrm{W/cm^2}$. (g)–(l) The same for a pulse polarized perpendicular to the molecular axis.

amplitude peaks account for almost all of the ionization: During the peaks the ground-state occupations rapidly collapse. The $2p\,\sigma_{\rm u}$ ($2p\,\pi_{\rm u}$) excited state contributes to the process to a small extent in the parallel (perpendicular) case, whereas the other states are involved by a nearly negligible fraction; overall, no excited bound states contribute significantly. Hence, within the constraints set here for the laser pulse, the optimal ionization of ${\rm H}_2^+$ is a direct process obtained by focusing most of the available pulse energy in a very short time frame —though keeping the integrated total field at zero in accordance with Maxwell's equations (see ref. [29]). The electron densities during the ionization process are visualized in fig. 3 for both parallel (a) and perpendicular (b) polarizations.

An interesting aspect that can be learned from fig. 2 is the difference in the shape of the optimized fields for the parallel and perpendicular cases. For the parallel orientation, the pulse is a half-cycle pulse, whereas for the perpendicular orientation, it is a full cycle. In other words, the carrier-envelope phase is 0 in the first case, and $\pi/2$ in the second case. The half-cycle is to be expected from the simple tunneling arguments, since it provides the maximum possible peak intensity. Note, however, that in order to satisfy the condition $\int_0^T \mathrm{d}t f(t) = 0$, a pronounced peak of a given sign must be compensated by a pulse fragment of the opposite sign. This can be a large peak —as in the $\pi/2$ pulse— or a small intensity, biased pulse fragment —as in the half-cycle pulse case that occurs in the parallel orientation case. The reason why one or the other option is more advantageous could be related to the larger polarizability of the dimer in the parallel direction: the initial positively biased part of the pulse shown in the top right panel of fig. 2 is able to modify the electronic state in such a way that the final peak ionizes it more effectively. It may be that a similar "preparation" is not as effective in the perpendicular case, and therefore does not exist. The first ionizing peak must then be compensated by an opposite second peak (which has little effect in the system, since most of the electronic charge is already gone).

In any case, the previous optimizations have produced rather "uninteresting" solutions. It is a well known fact that in a short intense laser pulse, most of the ionization occurs during the field peaks. Therefore, the optimizations have just created short, intense bursts of light. This fact can be understood if we consider the process happening in the quasi-static, tunneling regime, in which the total ionization can be approximated by considering at each moment in time the static ionization rate that corresponds to the electric amplitude. This ionization rate is nonlinear, and it is much larger at the electric field peaks, which therefore cause most of the ionization. Note, however, that the cases discussed above lie in an intermediate regime between the tunneling and the multi-photon regime —the Keldysh parameter, γ , is of the order of one. (The Keldysh parameter γ is defined as $\sqrt{|E_{\rm I}|/2U_{\rm p}}$, where $E_{\rm I}$ is the ionization potential of the system, and U_p is the pondemorotive energy, given in atomics units by $(E_0/2\omega)^2$, E_0 being the peak intensity of the electric field, and ω the pulse frequency. Since our optimized lasers do not have a single frequency —not even necessarily a dominant one, we can only speak of approximate Keldysh parameters.)

Alternatively, one can explain the pulse simplicity considering that the maximum allowed frequency, $2\,\omega_0=0.228\,\mathrm{Ha}$, is smaller than any resonance transition energy from the ground state. As a consequence, the system does not significantly populate these states, and the only ionizing channel is direct transition to the continuum.

The picture changes significantly, however, if we allow for a larger cutoff frequency. First, this increases the value of the Keldysh parameter associated with the process, which may change the regime from a more quasistatic to a more multi-photon–like character. Secondly, the excited bound states are now accessible for single-photon transitions. For example, fig. 4 displays results obtained for $4\omega_0$. The intensity is here set to $0.5\times10^{15}\,\mathrm{W/cm^2}$, and the field polarization is aligned with the molecule axis. Doubling the cutoff frequency of the search space has a significant effect in the total ionization yield: Now we obtain 0.99 for the ionization probability, whereas in the first optimization the yield was 0.20 (see fig. 1, top panel, first point in the series). Note that the initial yield before any optimization was only 0.005.

Moreover, the manner in which the ionization occurs with a larger cutoff frequency is qualitatively very different. Figure 4 displays the evolution of the occupation of some of the bound states. The first excited state $(2p\,\sigma_{\rm u})$ plays a significant role, which can be understood because the transition energy from the ground state is now accessible in the field search space. In addition, a couple of other lowest states contribute in the ionization process in an ascending order as a function of time. It should be noted, however, that only the σ orbitals participate in the transitions, and π orbitals, for example, are not allowed due to a different symmetry. As a consequence of the involvement of several states in the ionization process, the structure of the optimized laser pulse shown in the upper panel of

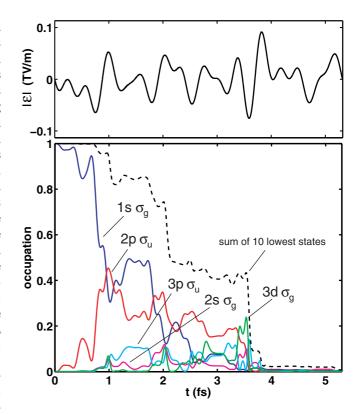


Fig. 4: (Color online) Upper panel: optimized laser pulse for the ionization when the cutoff frequency is $4 \omega_0$ (see text) and the intensity is fixed to $0.5 \times 10^{15} \, \mathrm{W/cm^2}$. Lower panel: occupation of a few lowest states during the pulse interaction.

fig. 4 is much more complicated than the single-burst fields obtained in the previous calculations.

It becomes clear that at a given fixed fluence, the optimal ionization yields may increase dramatically when the maximum allowed frequency is also increased. This fact stresses the need of carefully considering the constraints in the search space when performing theoretical control calculations, since experimentalists are also always bound by some constraints—in particular, not all frequencies are accessible. From the case that we have presented, there seem to be two issues to be closely watched: whether or not the allowed frequencies permit to consider the process clearly in the tunneling regime, and whether or not the allowed frequencies are high enough to allow direct transitions to bound excited states, and subsequent indirect transition to the continuum.

Conclusions. — We have shown with 3D time-propagations of the time-dependent Schrödinger equation how the temporal shape of a short intense laser pulse may affect significantly the total ionization yield of H_2^+ at fixed internuclear separations. Moreover, we have employed a gradient-free optimization technique to find the laser pulse that enhances ionization. This optimization can be constrained in different ways, accounting for the limitations of physical sources —not all frequencies and intensities are available, and not all possible shapes can be constructed with the state-of-the-art pulse shapers

(although the technology improves at a phenomenal rate). The results will differ depending on these constraints: The optimized pulse may be the single burst of electric field that one would expect by considering a process in the tunneling regime, or a field with complicated structure that drives the system through intermediate states —the ionization can be enhanced by resonant transitions. Whereas in the former case it would be easy to intuitively design optimal pulses, in the latter an optimization algorithm such as the one presented in this work is necessary.

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